Duality for Logics of Transition Systems

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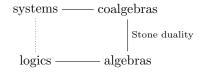
Abstract. We present a general framework for logics of transition systems based on Stone duality. Transition systems are modelled as coalgebras for a functor T on a category \mathcal{X} . The propositional logic used to reason about state spaces from \mathcal{X} is modelled by the Stone dual \mathcal{A} of \mathcal{X} (eg if \mathcal{X} is Stone spaces then \mathcal{A} is Boolean algebras and the propositional logic is the classical one). In order to obtain a modal logic for transition systems (ie T-coalgebras) we consider the functor L on \mathcal{A} that is dual to T. An adequate modal logic for T-coalgebras is then obtained from the category of L-algebras which is, by construction, dual to the category of T-coalgebras. The logical meaning of the duality is that the logic is sound and complete and expressive (or fully abstract) in the sense that non-bisimilar states are distinguished by some formula.

We apply the framework to Vietoris coalgebras on topological spaces, using the duality between spaces and observation frames, to obtain adequate logics for transition systems on posets, sets, spectral spaces and Stone spaces.

Keywords: transition systems, coalgebras, Stone duality, topological dualities, modal logic

1 Introduction

The framework presented in this paper aims at a general theory of logics for transition systems built on Stone duality. The relationship between these notions can be displayed as follows.



The upper row refers to the theory coalgebras as layed out by Rutten [21] which proposes coalgebras as a general framework allowing to treat a large variety of different (transition) systems in a uniform way.

 $^{^\}star$ The research of Dr. Bonsangue has been made possible by a fellowship of the Royal Netherlands Academy of Arts and Sciences

^{**} Partially supported by NWO/British Council.

The lower row refers to the connection between logics and algebras as familiar from propositional logic/Boolen algebras or intuitionistic logic/Heyting algebras. The modal logics that are the basis for most logics of transition systems have similar algebraic counterparts.

The connection between the two rows will be provided by Stone duality (Johnstone [12]). Stone duality provides set-theoretic representations of algebras, or, in other words, provides state-based semantics for the logics described as algebras. It has been used, for example, in the ground breaking work of Jónnson and Tarski [14] and Goldblatt [10] in modal logic and Abramsky [1, 2] in domain theory.

Lifting a Stone Duality via Dual Functors In this paper we show that there is simple general principle underlying all these works, that can be formalized in a framework parametric on the algebra, the relational structure and the modalities used. The key role in this framework will be provided by a suitable duality between a category \mathcal{X} (e.g. Stone spaces [12]) and a category of algebras \mathcal{A} (e.g. Boolean algebras). This duality extends to a duality between relational structures on \mathcal{X} (e.g. descriptive general frames [10]) and modal algebras on \mathcal{A} whenever there are dual endofunctors $T:\mathcal{X}\to\mathcal{X}$ and $L:\mathcal{A}\to\mathcal{A}$.

$$T \subset \mathcal{X} \subset \mathcal{A} \subset \mathcal{A}$$

The relational semantics is given by T-coalgebras and the algebraic semantics is given by L-algebras. The respective categories $\mathsf{Coalg}(T)$ and $\mathsf{Alg}(L)$ are dually equivalent by construction. Informally speaking, T encodes the possible next-step transitions a T-coalgebra may engage in; and L describes how to construct, up to logical equivalence, modal formulae of depth 1 from propositional formulae. We show in Theorem 5 that under fairly general circumstances dual functors on dual categories give automatically rise to a modal logic and an adequate relational semantics (i.e. the logic is sound, complete, and expressive).

Instantiating the Framework with a Powerdomain for \mathcal{T}_0 -spaces We instantiate the above framework to show that a number of modal logics arise in a uniform way if we take \mathcal{X} above to be a suitable category of topological spaces and T to be a variant of the powerset functor. In particular, we want to be able to characterize the relational structure providing an adequate semantics to positive modal logic with infinite joins and infinite meets. This builds on the work of [5] since such a characterization will require a duality between \mathcal{T}_0 topological spaces and so-called spatial observation frames. As a novel result, we present a functor L defining the modal algebras dual to the relational structures induced by T. It is a non-trivial extension of the Vietoris functor on locales as defined in [13].

By considering suitable subcategories of topological spaces we obtain modal logics with an adequate relational semantics on transition systems over posets, sets, spectral spaces, and Stone spaces. The last two cases give us well known modal logics, namely the positive and the classical ones, with $\mathsf{Alg}(L)$ being

positive modal algebras and Boolean algebras with operators, respectively, and $\mathsf{Coalg}(T)$ being the \mathbf{K}^+ -spaces of [7] and the descriptive general frames of [10], respectively. This unifies and extends recent work of [20, 16] who have shown that \mathbf{K}^+ -spaces and descriptive general frames can be described as $\mathsf{Coalg}(T)$ for an appropriate functor T. Compared to [20], which uses Priestley spaces, our description of \mathbf{K}^+ -spaces as coalgebras is simpler in that the definition of the Vietoris functor on spectral spaces avoids taking a quotient identifying indistinguishable subsets.

Related Work The idea of relating constructions on algebras and topological spaces is extensively discussed in [22] and, for a specific class of topological space, in [1]. Our approach is more general since it also treats logics with infinitary conjunctions. Moreover, the models we are interested in are not only the solutions of recursive domain equations (final coalgebras) but any coalgebras. On the other hand, we only deal with endofunctors T, thus excluding the function space important in domain theory.

