

# A Theory of Effects and Resources: Adjunction Models and Polarised Calculi

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## Abstract

We consider the Curry-Howard-Lambek correspondence for effectful computation and resource management, specifically proposing polarised calculi together with presheaf-enriched adjunction models as the starting point for a comprehensive semantic theory relating logical systems, typed calculi, and categorical models in this context.

Our thesis is that the combination of effects and resources should be considered orthogonally. Model theoretically, this leads to an understanding of our categorical models from two complementary perspectives: (i) as a linearisation of CBPV (Call-by-Push-Value) adjunction models, and (ii) as an extension of linear/non-linear adjunction models with an adjoint resolution of computational effects. When the linear structure is cartesian and the resource structure is trivial we recover Levy's notion of CBPV adjunction model, while when the effect structure is trivial we have Benton's linear/non-linear adjunction models. Further instances of our model theory include the dialogue categories with a resource modality of Melliès and Tabareau, and the [E]EC ([Enriched] Effect Calculus) models of Egger, Møgelberg and Simpson. Our development substantiates the approach by providing a lifting theorem of linear models into cartesian ones.

To each of our categorical models we systematically associate a typed term calculus, each of which corresponds to a variant of the sequent calculi **LJ** (Intuitionistic Logic) or **ILL** (Intuitionistic Linear Logic). The adjoint resolution of effects corresponds to polarisation whereby, syntactically, types locally determine a strict or lazy evaluation order and, semantically, the associativity of cuts is relaxed. In particular, our results show that polarisation provides a computational interpretation of CBPV in direct style. Further, we characterise *depolarised* models: those where the cut is associative, and where the evaluation order is unimportant. We explain possible advantages of this style of calculi for the operational semantics of effects.

**Categories and Subject Descriptors** F.3.2 [Logics and meanings of programs]: Semantics of Programming Languages—Denotational semantics, Operational semantics; F.3.3 [Logics and meanings of programs]: Studies of Program Constructs—Type structure; F.4.1 [Mathematical logic and formal languages]: Mathematical Logic—Lambda calculus and related systems, Proof theory

**Keywords** Categorical semantics, Curry-Howard-Lambek correspondence, Intuitionistic logic, Linear logic, Computational effects, Resource modalities, Polarised calculi, Adjunction models

## 1. Introduction

The modern study of foundations for programming languages involves looking at the subject from a variety of viewpoints. In particular: (i) syntactic calculi, formalising typing disciplines and computational behaviour, are built; (ii) connections to logical systems, for guiding these developments, are sought; and (iii) models for establishing a mathematical basis, and informing the design space, are investigated. In unison, these activities have the overall aim of discovering deep connections as in the Curry-Howard-Lambek correspondence. It is within this landscape that the work presented here falls, specifically in the context of investigating two prominent aspects of computation: computational effects and resource management.

**Computational effects** The analysis of computational effects in the above light started with the seminal work of Moggi [34]. There, the notion of monad was put forward as a mathematical abstraction for encapsulating effects and used to extract a metalanguage (in indirect style) in which to give semantic interpretations to call-by-value programming languages (in direct style) with effectful computations.

Prompted by the mathematical analysis of Power and Robinson [42] investigating adjoint resolutions for the monadic theory of effects, Levy [31] developed CBPV (Call-by-Push-Value), a theory based on adjunction models. The mathematical structure of these models is directly reflected in Levy's CBPV calculus where there is a dichotomy of type and program structure corresponding to each of the categories involved in an adjoint situation. This results in a paradigm with aspects of both call-by-value and call-by-name computation.

**Linear logic** The analysis of resources in computation started with Girard's seminal discovery of their importance in denotational semantics [22]. Model theoretically, it corresponds to shifting attention from cartesian (intuitionistic) to symmetric monoidal (linear) structure, recovering the former from the latter by means of a resource (or exponential) modality; which, after much study [4, 5, 8, 43], was revealed to correspond to a comonad arising from a monoidal adjoint resolution.

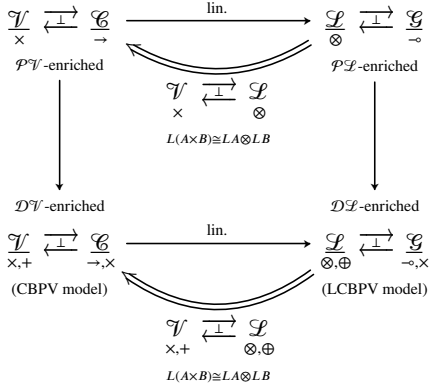
The study of effects and resources, respectively uncovering the need for adjoint models giving rise to monads and to comonads, offered the tantalising perspective that these two phenomena could somehow be two sides of the same coin. This possibility was first investigated by Benton and Wadler [7], and more recently further pursued by Egger, Møgelberg and Simpson [16]. Our stand in this respect is that there are in fact other phenomena at play, and that the combination of effects and resources should be considered orthogonally.

**Polarisation** To understand the computational structures at work, one needs to consider another logical development of Girard: polarisation [23]. In a modern guise, polarisation turns an arbitrary

adjunction into an algebraic structure supporting the composition of morphisms with source and target belonging to either of the categories involved; objects are *positive* or *negative* depending on their category of origin. We suggest to understand this as a description of direct-style calculi that generalises from monads to adjunctions the direct-style calculus for the Kleisli category in Moggi's model.

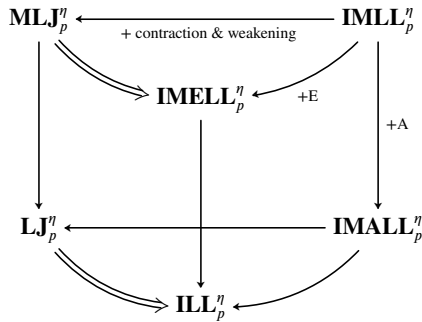
Girard's work has been related to continuation-passing style (CPS) and with the investigation of the duality between call by value and call by name in this context [10, 12, 13, 29, 30, 41, 44]. However, in continuity with the work of Zeilberger [48, 49] and Melliès [33], we advocate that the perfect symmetry of Girard's setting is misleading. Polarisation describes a more general model of computation in which strictness and laziness are attributes of the type [36, 39].

**Contents of the paper** With the above ingredients at hand, our viewpoint is that the adjoint resolution of a monad describing effects underpins polarisation, which may be independently considered in an intuitionistic (cartesian) or in a linear (symmetric monoidal) setting. In the latter case, one may further incorporate resource structure by means of a monoidal adjoint resolution. This is schematically presented in the figure below.



The column on the left above consists of enriched adjoint resolutions of intuitionistic models, for multiplicative (top) and for multiplicative-additive (bottom) structure. The column on the right refines this into more general linear models. The latter, when further equipped with an adjoint resolution for resource management, give rise to cartesian models.

Having set up this model-theoretic scenario, our development substantiates the approach by relating it to typed calculi. Rather than reproducing the adjunction structure naively in the syntax, we rely on Girard's polarised interpretation. For this kind of development, this is novel and comes with two important consequences: the calculi are in direct style, and the logics behind our type systems are polarised variants of familiar systems: **LJ** (*Intuitionistic Logic, λ-calculus*) and **ILL** (*Intuitionistic Linear Logic, linear λ-calculus*). The figure below presents the range of logical considered in the paper. (All the logics considered in this paper are propositional.)



These sequent calculi provide the type systems for the calculi that we introduce, and for which we give sound interpretations in their corresponding models. We employ the standard naming scheme whereby **M** stands for the core multiplicative fragment (**1**,  $\otimes$ ,  $\multimap$ ), **E** for the consideration of a resource (or exponential) modality (**!**), and **A** for additives including units (**1**, **0**,  $\&$ ,  $\oplus$ ).

Our calculi build on the L-calculus  $\lambda\mu\tilde{\mu}$  relating sequent calculus to abstract machines [10] and their variants with polarities [11, 36, 37, 39]. In particular, building on this earlier work, we demonstrate that a model of computation in which strict and lazy datatypes coexist provides a direct computational interpretation to Levy's CBPV. Further, we characterise *depolarised* models in a sense close to that of Melliès and Tabareau [33]: there is a correspondence between proof systems where the cuts associate, calculi where the order of evaluation is unimportant, and models where the enriched adjunction is idempotent.

In summary, our contributions are:

- A comprehensive theory of presheaf-enriched adjunction models, with cartesian, linear, additive, and resource structures as per the diagram, that encompasses CBPV, linear/non-linear adjunction models, dialogue categories, and EEC models.
- A lifting theorem of linear models with resources into cartesian models.
- Polarised L-calculi and corresponding polarised logics, with cartesian, linear, additive, and exponential structure as per the diagram, that are direct-style for the above models, with soundness theorems.
- A characterisation of depolarisation.

**Organisation of the paper** Section 2 presents the rudiments of the adjunction models needed here and explains polarisation putting it in the current context. The formal treatment starts in Section 3 where the calculi for multiplicative polarised structure, **MLJ**<sub>p</sub><sup>η</sup> for cartesian (or intuitionistic) and **IMLL**<sub>p</sub><sup>η</sup> for linear, are introduced, with **MLJ**<sub>p</sub><sup>η</sup> models presented. Section 4 follows with **IMLL**<sub>p</sub><sup>η</sup> models, providing the interpretation of the multiplicative calculi, and the characterisation of depolarisation. Sections 5 and 6 modularly extend the development to respectively include resource structure, establishing the lifting theorem, and additive structure, ending with **ILL**<sub>p</sub><sup>η</sup> and **LJ**<sub>p</sub><sup>η</sup> calculi and models. Section 7 concludes with perspectives on novel aspects of our calculus in the context of the operational and equational semantics of effects.

