

1 Introduction

Many ecosystems respond linearly and smoothly to environmental drivers. However, in many other cases the response can be fast and abrupt, even following a tiny perturbation (?). Of course, these transitions can have dramatic consequences for populations depending on the ecosystem at hand.

For example, shallow lakes are a well-known case of such a transition. These lakes can stay in a clear water state up to a certain threshold value of nutrient input. Once this threshold is reached, the lake becomes rapidly turbid, with opaque and less-oxygenated waters, even if the added amount of nutrient was small. Ecological models and experiments on lakes suggest that these transitions are related to the presence of a bifurcation point, at which the stable equilibria of an ecosystem change abruptly. Shallow lakes can exhibit multiple stable states for a range of nutrient input (alternative stable states). As the nutrient threshold is crossed, the clearwater state becomes unstable and the lake becomes turbid.

Due to the inherent complexity of ecosystems, it remains unclear how general this state-and-shift behavior is nature, and pinpointing the exact points at which shifts happen remains difficult. However, theoretical work on ecological models suggests that some ecosystem properties could be used to identify and anticipate those transitions, despite a lack of complete understanding of its behavior.

For example, as an ecosystem approaches a bifurcation point where a transition occurs, it is expected to "slow-down", that is, take more time to recover from perturbations. A population of a given species close to a threshold could take more time to recover from the loss of a fraction of individuals, when compared to the same population far from a threshold. These properties are expected to yield a specific signature on their temporal and spatial dynamics. For example, systems close to thresholds should exhibit higher variance, skewness and spatial

autocorrelation. In systems dominated by sessile organisms (e.g. plants, mussel beds), an equivalent spatial signature is expected to arise close to the tipping point.

These "early-warning" signals have gathered much attention in the past years. One of the challenge ahead relies in knowing how general these type of transitions are and how precisely we can identify thresholds. One way forward is to assist the use of these early-warning signals by making them available so that a wider audience can contribute to this effort. To this end, we developed the *R* package *spatialwarnings* which goal is to facilitate the computation of spatial indicators, assess their significance, to foster the interest in applying these indicators to real-world datasets while maintaining a common methodology. This package contains a set of functions that can be readily applied on spatial raster datasets such as aerial imagery.

An early-warning signals workflow

Many indicators have been used to detect ecological transtions, but not every indicator is applicable to any dataset. To ensure that the computed indicator reflects the distance to a transition point, one must make sure the spatial patterns are not blurred by other processes. *?* provides a guiding workflow to carry out a relevant analyses in spatially-structured ecosystems. As this work is mainly focused on presenting the software, we only recall its main lines in the following paragraph but the reader is kindly advised to refer to their publication for further discussion.

Based mostly on research done in arid ecosystems, two types of pre-transition patterns can be contrasted. For ecosystems that show periodic patterns, authors have suggested that the shape of the patterns (patches, bands, etc.) could be used as an indicator of transition (?). Ecosystems that do not show these

54 patterns require other indicators such as a monitoring of summary statistics
 55 (e.g. variance) and/or patch-size distributions (?). Usually, deciding whether
 56 an ecosystem responds in a periodic or aperiodic way is straightforward to detect
 57 visually on aerial images. However, a metric-based approach can be used by
 58 computing the radial spectrum of the dataset to estimate whether a dominant
 59 periodic scale emerges (see Section. 2.2).

60 The *spatialwarnings* package works with raster data as represented by a *ma-*
 61 *trix* object. To read common image files, we recommend the user image-reading
 62 functions provided by packages *png* (function *readPNG*) or *jpeg* (function *read-*
 63 *JPEG*). Non-raster spatial datasets need to be converted first through (e.g.)
 64 interpolation in order to compute indicators.

65 The functions in the package are divided into several "tasks", each one corre-
 66 sponding to the computation, the display and the assessment of a small family
 67 of indicators. Each of those tasks is essentially divided into three steps:

- 68 1. Use the task function to compute the indicator values. All task functions
 69 have the suffix *_spews* (as in SPatial Early-Warning Signals) to make their
 70 role apparent.
- 71 2. Assess the significance of these indicators using the *indictest* generic func-
 72 tion on the returned object
- 73 3. Review the results by calling the *print()* and *plot()* methods on the object
 74 returned by *indictest*

75 The following sections the rationale behind each task and how they can
 76 be performed using the package *spatialwarnings*, for non-periodic and periodic
 77 spatial patterns.

