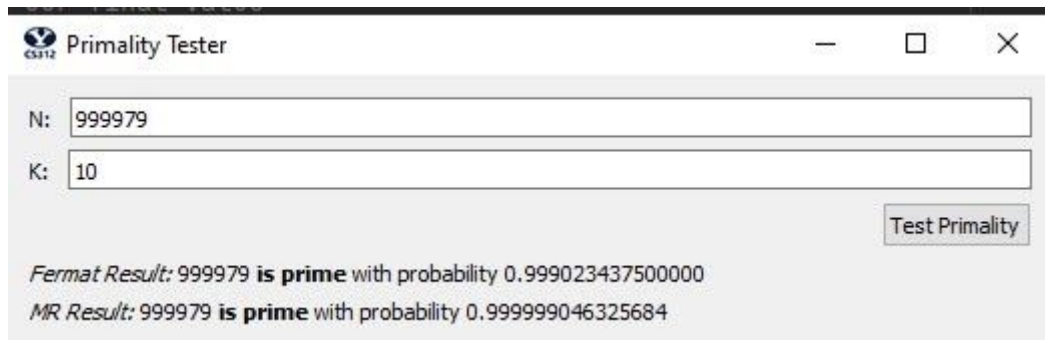


# Alex Heiner- Lab 1 Submission

## Part 1



## Part 2

d) Carmichael numbers are good examples of inputs which can make the algorithms disagree. A Carmichael number can often trick the fermat test because if the fermat test chooses a base value which is relatively prime to the carmichael number, it will return composite. The Miller-Rabin test is a much more in depth test because you test a base value multiple times, and it checks if the return is 1 or  $N - 1$ . For small values of  $k$ , the fermat test often returns composite while the miller rabin test returns prime.

## Part 3

### Modular Exponentiation

Function mod\_exp(x,y,n)

Input: Two  $n$ -bit integers  $x$  and  $N$ , and integer exponent  $y$

Output:  $x^y \bmod N$

If  $y == 0$ : return 1

$z = \text{modexp}(x, \text{floor}(y/2), N)$

if  $y$  is even: return  $z^2 \bmod N$

else return  $x * z^2 \bmod N$

mod\_exp explanation: The time complexity of this function is  $O(n^3)$ . This is because there are  $n$  recursion calls of this function, and in each recursion call we are doing at least one multiplication which is  $O(n^2)$ . The space complexity is  $O(n^2)$  because it is recursive so you have to store all of the  $O(n)$  multiplication space complexity.

## **Fermat Algorithm**

Function fermat(k,N)

Input: Positive integers k and N

Output: yes/no

Loop while k > 0

Choose random positive integer  $0 < a < N$

If  $a^{(N-1)} \neq 1 \pmod{N}$ : return no

k = k - 1

Fermat explanation: The time complexity of the fermat function is  $O(kn^3)$ . This is because we are completing k number of tests, and in each test we are doing modular exponentiation one time in each test which is  $O(n^3)$ . The space complexity is  $O(n^2)$  because you are calling mod\_exp which uses space complexity of  $O(n^2)$ .

## **Miller Rabin Algorithm**

Function miller\_rabin(k,N)

Input: Positive integers k and N

Output: yes/no

Choose random positive integer  $0 < a < N$

If  $a^{(N-1)} \neq 1 \pmod{N}$ : return no

Else: set boolean value is\_prime = miller\_rabin\_helper(a, N - 1, False)

If is\_prime is true: continue in loop and decrement k value

Else: return no

Function miller\_rabin\_helper(a, exp, N, encounter)

Input: positive integers a, exp, and N. Boolean encounter set to false

Output: yes/no

If exp is odd: return True

If  $a^{(N-1)} = N-1$ : encounter is set to true

If  $a^{(N-1)} \not\equiv 1$  and  $a^{(N-1)} \not\equiv N-1$ :

    If encounter is true: return no

    Else: return yes

Else: return miller\_rabin\_helper(a, exp/2, N, encounter)

Miller Rabin explanation: The time complexity of these two functions is  $O(kn^4)$ . The miller\_rabin function performs k number of tests, which means that it calls the miller\_rabin\_helper function k times. The worst case time complexity of the miller\_rabin\_helper function is  $O(n^4)$  because we call the mod\_exp function ( which is of order  $O(n^3)$ ), n times in a worst case scenario. The time complexity of the

miller\_rabin\_helper function is just  $O(n^3)$ . Making the total time complexity of the miller rabin algorithm  $O(kn^4)$ . The space complexity is  $O(n^2)$  because you are calling mod\_exp which uses space complexity of  $O(n^2)$ .

### **Probability Functions**

#### Function fprobability(k)

Input: positive integer k

output : Fermat probability

Return  $1 - (1/2^k)$

fprobability explanation: Time complexity of  $O(kn^2)$  because we are multiplying  $1/2$ , which is order  $O(n^2)$ , k times.

#### Function mprobability(k)

Input: positive integer k

output : Miller Rabin probability

Return  $1 - (1/4^k)$

mprobability explanation: Time complexity of  $O(kn^2)$  because we are multiplying  $1/4$ , which is order  $O(n^2)$ , k times.

## **Part 4**

### **Fermat Probability:**

The formula for calculating the probability that the fermat algorithm is correct is written as:  $1 - 1/2^k$ , where k represents the number of tests performed. If you are testing a number N,  $a^{N-1} = 1 \pmod N$  for at most half of the values between a and N. This means that you have a 50% chance of revealing a composite N if you choose one value for a. If you perform k = 2 tests your probability of being correct is  $1 - (1/2 * 1/2)$ . This would be true for any value of k > 0 that you choose.

