WORKFLOW FOR GENERATING A QIIME-COMPATIBLE BLAST DATABASE FROM AN NCBI GQUERY SEARCH

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This workflow allows you to take a FASTA file of sequences, plus the NCBI taxonomy database, and generate the files that you need to BLAST your metabarcode data against the FASTA file sequences using QIIME, including the taxonomy mapping file. The Python code described in Section 3 relies on being able to extract sequence accession numbers from your input FASTA file to match against the taxonomy database, so the FASTA file needs to be correctly formatted. The code is designed to work with FASTA files downloaded from the NCBI database (e.g. through a gquery search), but should work fine as long as your FASTA file contains appropriate accession numbers formatted in the same way.

1 Obtain FASTA file of sequences to BLAST against

The first step is to obtain the sequences that will form your database. To download sequences from the NCBI, go to http://www.ncbi.nlm.nih.gov/sites/gquery and execute a search for the sequences you ultimately want to BLAST against. For example, you might search for

```
aftol AND "internal transcribed spacer 1"
```

which (as at 5 September 2016) finds 795 nucleotide results. Click on Nucleotide to show these results. Then, at the top of the screen, click Send to:. Select File, then format FASTA, then Create File. The file should download as something like sequence.fasta.txt.

The FASTA file that gauery creates for nucleotide sequences has deflines of the form

```
>XX######.# ...
```

where XX######.# is the NCBI accession.version number that uniquely identifies this sequence, and this is separated by a space from any other information on the defline.

Any FASTA file that fits this format can be used with the Python script entrez_qiime.py described in Section 3. i.e. the file need not be created by gquery, so feel free to obtain it from other sources, as long as each sequence has a suitable accession number. Any additional information on the defline is ignored by entrez_qiime.py, as is the sequence data itself – so you could, for example, download a file of sequences and annotate it or delete irrelevant portions of the file before running it through the rest of this workflow.

Note that, as an alternative to a FASTA file, entrez_qime.py will also take a plain list of accession numbers, one per line:

XX######.# XX######.# XX######.#

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This might be useful if you already have a BLAST sequence database and have a list of the accession numbers for the sequences (perhaps because you used that list to filter or mask a larger database).

2 NCBI taxonomy database files

If you do not already have a local copy of the NCBI's taxonomy data, you will need to download it. Download links are available from http://www.ncbi.nlm.nih.gov/guide/taxonomy/. The files can also be downloaded directly from the command line, e.g. using Terminal on a Mac:

```
# approx 37MB
ftp ftp://ftp.ncbi.nlm.nih.gov/pub/taxonomy/taxdump.tar.gz
tar -zxvf taxdump.tar.gz

# approx 900MB
ftp ftp://ftp.ncbi.nih.gov/pub/taxonomy/accession2taxid/nucl_gb.accession2taxid.gz
gunzip nucl_gb.accession2taxid.gz
```

The files you will need from taxdump.tar.gz are nodes.dmp, names.dmp, merged.dmp and delnodes.dmp. The other file, nucl_gb.accession2taxid.gz, should unzip to nucl_gb.accession2taxid.

Every sequence in GenBank is identified by a unique accession number. Each accession number is associated with a TaxonID number, indicating the organism that the sequence comes from. These associations are listed in nucl_gb.accession2taxid for nucleotide sequences (excluding EST, GSS, WGS or TSA nucleotide sequences – these are covered by the analogous files nucl_est.accession2taxid.gz, nucl_gss.accession2taxid.gz, nucl_wgs.accession2taxid.gz and nucl_gb.accession2taxid.gz, and you should download those files instead if appropriate for your gquery data). Every node in the taxonomy database's tree-of-life has a unique TaxonID, but several sequences may share the same TaxonID e.g. if they come from the same species. To describe the topology of the tree, nodes.dmp lists every TaxonID and, for each one, gives the TaxonID for the parent node. Finally, names.dmp provides the taxonomic name for each node in the tree.

