# Multimodal Model for Diagram Question Answering

GWU Capstone Fall 2022 - DATS 6501\_80 Final Presentation Alexis Kaldany, Joshua Ting GitHub Repo

## **Background**

### A Diagram Is Worth A Dozen Images

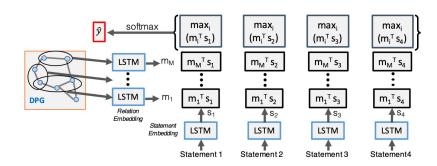
Kembhavi et al. (2016)<sup>3</sup>

 Interpretation and reasoning of scientific diagrams from elementary school science textbooks

 Visual Question and Answering Task with complex feature engineering

 Question/image pairing is sufficiently complex that normal VQA models don't work

 Paper solves this problem by creating a new network architecture called DQA-Net



**Fig. 3.** An overview of the DQA-NET solution to diagram question answering. The network encodes the DPG into a set of facts, learns to attend on the most relevant fact, given a question and then answers the question.

Figure 1: DQA-Net architecture<sup>3</sup>

#### Outcome of A Diagram Is Worth A Dozen Images

Kembhavi et al. (2016)<sup>3</sup>

- New Dataset:
  - Diagrams with exhaustive annotations of constituents and relationships
- Results:
  - Their best model reached 38.47% accuracy with their DQA-NET model.
  - Pure VQA model reached 32.90% accuracy

Method	JIG Score
GREEDY SEARCH	28.96
A* Search	41.02
DSDP-NET	51.45

Method	Training set	Accuracy
VQA	VQA	29.06
VQA	AI2D	32.90
DQA-NET	AI2D	38.47

Table 2. (left) Syntactic parsing results, (right) Question answering results

### **Contribution Goals to Original Paper**

- Transformers and/or Auto Encoder-Decoder architectures with pretraining
  - a. Achieved impressive results in complex domains (NLP, CV, Speech)
- 2. Reduce the complexity during featuring engineering
  - a. No separate model to create Diagram Parse Graphs (DPGs)
- 3. Use a pretrained model and try **Transfer Learning**
- 4. Try to achieve comparable results

## **Data**

#### **Dataset Overview**

- 5,000 diagrams, annotations
- 15,000 questions and answers
- Annotation json contains coordinates and linkages between detected objects
- Question json contains question answer pairings

```
"relationships": {
    "T0+A0+B1": {
        "category": "intraObjectLinkage",
        "connector": "A0",
        "destination": "B1",
        "hasDirectionality": false,
        "id": "T0+A0+B1",
        "origin": "T0"
},
```

Intra-Object Label ( $\mathbb{R}_1$ ): A text box naming the entire object.

Intra-Object Region Label ( $\mathbb{R}_2$ ): A text box referring to a region within an object. Intra-Object Linkage ( $\mathbb{R}_3$ ): A text box referring to a region within an object via an arrow.

Inter-Object Linkage ( $\mathbb{R}_4$ ): Two objects related to one another via an arrow.

**Arrow Head Assignment** ( $\mathbb{R}_5$ ): An arrow head associated to an arrow tail.

**Arrow Descriptor** ( $\mathbb{R}_6$ ): A text box describing a process that an arrow refers to. **Image Title** ( $\mathbb{R}_7$ ): The title of the entire image.

Image Section Title ( $\mathbb{R}_8$ ): Text box that serves as a title for a section of the image. Image Caption ( $\mathbb{R}_9$ ): A text box that adds information about the entire image, but does not serve as the image title.