Our algebraic description of the Vietoris construction is a generalization of that presented in [12, 13], since it allows for equations involving infinite conjunctions. However, when these are not necessary, the two constructions coincide. The equations for spectral spaces of Section 5, for example, are the same as those presented in [13].

Soundness and completeness of an infinitary modal logic for transition systems has been presented in [6] using a topological duality. Completeness, however, is obtained by significantly restricting the class of transition systems under consideration. For example they are a subset of the descriptive general frames. Our result here incorporate the above as a special case, obtained by considering a specific category of topological spaces. Furthermore, by applying our framework to the category of posets, we obtain completeness for a larger class of transition systems *including* the descriptive general frames. To our knowledge, this is the first such result for a positive infinitary modal logic.

Overview We proceed as follows. The next section introduces some basic notions on colagebras, algebras and their presentation by generators and relations. In Section 3 we describe the framework for the use of dualities for a coalgebraic semantics of modal logic. In Section 4, we introduce a duality for topological spaces and set-up, in Section 5, the necessary ingredients for finally applying in Section 6 the above framework to obtain sound, complete, and expressive modal logics for transition systems. We conclude with a discussion on possible future directions in Section 7.

2 Preliminaries

Although category theory does not play a major role in this paper, we will have to assume some basic notions. As usual, *Set* denotes the category of sets and functions.

Algebras and Coalgebras for a Functor Roughly speaking, coalgebras for a functor generalize transition systems, whereas algebras for a functor generalize the ordinary algebras for a signature where carriers are not sets but taken from some category. Further, (co-)algebras for a functor give rise to the principle of (co-)induction [21].

Given a functor $T:\mathcal{X}\to\mathcal{X}$ on a category \mathcal{X} , a T-coalgebra (X,\mathcal{E}) consists of an object $X \in \mathcal{X}$ and an arrow $\xi: X \to TX$. A coalgebra morphism $f:(X,\xi) \to TX$ (X',ξ') is an arrow $f:X\to X'$ such that $\xi'\circ f=Tf\circ \xi$. Dually, an L-algebra on a category A is given by an arrow $\alpha:LA \to A$ and an algebra morphism $f:(A,\alpha)\to (A',\alpha')$ is an arrow $f:A\to A'$ such that $\alpha'\circ Lf=f\circ\alpha$. The respective categories are denoted by Coalg(T) and Alg(L).

If the category \mathcal{X} has a forgetful (i.e. faithful) functor $V:\mathcal{X}\to Set$, we have a canonical notion of behavioral equivalence (or bisimulation). Explicitly, given T-coalgebras (X,ξ) , (X',ξ') and elements $x \in VX$, $x' \in VX'$, we say that x and x' are **behaviorally equivalent**, denoted $x \simeq x'$, if there is a coalgebra (Y, ν) and if there are coalgebra morphisms $f:(X,\xi)\to (Y,\nu)$ and $f':(X',\xi')\to (Y,\nu)$ such that Vf(x) = Vf'(x').

Example 1. If \mathcal{X} is the category Set of sets and functions and $T = \mathcal{P}$ is the powerset functor (mapping a set to its powerset and a function to the direct image function), then Coalg(T) is the category of Kripke frames with bounded morphism (p-morphisms). Kripke models w.r.t. a given set *Prop* of atomic propositions are $(\mathcal{P}(Prop) \times \mathcal{P})$ -coalgebras. Behavioral equivalence yields the standard notion of bisimulation in both cases.

The Final and Initial Sequences The intuition that T describes the possible next-step transitions can be made precise using the final (coalgebra) sequence. Moreover, in cases were the final coalgebra does not exist, one can still work with the final sequence. We just outline the basics, for further information see

The *final sequence* (or terminal sequence) of $T: \mathcal{X} \to \mathcal{X}$

$$T_0 \stackrel{p_0^1}{\longleftarrow} T_1 \stackrel{}{\longleftarrow} \cdots \qquad \qquad T_n \stackrel{p_n^{n+1}}{\longleftarrow} T_{n+1} \stackrel{}{\longleftarrow} \cdots$$

is an ordinal indexed sequence of objects T_n in \mathcal{X} together with a family $(p_m^n)_{m \leq n}$ of arrows $p_m^n: T_n \to T_m$ for all ordinals $m \leq n$ such that

- $-T_{n+1}=T(T_n)$ and $p_{m+1}^{n+1}=T(p_m^n)$ for all $m\leq n,$ $-p_n^n=id_{T_n}$ and $p_k^n=p_k^m\circ p_m^n$ for $k\leq m\leq n,$ the cone $(T_n,(p_m^n))_{m< n}$ is limiting whenever n is a limit ordinal.

Here we are assuming that \mathcal{X} has the necessary limits (in particular, a final object T_0). The *initial sequence* of an endofunctor is defined dually.

Intuitively, T_n represents behaviors that can be observed in n steps. This can be formalized by observing that, for every coalgebra (X, ξ) , there are arrows

$$\xi_n: X \to T_n$$

where $\xi_n: X \to T_n$ is $T(\xi_m) \circ \xi$ if n = m + 1 and the unique map satisfying $\xi_m = p_m^n \circ \xi_n$ for all m < n if n is a limit ordinal. If $V: \mathcal{X} \to Set$ is the forgetful functor we now consider $V\xi_n$ as the map assigning to each state x its n-step behavior, that is, for $(X, \xi), (X', \xi')$ and $x \in VX, x' \in VX'$ define x, x' to be n-step equivalent, denoted by $x \simeq_n x'$, if $\xi_n(x) = \xi'_n(x')$.