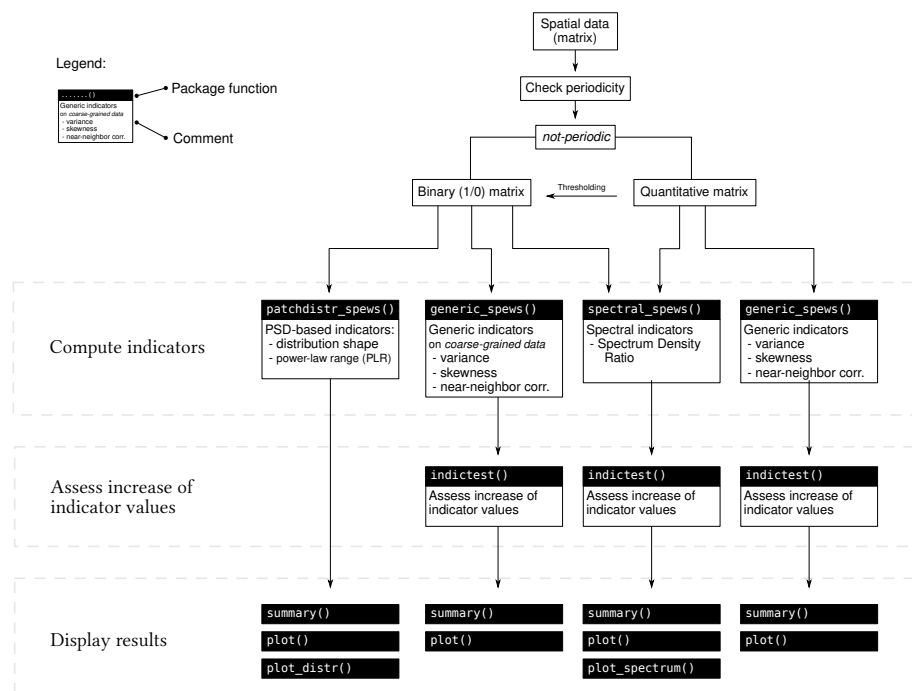


Figure 1: An EWS flowchart

78 2 Indicators for non-periodic patterns

79 2.1 Generic indicators

80 Any dynamical system approaching a transition point exhibits a phenomenon
81 called Critical Slowing Down (CSD) (?). This means that for a given per-
82 turbation, a system will take more time to recover when close to a threshold
83 than far from a threshold. As a result, it will depart further from its equilibrium
84 (higher variance), have a stronger temporal autocorrelation and exhibit a higher
85 skewness. Since these indicators only depend on simple properties of dynami-
86 cal systems, they can, in principle, exist in many different systems, hence the
87 adjective "Generic" (?).

88 This family of indicators can be computed using the *generic_spews* function.
89 We work out an example below using data obtained from an ecological model
90 of forest gaps dynamics (?). This model is a cellular automaton with three
91 parameters, α , δ and d .

92 The *forestdat* dataset included with the package is the result of ten simula-
93 tions with different values of δ . It is a list of two components. The component
94 *parameters* is a data frame containing the parameters used for ten the simula-
95 tions. The component *matrices* is a list of ten boolean matrices representing
96 a snapshot of the state of the cellular automaton around equilibrium (whether
97 the cell is occupied by trees or not).

```
R> data(forestdat)
```

```
R> str(matrices)
```

```
List of 10
```

```
$ : logi [1:100, 1:100] TRUE TRUE TRUE TRUE TRUE TRUE ...  
..- attr(*, "class")= chr [1:2] "binary_matrix" "matrix"  
$ : logi [1:100, 1:100] TRUE TRUE TRUE TRUE TRUE TRUE ...
```

```

    ..- attr(*, "class")= chr [1:2] "binary_matrix" "matrix"
[...]
```

	logi	[1:100, 1:100]	FALSE	TRUE	TRUE	TRUE	FALSE	FALSE	...	
\$:	logi	[1:100, 1:100]	FALSE	TRUE	TRUE	TRUE	FALSE	FALSE	...

```
R> head(forestdat$parameters)
```

	simu	alpha	delta	d
1	1	0.2	0.00000000	0.01
2	2	0.2	0.02222222	0.01
3	3	0.2	0.04444444	0.01
4	4	0.2	0.06666667	0.01
5	5	0.2	0.08888889	0.01
6	6	0.2	0.11111111	0.01

98 Given the parameters, the model is known to exhibit a transition for a value
99 of delta close but superior to 0.2. Let's compute the generic spatial indicators
100 and display the result:

```
R> gen_indic <- generic_spews(forestdat$matrices)
R> summary(gen_indic)
```

Generic Spatial Early-Warnings

10 matrices (size: 100x100)

Mat. #	Mean	Moran's I	Skewness	Variance
1	0.947	0.00265	-0.987	0.00340
2	0.945	0.02904	-1.057	0.00348
3	0.938	0.04076	-0.876	0.00389
4	0.925	0.06376	-1.064	0.00577

5	0.916	0.06873	-0.980	0.00636
6	0.900	0.10533	-0.804	0.00725
7	0.887	0.11271	-0.905	0.00955
8	0.847	0.13693	-0.766	0.01265
9	0.799	0.16556	-0.669	0.01779
10	0.667	0.17228	-0.346	0.02616