## 2. Adjunction Models and Polarised Calculi

### 2.1 Notations for Presheaf-Enriched Adjunction Models

We introduce notation for basic presheaf-enriched structure to be used in the paper; for a comprehensive treatment of enriched category theory the reader may consult Kelly [27].

We are interested in this paper in *enriched* adjoint situations

$$\mathcal{V} \xrightleftharpoons[\mathcal{G}]{F} \mathcal{S} \quad (1)$$

where the categories  $\mathcal{V}$  and  $\mathcal{S}$  respectively provide mathematical structure for positive and negative worlds to be dwelled upon in the following section. In this context, we will write  $P, Q \dots$  for the objects of  $\mathcal{V}$  and  $N, M \dots$  for the objects of  $\mathcal{S}$ . Intuitively, the adjoint functors  $F$  and  $G$  allow the passage between the two worlds  $\mathcal{V}$  and  $\mathcal{S}$ .

The categories  $\mathcal{C}$  at play here are to be specifically *enriched over presheaves*. Roughly speaking, this means that their homs are parametrised as follows

$$\mathcal{C}_C(X, Y)$$

## IMLL<sub>p</sub><sup>η</sup> and MLJ<sub>p</sub><sup>η</sup> (1/2)

### GRAMMAR

|             |   |
|-------------|---|
| values      | $V, W ::= x \mid () \mid V \otimes W \mid \mu \alpha^N.c \mid \mu(x^A \cdot \alpha^B).c$                      |
| expressions | $t, u ::= \mu \alpha^P.c \mid V^\circ$  |
| stacks      | $S ::= \alpha \mid V \cdot S \mid \tilde{\mu} x^P.c \mid \tilde{\mu}(x^A \otimes y^B).c \mid \tilde{\mu}().c$ |
| contexts    | $e ::= \tilde{\mu} x^N.S \mid S^\circ$  |
| commands    | $c ::= \langle V \parallel e \rangle^- \mid \langle t \parallel S \rangle^+$                                  |

(a) Pseudo-terms

|              |  |
|--------------|--|
| types $A, B$ | $\left\{ \begin{array}{ll} \text{positive} & P, Q, A_+ ::= X^+ \mid \mathbf{1} \mid A \otimes B \\ \text{negative} & N, M, A_- ::= X^- \mid A \rightarrow B \end{array} \right.$ |
|--------------|--|

(b) Types

*Convention:* We omit writing the operators  $^\circ$ , and we use the same notation  $\langle t \parallel e \rangle$  for  $\langle V \parallel e \rangle^-$  and  $\langle t \parallel S \rangle^+$  when there is no ambiguity on the polarity.

### CONVERSIONS

|                             |   |
|-----------------------------|---|
| (R $\tilde{\mu}^\epsilon$ ) | $\langle V \parallel \tilde{\mu} x^{A_\epsilon}.c \rangle^\epsilon \triangleright_R c[V/x]$         |
| (R $\mu^\epsilon$ )         | $\langle \mu \alpha^{A_\epsilon}.c \parallel S \rangle^\epsilon \triangleright_R c[S/\alpha]$       |
| (R $\rightarrow$ )          | $\langle \mu(x^A \cdot \alpha^B).c \parallel V \cdot S \rangle \triangleright_R c[V/x, S/\alpha]$   |
| (R $\otimes$ )              | $\langle V \otimes W \parallel \tilde{\mu}(x^A \otimes y^B).c \rangle \triangleright_R c[V/x, W/y]$ |
| (R1)                        | $\langle () \parallel \tilde{\mu}().c \rangle \triangleright_R c$                                   |

(c) Reduction rules

|                             |  |
|-----------------------------|--|
| (E $\tilde{\mu}^\epsilon$ ) | $\tilde{\mu} x^{A_\epsilon}. \langle x \parallel e \rangle^\epsilon \triangleright_E e$    |
| (E $\mu^\epsilon$ )         | $\mu \alpha^{A_\epsilon}. \langle t \parallel \alpha \rangle^\epsilon \triangleright_E t$  |
| (E $\rightarrow$ )          | $\mu(x^A \cdot \alpha^B). \langle V \parallel x \cdot \alpha \rangle \triangleright_E V$   |
| (E $\otimes$ )              | $\tilde{\mu}(x^A \otimes y^B). \langle x \otimes y \parallel S \rangle \triangleright_E S$ |
| (E1)                        | $\tilde{\mu}(). \langle () \parallel S \rangle \triangleright_E S$                         |

(d) Extensionality rules

**Figure 1.** Multiplicatives: pseudo-terms

and may be thought of as consisting of maps from  $X$  to  $Y$  in an environment  $C$ . Furthermore, the parametrisation is such that for every environment morphism  $D \rightarrow C$  one contravariantly has functions

$$\mathcal{C}_C(X, Y) \rightarrow \mathcal{C}_D(X, Y)$$

corresponding to the action of changing environment. For instance, in the cartesian setting, the action  $\mathcal{C}_{C \times C}(X, Y) \rightarrow \mathcal{C}_C(X, Y)$  induced by the duplicator map  $C \rightarrow C \times C$  corresponds to the operation of contracting the environment  $C$ .

In the cartesian setting, the identities and composition of  $\mathcal{C}$  are given pointwise; that is, one has

$$\text{id}_X^{(C)} \in \mathcal{C}_C(X, X), \circ_{X,Y,Z}^{(C)} : \mathcal{C}_C(Y, Z) \times \mathcal{C}_C(X, Y) \rightarrow \mathcal{C}_C(X, Z).$$

In the linear setting there is a need for a more refined approach and one instead has

$$\text{id}_X \in \mathcal{C}_I(X, X), \circ_{X,Y,Z}^{(C,D)} : \mathcal{C}_D(Y, Z) \times \mathcal{C}_C(X, Y) \rightarrow \mathcal{C}_{C \otimes D}(X, Z).$$

This is achieved by means of Day's convolution monoidal structure on presheaves [14].

The above theory suffices for discussing multiplicative structure. Incorporating additive structure calls for the further refinement of enriching over *distributive presheaves*. This amounts to requiring that

$$\mathcal{C}_0(X, Y) \cong 1, \quad \mathcal{C}_{C+D}(X, Y) \cong \mathcal{C}_C(X, Y) \times \mathcal{C}_D(X, Y).$$

In this presheaf-enriched context, the adjunction (1) amounts to giving natural bijections

$$\mathcal{S}_C(FP, N) \cong \mathcal{U}_C(P, GN)$$

that are invariant under the environment actions; the unit  $\eta$  and the counit  $\epsilon$  of the adjunction are natural families of morphisms  $\eta_P \in \mathcal{U}_I(P, GFP)$  and  $\epsilon_N \in \mathcal{S}_I(FGN, N)$ , where  $I$  intuitively stands for the empty environment. Analogous bijective correspondences are used to describe type/logical structure in the vein of traditional category theory.

## 2.2 Polarisation and Calculi

**Polarities: two modes of discourse** Our first description of polarisation was given by Girard [23] and underpins the interpretation

of our calculi in adjunction models. Girard gives a denotational semantics to classical sequent calculus identifying  $A$  and  $\neg\neg A$ , via a concrete interpretation based on coherent spaces, and a corresponding abstract construction, given as a negative translation into intuitionistic logic. The negative translation is made by “*carefully distinguishing between negative formulas: simply negated, and positive formulas: doubly negated*” [24]. However, there is a subtlety in this definition “*since it is possible to consider a doubly negated formula as simply negated*”. The interpretation then takes advantage of the focusing properties of connectives discovered earlier by Andreoli [1]—a well-studied aspect of polarisation, but which in our sense comes afterwards.

Here is how Girard's idea is reflected in our interpretation. A cut:

$$\frac{\Gamma_1 \vdash A \quad \Gamma_2, A \vdash \Delta}{\Gamma_1, \Gamma_2 \vdash \Delta}$$

is interpreted in two different ways depending on the polarity of  $A$ . First, sequents are interpreted in the profunctor of *oblique morphisms* of the adjunction (1):

$$\mathcal{S}(F-, =) \cong \mathcal{O}(-, =) \cong \mathcal{U}(-, G=).$$

To be more precise, a sequent  $\Gamma, A \vdash B$  is interpreted as  $\mathcal{O}_{\Gamma^+}(A^+, B^-)$ , where  $\cdot^+$  and  $\cdot^-$  suitably add  $G$  and  $F$  wherever necessary.