The final sequence is said to converge if there is an ordinal n for which p_n^{n+1} is iso. Then $(p_n^{n+1})^{-1}$ is the final T-coalgebra and two states are behaviorally equivalent if and only if they are identified by the (unique) morphisms into the final coalgebra, that is, $x \simeq_n x'$ for all ordinals n.

Example 2. Let $\mathcal{X} = Set$. If TX is the powerset $\mathcal{P}X$ of X, then n-step equivalence coincides with the notion of bounded bisimulation as e.g. in [9]. The final coalgebra does not exist (as an object in $\mathsf{Coalg}(T)$) since its carrier is not a set but a proper class.

Presenting Algebras by Generators and Relations A category \mathcal{A} is algebraic³ when its objects can be presented by generators and relations, that is, for each $A \in \mathcal{A}$ we can find a set S (the elements of which are called generators in this context) and a set $R \subseteq FS \times FS$ (the elements of which are called relations in this context) such that A is the quotient FS/R. Clearly, every presentation $\mathcal{A}\langle S|R\rangle$ by generators S and relations R defines an algebra in \mathcal{A} .

Example 3. A *frame* is a complete lattice L that satisfies the infinite distributive law $a \land \bigvee C = \bigvee \{a \land c \mid c \in C\}$ for all $a \in L$ and all subsets $C \subseteq L$. Frames with functions preserving arbitrary joins and finite meets form a category called Frm. The forgetful functor from Frm to Set mapping each frame to its underlying set is monadic. Hence the infinitary algebra $Frm\langle S|R\rangle$ presented by a set of generators S and a set of relations R presents a frame and every frame can be presented by generators and relations. In particular, the free frame over a set S can be presented as $Frm\langle S|\emptyset\rangle$.

A model of a presentation $\mathcal{A}\langle S|R\rangle$ is a pair $\langle B,f:S\to UB\rangle$ such that $B\in\mathcal{A}$ and $f^\dagger(e_l)=f^\dagger(e_r)$, where $(e_l,e_r)\in R$ and $f^\dagger:FS\to B$ is the unique extension of f such that $f^\dagger(\eta(s))=f(s)$ for each $s\in S$, with η the unit of the adjunction between F and U. It follows that presentations are canonical: if $\mathcal{A}\langle S|R\rangle$ is a presentation of $A\in\mathcal{A}$ then it comes equipped with a function $[\![-]\!]_A:S\to UA$ such that for every other model $\langle B,f:S\to UB\rangle$ there exists a unique function $f^\ddagger:A\to B$ with the property that $f^\ddagger([\![s]\!]_A)=f(s)$ for each $s\in S$.

Example 4. A complete lattice L is a **completely distributive lattice** (cdl) if, for all sets C of subsets of L, it holds that $\bigwedge\{\bigvee C \mid C \in C\} = \bigvee\{\bigwedge f(C) \mid f \in \Phi(C)\}$, where f(C) denotes the set $\{f(C) \mid C \in C\}$ and $\Phi(C)$ is the set of all functions $f: C \to \bigcup C$ such that $f(C) \in C$ for all $C \in C$. Completely distributive lattices with functions preserving both arbitrary meets and arbitrary joins form a

³ Categorically speaking, categories \mathcal{A} that come with a monadic functor $U:\mathcal{A} \to Set$ [17].

category, denoted by *CDL*. Also the forgetful functor from *CDL* to *Set* mapping each completely distributive lattice to its underlying set is monadic.

Since every cdl is a frame we have that $CDL\langle S|R\rangle$ together with the function $[\![-]\!]_F$ is a model of $F = Frm\langle S|R\rangle$. Therefore, the identity function over a set S can be uniquely extended to a frame morphism from $Frm\langle S|R\rangle$ to $CDL\langle S|R\rangle$ for each set of frame relations R. In other words, $CDL\langle S|R\rangle$ is the presentation of the free cdl over the frame presented by $Frm\langle S|R\rangle$.

3 The Framework: Dualities for Modal Logic

This section describes a general framework for the use of dualities in modal logic. Consider the following situation

$$T \bigcap_{V} X \bigcap_{Pt} A \bigcap_{V} L$$

$$Set \qquad Set$$

where \mathcal{O} and Pt are a dual equivalence (or duality, for short) between the categories \mathcal{X} and \mathcal{A} , i.e. \mathcal{O} and Pt are contravariant functors and there are isomorphisms $X \to Pt\mathcal{O}X$, $A \to \mathcal{O}PtA$, for all $X \in \mathcal{X}$, $A \in \mathcal{A}$. Further, V is a faithful functor from \mathcal{X} to Set, and L and T are **dual functors** in the sense that there is an isomorphism $PtL \to TPt$. Clearly, $\mathsf{Alg}(L)$ and $\mathsf{Coalg}(T)$ are dual categories.

We assume that \mathcal{A} is a category of algebras over Set, that is, categorically speaking, the functor $U:\mathcal{A} \to Set$ is monadic. In particular, for any set Prop the free algebra $F(Prop) \in \mathcal{A}$ exists. We call UF(Prop) the set of propositional formulae in variables (or atomic propositions) Prop. Since algebras can be represented by generators and relations we can find, for each algebra A, a set of generators GA and a surjective algebra morphism $\tau_A:FGA \to LA$.