**Image Misc** ( $\mathbb{R}_{10}$ ): Decorative elements in the diagram.

**Table 1.** Different types of relationships in our diagram parse graphs.

Figure 5: Annotations<sup>2</sup>

### **Example: Question - Image Pairs**

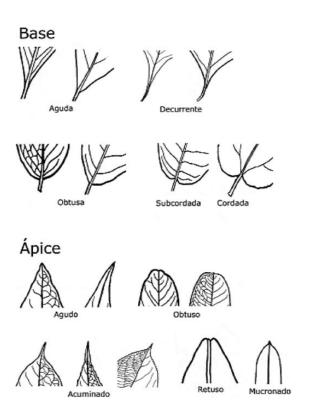


Figure 6: Data sample<sup>2</sup>

### **Example II: Question - Image Pairs**

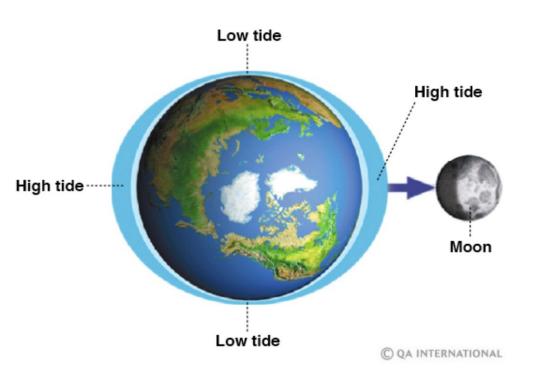
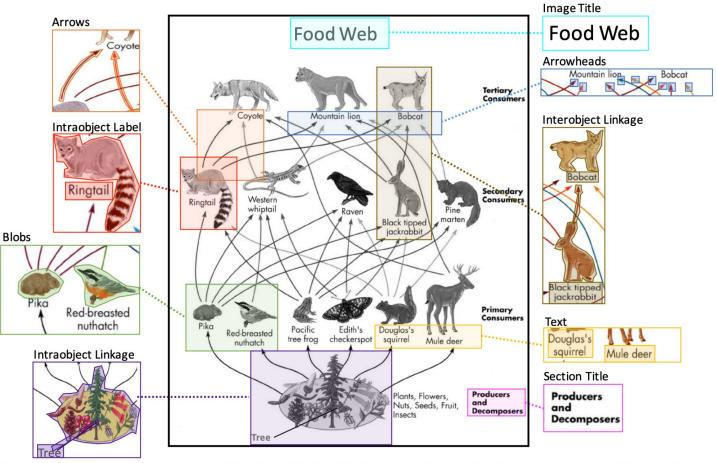


Figure 7: Data sample<sup>2</sup>



Multiple Choice Question: From the above food web diagram, what will lead to an increase in the population of deer? a) increase in lion b) decrease in plants c) decrease in lion d) increase in pika

Figure 3: Sample data example with diagram, annotations, and graph relationships<sup>3</sup>

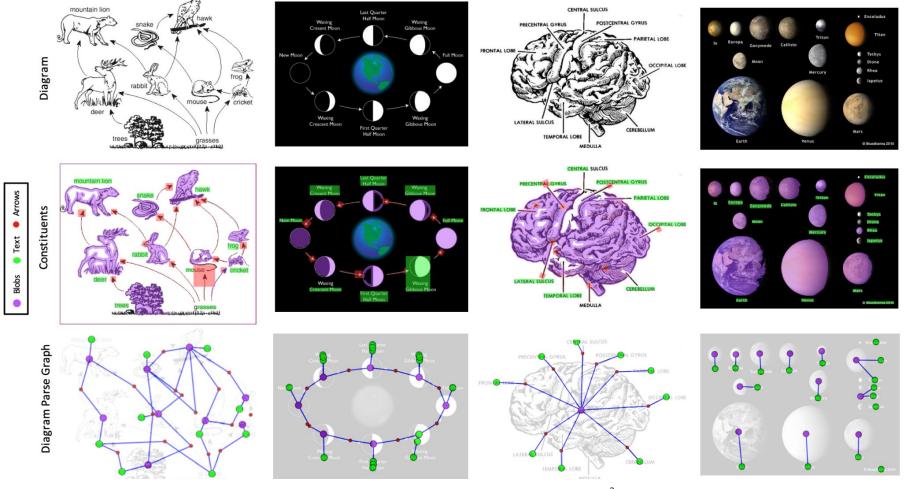
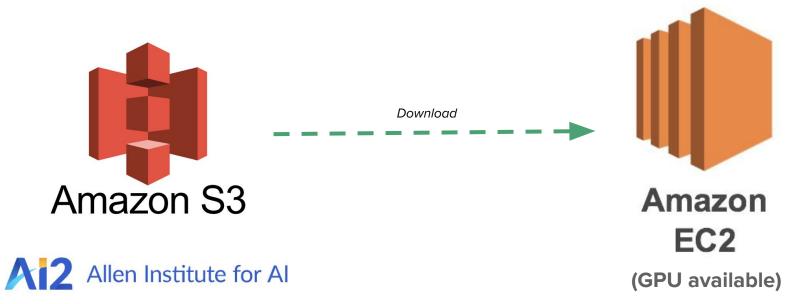


Figure 2: Diagram Graph Parser result examples<sup>3</sup>

## Data Acquisition and EDA

## **Data Acquisition**



## **Data Split**

Dataset Split	Choice A	Choice B	Choice C	Choice D	TOTAL
Training	<b>25.3%</b> (2,831)	<b>25.5%</b> (2,845)	<b>25.6%</b> (2,856)	<b>23.6%</b> (2,639)	<b>72.1%</b> (11,171)
Validation	<b>23.8%</b> (296)	<b>26.5%</b> (329)	<b>27.7%</b> (345)	<b>21.9%</b> (272)	<b>8.0%</b> (1,242)
Testing	<b>24.5%</b> (758)	<b>26.0%</b> (802)	<b>26.9%</b> (832)	<b>22.5%</b> (696)	<b>19.9%</b> (3,088)
TOTAL	<b>25.1%</b> (3,885)	<b>25.6%</b> (3,976)	<b>26.0%</b> (4,033)	<b>23.3%</b> (3,607)	15,501

Figure 9: Train/Test/Validation splits

## Model Selection and Background

#### **Model Selection**

Name	Туре	Pre-Training Objectives	Key Heads	
VisualBERT⁵	Vision-Language Transformer Model	<ol> <li>Bidirectional masked language model</li> <li>Sentence-image prediction on caption data</li> </ol>	<ol> <li>Multiple Choice</li> <li>Question Answering</li> <li>Visual Reasoning</li> </ol>	
ViLT <sup>6</sup>	V-L Transformer Model without Convolution for Visual Embedding	<ol> <li>Masked language model with whole word masking</li> <li>Image text matching</li> <li>Word patch alignment: predict masked image patches of a text word</li> </ol>	1. Question Answering	
LayoutMV3 <sup>7</sup>	Document Transformer Model	<ol> <li>Bidirectional masked language model</li> <li>Masked image model</li> <li>Word patch alignment</li> </ol>	1. Question Answering	