Then, if  $A$  is positive, the cut is:

$$\mathcal{O}_{\Gamma_2^+}(A, \Delta^-) \times \mathcal{O}_{\Gamma_1^+}(I, FA) \rightarrow \mathcal{O}_{\Gamma_1^+ \otimes \Gamma_2^+}(I, \Delta^-)$$

and is therefore interpreted by a composition in  $\mathcal{S}$ :

$$\mathcal{S}_{\Gamma_2^+}(FA, \Delta^-) \times \mathcal{S}_{\Gamma_1^+}(FI, FA) \rightarrow \mathcal{S}_{\Gamma_1^+ \otimes \Gamma_2^+}(FI, \Delta^-)$$

which can be seen as generalising the Kleisli composition for the monad  $GF$ . On the other hand, if  $A$  is negative, then the cut is:

$$\mathcal{O}_{\Gamma_2^+}(GA, \Delta^-) \times \mathcal{O}_{\Gamma_1^+}(I, A) \rightarrow \mathcal{O}_{\Gamma_1^+ \otimes \Gamma_2^+}(I, \Delta^-)$$

and is interpreted by a composition in  $\mathcal{U}$ :

$$\mathcal{U}_{\Gamma_2^+}(GA, G\Delta^-) \times \mathcal{U}_{\Gamma_1^+}(I, GA) \rightarrow \mathcal{U}_{\Gamma_1^+ \otimes \Gamma_2^+}(I, G\Delta^-)$$

which now can be seen as generalising the Kleisli composition for the comonad  $FG$ . A new composition is thus obtained; which is

- $\Delta$  refers to a pair  $\alpha : A$  of a co-variable and a type.
- $\Gamma$  refers to a map from a finite set of variables to types provided with a total order  $\leq_\Gamma$  on its domain, notation  $\Gamma = (x_1 : A_1, \dots, x_n : A_n)$ .
- The notation  $\Gamma, \Gamma'$  implies that  $\Gamma$  and  $\Gamma'$  have disjoint domains.
- $\Sigma(\Gamma; \Gamma')$  is the set of maps  $\sigma : \text{dom } \Gamma \rightarrow \text{dom } \Gamma'$  satisfying  $\Gamma'(\sigma(x)) = \Gamma(x)$  for all  $x \in \text{dom } \Gamma$ .
- $\Sigma^*(\Gamma; \Gamma')$  is the subset of  $\Sigma(\Gamma; \Gamma')$  consisting of bijective maps.
- Judgements are:  $\Gamma \vdash t : A \mid \quad \Gamma \vdash V : A; \quad \Gamma \mid e : A \vdash \Delta \quad \Gamma; S : A \vdash \Delta \quad c : (\Gamma \vdash \Delta)$

## TYPING RULES

$$\begin{array}{c}
\frac{\Gamma \vdash V : A;}{\Gamma \vdash V^\circ : A \mid} (\vdash^\circ) \quad \frac{c : (\Gamma \vdash \alpha : N)}{\Gamma \vdash \mu \alpha^N.c : N; } (\vdash^{\mu^-}) \quad \frac{c : (\Gamma \vdash \alpha : P)}{\Gamma \vdash \mu \alpha^P.c : P \mid} (\vdash^{\mu^+}) \quad \frac{}{x : A \vdash x : A; } (\vdash^{\text{ax}}) \quad \frac{\Gamma \vdash V : N; \quad \Gamma' \mid e : N \vdash \Delta}{\langle V \parallel e \rangle^- : (\Gamma, \Gamma' \vdash \Delta)} (\text{cut}^-) \\
\\
\frac{\Gamma; S : A \vdash \Delta}{\Gamma \mid S^\circ : A \vdash \Delta} (\circ \vdash) \quad \frac{c : (\Gamma, x : P \vdash \Delta)}{\Gamma; \tilde{\mu} x^P.c : P \vdash \Delta} (\tilde{\mu}^+ \vdash) \quad \frac{c : (\Gamma, x : N \vdash \Delta)}{\Gamma \mid \tilde{\mu} x^N.c : N \vdash \Delta} (\tilde{\mu}^- \vdash) \quad \frac{}{; \alpha : A \vdash \alpha : A} (\text{ax} \vdash) \quad \frac{\Gamma \vdash t : P \mid \quad \Gamma'; S : P \vdash \Delta}{\langle t \parallel S \rangle^+ : (\Gamma, \Gamma' \vdash \Delta)} (\text{cut}^+)
\end{array}$$

(a) Coercions (b) Identity

$\mathbf{IMLL}_p^\eta$ : for all  $\sigma \in \Sigma^*(\Gamma; \Gamma')$  (renaming and exchange):

$\mathbf{MLJ}_p^\eta$ : for all  $\sigma \in \Sigma(\Gamma; \Gamma')$  (also weakening and contraction):

$$\begin{array}{c}
\frac{\Gamma \vdash V : A;}{\Gamma' \vdash V[\sigma] : A; } (\vdash_f^\sigma) \quad \frac{\Gamma; S : A \vdash \Delta}{\Gamma'; S[\sigma] : A \vdash \Delta} (\sigma \vdash_f) \quad \frac{c : (\Gamma, x : A \vdash \alpha : B)}{\Gamma \vdash \mu(x^A \cdot \alpha^B).c : A \rightarrow B; } (\vdash_{\rightarrow}) \quad \frac{\Gamma \vdash V : A; \quad \Gamma'; S : B \vdash \Delta}{\Gamma, \Gamma'; V \cdot S : A \rightarrow B \vdash \Delta} (\rightarrow \vdash_f) \\
\\
\frac{\Gamma \vdash t : A \mid}{\Gamma' \vdash t[\sigma] : A \mid} (\sigma \vdash) \quad \frac{\Gamma \mid e : A \vdash \Delta}{\Gamma' \mid e[\sigma] : A \vdash \Delta} (\sigma \vdash) \quad \frac{\Gamma \vdash V : A; \quad \Gamma' \vdash W : B;}{\Gamma, \Gamma' \vdash V \otimes W : A \otimes B; } (\vdash_f \otimes) \quad \frac{c : (\Gamma, x : A, y : B \vdash \Delta)}{\Gamma; \tilde{\mu}(x^A \otimes y^B).c : A \otimes B \vdash \Delta} (\otimes \vdash) \\
\\
\frac{c : (\Gamma \vdash \Delta)}{c[\sigma] : (\Gamma' \vdash \Delta)} (\sigma) \quad \frac{}{\vdash () : \mathbf{I}; } (\vdash_f \mathbf{I}) \quad \frac{c : (\Gamma \vdash \Delta)}{\Gamma; \tilde{\mu}().c : \mathbf{I} \vdash \Delta} (\mathbf{I} \vdash)
\end{array}$$

(c) Structure (d) Logic

## DERIVABLE RULES

$$\begin{array}{c}
\frac{\Gamma \vdash t : A \mid \quad \Gamma' \mid e : B \vdash \Delta}{\Gamma, \Gamma' \mid t \cdot e : A \rightarrow B \vdash \Delta} (\rightarrow \vdash) \quad t^A \cdot e^B \stackrel{\text{def}}{=} \tilde{\mu} x^{A \rightarrow B} \langle \tilde{\mu} \alpha^B. \langle t \parallel \tilde{\mu} y^A. \langle x \parallel y \cdot \alpha \rangle \rangle \parallel e \rangle \\
\\
\frac{\Gamma \vdash t : A \mid \quad \Gamma' \vdash u : B \mid}{\Gamma, \Gamma' \vdash t \otimes u : A \otimes B \mid} (\vdash \otimes) \quad t^A \otimes u^B \stackrel{\text{def}}{=} \mu \alpha^{A \otimes B} \langle t \parallel \tilde{\mu} x^A. \langle u \parallel \tilde{\mu} y^B. \langle x \otimes y \parallel \alpha \rangle \rangle \rangle
\end{array}$$

(e) Remaining rules of sequent calculus — notice the arbitrary order

**Figure 2.** Multiplicatives: legal terms and derivable rules

only associative, however, when the enriched adjunction  $F \dashv G$  is idempotent (Theorem 12).

The subtlety mentioned by Girard arises when we consider the idea that a type is characterised by the way it can be acted upon. We have just described how an element of  $\mathcal{Q}_\Gamma(P, FQ)$  can be involved in two kinds of cuts on the right: either with  $\mathcal{Q}_{\Gamma'}(Q, \Delta)$  in  $\mathcal{S}$ , or with  $\mathcal{Q}_{\Gamma'}(GFQ, \Delta)$  in  $\mathcal{L}$ . In these respective situations,  $FQ$  on the right is considered in turn positively and negatively. This prompts to distinguish the positive type  $Q$  from a negative type, written differently as  $\uparrow Q$ , despite that the right-introduction of  $\uparrow$  is given by the identity in  $\mathcal{S}$ .

For symmetric reasons, one needs to distinguish  $GN$  on the left seen negatively (as  $N$ ) from  $GN$  seen positively (as a positive type  $\Downarrow N$ ). In this light, polarities describe *how a morphism is meant to be composed*, revealing their modal character.

**The calculi of polarisation** Our calculi are based on a second description of polarisation. Danos, Joinet and Schellinx [13] reconstruct Girard's polarised classical logic as a distinguished way of endowing the classical sequent calculus  $\mathbf{LK}$  with a confluent cut-elimination procedure, the system  $\mathbf{LK}_p^\eta$ . This is in fact the system

in which the order of cut-elimination is preserved by  $\eta$ -expansions. The calculi  $\mathbf{IML}_p^\eta$  and  $\mathbf{LJ}_p^\eta$  that we introduce are appropriate variants of  $\mathbf{LK}_p^\eta$ . The meaning of  $\eta$  is explained in the next section, where the support of  $\tilde{\lambda}\tilde{\mu}$ -like L-calculi allow a concise presentation and an elegant theoretical development, along the lines of the  $\lambda$ -calculus in the standard presentation of Barendregt [3].

On the proof-theoretic side, L-calculi can be understood as term assignments for sequent calculus rather than natural deduction. See [10, 47] for introductions to this aspect. On the side of computation, they can be understood as abstract-machine-like calculi, based on two principles, besides polarisation: the inside-out, defunctionalised representation of contexts is primitive, and language constructs are represented abstractly as solutions to their abstract-machine transitions. See [40] for an introduction based on this aspect.