These ingredients allow us to define modal formulae and their algebraic semantics. Consider the diagram

$$L'_{0} \longrightarrow FGL'_{0} \longrightarrow \cdots \qquad FGL'_{n} \longrightarrow \cdots$$

$$\downarrow^{q_{0}} \qquad \downarrow^{q_{1}} \qquad \qquad \downarrow^{q_{n+1}}$$

$$L'_{0} \longrightarrow L'_{1} \longrightarrow \cdots \qquad L'_{n+1} \longrightarrow \cdots$$

where the lower row is the initial sequence (Section 2) of the functor L' = L + F(Prop), that is, L'_0 is the initial object in A, $L'_{n+1} = L(L_n) + F(Prop)$. The elements of FGL'_n are the modal formulae of depth n+1 and the vertical arrows q_{n+1} assign their algebraic semantics to them (which is a class of logically

⁴ For example, we can take $G: A \to Set$ to be the functor $GA = \coprod_{B \in A} ULFUB \times A(FUB, A)$ and $\tau_A(f, g) = ULg(f)$. But often, as in the case studied in this paper, a much more economical presentation is possible.

equivalent modal formulae). If the sequence converges, the colimit of FGL'_n is the set of all modal formulas and the colimit of the L'_n is the Lindenbaum-Tarski algebra of the logic. In many interesting cases, the sequence will converge (even after ω steps), but since we also want to cover infinitary logics we can not assume this

In this paper, the objects of \mathcal{A} will always be (distributive) lattices, that is, although all objects are equipped with a partial order \leq they may lack implication. This means that we cannot reduce consequence $\phi \vdash \psi$ to theoremhood $\vdash \phi \rightarrow \psi$. We define

$$\phi \vdash \psi \quad \Leftrightarrow \quad q_n(\phi) \leq q_n(\psi) \text{ for some ordinal } n, n \geq \text{depth of } \phi, \psi$$

On the semantic side, in this paper, the objects of \mathcal{X} will be \mathcal{T}_0 -spaces and \mathcal{O} maps continuous functions to their inverse image. We can now describe the coalgebraic semantics for the logic. Let $\xi : X \to TX$ be a coalgebra and x in X. Due to the duality, L'_n is dual to T'_n where $T' = T \times Pt(F(Prop))$, that is, there are isomorphisms $j_n : L'_n \to \mathcal{O}(T'_n)$. Note that a T'-coalgebra $(X, \langle \xi, v \rangle)$ is a T-coalgebra (X, ξ) together with a valuation $v : X \to Pt(F(Prop))$. That is, for each T-coalgebra (X, ξ) together with a valuation $v : X \to Pt(F(Prop))$ there are arrows $\langle \xi, v \rangle_n : X \to T'_n$ (see Section 2). We define the semantics \Vdash of \vdash w.r.t. a coalgebra $\langle \xi, v \rangle$ as

$$\phi \Vdash_{\langle \xi, v \rangle} \psi \quad \Leftrightarrow \quad (\langle \xi, v \rangle^{-1} \circ j_n)(q_n(\phi)) \subseteq (\langle \xi, v \rangle^{-1} \circ j_n)(q_n(\psi)) \tag{1}$$

 $\phi \Vdash \psi$ means $\phi \Vdash_{\langle \xi, v \rangle} \psi$ for all coalgebras. We can now proof soundness, completeness, invariance under bisimilarity and expressiveness.

Theorem 5. In the situation described above assume that either

- 1. the final T'-coalgebra exists or
- 2. T' weakly preserves limits of n-chains for all limit ordinals n.

Then the modal logic is sound and complete w.r.t. its coalgebraic semantics, that is, $\phi \Vdash \psi \Leftrightarrow \phi \vdash \psi$. Moreover, formulae are invariant under behavioral equivalence and the logic is expressive in the sense that any non-bisimilar points are separated by some formula.

Proof. We sketch the proof under assumption 2 which means that all arrows in the final sequence of T' are surjective (split epi), hence all arrows in the initial sequence of L' are injective. In particular, for formulae ϕ, ψ of depth n, we have that $q_n(\phi) \leq q_n(\psi) \Leftrightarrow \forall m \geq n. q_n(\phi) \leq q_n(\psi)$. Soundness: Assume $\phi \vdash \psi$, i.e. $q_n(\phi) \subseteq q_n(\psi)$. Since $\langle \xi, v \rangle^{-1} \circ j_n$ is a morphism and therefore monotone it follows $\phi \Vdash \psi$. Completeness: Assume $\phi \nvdash \psi$, i.e. $q_n(\phi) \not\leq q_n(\psi)$. Since $j_n: L'_n \to \mathcal{O}(T'_n)$ is an injective morphism, there is $t \in j_n(\phi)$) such that $t \notin j_n(\psi)$. It follows from assumption 2 that each arrow $p_n^{n+1}: T'(T'_n) \to T'_n$ in the final sequence has a half inverse τ . τ is a T'-coalgebra for which $\phi \not\Vdash_{\tau} \psi$, the (counter)example being t. Invariance: It is immediate from the definition that formulae are invariant under \simeq_n . Expressiveness: If $\langle \xi, v \rangle, \langle \xi', v' \rangle$ are two coalgebras and x, x' are two

elements with $\langle \xi, v \rangle_n(x) \neq \langle \xi', v' \rangle_n(x')$ then, by surjectivity of j_n (and the spaces being \mathcal{T}_0), there must be some ϕ such that $j_n(q_n(\phi))$ contains one of $\{x, x'\}$ but not the other. Hence ϕ separates x and x'.