3 Run entrez_qiime.py

The Python script entrez_qiime.py takes your FASTA file (or list of accession numbers) and the five taxonomy files described above as inputs. From these it generates the following output files:

- a list of accession numbers in the FASTA file and their corresponding taxonomic names as semicolon delimited lists (this is the taxonomy mapping file that you need for QIIME);
- a list of accession numbers in the FASTA file with their corresponding TaxonIDs;
- a list of accession numbers in the FASTA file (may be useful for BLAST+ tools, if required; not generated if a list of accession numbers is already supplied in place of a FASTA file);
- a list of TaxonIDs for sequences in the FASTA file, with their corresponding taxonomic names as semicolon delimited lists (only if requested with the -t option).

On my Mac, you can run the script as follows¹:

```
python entrez_qiime.py [options]
where the options are the following:
```

¹My laptop has Python 2.7.10 installed, and this would commonly be installed by default on Macs. The PyCogent library and its dependency NumPy are also installed. These are less common: install them using pip or similar if required.

-i, --inputfasta The path, including filename, of an input FASTA file as described in Section 1. Required, unless an accession number list is supplied with -L or -List; if both are supplied, the FASTA file is used and the accession number list ignored -L, --List The path, including filename, of an input accession number list as described in Section 1. [Required if no input FASTA file is supplied with -i or -input fasta; ignored if a FASTA file is supplied -o, --outputdir The directory where output files should be saved. Will be created if it does not exist. [Default: ./] -f, --force If -f or -force option are passed, output files will overwrite any existing files with the same names. Without this option, output filenames will be modified by the addition of a date/time string in the event that a file with the same name already exists. [Default: False] The directory where the files nodes.dmp, names.dmp, merged.dmp and deln--n, --nodes odes.dmp are located. The files are typically downloaded from the NCBI in the compressed archive taxdump.tar.gz. [Default: ./] -a, --acc2taxid The path, including filename, of the (uncompressed) input accession number taxid file, typically downloaded from the NCBI as nucl_gb.accession2taxid.gz and uncompressed to nucl_gb.accession2taxid. [Default: ./nucl_gb.accession2taxid] A comma-separated list (no spaces) of the taxonomic ranks you want to keep -r, --ranks in the taxon names output. Ranks can be provided in any order, but names output will be generated in that order, so should typically be in descending order. Any taxonomic names assigned to one of the ranks in the list will be retained; other names will be discarded. If a taxon has no name for one of the ranks in the list, then NA will be inserted in the output. No sequences or taxa will be discarded - this option only affects the names that are output for each taxon or sequence. The following ranks are available in the NCBI taxonomy database as at 2 June 2012 (in alphabetical order): class, family, forma, genus, infraclass, infraorder, kingdom, no_rank, order, parvorder, phylum, species, species_group, species_subgroup, subclass, subfamily, subgenus, subkingdom, suborder, subphylum, subspecies, subtribe, superclass, superfamily, superkingdom, superorder, superphylum, tribe, varietas. Note the use of underscores in three of the names. You should include these underscores on the command line but they will be replaced by spaces when the script runs so that the names match the NCBI ranks. [Default: phylum,class,order,family,genus,species] -t, --taxid If -t or -taxid are passed, an additional output file will be generated that lists taxonomy for each TaxonID. [Default: False] -h, --help Print this help information.

The script automatically generates output filenames. Given the input FASTA file somepath/file.extn (or somepath/file where the filename lacks an extension), the script will save files file_accno.txt, file_accno_taxonomy.txt and file_accno_taxid.txt in the output directory passed to -o. If the filename contains multiple periods, the portion following just the last period will be taken to be the extension and removed prior to forming output filenames. The script will also generate file_taxid_taxonomy.txt in the same directory if the option -t is passed. If these filenames conflict with any existing files, then those existing files will be overwritten if -f is passed, or the output filenames will be modified by the addition of a date-time string otherwise.

Sometimes the script may encounter small discrepancies between the various input files. For example, your input FASTA file may contain accession numbers with outdated TaxonIDs in nucl_gb.accession2taxid if two taxa have been merged. Or your FASTA file may contain sequences that have only recently been uploaded to GenBank and have not yet made it into nucl_gb.accession2taxid. entrez_qiime.py can

deal with some of these discrepancies, and any changes that are made are documented in a log file saved in the output directory alongside the other outputs.