Danos, Joinet and Schellinx recover other classical systems using annotations on formulae that determine the reduction differently, but do not affect provability. These annotations can be understood as the shifts  $\uparrow, \downarrow, \uparrow \multimap A, \downarrow \multimap A$ , and  $\uparrow A$  are always provably equivalent, albeit not isomorphic. In general, polarised calculi let us describe different reduction strategies using appropriately-shifted types. The fa-



miliar decomposition of call-by-value and call-by-name functional types, as given by Levy [31] and others, can thus be retrieved as the composition of such annotations with the construction described previously.

The two qualities we mentioned, compatibility with  $\eta$ -expansions and derivability of known systems, are two main features of the range of calculi that we present. This parallels the general and abstract character of their enriched adjunction models.

### 3. Cartesian Polarised Structure

We start the development by focusing on the multiplicative intuitionistic (or cartesian) setting which we refer to as  $\mathbf{MLJ}_p^\eta$ . Type-theoretically, it corresponds to the unit, strict product, and arrow types. This is model-theoretically simpler than the linear (or symmetric monoidal) variant to be considered next.

#### 3.1 $\mathbf{MLJ}_p^\eta$ and $\mathbf{IMLL}_p^\eta$ Calculi

The calculus  $\mathbf{MLJ}_p^\eta$  (polarised multiplicative intuitionistic logic) is introduced in Figures 1 and 2, at the same time as  $\mathbf{IMLL}_p^\eta$  (polarised multiplicative intuitionistic linear logic). On the proof-theoretic side,  $\mathbf{MLJ}_p^\eta$  corresponds to intuitionistic sequent calculus given with conjunction in multiplicative style (written  $\otimes$ ), and endowed with a polarised cut-elimination procedure. We write the arrow type as  $\rightarrow$ , which is to be understood as  $\multimap$  or  $\rightarrow$  depending on whether the setting is  $\mathbf{IMLL}_p^\eta$  or  $\mathbf{MLJ}_p^\eta$ . (In this paper, the symbol  $\rightarrow$  does not refer to implication but to a compatible closure.)

**Barendregt-style  $\lambda$ -calculus** The calculi are introduced along the lines of Barendregt's presentation of the  $\lambda$ -calculus [3]. There is a distinction to make between the latter and the Church-style  $\lambda$ -calculus: in Church style, well-typed terms are directly defined by induction, whereas in Barendregt style, first a syntax of *pseudo-terms* is given, and then the *legal terms* are defined as those pseudo-terms that are well-typed. In Church style, type annotations are located on variables, whereas in Barendregt style, they are located on binders.

The Barendregt technique consists in proving a *Basis Lemma* (judgements assign a type to all the free variables, and non-free variables can appear in the context only through weakening), followed by a *Generation Lemma* (the typing relation is determined by induction on the term). Various properties follow: uniqueness of typing, decidability of type inference, typability of sub-terms, compatibility of typing (whereby typed reductions, defined by induction on judgements, coincide with the legal restriction of reduction). The *Substitution Lemma* follows (judgements are closed under substitution), as well as *Subject Reduction* (judgements are closed under reduction). Finally, one proves that the typed reduction is confluent. Here, all these properties will be referred to as the *Barendregt-style properties*.

**Description of the calculi  $\mathbf{MLJ}_p^\eta$  and  $\mathbf{IMLL}_p^\eta$**  In Figure 1, the pseudo-terms of  $\mathbf{MLJ}_p^\eta$  and  $\mathbf{IMLL}_p^\eta$  are given with three main syntactic categories (*expressions*  $t$ , *contexts*  $e$ , and *commands*  $c$ ) as well as two auxiliary syntactic categories (*values*  $V$  and *stacks*  $S$ ). There are explicit coercions  $\cdot^\circ$  from values to expressions and from stacks to contexts, which we omit by convention: we display values as particular expressions, and stacks as particular contexts. Binders  $\mu$  and  $\bar{\mu}$  are annotated with types that are either positive ( $P$ ) or negative ( $N$ ).

Head-reductions  $\triangleright_R$  and  $\triangleright_E$  are defined between pseudo-terms. Reductions  $\rightarrow_R$  and  $\rightarrow_E$  are obtained by compatible closure as follows: one has  $f \rightarrow g$  whenever  $g$  is obtained from  $f$  by application of  $\triangleright$  to a sub-term. Equivalence is obtained as  $\simeq \stackrel{\text{def}}{=} (\leftarrow \cup \rightarrow)^*$ .

Figure 1 defines the legal terms, *i.e.* well-typed pseudo-terms. Judgements assign a type to expressions and values on the right,

and a distinguished type to contexts and stacks on the left, while commands have no type by themselves. Expressions and contexts determine the *active* (or main) type in the sequent.

The type system is given by rules of *coercion* between judgements, together with the usual *identity*, *structure*, and *logic* groups from linear sequent calculi. Notice that structural rules (exchange, weakening, and contraction in the usual terminology) are merged into a single set of rules; in addition they do not make the term grow but act via a simultaneous substitution of variables for variables. For intuitionistic logic, this approach with explicit structural rules might seem somewhat non-standard, but it is in line with the viewpoint introduced by linear logic [22], and consists in formulating  $\mathbf{MLJ}_p^\eta$  as the variant of  $\mathbf{IMLL}_p^\eta$  obtained by lifting the linearity constraint on the left. The variants of the Basis Lemma, the Generation Lemma, the uniqueness of typing, and the compatibility of typing that we obtain are strengthened to take into account such non type-directed rules.

**Theorem 1.**  $\mathbf{MLJ}_p^\eta$  and  $\mathbf{IMLL}_p^\eta$  have the Barendregt-style properties.

In the theorem above and the rest of the paper, confluence is restricted to  $\rightarrow_{Re}$  where  $\rightarrow_e$  is the compatible closure of the four rules ( $E\mu^\epsilon$ ) and ( $E\bar{\mu}^\epsilon$ ).

**Polarisation** Danos, Joinet and Schellinx's  $\eta$  technique is summarised in L calculi with two ingredients: the reduction order determined by the polarity:

$$\begin{aligned} c[\bar{\mu}x^P.c'/\alpha] &\triangleleft_R \langle \mu\alpha^P.c \parallel \bar{\mu}x^P.c' \rangle \\ &\langle \mu\alpha^N.c \parallel \bar{\mu}x^N.c' \rangle \triangleright_R c'[\mu\alpha^N.c/x] \end{aligned}$$

and the  $\eta$ -restriction, whereby the notions of values and stacks must be defined inductively. These two principles ensure that  $\rightarrow_E$  does not affect the reduction order. Polarisation is also determined by the presence of shifts. In  $\mathbf{IMLL}_p^\eta$ ,  $\mathbf{MLJ}_p^\eta$ , and the other systems that we consider, shifts are definable:

$$\uparrow A \stackrel{\text{def}}{=} 1 \multimap A \qquad \downarrow A \stackrel{\text{def}}{=} 1 \otimes A$$

**Theorem 2.**  $\mathbf{LJ}$  without disjunction and  $\mathbf{IMLL}$  are respectively expressible in  $\mathbf{MLJ}_p^\eta$  and  $\mathbf{IMLL}_p^\eta$ .

Above, *expressible* means that the rules of  $\mathbf{LJ}$  without disjunction and  $\mathbf{IMLL}$  are derivable in  $\mathbf{MLJ}_p^\eta$  and  $\mathbf{IMLL}_p^\eta$ . In particular, the latter are complete for provability.

#### 3.2 $\mathbf{MLJ}_p^\eta$ Models

We need consider category-theoretic structure enriched over categories of presheaves. Background material is provided to keep the development relatively self-contained.

**Presheaf enrichment** For a category  $\mathcal{V}$ , we write  $\hat{\mathcal{V}}$  for the large category of presheaves  $\mathbf{Set}^{\mathcal{V}^{\text{op}}}$  and natural transformations between them, and let  $\mathcal{P}\mathcal{V}$  be its full subcategory consisting of the *small presheaves*. These are equivalently defined as those that are a small colimit of representables, or the left Kan extension of their restriction to some small full subcategory of their domain, or the left Kan extension of some presheaf whose domain is small; see [15]. Note that every presheaf on a small category is small, and that  $\mathcal{P}\mathcal{V} = \mathbf{Set}^{\mathcal{V}^{\text{op}}}$  for every small category  $\mathcal{V}$ . The *Yoneda embedding*  $\mathcal{V} \hookrightarrow \hat{\mathcal{V}}$ , mapping an object  $X$  to the *representable presheaf*  $\mathcal{V}(-, X)$ , restricts to a Yoneda embedding  $y : \mathcal{V} \hookrightarrow \mathcal{P}\mathcal{V}$  that exhibits  $\mathcal{P}\mathcal{V}$  as the free cocompletion of  $\mathcal{V}$ . As for limit structure,  $\mathcal{P}\mathcal{V}$  may not even have a terminal object in general, and we henceforth restrict attention to those categories  $\mathcal{V}$  for which  $\mathcal{P}\mathcal{V}$  is cartesian closed. This is the case, for instance, for a small or for a cartesian closed  $\mathcal{V}$ ; see [15].