Remark 6. Expressivness of the logic can also be considered as full abstractness of the final semantics.

Example 7. We briefly illustrate the notions with a well-known example. Let \mathcal{A} be the category of Boolean algebras and \mathcal{X} the category of Stone spaces. $VPtA = \mathcal{A}(A, \mathbf{2})$ is the set of ultrafilters over A. (Similarly, writing $2_{\mathcal{X}}$ for the two-element Stone space, we have that $U\mathcal{O}X = \mathcal{X}(X, 2_{\mathcal{X}})$ is the set of clopens of X.) If we take GA = A and $\tau_A(a) = \Box a$ and LA to be the quotient of FGA defined by the equations expressing that \Box preserves meets, then Alg(L) is the category of modal algebras (Boolean algebras with operators). $GL'_n = \{\Box \phi \mid \phi \in L'_n\}$ and FGL'_n is the closure of GL'_n under propositional operations (modulo Boolean equations). The functor T dual to L is the Vietoris functor and Coalg(T) is the category of descriptive general frames. The continuity of a valuation $v:X \to Pt(F(Prop)) \cong \prod_{Prop} 2_{\mathcal{X}}$ means that the extension of a propositional variable in Prop has to be a clopen set. See [16] for details.

4 Topological duality

In this section we set up the necessary ingredients for applying the above framework. In particular we will briefly introduce a duality for topological spaces, generalizing the Stone duality considered in the previous example.

Recall that a topological space is a set X together with a collection of subsets of X, called opens, closed under arbitrary unions and finite intersections. A function between two sets X and Y is continuous if its inverse maps opens of Y to opens of X.

Each topological space X induces a closure operator mapping each subset S of X to the least (w.r.t. subset inclusion) subset \overline{X} such that $X\setminus \overline{X}$ is open. Each topological space induces also a pre-order on X defined by $x\leq y$ if and only if $x\in o$ implies $y\in o$ for each open o of X. A space X is said to be \mathcal{T}_0 when the above pre-order is a partial order. We denote by Top_0 the category of all \mathcal{T}_0 topological spaces with continuous functions as morphisms.

For the category of algebras we consider the category OFrm of observation frames, a structure introduced in [5] for representing abstractly topological spaces. An **observation frame** is an order-reflecting frame morphisms $\alpha: F \to L$ between a frame F and a completely distributive lattice L such that

$$q = \bigwedge \{ o \in \alpha(F) \mid q \le o \}$$

for every element q of L. A morphism between two observation frames $\alpha: F \to L$ and $\beta: G \to H$ is a pair $\langle f, g \rangle$ consisting of a frame morphism $f: F \to G$ and a cdl-morphism $g: L \to H$ such that $g \circ \alpha = \beta \circ f$.

Example 8. Each topological space X defines an observation frame $\mathcal{O}X$ as the inclusion map between the frame O(X) of all open subsets of X and the cdl Q(X) of all upper closed subsets of X. Furthermore, \mathcal{O} can be extended to a functor by mapping a continuous function $f:X \to Y$ to $\langle f^{-1}:O(Y) \to O(X), f^{-1}:Q(Y) \to Q(X) \rangle$.

The functor $U:OFrm \to Set$ mapping an observation frame $\alpha:F \to L$ to $\alpha(F)$ is monadic [4]. Therefore every observation frame $\alpha:F \to L$ can be presented as $OFrm\langle S|R\rangle$ for some set S of generators and set R of relations $e_l=e_r$, where e_l and e_r are expressions formed by applying the operator of infinite meet Λ to expressions formed from the generators in S by applying the operators of infinite join V and finite meet Λ . In particular, L is isomorphic in CDL to $CDL\langle S|R\rangle$, whereas F is isomorphic in Frm to $Frm\langle S|R^-\rangle$, where R^- is the subset of R obtained by considering relations involving only finite meet and infinite joins. Since $\langle L, \llbracket - \rrbracket_L \rangle$ is a model for the presentation of F, the frame morphism $\alpha:F \to L$ is obtained as the canonical extension of the identity on S. Similarly, every presentation $OFrm\langle S|R\rangle$ presents an observation frame.

Next we show that the functor \mathcal{O} : $Top_0 \to OFrm^{op}$ has a right adjoint. Let 2 be the two-element cdl, and **2** be the identity morphism on 2. For an observation frame $\alpha:F \to L$ we denote by $Pt(\alpha)$ the topological space given by the set $OFrm(\alpha,\mathbf{2})$ together with a topology with open sets defined, for every $x \in F$, by $\Delta(x) = \{\langle f,g \rangle: \alpha \to \mathbf{2} \mid f(x) = \top_2 \}$.

Theorem 9 ([5]). For every observation frame α , the assignment $\alpha \mapsto Pt(\alpha)$ can be extended to a functor from $OFrm^{op}$ to Top_0 which is right adjoint of \mathcal{O} .