Figure 10: Model selection considerations

#### VisualBERT<sup>5</sup>

- Has head for Multiple-Choice downstream task
- BERT with visual input component
- Pre-Training Objectives:
  - Masked Language Model with Image
    - Text tokens are masked but image vectors are not
  - Sentence Image Prediction
    - Provide a text segment consisting of two captions, one describes the image, the other has 50% to describe the image or be randomly drawn caption
- Trained on Common Object in Context (COCO) dataset<sup>18</sup>

#### **VisualBERT Model Architecture**

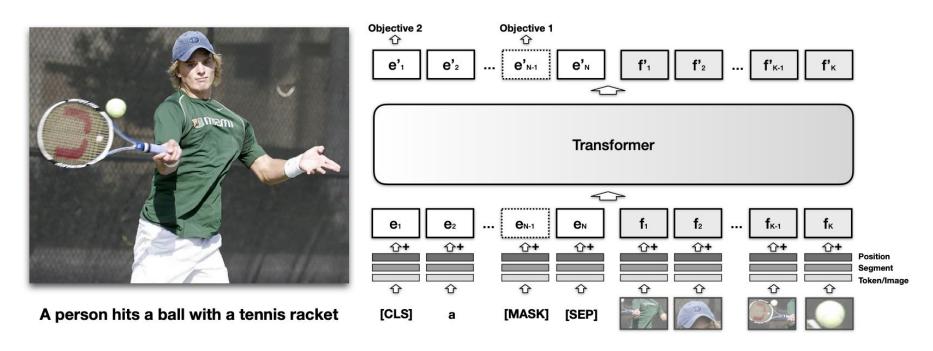


Figure 11: VisualBERT architecture<sup>5</sup>

### **Metrics Selection**

Metric
*Accuracy
F1 Score
Recall
Precision
Specificity

\*Main metric

## Data Processing

#### **Types of Input Scenarios**

No Annotations used in Inputs

#### **Inputs:**

- Q-A pairs: text embeddings
- 2. Diagram images: visual embeddings
- 3. Annotations: not used

2 Draw Annotations on Diagram

#### **Inputs:**

- 1. Q-A pairs: text embeddings
- 2. **Diagram images:** visual embeddings
- 3. **Annotations**: drawn on diagram images and part of **visual embeddings**

Embedding Annotations via Strings

#### **Inputs:**

- 1. Q-A pairs: text embeddings
- 2. Diagram images with annotations: visual embeddings
- Annotations: as strings and concatenated with Q-A pairs and part of text embeddings

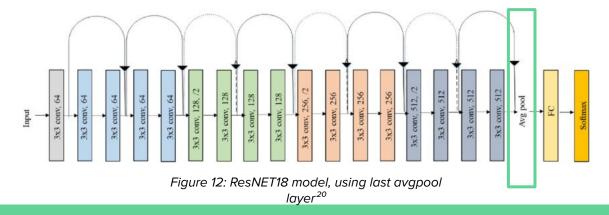
### **Embeddings**

#### **Text Embedding**

BERT Tokenizer<sup>19</sup> for contextual text embeddings

#### Visual Embedding

- Resize diagram images to 224x224
- Forward pass through ResNET18 and get the 'avgpool' feature maps layer



## **Image Annotator**

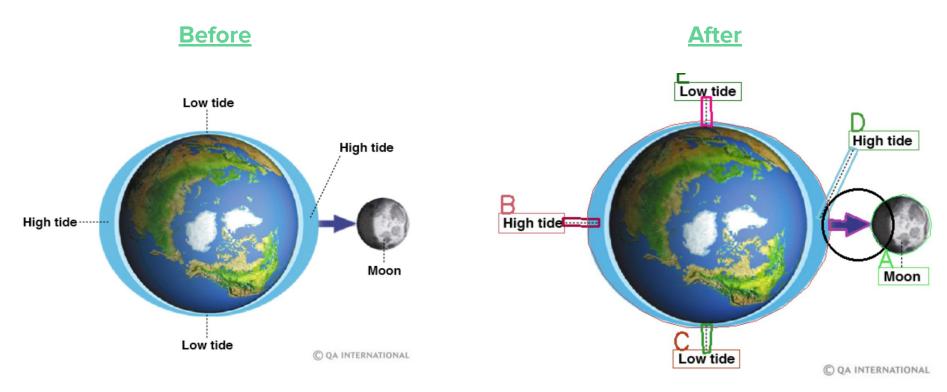
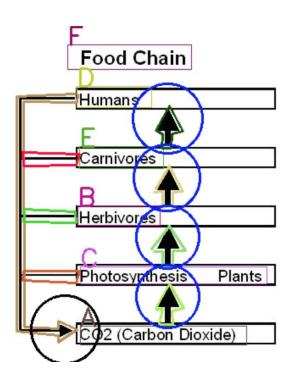


Figure 13: Diagram with visual annotations applied

#### **Embedding Annotations Via Strings**



**Question:** Which organism is both predator and prey in the above food chain?

**Annotations:** The title of the image is F. D object links to A. E object links to D. B object links to E. C object links to B. A object links to C.