A  $\mathcal{PV}$ -category  $\mathbb{C}$  is specified by a class of objects  $|\mathbb{C}|$  and hom-presheaves  $\mathbb{C}(A, B)$  in  $\mathcal{PV}$  for all  $A, B \in |\mathbb{C}|$  together with identities  $1 \rightarrow \mathbb{C}(A, A)$  and composition operations  $\mathbb{C}(B, C) \times \mathbb{C}(A, B) \rightarrow \mathbb{C}(A, C)$  for all  $A, B, C$  in  $\mathbb{C}$  that are subject to the usual monoid laws. In this context, we will conveniently write  $\mathbb{C}_X(A, B)$  for the action of the presheaf  $\mathbb{C}(A, B) : \mathcal{V}^{\text{op}} \rightarrow \mathbf{Set}$  on an object  $X$  in  $\mathcal{V}$ .

We shall use the notation  $f : A \rightarrow_X B$  for  $f \in \mathbb{C}_X(A, B)$ . In elementary terms, thus, identities and composition are respectively given by elements of  $\mathbb{C}_X(A, A)$  and maps  $\mathbb{C}_X(B, C) \times \mathbb{C}_X(A, B) \rightarrow \mathbb{C}_X(A, C)$  natural for  $X$  in  $\mathcal{V}$ .

A  $\mathcal{PV}$ -functor  $F : \mathbb{A} \rightarrow \mathbb{B}$  between  $\mathcal{PV}$ -categories is given by a mapping  $F : |\mathbb{A}| \rightarrow |\mathbb{B}|$  and functorial mappings  $F_{X,Y}^Z : \mathbb{A}_Z(X, Y) \rightarrow \mathbb{B}_Z(FX, FY)$  natural for  $Z$  in  $\mathcal{V}$ . Further, a  $\mathcal{PV}$ -natural transformation  $\varphi : F \rightarrow G : \mathbb{A} \rightarrow \mathbb{B}$  between  $\mathcal{PV}$ -functors consists of a family  $\varphi_A^X \in \mathbb{B}_X(FA, GA)$  natural for  $X$  in  $\mathcal{V}$  and  $A$  in  $\mathbb{A}$ .

The category  $\mathcal{PV}$ , being assumed cartesian closed, underlies a  $\mathcal{PV}$ -category  $\mathcal{PV}$  and the Yoneda embedding  $y : \mathcal{V} \hookrightarrow \mathcal{PV}$  induces a  $\mathcal{PV}$ -enrichment on  $\mathcal{V}$ . Indeed, every category  $\mathcal{V}$  underlies the  $\mathcal{PV}$ -category  $\mathcal{V}$  with objects that of  $\mathcal{V}$  and hom-presheaves given by the exponential structure of  $\mathcal{PV}$ ; that is, with  $\mathcal{V}(X, Y) = \mathcal{PV}(yX, yY)$ . In particular, for cartesian  $\mathcal{V}$ , one has that  $\mathcal{V}_Z(X, Y) = \mathcal{V}(Z \times X, Y)$ . The Yoneda embedding enriches to a  $\mathcal{PV}$ -functor.

A  $\mathcal{PV}$ -category is said to be *powered* whenever it has *powers*; represented, for presheaves  $P$  in  $\mathcal{PV}$  and objects  $A$  in  $\mathbb{C}$ , by an object  $[P \rightarrow A]$  in  $\mathbb{C}$  together with an isomorphism

$$\mathbb{C}(C, [P \rightarrow A]) \cong \mathcal{PV}(P, \mathbb{C}(C, A))$$

$\mathcal{PV}$ -natural for  $C$  in  $\mathbb{C}$ . Powers with respect to representable presheaves are referred to as  $\mathcal{V}$ -powers.

A  $\mathcal{PV}$ -adjunction  $\mathbb{A} \xrightleftharpoons[\mathcal{G}]{\mathcal{F}} \mathbb{B}$  consists of  $\mathcal{PV}$ -functors together with a representation

$$\mathbb{B}_X(FA, B) \cong \mathbb{A}_X(A, GB)$$

natural for  $X$  in  $\mathcal{V}$ , for  $A$  in  $\mathbb{A}$ , and for  $B$  in  $\mathbb{B}$ .

**Adjunction models** The notion of model for  $\mathbf{MLJ}_p^n$  follows; it coincides with that of EC model of Egger, Møgelberg and Simpson [16].

**Definition 3.** An  $\mathbf{MLJ}_p^n$  model consists of a cartesian category  $\mathcal{V}$  and a  $\mathcal{PV}$ -category  $\mathbb{C}$  with  $\mathcal{V}$ -powers together with a  $\mathcal{PV}$ -adjunction  $\mathcal{V} \xrightleftharpoons[\mathcal{G}]{\mathcal{F}} \mathbb{C}$ .

Note that  $\mathcal{V}$ -powers are represented, for objects  $P$  in  $\mathcal{V}$  and  $N$  in  $\mathbb{C}$ , by an object  $[P \rightarrow N]$  in  $\mathbb{C}$  together with an isomorphism

$$\mathbb{C}_Q(M, [P \rightarrow N]) \cong \mathbb{C}_{Q \times P}(M, N)$$

natural for  $Q$  in  $\mathcal{V}$  and for  $M$  in  $\mathbb{C}$ .

The coherent and sound interpretation of  $\mathbf{MLJ}_p^n$  is given in the next section as a particular case of that of  $\mathbf{IMLL}_p^n$ .

## 4. Linear Polarised Structure

We now focus on the linear multiplicative setting, whose calculus  $\mathbf{IMLL}_p^n$  was introduced in the previous section. We give its models and interpretation.

### 4.1 $\mathbf{IMLL}_p^n$ Models

Models of  $\mathbf{IMLL}_p^n$  refine  $\mathbf{MLJ}_p^n$  models by weakening the cartesian structure to being linear, *i.e.* symmetric monoidal. The main technical tool needed to do so is a canonical symmetric monoidal structure on presheaf categories over symmetric monoidal categories.

**Presheaf convolution** Every symmetric monoidal structure  $I, \otimes$  on a category  $\mathcal{L}$  extends to a symmetric monoidal structure on  $\mathcal{PL}$  in such a way that the Yoneda embedding  $y : \mathcal{L} \hookrightarrow \mathcal{PL}$  is a symmetric strong monoidal functor [15]. This construction, known as Day's *convolution monoidal structure* [14], has monoidal unit  $yI$  and monoidal product

$$(P \otimes Q)(Z) = \int^{X, Y \in \mathcal{L}} P(X) \times Q(Y) \times \mathcal{L}(Z, X \otimes Y) .$$

We henceforth restrict attention to the case when the convolution monoidal structure on  $\mathcal{PL}$  is closed, which precisely happens when the presheaves  $\mathcal{L}(- \otimes X, Y)$  are small for all  $X, Y$  in  $\mathcal{L}$  [15]; as for instance holds when  $\mathcal{L}$  is small or when it is closed.

The category  $\mathcal{PL}$ , being assumed closed, underlies a  $\mathcal{PL}$ -category  $\mathcal{PL}$  and the Yoneda embedding induces a  $\mathcal{PL}$ -enrichment on  $\mathcal{L}$ . Indeed, the category  $\mathcal{L}$  underlies the  $\mathcal{PL}$ -category  $\mathcal{L}$  with objects that of  $\mathcal{L}$  and hom-presheaves given by the closed structure of  $\mathcal{PL}$ ; that is, with  $\mathcal{L}(X, Y) = \mathcal{PL}(yX, yY)$ . In particular, one has that  $\mathcal{L}_Z(X, Y) = \mathcal{L}(Z \otimes X, Y)$ . The Yoneda embedding enriches to a  $\mathcal{PL}$ -functor.

**Adjunction models** The notion of model for  $\mathbf{IMLL}_p^n$  follows. Note that this encompasses  $\mathbf{MLJ}_p^n$  models.

**Definition 4.** An  $\mathbf{IMLL}_p^n$  model consists of a symmetric monoidal category  $\mathcal{L}$  and a  $\mathcal{PL}$ -category  $\mathbb{C}$  with  $\mathcal{L}$ -powers together with a  $\mathcal{PL}$ -adjunction  $\mathcal{L} \xrightleftharpoons[\mathcal{G}]{\mathcal{F}} \mathbb{C}$ .

To aid the understanding of this structure, we remark that in elementary terms a  $\mathcal{PL}$ -category  $\mathbb{C}$  is specified by a class of objects  $|\mathbb{C}|$  and hom-presheaves  $\mathbb{C}(M, N)$  in  $\mathcal{PL}$  for all  $M, N \in |\mathbb{C}|$  together with identities in  $\mathbb{C}$ ,  $(N, N)$  and composition operations

$$\mathbb{C}_Q(N', N'') \times \mathbb{C}_P(N, N') \rightarrow \mathbb{C}_{P \otimes Q}(N, N'')$$

natural for  $P, Q$  in  $\mathcal{L}$  and subject to monoid laws.

In addition,  $\mathcal{L}$ -powers are represented, for objects  $P$  in  $\mathcal{L}$  and  $N$  in  $\mathbb{C}$ , by an object  $[P \multimap N]$  in  $\mathbb{C}$  together with an isomorphism

$$\mathbb{C}_Q(M, [P \multimap N]) \cong \mathbb{C}_{Q \otimes P}(M, N)$$

natural for  $Q$  in  $\mathcal{L}$  and for  $M$  in  $\mathbb{C}$ . Therefore, there are evaluation maps  $[P \multimap N] \rightarrow_P N$  in  $\mathbb{C}$ .

