

# CHARACTERIZATION OF TOTALLY UNIMODULAR MATRICES

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In this paper  $A$  will always denote a matrix with entries equal to 1,  $-1$  or 0.  $A$  is *totally unimodular* if every square submatrix has a determinant equal to 1,  $-1$  or 0. A submatrix  $A_J^I$  of  $A$  is said to be *Eulerian* [1] if

$$(\forall Ii): \sum_{j \in J} A_j^i \equiv 0 \pmod{2}$$

and

$$(\forall Jj): \sum_{i \in I} A_j^i \equiv 0 \pmod{2}.$$

We published in [2] and also in [6] a proof of:

**THEOREM 1.** *A is totally unimodular if and only if every square Eulerian submatrix is singular.*

We exposed the proof or the sufficient condition of this theorem as well as the one of Statement 3 at the Seminary on Combinatorial Problems of the Faculté des Sciences de Paris in January 1962. A. Ghouila-Houri gave independently a characterization [3] which could have been deduced however from our results.

R. Gomory gave us recently a new proof of the sufficient condition. His proof, combined with ours, allows us to prove a simpler characterization:

**THEOREM 2.** *A is totally unimodular if and only if for every (square) Eulerian submatrix  $A_J^I$ :  $\sum_{i \in I, j \in J} A_j^i \equiv 0 \pmod{4}$ .*

The following Statements 1 and 2 clearly prove the sufficient condition of Theorem 1.

**I. STATEMENT 1 (R. GOMORY).** *If there exists a submatrix  $B$  of order  $n$  in the matrix  $A$  with  $|\text{Det}(B)| > 2$ , there exists a square submatrix  $Q$  of  $B$  with  $|\text{Det}(Q)| = 2$ .*

**PROOF.** Let  $D = [BI]$ , where  $I$  is the unit matrix, and let  $\mathcal{C}$  be the class of all matrices obtained by unimodular row transformations of  $D$ , with the property:  $(\forall \mathcal{C}C)(\forall j)(\forall i): C_j^i \in \{1, -1, 0\}$  and  $C$  contains  $n$  different unit column vectors.

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Let  $F$  be a matrix in  $\mathcal{C}$  with the greatest number of unit vectors among its first  $n$  columns. At least one vector,  $F_k$ ,  $k \leq n$  is not a unit vector. For there cannot be in  $\mathcal{C}$  a matrix of the form  $[IG]$ , since  $|\text{Det}(B)| > 2$ .

Let us prove that among all possible choices of  $n-1$  unit vectors such that  $F_{J(r)} = [F_k, e_{r_1}, \dots, e_{r_{n-1}}]$  contains the set  $F_j$ ,  $j \in T$  of unit vectors of the first  $n$  columns, at least one is such that  $F_{J(r)}$  is unimodular.

If this was not true,  $F_{J(r)}$  would be singular for each of those choices and to each of those choices would correspond a null coordinate of  $F_k$ .

Let  $F_k^i$ ,  $i \in S$  be the set of those null coordinates. Thus  $F_{T \cup \{k\}}^S$  would be a null matrix  $(n - |T|) \times (|T| + 1)$  and consequently the matrix defined by the first  $n$  columns of  $F$  would be singular, contrary to the hypothesis that  $\text{Det}(B) > 2$ .

Let  $e_1$  be the vector which is not in the set  $e_{r_1}, \dots, e_{r_{n-1}}$ ;  $F_{J(r)}$  (which will be denoted  $F_J$  for simplicity) being unimodular.  $F_J^{-1}F$  cannot belong to  $\mathcal{C}$ , because it has one more unit vector than  $F$  in its first  $n$  columns. However,  $F_J^{-1}F$  contains a unit matrix, thus one of the entries of  $F_J^{-1}F$  is not 1,  $-1$  or 0. Let us point out that (after rearrangement of rows and columns),

$$F_J = \begin{vmatrix} \epsilon_1 & 0 & \dots & 0 \\ \epsilon_2 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ \vdots & \vdots & \vdots & \vdots \\ \epsilon_n & 0 & \dots & 1 \end{vmatrix},$$

where  $\epsilon_i \in \{1, -1\}$ , and

$$F_J^{-1} = \begin{vmatrix} \epsilon_1 & 0 & \dots & 0 \\ -\epsilon_2\epsilon_1 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ \vdots & \vdots & \vdots & \vdots \\ -\epsilon_n\epsilon_1 & 0 & \dots & 1 \end{vmatrix}.$$

$F_J^{-1}$  is the product of elementary matrices,  $T_p, \dots, T_1$ , where  $p$  is the number of nonzero  $\epsilon_i$ . Concretely,

$$T_1 = \begin{vmatrix} \epsilon_1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \dots & 1 \end{vmatrix},$$

$$T_p = \begin{vmatrix} 1 & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 \\ \vdots & & & \\ 0 & & & \\ -\epsilon_i & \cdot & \cdot & \cdot \\ 0 & \cdot & \cdot & \cdot \\ \vdots & & & \\ 0 & 0 & \cdots & 1 \end{vmatrix}.$$

Let  $q$  be the smallest integer such that one of the entries of  $F^* = T_q T_{q-1} \cdots T_1 F$  is not 1,  $-1$  or 0.