Figure 14: Data sample<sup>2</sup>

**Model Training and Results** 

#### **VisualBERT Fine-Tuning**

- Trained for 16 epochs for each of the 3 input scenarios
- Used PyTorch
- Hyperparameters
  - Optimizer: AdamW()<sup>16</sup>
  - Criterion: torch.nn.CrossEntropy()<sup>17</sup>

#### Final Test Evaluation Accuracy vs Benchmarks

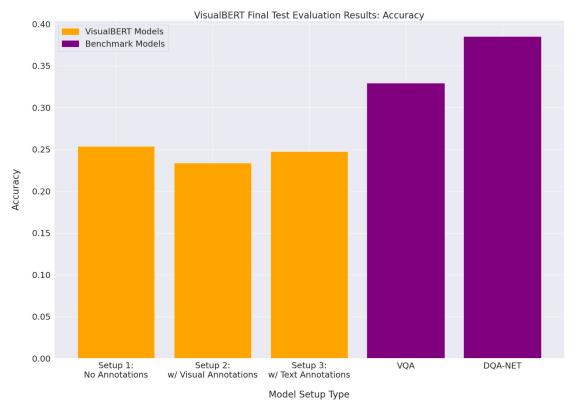


Figure 15: VisualBERT vs Benchmarked Model accuracy on same testing dataset

#### **Interpreting Results: Complexity of Dataset**

- Diagrams are not like regular natural images
  - Arrows, Relationships between objects, Text
- Annotations
  - Our How do we structure this as an input?
  - Difficult to process
- Lots of signals between the different data modes but difficult to ensure the model can appropriately learn a good joint representation of all those inputs

## **Final Words**

#### **Lessons Learned and Future Improvements**

#### **Contributions:**

Proved that large pretrained transformer models may not always perform
 better than more data-customized architectures, at least for diagram QA

#### **Possible Future Improvements:**

- Try ViLT or LayoutMV3 models
  - a. Models with **masked image pretraining or word patch alignment** may have performed better in this task
- Try more techniques to embed the annotations
- Better visual embedding techniques
- Try data-customized architectures

#### References

- 1. Github Repo
- 2. Al2 Diagram Dataset (Al2D)
  - Al2 Diagram Dataset (Al2D) was accessed on 9/5/2022 from https://registry.opendata.aws/allenai-diagrams.
- 3. <u>A Diagram is Worth a Thousand Words</u>
  - @article{Kembhavi2016ADI,
  - title={A Diagram is Worth a Dozen Images},
  - author={Aniruddha Kembhavi and Michael Salvato and Eric Kolve and Minjoon Seo and Hannaneh Hajishirzi and Ali Farhadi}, journal={ArXiv},
  - year={2016},
  - volume={abs/1603.07396}
- Paper code:
- 5. VISUALBERT: A SIMPLE AND PERFORMANT BASELINE FOR VISION AND LANGUAGE, Li et al., 2019
- 5. ViLT: Vision-and-Language Transformer Without Convolution or Region Supervision. Kim et al., 2021
- 7. LayoutLMv3: Pre-training for Document AI with Unified Text and Image Masking, Huang et al., 2022
- 8. VisualBERT for Multiple Choice Hugging Face
- 9. <u>VisualBERT for Question Answering Hugging Face</u>
- 10. <u>VILT for Question Answering Hugging Face</u>
- 11. <u>LayoutMV3 Hugging Face</u>
- 12. VisualBERT Demo
- 13. BERT Multiple Choice Sample
- 14. Fine Tuning on Multiple Choice Task
- 15. Hugging Face
- 16. <u>PyTorch AdamW Optimizer</u>
- 17. PyTorch Cross Entropy
- 18. Xinlei Chen, Hao Fang, Tsung-Yi Lin, Ramakrishna Vedantam, Saurabh Gupta, Piotr Dolla´r, and C Lawrence Zitnick. Microsoft COCO captions: Data collection and evaluation server. arXiv preprint arXiv:1504.00325, 2015.
- 19. BERT Tokenizer
- 20. Ramzan, Farheen & Khan, Muhammad Usman & Rehmat, Asim & Iqbal, Sajid & Saba, Tanzila & Rehman, Amjad & Mehmood, Zahid. (2019). A Deep Learning Approach for Automated Diagnosis and Multi-Class Classification of Alzheimer's Disease Stages Using Resting-State fMRI and Residual Neural Networks. Journal of Medical Systems. 44. 10.1007/s10916-019-1475-2.