**Lemma 5.** For every  $P$  in  $\mathcal{L}$  and  $N$  in  $\mathbb{C}$ , we have an isomorphism

$$\mathcal{L}(Q \otimes P, GN) \cong \mathcal{L}(Q, G[P \multimap N])$$

natural for  $Q$  in  $\mathcal{L}$ . Therefore, there are evaluation maps  $G[P \multimap N] \otimes P \rightarrow GN$  in  $\mathcal{L}$ .

**Example 6.** Every symmetric monoidal closed category  $\mathcal{L}$ , powered  $\mathcal{L}$ -category  $\mathbb{C}$ , and  $\mathcal{PL}$ -adjunction  $\mathcal{L} \xrightleftharpoons[\mathcal{G}]{\mathcal{F}} \mathbb{C}$  provide a  $\mathbf{IMLL}_p^n$  model. Such examples include:

1.  $\mathbb{C} = \mathcal{L}$  with the monoidal-closure adjunction  $(-) \otimes P \dashv [P \multimap -]$  for  $P$  in  $\mathcal{L}$ , and
2.  $\mathbb{C} = \mathcal{L}^{\text{op}}$  with the linear double-dualisation adjunction  $[- \multimap P] \dashv [- \multimap P]$  for  $P$  in  $\mathcal{L}$ .

**Remark 7.** The second example above was considered in the linear case by Melliès and Tabareau [33]. It has extra structure in the form of an obvious strictly involutive negation  $\mathcal{L}^{\text{op}} \xrightleftharpoons[\mathcal{G}]{\mathcal{F}} \mathbb{C}$  and thus gives models of polarised classical and linear logic. Its proof theory in fact led to the introduction of polarisation [23].

Corresponding systems  $\mathbf{LK}_p^n$  (essentially Girard's  $\mathbf{LC}$ , see [13]) and  $\mathbf{LL}_p^n$  can be deduced from  $\mathbf{LJ}_p^n$  and  $\mathbf{ILL}_p^n$  by adding a (strict or not) involutive negation by following the ideas in [36, 38]. This perspective is novel compared to the original intuitions involving “linear continuations” [17]: it does not presuppose that evaluation order is irrelevant and, as we will see, is more faithful to the focusing properties of linear logic.

**Example 8.** Let  $\mathbb{C}$  be a small symmetric monoidal category with tensor product  $\bullet$ . For every presheaf  $S \in \mathcal{P}(\mathbb{C}^{\text{op}})$ , we have an adjoint situation:

$$\mathcal{P}\mathbb{C} \begin{array}{c} \xrightarrow{F_S} \\ \perp \\ \xleftarrow{G_S} \end{array} \mathcal{P}(\mathbb{C}^{\text{op}})$$

where  $F_S(P) = \int^{c \in \mathbb{C}} S(- \bullet c) \times P(c)$  and  $G_S(N) = \int_{z \in \mathbb{C}} S(z \bullet -) \Rightarrow N(z)$ . Each of these adjunctions enriches to an  $\mathbf{IMLL}_p^\eta$  model by taking  $\mathcal{L}$  to be  $\mathcal{P}\mathbb{C}$  and  $\underline{\mathcal{S}}$  to be the  $\mathcal{P}\mathcal{L}$ -enrichment of  $\mathcal{P}(\mathbb{C}^{\text{op}})$  with homs  $\underline{\mathcal{S}}_P(M, N) = \mathcal{L}(P, G_M(N))$ . The monads  $G_S F_S$  are therefore given by the formula

$$G_S F_S(P) = \int_{z \in \mathbb{C}} S(z \bullet -) \Rightarrow \int^{c \in \mathbb{C}} S(z \bullet c) \times P(c)$$

and may be computationally interpreted as linear models for local store. There is in fact more mathematical structure in these models, details of which will appear elsewhere.

## 4.2 $\mathbf{IMLL}_p^\eta$ Semantics

We present the categorical interpretation of  $\mathbf{IMLL}_p^\eta$ .

**Interpretation of types** To every type  $A$ , we associate both a *positive* interpretation  $A^+ \in \mathcal{L}$  and a *negative* interpretation  $A^- \in \underline{\mathcal{S}}$ . These are defined by mutual induction as follows:

- $(X^+)^+$  is an assigned object of  $\mathcal{L}$ ;  $1^+ = I$ ;  $(A \otimes B)^+ = A^+ \otimes B^+$ ; and  $N^+ = GN^-$  for every negative type  $N$ ;
- $(X^-)^-$  is an assigned object of  $\underline{\mathcal{S}}$ ;  $(A \multimap B)^- = [A^+ \multimap B^-]$ ; and  $P^- = FP^+$  for every positive type  $P$ .

The interpretation of types extends pointwise to typing contexts as follows:

- $(x_1 : A_1, \dots, x_n : A_n)^+ = (\dots (I \otimes A_1^+) \otimes \dots) \otimes A_n^+$ ;
- $(\alpha : A)^- = A^-$ .

**Interpretation of judgements** Values and stacks are interpreted in the categories  $\mathcal{L}$  and  $\underline{\mathcal{S}}$ , respectively:

- $\llbracket \Gamma \vdash V : A \rrbracket : \Gamma^+ \rightarrow A^+$  in  $\mathcal{L}$ ,
- $\llbracket \Gamma; S : A \vdash \Delta \rrbracket : A^- \rightarrow_{\Gamma^+} \Delta^-$  in  $\underline{\mathcal{S}}$ .

As for expressions, contexts and commands, judgements may be equivalently interpreted as morphisms in  $\mathcal{L}(-, G=)$  or in  $\underline{\mathcal{S}}(F-, =)$ , as explained in Section 3.1. In fact we fix it arbitrarily as follows:

- $\llbracket \Gamma \vdash t : A \rrbracket : \Gamma^+ \rightarrow GA^-$  in  $\mathcal{L}$ ,
- $\llbracket \Gamma \mid e : A \vdash \Delta \rrbracket : FA^+ \rightarrow_{\Gamma^+} \Delta^-$  in  $\underline{\mathcal{S}}$ , and
- $\llbracket c : (\Gamma \vdash \Delta) \rrbracket : \Gamma^+ \rightarrow G\Delta^-$  in  $\mathcal{L}$ .

The interpretation is defined by mutual induction on derivations as follows.

Coercions:

- $\llbracket \Gamma \vdash V^\circ : A \rrbracket : \Gamma^+ \rightarrow GA^-$  is obtained from  $\llbracket \Gamma \vdash V : A \rrbracket : \Gamma^+ \rightarrow A^+$ ; as this morphism for  $A$  negative and, for  $A$  positive, by post-composing it with  $A^+ \cong I \otimes A^+ \rightarrow GFA^+$  where the second map is the unit;
- $\llbracket \Gamma \mid S^\circ : A \vdash \Delta \rrbracket : FA^+ \rightarrow_{\Gamma^+} \Delta^-$  is obtained from the map  $\llbracket \Gamma; S : A \vdash \Delta \rrbracket : A^- \rightarrow_{\Gamma^+} \Delta^-$ ; as this morphism for  $A$  positive and, for  $A$  negative, by pre-composing it with the counit  $FGA^- \rightarrow_I A^-$ ;
- $\llbracket \Gamma \mid \tilde{\mu}x^A.c : A \vdash \Delta \rrbracket : FA^+ \rightarrow_{\Gamma^+} \Delta^-$  is the transpose of the map  $\llbracket c : (\Gamma, x : A \vdash \Delta) \rrbracket : \Gamma^+ \otimes A^+ \rightarrow G\Delta^-$ ;
- $\llbracket \Gamma \vdash \mu\alpha^A.c : A \rrbracket : \Gamma^+ \rightarrow GA^-$  is  $\llbracket c : (\Gamma \vdash \alpha : A) \rrbracket$ .

Identity rules:

- $\llbracket x : A \vdash x : A \rrbracket : I \otimes A^+ \rightarrow A^+$  is the canonical isomorphism;
- $\llbracket \alpha : A \vdash \alpha : A \rrbracket : A^- \rightarrow_I A^-$  is the identity;
- $\llbracket \langle V \parallel e \rangle^- : (\Gamma, \Gamma' \vdash \Delta) \rrbracket : (\Gamma, \Gamma')^+ \rightarrow G\Delta^-$  is obtained from the composite  $I \rightarrow_{\Gamma^+} GN^- \rightarrow_{\Gamma'^+} G\Delta^-$  in  $\underline{\mathcal{S}}$  where the first morphism arises from  $\llbracket \Gamma \vdash V : N \rrbracket : \Gamma^+ \rightarrow GN^-$  and the second one from the transpose of  $\llbracket \Gamma' \mid e : N \vdash \Delta \rrbracket : FGN^- \rightarrow_{\Gamma'^+} \Delta^-$ ;
- $\llbracket \langle t \parallel S \rangle^+ : (\Gamma, \Gamma' \vdash \Delta) \rrbracket : (\Gamma, \Gamma')^+ \rightarrow G\Delta^-$  is obtained from the transpose of the composite  $FI \rightarrow_{\Gamma^+} FP^+ \rightarrow_{\Gamma'^+} \Delta^-$  in  $\underline{\mathcal{S}}$  where the first morphism arises from  $\llbracket \Gamma \vdash t : P \rrbracket : \Gamma^+ \rightarrow GFP^+$  by transposition and the second morphism is  $\llbracket \Gamma'; S : P \vdash \Delta \rrbracket$ .