Clearly,  $T_q$  is the transformation which adds (subtracts) the first row of  $T_{q-1} \cdots T_1 F$  to (from) the  $i$ th row of this matrix. Then, the entries of  $F^*$  which are not 1,  $-1$  or 0 are necessarily  $\pm 2$  and they must all be in the  $i$ th row. Let  $F_{j'}^*$  be one of those entries; necessarily,  $j' \in J$ . Moreover,  $T_q T_{q-1} \cdots T_1 F_k$  has one more null coordinate than  $T_{q-1} \cdots T_1 F_k$  which is precisely  $F_k^*$ . Suppressing  $e_i$  of  $F_{j' \cup \{j\}}^*$ , one finds  $F_{j'}^*$ , which has  $n-2$  unit column vectors. The submatrix  $F_{\{k\} \cup \{j\}}^{\{1\} \cup \{i\}}$  is necessarily

$$\begin{vmatrix} \pm 1 & \pm 1 \\ 0 & \pm 2 \end{vmatrix},$$

thus  $\text{Det}(F_{j'}^*) = \pm 2$ . But  $\text{Det}(F_{j'}^*) = \text{Det}(D_{j'})$ , since  $F_{j'}^*$  is obtained from  $D_{j'}$  by a unimodular transformation. As  $D = [BI]$ ,  $\text{Det}(D_{j'})$  is equal to the determinant of one of the square submatrices of  $B$ .

STATEMENT 2 (R. GOMORY). *If for every Eulerian square submatrix  $A_{j'}^I$  of  $A$ ,  $\text{Det}(A_{j'}^I) = 0$ , then, for every square submatrix  $A_j^I$  of  $A$ ,  $\text{Det}(A_j^I) \equiv 0 \pmod{2}$  implies  $\text{Det}(A_j^I) = 0$ .*

PROOF. Let  $\mathcal{C}$  be the class of all square submatrices  $A_j^I$  with  $\text{Det}(A_j^I) = 2k$ ,  $k$  nonzero. Let us suppose that  $\mathcal{C} \neq \emptyset$ . Let  $B$  be any matrix of minimum order. There exists a vector  $x$  with integral coordinates which are not all zero or even, such that  $Bx \equiv 0 \pmod{2}$ , since  $\text{Det}(B) \equiv 0 \pmod{2}$ . Let  $B_K$  be the set of column vectors of  $B$  whose coefficients are the odd coordinates of  $x$ . These vectors are linearly dependant modulo 2, thus the determinants of the square submatrices  $B_K^I$  of  $B_K$  are null modulo 2.

As they cannot be all zero, since  $\text{Det}(B) \neq 0$ , one of them must be even and nonzero.

Since there cannot exist a square matrix  $B_K^I$  in  $\mathcal{C}$  which would be a proper submatrix of  $B$ ,  $K$  is necessarily the set of all column vectors

of  $B$ . Thus the row sums of  $B$  are even. The same argument applied to  $B^T$  proves that  $B$  is Eulerian, hence singular, which implies that contrary to definition,  $\mathcal{C}$  would contain a singular matrix.

II. We proved in [2] and also in [6] the following statement.

STATEMENT 3. *If  $A$  is totally unimodular, then to each vector  $x$ , with  $Ax \equiv 0 \pmod{2}$ , corresponds a vector  $y$  whose coordinates are 1,  $-1$  or 0, with  $Ay = 0$  and  $y \equiv x \pmod{2}$ .*

With the help of Statement 3, we shall now prove the necessary condition of Theorem 2. It will be used for proving Statement 4 which with the previous statements will be used to prove the sufficient condition of Theorem 2.

PROOF OF THE NECESSARY CONDITION OF THEOREM 2. For every Eulerian submatrix  $A_J^I$  of the totally unimodular matrix  $A$ , we have

$$(1) \quad (\forall i): \sum_{j \in J} A_{ij}^I x_j \equiv 0 \pmod{2};$$

where  $x_j = 1$  for each  $j$  in  $J$ . Thus, by Statement 3, there exists a vector  $y$  with coordinates 1 or  $-1$ , for which

$$(2) \quad (\forall i): \sum_{j \in J} A_{ij}^I y_j = 0.$$

If  $y$  has at least one negative coordinate, let  $w$  be the vector  $y$  where the negative coordinates have been replaced by 1.