## **Appendix: Additional Slides Not Used**

### **Project Inspiration**

Work on a dataset or model type we had never encountered before

 We have worked with images, time series, text, and tabular data but all separately

 Use this as an opportunity to combine different modes of data and techniques into one model

#### QA-NET max<sub>i</sub> softmax max<sub>i</sub> max<sub>i</sub> max<sub>i</sub> $(\mathbf{m}_{i}^{\mathsf{T}} \mathbf{s}_{1})$ $(\mathbf{m}_{i}^{\mathsf{T}} \mathbf{s}_{2})$ $(\mathbf{m}_{i}^{\mathsf{T}} \mathbf{s}_{3})$ $\mathbf{m}_{\mathsf{M}}^{\mathsf{T}} \mathbf{s}_{\mathsf{4}}$ $\mathbf{m}_{\mathsf{M}}^{\mathsf{T}} \mathbf{s}_{1}$ $\mathbf{m}_{\mathsf{M}}^{\mathsf{T}} \mathbf{s}_{\mathsf{2}}$ LSTM - $m_M$ $\mathbf{m}_{\mathsf{M}}^{\mathsf{T}} \mathbf{s}_{\mathsf{3}}$ LSTM $\mathbf{m_1}^\mathsf{T} \mathbf{s_2}$ $\mathbf{m_1}^\mathsf{T} \mathbf{s_3}$ $\mathbf{m_1}^\mathsf{T} \mathbf{s_4}$

**LSTM** 

Statement 2

LSTM

Statement 3

**LSTM** 

Statement4

**Fig. 3.** An overview of the DQA-NET solution to diagram question answering. The network encodes the DPG into a set of facts, learns to attend on the most relevant fact, given a question and then answers the question.

**LSTM** 

Statement 1

**DPG** 

Relation Embedding

Statement

Embedding

Figure X: DQA-Net architecture<sup>3</sup>

## DSDP-NET

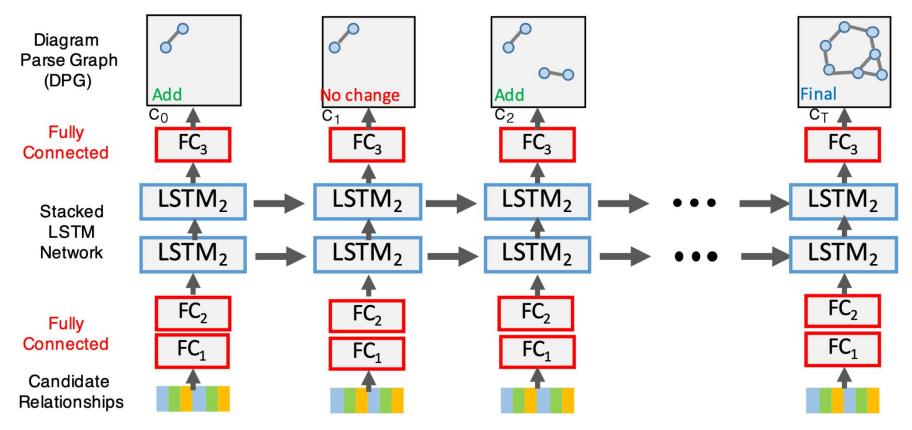


Figure X: DSDP-Net architecture<sup>3</sup>

#### **Contribution Goals to Original Paper**

- Transformers and/or Auto Encoder-Decoder architectures with pretraining
  - a. Achieved impressive results in complex domains (NLP, CV, Speech)
- 2. Reduce the complexity during featuring engineering
  - a. No separate model to create Diagram Parse Graphs (DPGs)
- 3. Use a pretrained model and try Transfer Learning
- 4. Create a more streamlined, more end-to-end model
- 5. Try to achieve **comparable results**

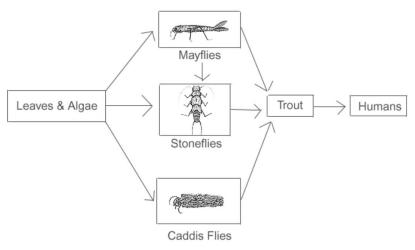
#### **Dataset Overview**

- Al2D dataset<sup>2</sup>
  - Free and open source access, a Creative Commons license
  - On the AWS Data Marketplace within a S3 bucket
  - Original images folder = 1.07 GB

#### AI2D DATASET

Images	4,903
Questions	4,563
Annotations	4,903

#### **Example II: Question - Image Pairs**

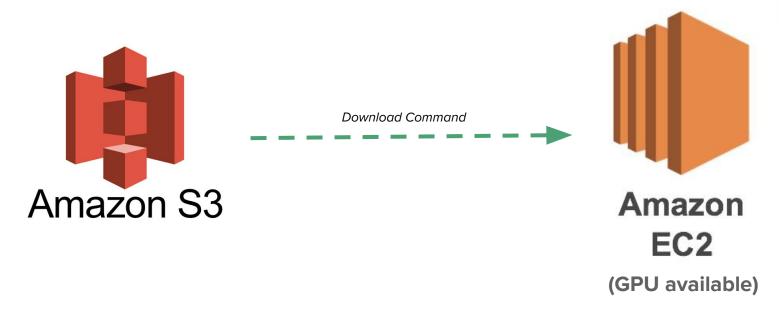


```
"What happens to the mayfly population if the trout population disappears?": {
    "abcLabel": false,
    "answerTexts": [
        "remain the same",
        "decrease",
        "increase",
        "NA"
    ],
    "correctAnswer": 2,
    "questionId": "28.png-9"
```