Structural rules:

- $\llbracket \Gamma' \vdash V[\sigma] : A \rrbracket : \Gamma'^+ \rightarrow A^+$  is  $\llbracket \Gamma \vdash V : A \rrbracket \circ \llbracket \sigma \rrbracket$  where  $\llbracket \sigma \rrbracket : \Gamma'^+ \cong \Gamma^+$  is the canonical isomorphism interpreting the bijection  $\sigma$ ;
- $\llbracket \Gamma'; S[\sigma] : A \vdash \Delta \rrbracket : A^- \rightarrow_{\Gamma'^+} \Delta^-$  is the map  $\llbracket \Gamma; S : A \vdash \Delta \rrbracket : A^- \rightarrow_{\Gamma^+} \Delta^-$  acted upon by  $\llbracket \sigma \rrbracket$ ;
- $\llbracket \Gamma' \vdash t[\sigma] : A \rrbracket : \Gamma'^+ \rightarrow GA^-$  is  $\llbracket \Gamma \vdash t : A \rrbracket \circ \llbracket \sigma \rrbracket$ ;
- $\llbracket \Gamma' \mid e[\sigma] : A \vdash \Delta \rrbracket : FA^+ \rightarrow_{\Gamma'^+} \Delta^-$  is the map  $\llbracket \Gamma \mid e : A \vdash \Delta \rrbracket : FA^+ \rightarrow_{\Gamma^+} \Delta^-$  acted upon by  $\llbracket \sigma \rrbracket$ ;
- $\llbracket c[\sigma] : (\Gamma' \vdash \Delta) \rrbracket : \Gamma'^+ \rightarrow G\Delta^-$  is  $\llbracket c : (\Gamma \vdash \Delta) \rrbracket \circ \llbracket \sigma \rrbracket$ .

Logical rules:

- $\llbracket \Gamma, \Gamma' \vdash V \otimes W : A \otimes B \rrbracket : (\Gamma, \Gamma')^+ \rightarrow A^+ \otimes B^+$  is given by pre-composing  $\llbracket \Gamma \vdash V : A \rrbracket \otimes \llbracket \Gamma' \vdash W : B \rrbracket : \Gamma^+ \otimes \Gamma'^+ \rightarrow A^+ \otimes B^+$  with  $(\Gamma, \Gamma')^+ \cong \Gamma^+ \otimes \Gamma'^+$ .
- $\llbracket \Gamma; \tilde{\mu}(x^A \otimes y^B).c : A \otimes B \vdash \Delta \rrbracket : F(A^+ \otimes B^+) \rightarrow_{\Gamma^+} \Delta^-$  is the transpose of  $\llbracket c : (\Gamma, x : A, y : B \vdash \Delta) \rrbracket : (\Gamma^+ \otimes A^+) \otimes B^+ \rightarrow G\Delta^-$  pre-composed with  $\Gamma^+ \otimes (A^+ \otimes B^+) \cong (\Gamma^+ \otimes A^+) \otimes B^+$ ;
- $\llbracket \Gamma \vdash \mu(x^A \cdot \alpha^B).c : A \multimap B \rrbracket : \Gamma^+ \rightarrow G[A^+ \multimap B^-]$  is obtained from  $\llbracket c : (\Gamma, x : A \vdash \alpha : B) \rrbracket : \Gamma^+ \otimes A^+ \rightarrow GB^-$  by means of Lemma 5;
- $\llbracket \Gamma, \Gamma'; V : S : A \multimap B \vdash \Delta \rrbracket : [A^+ \multimap B^-] \rightarrow_{(\Gamma, \Gamma')^+} \Delta^-$  is obtained from the evaluation map  $[A^+ \multimap B^-] \rightarrow_{A^+} B^-$  acted upon by  $\llbracket \Gamma \vdash V : A \rrbracket : \Gamma^+ \rightarrow A^+$  post-composed with  $\llbracket \Gamma'; S : B \vdash \Delta \rrbracket : B^- \rightarrow_{\Gamma'^+} \Delta^-$ ;
- $\llbracket \vdash () : 1 \rrbracket : I \rightarrow I$  is the identity;
- $\llbracket \Gamma; \tilde{\mu}().c : 1 \vdash \Delta \rrbracket : FI \rightarrow_{\Gamma^+} \Delta^-$  is the transpose of the map  $\llbracket c : (\Gamma \vdash \Delta) \rrbracket : \Gamma^+ \rightarrow G\Delta^-$  pre-composed with  $\Gamma^+ \otimes I \cong \Gamma^+$ .

**Theorem 9.** The  $\mathbf{IMLL}_p^\eta$  semantics is coherent: all derivations of a judgement have the same interpretation; and sound: if  $c \rightarrow_{\text{RE}} c' : (\Gamma \vdash \Delta)$  then  $\llbracket c : (\Gamma \vdash \Delta) \rrbracket = \llbracket c' : (\Gamma \vdash \Delta) \rrbracket$ .

The key to these results is an extension of the Generation Lemma with the preservation of the denotations in any model, which shows that the interpretation is in fact determined by induction on the terms.

## 4.3 $\mathbf{MLJ}_p^\eta$ Semantics

The categorical interpretation of  $\mathbf{MLJ}_p^\eta$  derivations in its models from Section 3.2 extends that of  $\mathbf{IMLL}_p^\eta$  using the canonical cartesian interpretation  $\llbracket \sigma \rrbracket : \Gamma'^+ \rightarrow \Gamma^+$  of maps  $\sigma \in \Sigma(\Gamma; \Gamma')$ .

**Theorem 10.** The  $\mathbf{MLJ}_p^\eta$  semantics is coherent and sound.

#### 4.4 Depolarisation

Polarisation, in  $\mathbf{MLJ}_p^\eta$ ,  $\mathbf{IMLL}_p^\eta$ , and their extensions, is represented by the fact that the following equations (here given with an orientation) are not always derivable from  $\simeq_{\text{RE}}$  when  $A$  is positive and  $B$  is negative:

$$\langle t \parallel \tilde{\mu}x^A.\langle \mu\alpha^B.c \parallel e \rangle \rangle \triangleright_A \langle \mu\alpha^B.\langle t \parallel \tilde{\mu}x^A.c \rangle \parallel e \rangle \quad (2)$$

$$\langle t \parallel \tilde{\mu}x^A.c \rangle \triangleright_T c[t/x] \quad (3)$$

$$c[e/\alpha] \triangleright_L \langle \mu\alpha^B.c \parallel e \rangle \quad (4)$$

The equation  $\triangleright_A$  corresponds to an associativity of cuts. The notations  $[t/x]$  and  $[e/\alpha]$  refer to the substitutions of  $t$  for  $x$  and of  $e$  for  $\alpha$  under the condition that every occurrence of  $x$  is of the form  $\langle x \parallel S \rangle^+$ , and every occurrence of  $\alpha$  is of the form  $\langle V \parallel \alpha \rangle^-$ . (They can equivalently be defined as standard substitutions, in the sense of higher-order rewriting, by adding the so-called “ $\zeta$ -rules”.)

**Lemma 11.** *Let  $A$  be a type and  $t$  be an expression. We denote with  $\triangleright_{A(t)}$  and  $\triangleright_{T(t)}$  the relations given respectively by (2) and (3) quantified over arbitrary  $B$ ,  $c$  and  $e$ . Symmetrically, let  $B$  be a type and  $e$  be a context. We denote with  $\triangleright_{A(e)}$  and  $\triangleright_{L(e)}$  the relations given respectively by (2) and (4) quantified over arbitrary  $A$ ,  $c$  and  $t$ . One has:*

$$(\simeq_{\text{RE}} \cdot \triangleright_{A(t)} \cdot \simeq_{\text{RE}}) = (\simeq_{\text{RE}} \cdot \triangleright_{T(t)} \cdot \simeq_{\text{RE}})$$

$$(\simeq_{\text{RE}} \cdot \triangleright_{A(e)} \cdot \simeq_{\text{RE}}) = (\simeq_{\text{RE}} \cdot \triangleright_{L(e)} \cdot \simeq_{\text{RE}})$$

With the additional constraint that  $c[\mu\alpha^A.\langle y \parallel ()\alpha \rangle/x]$  (in the case of  $\triangleright_T$ ) and  $c[\tilde{\mu}x^B.\langle x \otimes () \parallel \beta \rangle/\alpha]$  (in the case of  $\triangleright_L$ ) are typable, these equalities restrict to the corresponding typed relations between legal terms.

In particular,  $\triangleright_A$ ,  $\triangleright_T$ , and  $\triangleright_L$  are equal modulo  $\simeq_{\text{RE}}$ . Notice that the final typing constraint is a linearity constraint in the case of  $\mathbf{IMLL}_p^\eta$  and its extensions. A model is *depolarised* if it validates either of these equivalent equation schemes. The following theorem characterises depolarised models and extends the characterisation in [39].

**Theorem 12.** *Let  $\underline{\mathcal{L}} \xrightarrow{F} \underline{\mathcal{G}}$  be an  $\mathbf{IMLL}_p^\eta$  model. Every interpretation satisfies the typed restriction of (2) if and only if the adjunction is idempotent.*

This result generalises the depolarisation criterion for dialogue categories [33]. There, categorical models of linear logic are built from polarised ones by enforcing commutativity of the strong monad  $\dashv$ . For the adjunction  $\dashv \dashv \dashv$ , being idempotent is equivalent to  $\dashv \dashv$  being commutative (Führmann [21]).

**Example 13.** Erratic choice [31, Section 5.5], based on the powerset monad, is an example of a commutative, but non-idempotent effect.

### 5. Resource Modalities

We now focus on resource structure relating linear models to cartesian ones. In the calculus, we give a treatment of the exponential modality  $!$  consistent with its focusing properties. Its sound interpretation by means of resource structure extends that of Melliès and Tabareau [33].