The script will take several minutes to run, even with a small input FASTA file, since it takes some time to load the NCBI's taxonomy data. Running time also increases with the size of the input FASTA file.

4 Generate BLAST database

The QIIME script assign_taxonomy.py, used with the option -m blast, needs a BLAST database to search in order to assign taxonomy to your metabarcode sequences. If you have a FASTA file as described in Section 1, it is possible to supply this file directly as an input using the -r or --reference_seqs_fp option, and assign_taxonomy.py will convert this to a BLAST database for you as it runs. Alternatively, if you already have a formatted BLAST database, then you can supply this to assign_taxonomy.py using the -b or --blast_db options. In these cases, you can skip the remainder of this section and proceed directly to running your BLAST search as described in Section ??.

However, if you have a FASTA file and wish to reformat it as a BLAST database before running assign_taxonomy.py - e.g. because you plan to run assign_taxonomy.py more than once and would like to avoid having to convert it on the fly each time - then this conversion can be done using the NCBI's command-line makeblastdb utility something like this:

```
makeblastdb \
-in somepath/sequence.fasta \
-dbtype nucl \
-title 'a title for your database' \
-out yourblastdbpath/yourblastdbname \
-max_file_sz '1GB'
```

This will save a handful of files called yourblastdbpath/yourblastdbname.??? that together comprise the sequences in your FASTA file, but formatted appropriately for BLAST. You could now BLAST against that database using any of the usual BLAST command line tools, such as blastall. You can give the database any title you want, and it may be useful to be fairly descriptive. The name yourblastdbname is used in naming the output files, and will also be used later to refer other programs (like QIIME or blastall) to your database, just like you might use nt or nr to refer to the entire nucleotide database, so it is probably useful to keep that name short.

You should now have all the files you need to BLAST your metabarcode data against the sequences you obtained through your gquery search. If you are supplying a FASTA file for assign_taxonomy.py to convert to a BLAST database on the fly, then you will run it something like this, e.g. on our Linux cluster:

```
module load bio/qiime-1.5.0
assign_taxonomy.py \
-i your454data.fasta \
-t sequence_accno_taxonomy.txt \
-m blast \
-r sequence.fasta
```

where the file your454data.fasta is a FASTA file containing your metabarcode data (most likely a file of representative sequences, one for each OTU), sequence_accno_taxonomy.txt is the taxonomy mapping file output by entrez_qiime.py, and sequence.fasta is the FASTA file used to generate the taxonomy mapping file.

If you are supplying a BLAST database directly to assign_taxonomy.py (as opposed to an input FASTA file) you should first ensure that your new BLAST database is in QIIME's BLAST database path as determined by the BLASTDB variable. One way to do this is to check QIIME's BLAST database path, e.g. like this on our Linux cluster

```
module load bio/qiime-1.5.0 echo $BLASTDB
```

and move your BLAST database so it is in an appropriate location. Alternatively, you could temporarily change the contents of BLASTDB to reflect where your BLAST database is, for example like this

```
module load bio/qiime-1.5.0
BLASTDB_OLD=$BLASTDB % save old BLASTDB so you can change it back later
BLASTDB=yourblastdbpath/
```

However you choose to make your BLAST database available, you can then run assign_taxonomy.py:

```
assign_taxonomy.py \
-i your454data.fasta \
-t sequence_accno_taxonomy.txt \
-m blast \
-b yourblastdbname
```

Finally, if you altered BLASTDB prior to running assign_taxonomy.py, you can now change it back:

```
BLASTDB=$BLASTDB_OLD % change BLASTDB back to original value unset BLASTDB_OLD
```

5 Further information

This work may be cited as follows:

Baker, Christopher CM (2016). entrez_qiime: a utility for generating QIIME input files from the NCBI databases. github.com/bakerccm/entrez_qiime release v2.0 5 September 2016 (DOI: xxxxxxxxxx).

Please contact Chris Baker at ccmbaker@princeton.edu for queries about this documentation or the accompanying Python code.