Figure X: Data sample<sup>2</sup>

## Cloud Environment

#### **Data Acquisition**



Download Command:

download\_command = f"aws s3 cp --no-sign-request s3://ai2-public-datasets/diagrams/ai2d-all.zip {DATA\_DIRECTORY}"
os.system(download\_command)

#### Cloud Environment and Software Used



Figure X: Cloud setup and key software used

#### VisualBERT<sup>5</sup>

- Task specific pre-training
  - Has head for Multiple-Choice downstream task
- BERT with visual input component
  - o 12 layers
  - 768 hidden size
  - 12 self-attention heads
- Pre-Training Objectives:
  - Masked Language Model with Image
    - Text tokens are masked but image vectors are not
  - Sentence Image Prediction
    - Provide a text segment consisting of two captions, one describes the image, the other has 50% to describe the image or be randomly drawn caption
- Trained on Common Object in Context (COCO) dataset<sup>18</sup>

#### Modules

- 1. **Data Downloader**: Downloads data into workspace
- 2. **Data Preprocessor**: Performs preprocessing of images, q-a pairs, annotations into one dataframe
- 3. **Train/Val/Test Splitter**: Splits train/test/validation datasets
- 4. Image Annotator: Annotates regions of diagram image from the annotations file
- 5. Visual Embedder: Generate visual embeddings using a Resnet18 model
- 6. **Text Embedder**: Tokenize our inputs with BERT tokenizer to generate text embeddings
- 7. **Annotations-Strings Embedder**: Turns annotations into text embeddings
- 8. **Data Loader**: Yield batch of data while performing each of the preprocessing modules above to output text and visual embeddings
- 9. Model: Includes training loop and support functions
- 10. **Testing**: Test and generate results
- 11. **Plotter**: Plot diagrams and results

#### **Embedding Annotations Via Strings**

#### **Problem**

- 1. VisualBERT takes text and visual embeddings as inputs
- Nowhere to place the annotations in any format "out of the box", except drawing them onto the image

#### **Solution**

- 1. Encode the annotations into a string, add to the question string before tokenizer
- 2. Ensures model absorbs in some way annotation data

## Embedding Annotations Via Strings

#### Problem

- 1. VisualBERT take question and the visual embeddings of the diagram as inputs
- 2. Nowhere to place the annotations in any format "out of the box", except drawing them onto the image.

#### Solution

- 1. Encode the annotations into a string, add to the question string before tokenizer
- 2. Ensures model absorbs in some way annotation data