### **Miller Rabin Probability:**

The Miller Rabin test combines the Fermat algorithm with a square root test on the exponent to give us a more in depth test. In this case, if you are testing a number N, 3/4 of the values between 1 and N - 1 will be able to reveal a composite N. This means that we have a 75% chance of revealing a composite if we undergo one test of N. If we increase the number of tests to 2, our probability of revealing a composite N is  $1 - (1/4 * 1/4)$ . The probability formula can be modeled as  $1 - 3/4^k$  for k > 0

## Appendix

import random

```
def prime_test(N, k):
```

```
    # This is main function, that is connected to the Test button. You don't need to touch it.
    return fermat(N, k), miller_rabin(N, k)
```

```
# Time complexity of  $n^3$ . N recursion calls and each recursion you are multiplying which is
# order  $O(n^2)$ . The space complexity is  $O(n^2)$  because it is recursive so you have to store
# all of the  $O(n)$  multiplication space complexity.
```

```
def mod_exp(x, y, N):
```

```
    # When we are finished with recursion
```

```
    if y == 0:
```

```
        return 1
```

```
    z = mod_exp(x, y//2, N)
```

```
    # Begin returning values from the bottom up until we get our final value
```

```
    if y % 2 == 0:
```

```
        return z ** 2 % N
```

```
    else:
```

```
        return x * z ** 2 % N
```

```
# Time complexity of  $kn^2$  because we are multiplying n bit number k times. Space
# complexity is  $O(n)$  because we are multiplying 2 n bit numbers which results in an n bit
# number
```

```
def fprobability(k):
```

```
    return 1 - (1 / 2 ** k)
```

```
# Time complexity of  $kn^2$  because we are multiplying n bit number k times. Space
# complexity is  $O(n)$  because we are multiplying 2 n bit numbers which results in an n bit
# number
```

```
def mprobability(k):
```

```
    return 1 - (1 / 4 ** k)
```

# Time complexity of  $kn^3$  because we call `mod_exp` (which is order  $n^3$ )  $k$  times. Space  
# complexity is  $O(n^2)$  because we the recursion needs to store each multiplication  
operation # which is order  $O(n)$

```
def fermat(N, k):
```

```
    # if N is an even number return composite
```

```
    if N % 2 == 0:
```

```
        return 'composite'
```

```
    # Run through the number of tests
```

```
    while k > 0:
```

```
        # select a random base and compute modular exponentiation
```

```
        rand_num = random.randint(1, N - 1)
```

```
        num = mod_exp(rand_num, N - 1, N)
```

```
        # If the result of the modular exponentiation is not 1- we know the number is composite
```

```
        if num != 1:
```

```
            return 'composite'
```

```
        k -= 1
```

```
    # Return prime if we didn't return composite
```

```
    return 'prime'
```

# Time complexity of  $kn^3$  because we call `mod_exp` (which is order  $n^3$ )  $k$  times. The  
# space complexity is  $O(n^2)$  because you are calling `mod_exp` which uses space  
# complexity of  $O(n^2)$ .

```
def fermat(N, k):
```

```
    # if N is an even number return composite
```

```
    if N % 2 == 0:
```

```
        return 'composite'
```

```
    # Run through the number of tests
```

```
    while k > 0:
```

```
        # select a random base and compute modular exponentiation
```

```
        rand_num = random.randint(1, N - 1)
```

```
        num = mod_exp(rand_num, N - 1, N)
```

```
        # If the result of the modular exponentiation is not 1- we know the number is composite
```

```
        if num != 1:
```

```
            return 'composite'
```

```
        k -= 1
```

```
# Return prime if we didn't return composite
return 'prime'
```

```
# Time complexity of  $kn^4$  because we call miller_rabin_helper() k times and it is order
#  $O(n^4)$ . The space complexity is  $O(n^2)$  because you are calling miller_rabin_helper()
# which uses space complexity of  $O(n^2)$ .
```

```
def miller_rabin(N, k):
```

```
    # If number is even we know it is composite
    if N % 2 == 0:
        return 'composite'
```

```
    # Set exponent equal to test value minus one
    exponent = N - 1
```

```
    while k > 0:
```

```
        # Select random base, compute modular exponentiation to see if it passes the first test
        rand_num = random.randint(1, N - 1)
        num = mod_exp(rand_num, exponent, N)
        if num == 1:
```

```
            # if N passes the first test call helper function to run miller rabin test until it returns
            encounter = False
            is_prime = miller_rabin_helper(rand_num, exponent, N, encounter)
            if not is_prime:
                return 'composite'
            else:
                return 'composite'
            k -= 1
```

```
    return 'prime'
```

```
# Time complexity of  $O(n^4)$  because we are doing n recursion calls and calling mod_exp()
# each time which is order  $O(n^3)$ . The space complexity is  $O(n^2)$  because you are calling
# mod_exp() which uses space complexity of  $O(n^2)$ .
```

```
def miller_rabin_helper(rand_num, exponent, test_num, encounter):
```

```
    # If the exponent is odd we want to move on to test the next base
    if exponent % 2 != 0:
        return True
```

```
    result = mod_exp(rand_num, exponent, test_num)
```

```
if result == test_num - 1:  
    encounter = True
```

```
# if the result of mod_exp is not one and it is also not test_num - 1 return false if we have  
# not seen a test result be test_num - 1
```

```
if result != 1 and result != test_num - 1:  
    if not encounter:  
        return False  
    else:  
        return True
```

```
else:  
    return miller_rabin_helper(rand_num, exponent/2, test_num, encounter)
```