For every \mathcal{T}_0 topological space X, the unit $\eta_X: X \to Pt(O(X))$ of the above adjunction is an isomorphism, whereas for each observation frame $\alpha: F \to L$ the counit $\Delta(-): F \to O(Pt(\alpha))$ is injective. We say that α is *spatial* when Δ is an isomorphism. The above adjunction thus restricts to an equivalence between Top_0 and the full subcategory SOFrm of spatial observation frames [5].

5 Two Vietoris functors

In order to apply the duality framework introduced in Section 3 we define two endofunctors \mathcal{P}_c and \mathcal{V} on Top_0 and OFrm, respectively, and prove that they are dual functors.

We call a subset c of a topological space X convex if $c = c \uparrow \cap \overline{c}$, where $c \uparrow$ is the upper closure of c w.r.t. the pre-order induced by X whereas \overline{c} is its topological closure.

Definition 10. Given a space X, define the Vietoris hyperspace $\mathcal{P}_c(X)$ to be the set of all convex compact subsets of X taken with the topology generated by the sub-basic sets

$$\{c \in \mathcal{P}_c(X) \mid c \subseteq o\} \text{ and } \{c \in \mathcal{P}_c(X) \mid c \cap o \neq \emptyset\}$$

for each $o \in O(X)$.

The restriction to convex subsets in the definition of $\mathcal{P}_c(X)$ guarantees that the hyperspace $\mathcal{P}_c(X)$ is \mathcal{T}_0 if X is a \mathcal{T}_0 space [18]. \mathcal{P}_c extends to an endofunctor on Top_0 .

Example 11. If X is a set, i.e. a discrete topological space, then $\mathcal{P}_c(X)$ is the set of all finite subsets of X taken with the discrete topology.

For the definition of the endofunctor \mathcal{V} on OFrm it is enough to define the presentation of $\mathcal{V}(\alpha)$ for each observation frame α . Its set of generators is

$$G(\alpha) = \{ \Box a \mid a \in \alpha(F) \} \cup \{ \Diamond a \mid a \in \alpha(F) \}$$

and the relations are given by the following rule schemas

$$(\Box - \bigwedge) \quad \frac{\bigwedge_{I} a_{i} \leq b}{\bigwedge_{I} \Box a_{i} \leq \Box b} \qquad (\Diamond - \bigvee) \quad \Diamond \bigvee_{I} a_{i} = \bigvee_{I} \Diamond a_{i}$$

$$(\Box - \bigvee) \quad \Box (a \lor b) \leq \Box a \lor \Diamond b \qquad (\Diamond - \bigwedge) \quad \frac{\bigwedge_{I} a_{i} \land b \leq c}{\bigwedge_{I} \Box a_{i} \land \Diamond b \leq \Diamond c}$$

$$(COM) \quad \Box \bigvee_{I} a_{i} = \bigvee_{J \in Fin(I)} \Box \bigvee_{J} a_{i},$$

where Fin(I) is the set of all finite subsets of I. Rules $(\Box - \land)$ and $(\lozenge - \land)$ generalize corresponding rules for the Vietoris locale [13] basically by imposing the \Box operator to distribute over all meets of F which are preserved by α as meet of L. The schema (COM) corresponds to restricting to compact subsets in the definition of \mathcal{P}_c as in [13, 22] and states that \Box distributes over directed joins.

Theorem 12. For every \mathcal{T}_0 space X, $Pt\mathcal{VO}X \cong \mathcal{P}_cX$.

If α is a spatial observation frame then $\alpha \cong \mathcal{O}Pt\alpha$ and it follows $Pt\mathcal{V}\alpha \cong \mathcal{P}_cPt\alpha$. Hence the functors \mathcal{P}_c and \mathcal{V} were dual if SOFrm was closed under \mathcal{V} . This is not the case in general [13], but we will see below that it is true for many important subcategories of SOFrm to which we then apply the framework of Section 3.

Posets The category PoSet of posets with monotone functions can be characterized as the full subcategory of Top_0 that has as objects those topological spaces where open sets are closed under arbitrary intersections (the Alexandroff topology). The category PoSet is closed under the Vietoris functor \mathcal{P}_c . The adjunction in Theorem 9 restricts to a duality between the category PoSet and AlgCDL, the category of algebraic cdl's. AlgCDL is equivalent to the full subcategory of OFrm whose objects are observation frames $\alpha: F \to L$ with $\alpha(F) = L$ and L algebraic [4]. The duality implies that these observation frames are spatial.

The category AlgCDL is closed under the Vietoris functor \mathcal{V} . To see this one can first note that because $\alpha(F) = L$ the presentation of $\mathcal{V}\alpha$ can be simplified by replacing the schemas $(\Box - \bigwedge)$ and $(\Diamond - \bigwedge)$ with the following two:

$$(\Box - \bigwedge') \qquad \bigwedge_{I} \Box a_{i} = \Box \bigwedge_{I} a_{i} \qquad (\Diamond - \wedge) \quad \Box a \wedge \Diamond b \leq \Diamond (a \wedge b).$$

That the cdl presented by $V\alpha$ is algebraic (and hence spatial) follows from the following lemma, similar to one in [2, 23].

Lemma 13. Let $\alpha: F \to L$ be an observation frame and X a subset of $\alpha(F)$. In the observation frame $\mathcal{V}\alpha$ we have $\Box \bigvee_I a_i = \bigvee_{J \in Fin(I)} (\Box \bigvee_J a_i \wedge \bigwedge_J \Diamond a_i)$.