As  $A_J^I$  has an even number of nonzero entries in each column,

$$(3) \quad \sum_{i \in I; j \in J} A_{ij}^I y_j \equiv \sum_{i \in I; j \in J} A_{ij}^I w_j \pmod{4}.$$

Then, by (2),

$$(4) \quad \sum_{i \in I; j \in J} A_{ij}^I \equiv 0 \pmod{4}.$$

STATEMENT 4. *Let  $A_J^I$  be a square Eulerian submatrix of a matrix  $A$ , such that every proper submatrix of  $A_J^I$  is totally unimodular, then  $\sum_{i \in I; j \in J} A_{ij}^I \equiv \text{Det}(A_J^I) \pmod{4}$ .*

In the case where  $A_J^I$  is singular, the necessary condition of Theorem 2 proves Statement 4. So, let  $A_J^I$  be nonsingular. As

$$(5) \quad \sum_{j \in J} A_J^{I - \{k\}} x_j \equiv 0 \pmod{2},$$

where  $x_j = 1$ , for all  $j$  in  $J$ , there exists a vector  $y$  with coordinates 1 or  $-1$  (Statement 3), for which

$$(6) \quad \sum_{j \in J} A_j^{I-\{k\}} y_j = 0.$$

Let  $y^D$  be the diagonal matrix where the  $j$ th diagonal element is  $y_j$  and let  $\alpha$  be the number:  $\sum_{j \in J} A_j^k y_j$ ; finally, let  $B_j^I = A_j^I y^D$ . Then, by (6),

$$(7) \quad \sum_{i \in I; j \in J} B_j^i = \alpha.$$

Since every column of  $B_j^I$  has an even number of nonzero elements, by the same argument as for the proof of the necessary condition of Theorem 2,

$$(8) \quad \sum_{i \in I; j \in J} A_j^i \equiv \alpha \pmod{4}.$$

It suffices now to prove that

$$(9) \quad \text{Det}(A_j^I) \equiv \alpha \pmod{4}.$$

Let  $v$  be the column vector whose coordinates are zero, except the  $k$ th which is  $\alpha$ . Then

$$(10) \quad y = (A_j^I)^{-1} v.$$

Each element of the  $k$ th column of  $(A_j^I)^{-1}$  must be  $1/|\alpha|$  since for all  $j$ ,  $|y_j| = 1$ . But each entry of the adjoint of  $A_j^I$  is 1,  $-1$  or 0. Thus  $|\text{Det}(A_j^I)| = |\alpha|$ , which proves (9).

III. PROOF OF THE SUFFICIENT CONDITION OF THEOREM 2. We shall prove that if for every square Eulerian submatrix  $A_j^I$  of  $A$ ,  $\sum_{i \in I, j \in J} A_j^i \equiv 0 \pmod{4}$ , then for those matrices  $\text{Det}(A_j^I) = 0$ . Theorem 1 will end the proof.

Let  $\mathcal{C}$  be the class of Eulerian square submatrices  $A_j^I$  with  $\text{Det}(A_j^I) \neq 0$ . Assume  $\mathcal{C}$  is not empty. Let  $B$  be any matrix of  $\mathcal{C}$  of minimum order. Then for every square Eulerian proper submatrix  $B_j^I$  of  $B$ ,  $\text{Det}(B_j^I) = 0$ . By Theorem 1, this proves that every proper submatrix of  $B$  is totally unimodular, and Statement 4 then proves that  $\text{Det}(B) \equiv 0 \pmod{4}$ . On the other hand, applying Statement 1 to  $B$ , one sees that  $|\text{Det } B| \leq 2$ . Then  $\text{Det}(B) = 0$ , and contrary to the hypothesis,  $\mathcal{C}$  would contain a singular matrix.

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## PRIME RINGS WITH MAXIMAL ANNIHILATOR AND MAXIMAL COMPLEMENT RIGHT IDEALS<sup>1</sup>

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1. **Introduction.** Let  $R$  be a prime ring with a maximal annihilator right ideal and a maximal complement right ideal. Then there is a division ring  $D$  such that either  $R$  is isomorphic to a right order in the complete ring of linear transformations of a finite dimensional  $D$ -space, or for each positive integer  $n$  there is a subring  $R^{(n)}$  of  $R$  which is isomorphic to a right order in the complete ring of linear transformations of an  $n$ -dimensional  $D$ -space. This is related to a result of N. Jacobson [2, p. 33] and extends a theorem of A. W. Goldie [1; Theorem 4.4] that a prime ring with maximum conditions on annihilator right ideals and complement right ideals is a right order in a simple ring with minimum condition on right ideals.  $R$  is also isomorphic to a weakly transitive ring of linear transformations of a vector space. This is a generalization of a theorem of R. E. Johnson [4; 3.3].

2. We assume throughout that  $R$  is a prime ring. The notation  $R_r^\Delta$  ( $R_l^\Delta$ ) is used to denote the right (left) *singular ideal* of  $R$ , and  $L_r^*$  ( $L_l^*$ ) is the lattice of closed right (left) ideals of  $R$ . An  $R$ -module is *uniform* if each pair of nonzero submodules has nonzero intersection. A right (left) ideal of  $R$  is *uniform* if it is uniform as right (left)  $R$ -module. For other definitions and notation see [6].

**THEOREM 1.**  *$R$  contains a maximal annihilator right ideal and a maximal complement right ideal if and only if  $R_r^\Delta = (0)$  and  $L_r^*$  is atomic.*

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