#### Outcome

1. No change whatsoever in metrics :(

**Test Data Evaluation Results** 

#### **Test Evaluation Results**

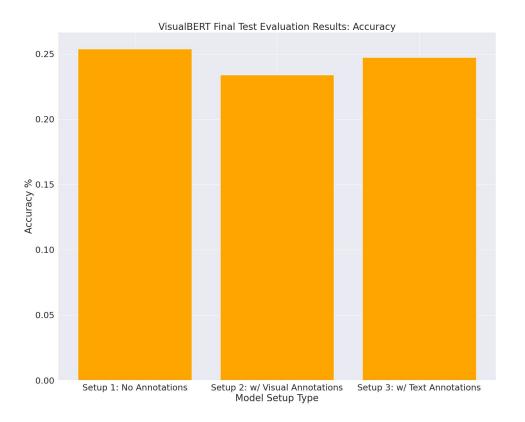


Figure X: VisualBERT final accuracy on testing data evaluation

# Setup 1 Results

#### **Metrics: Setup 1**

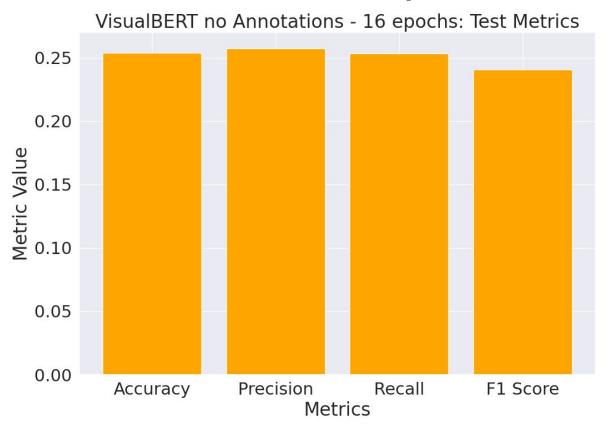


Figure X: VisualBERT Metrics - Setup 1: No Annotations

### **Class Metrics: Setup 1**

VisualBERT no Annotations - 16 epochs: Class Metrics on Test Set

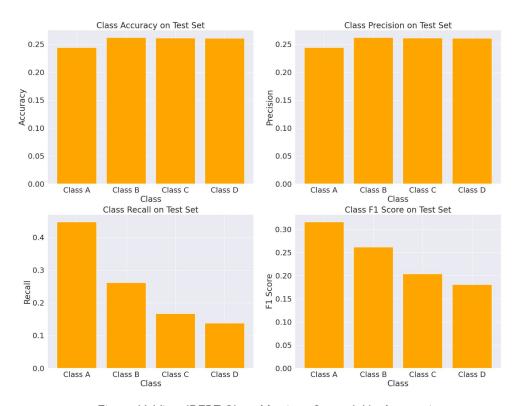


Figure X: VisualBERT Class Metrics - Setup 1: No Annotations

## **Confusion Matrix: Setup 1**

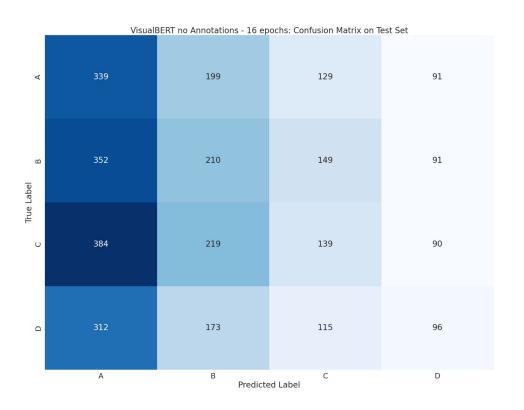


Figure X: VisualBERT Confusion Matrix - Setup 1: No Annotations

### **Training Results: Setup 1**

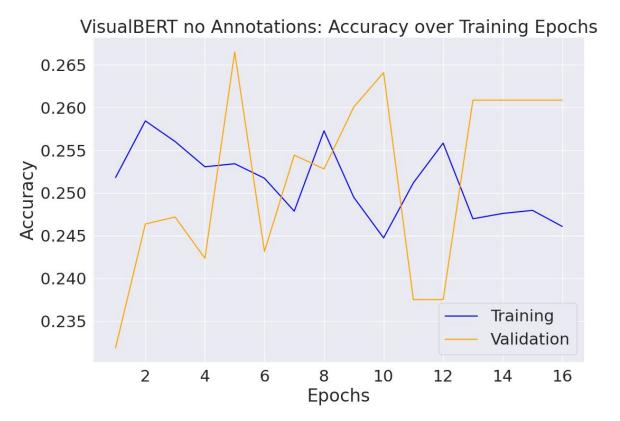


Figure X: VisualBERT Accuracy over Training Epochs - Setup 1: No Annotations

## **Setup 2 Results**

#### **Metrics: Setup 2**

VisualBERT w/ Visual Annotations - 16 epochs: Test Metrics

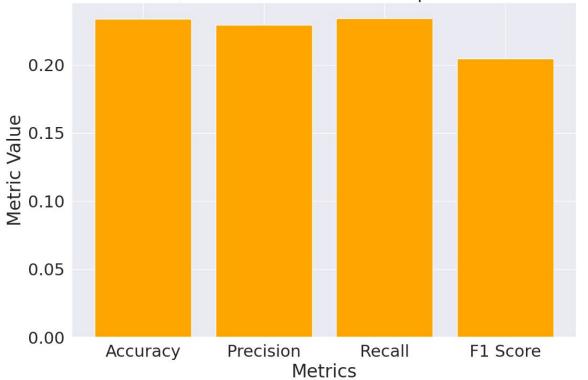


Figure X: VisualBERT Metrics - Setup 2: Visual Annotations

### Class Metrics: Setup 2

VisualBERT w/ Visual Annotations - 16 epochs: Class Metrics on Test Set

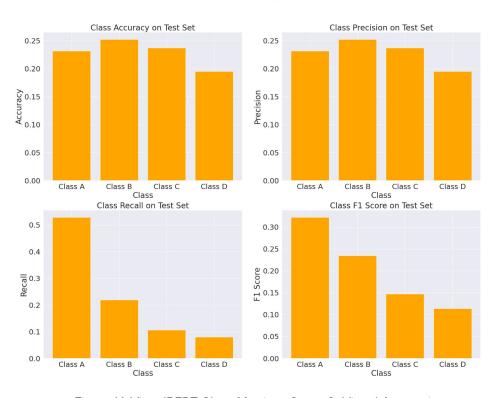


Figure X: VisualBERT Class Metrics - Setup 2: Visual Annotations

## **Confusion Matrix: Setup 2**

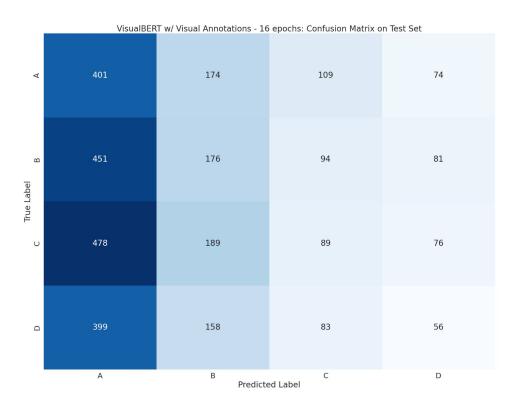


Figure X: VisualBERT Confusion Matrix - Setup 2: Visual Annotations

#### **Training Results: Setup 2**

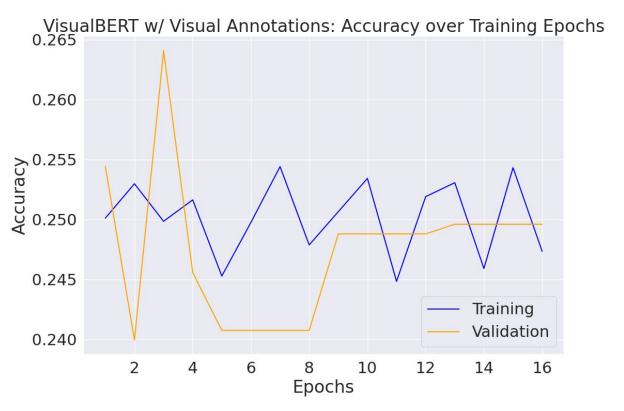


Figure X: VisualBERT Accuracy over Training Epochs - Setup 2: Visual Annotations