Summarizing, the categories PoSet and AlgCDL are dual and closed under the two Vietoris functors \mathcal{P}_c and \mathcal{V} , respectively. Furthermore, the two functors are also dual, and the category AlgCDL is algebraic.

Sets The category Set of sets and functions is a full subcategory of PoSet. It can be characterized as the full subcategory of Top_0 with as objects the topological spaces with open sets closed under arbitrary intersections and complement (the discrete topology). We have already seen that Set is closed under the Vietoris functor \mathcal{P}_c . The duality between the categories PoSet and AlgCDL restricts to a duality between Set and CABool the full sub-category of AlgCDL with objects equivalent to observation frames $\alpha: F \to L$ with $\alpha(F) = L$ and L an algebraic boolean algebra. Note that algebraic complete boolean algebras are just complete atomic boolean algebras.

If $\alpha: F \to L$ is an observation frame as above then in the observation frame $\mathcal{V}\alpha$ it holds

$$(\Box - \neg) \quad \Box a \lor \Diamond \neg a = \top \text{ and } (\Diamond - \neg) \quad \Box a \land \Diamond \neg a = \bot.$$

for each $a \in \alpha(F)$ with complement $\neg a \in \alpha(F)$. Hence $\lozenge \neg a$ is the complement of $\square a$. The presentation of $\mathcal{V}\alpha$ can thus be simplified by replacing the schemas $(\square - \bigwedge)$, $(\square - \vee)$ and $(\lozenge - \bigwedge)$ with $(\square - \bigwedge')$, $(\square - \neg)$ and $(\lozenge - \neg)$. By applying the framework described in Section 3 we obtain an infinitary modal logic (with negation) that is sound and complete w.r.t. its coalgebraic semantics.

Spectral spaces The category Spec of spectral spaces is a subcategory of Top_0 with as objects topological spaces with compact open sets closed under finite intersections and forming a base for the topology. Morphisms in Spec are continuous functions with inverse preserving compact opens. As for the other categories above, Spec is closed under the Vietoris functor \mathcal{P}_c [12, 22]. The adjunction in Theorem 9 restricts to a duality between the category Spec and DLat, the category of distributive lattices, equivalent to the full sub-category of OFrm whose objects are observation frames $\alpha: F \to L$ with F an algebraic arithmetic frame and L the free completely distributive lattice over F. Equivalently, observation frames in DLat can be presented by relations using only finite meets and finite joins, because they are equivalent to distributive lattices. It follows that observation frames in DLat are spatial.

The category DLat is closed under the Vietoris functor \mathcal{V} , because if $\alpha: F \to L$ is an observation frame in DLat, then the presentation of $\mathcal{V}\alpha$ can be simplified by using the following relations:

$$\begin{array}{lll} (\Box - \wedge) & \Box (a \wedge b) = \Box a \wedge \Box b & (\Box - \top) & \Box \top = \top \\ (\Diamond - \vee) & \Diamond (a \vee b) = \Diamond a \vee \Diamond b & (\Diamond - \bot) & \Diamond \bot = \bot \\ (\Box - \vee) & \Box (a \vee b) \leq \Box a \vee \Diamond b & (\Diamond - \wedge) & \Box a \wedge \Diamond b \leq \Diamond (a \wedge b) \,. \end{array}$$

Note that these axioms are precisely those which have to be added to distributive lattices to define positive modal algebras, see e.g. [7]. It follows that $\mathsf{Alg}(\mathcal{V})$, with \mathcal{V} restricted to DLat, is (isomorphic to) the category of positive modal algebras. From Section 3, it follows that $\mathsf{Coalg}(\mathcal{P}_c)$, with \mathcal{P}_c restricted to spectral spaces, provides an adequate relational semantics for positive modal logic. Compared to [20] this yields an alternative description of \mathbf{K}^+ -spaces ([7]) as coalgebras.

Stone spaces Stone spaces are spectral space with compact opens closed under complement. Let Stone be the full subcategory of Spec with stone spaces as objects. We can restrict the duality between Spec and DLat to a duality between Stone and Stone are spectral spaces are spaced with stone spaces are spaces as objects on the spaces are spaces as objects on the spaces are spaces as objects. The spaces are spaces as objects on the spaces are spaces as objects on the spaces are spaces as objects. The spaces are spaces are spaces are spaces are spaces as objects on the spaces are spa

$$(\Box - \land) \quad \Box (a \land b) = \Box a \land \Box b \qquad (\Box - \top) \quad \Box \top = \top$$

Note that these axioms are precisely those which have to be added to Boolean algebras to define modal algebras (Boolean algebras with operator). It follows that $Alg(\mathcal{V})$, with \mathcal{V} restricted to Bool, is (isomorphic to) the category of modal algebras. The category $Coalg(\mathcal{P}_c)$, with \mathcal{P}_c restricted to Stone, is isomorphic to the category of descriptive general frame and has also been described in [16].

6 Modal Logics for Transition Systems

In order to obtain sound, complete, and expressive modal logics, we now apply the framework of Section 3 to the dualities obtained in the previous section. For all four dualities

$$\mathcal{P}_{c} \subset \mathcal{X} \subset \mathcal{A} \subset \mathcal{V}$$

the corresponding propositional logic is obtained in the following way.