Use `as.data.frame()` to retrieve values in a convenient form

101 The next step is to assess the significance of the indicator values. This is
 102 done by calling the *indictest* generic:

```
R> gen_test <- indictest(gen_indic)
R> print(gen_test)
```

Generic Spatial Early-Warnings

Mat. #	Mean	Moran's I	P>null	Skewness	P>null	Variance
1	0.947	0.00265	0.347	-0.987	0.539	0.00340
2	0.945	0.02904	0.001 **	-1.057	0.792	0.00348
3	0.938	0.04076	0.000 ***	-0.876	0.452	0.00389
4	0.925	0.06376	0.000 ***	-1.064	0.986	0.00577
5	0.916	0.06873	0.000 ***	-0.980	0.984	0.00636
6	0.900	0.10533	0.000 ***	-0.804	0.926	0.00725
7	0.887	0.11271	0.000 ***	-0.905	0.993	0.00955
8	0.847	0.13693	0.000 ***	-0.766	0.997	0.01265
9	0.799	0.16556	0.000 ***	-0.669	0.996	0.01779
10	0.667	0.17228	0.000 ***	-0.346	0.964	0.02616

P>null

0.0775 .

```

0.1110
0.1300
0.0000 ***
0.0000 ***
0.0000 ***
0.0000 ***
0.0000 ***
0.0000 ***
0.0000 ***

```

Significance tested against 999 randomly shuffled matrices

```

Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

```

103 This object can then be plotted to display the indicator trends with the
 104 obtained null distribution: Fig. 2.

105 2.2 Spectral indicators

106 Because of the increased recovery time of a system approaching a tipping point,
 107 neighbouring cells tend to be more like each other close to a tipping point. More
 108 geer

```

R> data(forestdat)
R> str(matrices)

```

List of 10

```

$ : logi [1:100, 1:100] TRUE TRUE TRUE TRUE TRUE TRUE ...
..- attr(*, "class")= chr [1:2] "binary_matrix" "matrix"
$ : logi [1:100, 1:100] TRUE TRUE TRUE TRUE TRUE TRUE ...
..- attr(*, "class")= chr [1:2] "binary_matrix" "matrix"

```

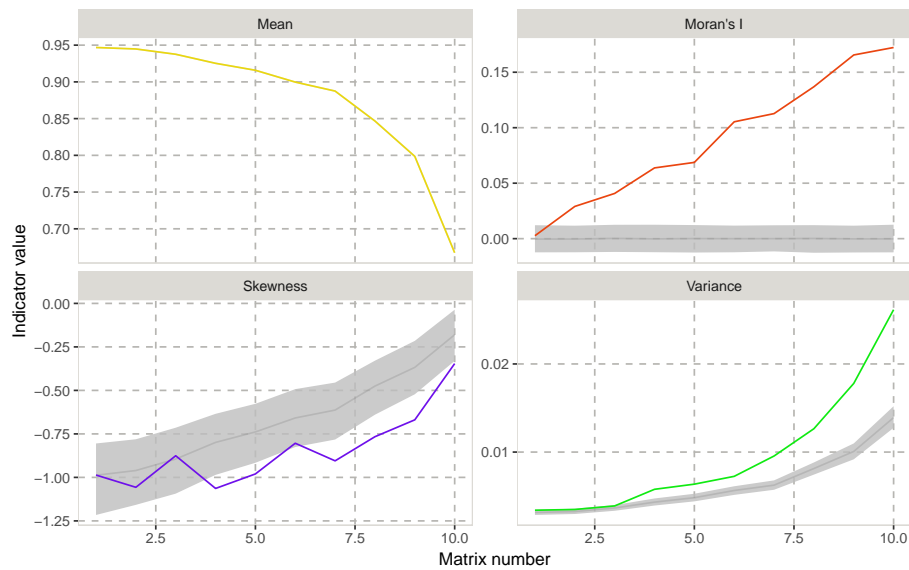



Figure 2: Generic indicator values for Kubo's forest-gap model. The line shows the trend and the grey envelope the 5% and 95% quantiles of the null distribution.

[...]

```
..- attr(*, "class")= chr [1:2] "binary_matrix" "matrix"
$ : logi [1:100, 1:100] FALSE TRUE TRUE TRUE FALSE FALSE ...
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```
R> head(forestdat$parameters)
```

	simu	alpha	delta	d
1	1	0.2	0.00000000	0.01
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4	4	0.2	0.06666667	0.01
5	5	0.2	0.08888889	0.01
6	6	0.2	0.11111111	0.01

109 **2.3 Patch-based indicators**

110 **3 Using the package: additional remarks**

111 **3.1 Leveraging plyr's abilities**

112 **3.1.1 Progress report**

113 **3.1.2 Parallel processing**

114 **Acknowledgments**

115 **References**