## **Setup 3 Results**

#### **Metrics: Setup 3**

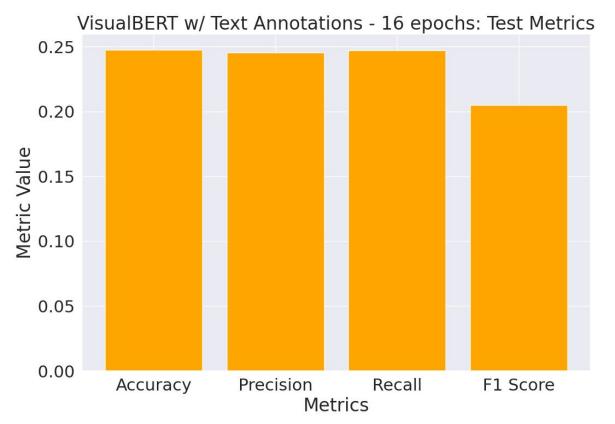


Figure X: VisualBERT Metrics - Setup 3: Text Annotations

### **Class Metrics: Setup 3**

VisualBERT w/ Text Annotations - 16 epochs: Class Metrics on Test Set

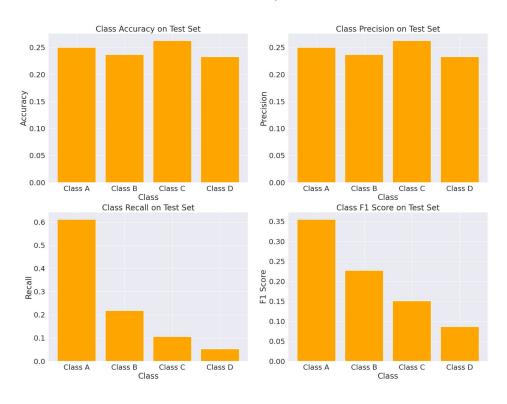


Figure X: VisualBERT Class Metrics - Setup 3: Text Annotations

## **Confusion Matrix: Setup 3**

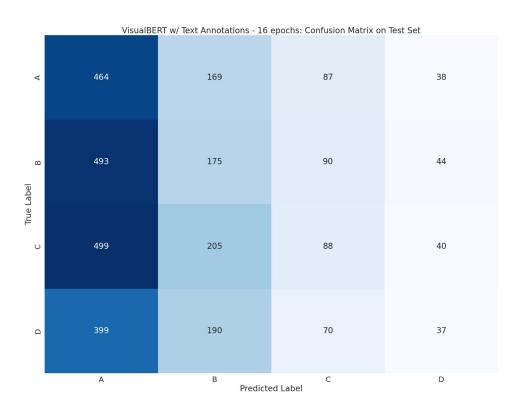


Figure X: VisualBERT Confusion Matrix - Setup 3: Text Annotations

#### **Training Results: Setup 3**

VisualBERT w/ Text Annotations: Accuracy over Training Epochs

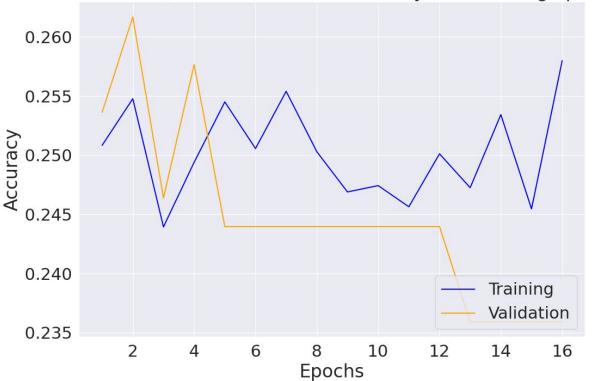


Figure X: VisualBERT Accuracy over Training Epochs - Setup 3: Visual Annotations

#### **Diagram Object**

Intra-Object Label (R1): A text box naming the entire object.

Intra-Object Region Label (R2): A text box referring to a region within an object.

Intra-Object Linkage (R3): A text box referring to a region within an object via an arrow.

Inter-Object Linkage (R4): Two objects related to one another via an arrow.

Arrow Head Assignment (R5): An arrow head associated to an arrow tail.

Arrow Descriptor (R6): A text box describing a process that an arrow refers to.

Image Title (R7): The title of the entire image.

Image Section Title (R8): Text box that serves as a title for a section of the image. Image Caption (R9): A text box that adds information about the entire image, but does not serve as the image title.

Image Misc (R10): Decorative elements in the diagram.