For a description of \mathcal{A} via signature Σ and equations E take the formulae to be the terms built from the signature Σ plus the two unary operation symbols \square and \lozenge . The calculus is given by the calculus for equational logic plus the equations E plus the rules describing the functor \mathcal{V} (some of the rules have been given as inequations, but $\phi \leq \psi$ can be considered a shorthand for $\phi \wedge \psi = \psi$).

As it is well-known, such an equational calculus can be translated into a propositional modal calculus. Since our algebras are lattices we can use inequations instead of equations. We write $\phi \vdash \psi$ for $\phi \leq \psi$. That is, $\phi \vdash \psi$ corresponds to the equation $\phi \land \psi = \psi$ and, conversely, an equation $\phi = \psi$ to inequations $\phi \vdash \psi$, $\psi \vdash \phi$.

As it is apparent from (1) in Section 3, the semantics of $\phi \vdash \psi$ is the so-called local consequence of modal logic. In classical modal logic, local consequence can be formulated as theorem-hood because $\phi \vdash \psi$ is equivalent to $\vdash \phi \rightarrow \psi$. But as

in eg [1,6,7], not all our logics have ' \rightarrow '. We will detail below the modal calculi arising in the way just described from the four dualities of the previous section.

Posets and spectral spaces The first is the infinitary version of the second. In both cases, the modal operators will obey the rule schemas

$$\frac{\phi \vdash \psi}{\Box \phi \vdash \Box \psi} \qquad \frac{\phi \vdash \psi}{\Diamond \phi \vdash \Diamond \psi} \tag{2}$$

Posets The signature Σ is $\{\bigvee, \bigwedge\}$ and these operators are axiomatized according to the laws of completely distributive lattices (ie, negation free infinitary propositional logic).⁵ The axiom schemas for the modal operators are the following.

Spectral Spaces The signature Σ is $\{\top, \bot, \lor, \land\}$ and these operators are axiomatized according to the laws of distributive lattices (ie, negation free propositional logic). The axiom schemas for the modal operators are the following.

$$\Box(a \land b) \vdash \Box a \land \Box b \qquad \top \vdash \Box \top
\Diamond a \lor \Diamond b \vdash \Diamond (a \lor b) \qquad \Diamond \bot \vdash \bot
\Box(a \lor b) \vdash \Box a \lor \Diamond b \qquad \Box a \land \Diamond b \vdash \Diamond (a \land b).$$

In the previous section some of the inequalities above are presented as equalities. The 'missing' directions follow from the monotonicity rules (2).

Sets and Stone spaces The first is the infinitary version of the second. Since we have classical implication, we only need to axiomatize $\top \vdash \phi$ which we abbreviate by $\vdash \phi$. Since we have negation, we need only one modal operator, say \Box .

Sets The signature Σ is $\{ \bigwedge, \neg \}$ and these operators are axiomatized according to the laws of completely distributive lattices with negation (ie, classical propositional logic). In order to stay close to the equational axiomatization it is convenient to choose as a rule schema

$$\frac{\vdash \phi \leftrightarrow \psi}{\vdash \Box \phi \leftrightarrow \Box \psi} \tag{3}$$

(which is the congruence rule of equational logic for \square) and as axiom schemas

$$\vdash \bigwedge \Box \phi_i \leftrightarrow \Box \bigwedge \phi_i \qquad \qquad \vdash \top \leftrightarrow \Box \top$$

$$\vdash \Box \bigvee_I \phi_i \leftrightarrow \bigvee_{J \in Fin(I)} \Box \bigvee_J \phi_i$$

⁵ The category \mathcal{A} of Section 3 is AlgCDL whereas the category described by the signature is CDL. But since \mathcal{V} preserves algebraic cdls, the initial sequence for \mathcal{V} remains in AlgCDL.

Stone Spaces The signature Σ consists of the operators \top, \vee, \neg which are axiomatized according to the laws of boolean algebra (ie classical propositional logic). In order to stay close to the standard calculus of modal logic, it is convenient to choose the following rule and axiom schema

$$\frac{\vdash \phi}{\vdash \Box \phi} \qquad \vdash \Box (\phi \to \psi) \to (\Box \phi \to \Box \psi)$$

These schemas correspond to the equations from the previous section because they are equivalent to the rule 3 together with $\vdash \Box(\phi \land \psi) \leftrightarrow \Box\phi \land \Box\psi$ and $\vdash \Box \top \leftrightarrow \top$.

7 Conclusion and Further Work

We have presented a general framework relating modal logics and their relational (i.e. coalgebraic) semantics. It can be read in two directions: describe a given logic as a functor L and work out the adequate relational semantics by describing the dual functor T; or, for a given notion of transitions systems as T-coalgebras, work out the adequate logic by describing the dual of T via generators and relations. To apply this idea and equip the coalgebraic logic of Moss [19] with modal operators (given by the generators) and a complete axiomatization is one of many directions for future research.

Another one, is to look at other functors T than the compact hyperspace. An obvious candidate is the non-compact hyperspace which is expected to give interesting infinitary logics for the categories of posets and sets (the infinitary counterparts of spectral and Stone spaces, respectively). Further candidates are the Kripke-polynomial functors of Jacobs [11].

Furthermore, it would be interesting to determine the range of the framework of Section 3. Apart from generalizing some of the specific assumptions, there is also the question which logics can be described by categories of algebras that admit a duality, leading to connections with algebraic logic [8].

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