#### 5.1 $\mathbf{IMELL}_p^\eta$ Calculus

$\mathbf{IMELL}_p^\eta$  is defined in Figure 3 by adding to  $\mathbf{IMLL}_p^\eta$  the exponential modality  $!$  from linear logic [22]. Unlike the other connectives, the pattern-matching form  $(\mu^! \alpha.c)$  is a positive expression; it corresponds to promotion. For this reason, it is difficult to provide syntactic sugar for  $\mathbf{IMELL}_p^\eta$  in  $\lambda$ -calculus style, as was noticed for linear

logic in its early days [4, 5, 45, 46]. A main novelty of our syntax is that contraction and weakening are treated by merging and introducing variables in the context, instead of introducing explicit grammatical counterparts to the logical rules.<sup>1</sup> This treatment is similar to [36] but is now substantiated by a semantic interpretation.

Our definition reflects the complex focalisation properties of  $!$ : *almost* invertible on the right (meaning that the rule  $(E!)$  is ill-typed unless the context is of the form  $!\Gamma$ ) and non-invertible on the left. A key property to notice is that if  $\Gamma \vdash V : !A$ , then  $\Gamma$  is of the form  $!\Gamma'$ , by analysis on the derivation. Thus, restricting the rule  $(E!)$  to values ensures that it is valid. For the same reason, any reduction  $\langle V \parallel \tilde{\mu}x^!A.c \rangle \triangleright_R c[V/x]$ , which may duplicate or erase  $V$  due to contractions or weakening in  $x$ , is valid because the same contractions or weakening apply to the typing context of  $V$ , necessarily of the form  $!\Gamma$ .

**Theorem 14.**  *$\mathbf{IMELL}$  is expressible in  $\mathbf{IMELL}_p^\eta$ .*

**Theorem 15.**  *$\mathbf{IMELL}_p^\eta$  has the Barendregt-style properties.*

#### 5.2 $\mathbf{IMELL}_p^\eta$ Models

**Adjunction models** We extend  $\mathbf{IMLL}_p^\eta$  models with resources in the form of a monoidal adjunction; when this is linear/non-linear [4] it describes  $\mathbf{IMELL}_p^\eta$  models.

**Definition 16.** An  $\mathbf{IMLL}_p^\eta$  model with a resource modality consists of an  $\mathbf{IMLL}_p^\eta$  model  $\underline{\mathcal{L}} \xrightarrow{\dashv} \underline{\mathcal{G}}$  together with a symmetric monoidal category  $\mathcal{K}$  and a monoidal adjunction  $\mathcal{K} \xrightarrow{\dashv} \mathcal{L}$ . An  $\mathbf{IMELL}_p^\eta$  model is an  $\mathbf{IMLL}_p^\eta$  model with a resource modality in which the symmetric monoidal structure of  $\mathcal{K}$  is cartesian.

**Example 17.** The dialogue categories with a resource modality of Melliès and Tabareau [33] are  $\mathbf{IMLL}_p^\eta$  models with a resource modality.

**Semantics** For an  $\mathbf{IMLL}_p^\eta$  model with a resource modality as above, we write  $E$  for the monoidal comonad on  $\mathcal{L}$  induced by the monoidal adjunction, and define:

$$(!A)^+ \stackrel{\text{def}}{=} EGA^-$$

The terms associated to the exponential are then interpreted as follows:

- $\llbracket !\Gamma \vdash \mu^! \alpha.c : !A \rrbracket : E\Gamma^- \rightarrow EGA^-$  is obtained by pre-composing  $E\llbracket c : (\Gamma \vdash \alpha : A) \rrbracket : EEG\Gamma^- \rightarrow EGA^-$  with the comultiplication  $E\Gamma^- \rightarrow EEG\Gamma^-$  of  $E$ ;
- $\llbracket \Gamma; !S : !A \vdash \Delta \rrbracket : FE\Gamma A^- \rightarrow_{\Gamma^+} \Delta^-$  arises from the map  $\llbracket \Gamma; S : A \vdash \Delta \rrbracket : A^- \rightarrow_{\Gamma^+} \Delta^-$  by pre-composition with the composite  $FE\Gamma A^- \rightarrow_I FGA^- \rightarrow_I A^-$  where the first map is obtained from the application of  $F$  to the map  $I \otimes EGA^- \rightarrow GA^-$  arising from the counit of  $E$  and the second map is the counit of  $F \dashv G$ .

Assuming that the model is one of  $\mathbf{IMELL}_p^\eta$ , the interpretation of the structural rules is as before relying on a functorial interpretation  $\llbracket \sigma \rrbracket : \Gamma'^+ \rightarrow \Gamma^+$  of maps  $\sigma \in \Sigma^!(\Gamma; \Gamma')$ :

- maps  $(x_1 : A, \dots, x_n : A) \rightarrow (x : A)$  are interpreted by

$$(x : A)^+ \cong A^+ \xrightarrow{\delta_{A^+}^{(n)}} (A^+)^{\otimes n} \cong (x_1 : A, \dots, x_n : A)^+ \quad (n \geq 0)$$

<sup>1</sup> While the linear/non-linear syntax [4] does offer a similar treatment for structural rules on the non-linear part of the sequent, deriving the actual structural rules of linear logic amounts to encoding contraction and weakening with the explicit syntactic constructs that we manage to avoid.



$\mathbf{IMELL}_p^\eta = \mathbf{IMLL}_p^\eta + \text{exponentials as follows:}$

GRAMMAR AND CONVERSIONS (PSEUDO-TERMS)

|                          |  |  |
|--------------------------|--|--|
| $P, Q ::= \dots \mid !A$ | values $V, W ::= \dots \mid \mu! \alpha^A.c$ | $(R!) \quad \langle \mu! \alpha^A.c \parallel !S \rangle \triangleright_R c[S/\alpha]$ |
| (a) Types                | stacks $S ::= \dots \mid !S$                 | $(E!) \quad \mu! \alpha^A. \langle V \parallel !\alpha \rangle \triangleright_E V$     |
|                          | (b) Pseudo-terms                             | (c) Reduction and extensionality rules   |

TYPING RULES AND DERIVABLE RULES (LEGAL TERMS)

- $\Sigma'(\Gamma; \Gamma')$  is the subset of  $\Sigma(\Gamma; \Gamma')$  consisting of the maps that restrict to a bijection on the variables that are not of the type  $!A$ .

$$\frac{c : (!\Gamma \vdash \alpha : A)}{!\Gamma \vdash \mu! \alpha^A.c : !A;} \quad (\vdash_!) \quad \frac{\Gamma; S : A \vdash \Delta}{\Gamma; !S : !A \vdash \Delta} \quad (\vdash_{f'})$$

and the rules from Figure 2c extended to all  $\sigma \in \Sigma'(\Gamma; \Gamma')$ .

(d) Structure

$$\frac{\Gamma \mid e : A \vdash \Delta}{\Gamma \mid !e : !A \vdash \Delta} \quad (\vdash_!) \quad !e^A \stackrel{\text{def}}{=} \tilde{\mu}x^{!A}. \langle \mu \alpha^A. \langle x \parallel !\alpha \rangle \parallel e \rangle$$

(e) Remaining rule of sequent calculus

Figure 3. Exponentials

where  $\delta_{A^+}^{(n)}$  is the identity for  $n = 1$  and otherwise, writing  $L \dashv K$  for the adjoint resolution of  $E$ , given by the canonical maps

$$LKP \xrightarrow{L\Delta_{KP}^{(n)}} L((KP)^n) \cong (LKP)^{\otimes n}$$

with  $P = GB^-$  for  $A = !B$ ;

- arbitrary maps  $\sigma : \Gamma \longrightarrow (x_1 : A_1, \dots, x_n : A_n)$  are interpreted by

$$(x_1 : A_1, \dots, x_n : A_n)^+ \cong (x_1 : A_1)^+ \otimes \dots \otimes (x_n : A_n)^+ \\ \xrightarrow{\delta_{A_1} \otimes \dots \otimes \delta_{A_n}} (\Gamma_1^+ \otimes \dots \otimes \Gamma_n^+) \cong \Gamma^+$$

where  $\Gamma_i$  is the restriction of  $\Gamma$  to  $\sigma^{-1}(x_i)$ .

**Theorem 18.** *The  $\mathbf{IMELL}_p^\eta$  semantics is coherent and sound.*

In the particular case of dialogue categories, this interpretation corresponds, when taking into account the presence of an involutive negation, to Melliès and Tabareau's "focalised" translation of linear logic into tensor logic [33], but for the omission of a shift in their definition that our interpretation corrects.

In fact, this interpretation suggests a decomposition of the exponential  $!A$  in the calculus as  $\mathfrak{j}!A$  where  $(\mathfrak{j}A)^+ = EA^+$ , not investigated here. This new connective  $\mathfrak{j}$  has to be understood as a *proto-exponential* whose promotion rule is restricted to values. Like for the value restriction of polymorphism, it is the constraint on the typing context that prevents the immediate lifting of this restriction to values.

### 5.3 Lifting Theorem

We establish a model-theoretic lifting theorem for  $\mathbf{IMLL}_p^\eta$  models with a resource modality. In the context of exponential structure it corresponds to a Girard decomposition of cartesian into linear structure.

Every functor  $L : \mathcal{K} \rightarrow \mathcal{L}$  induces the adjoint situation  $L_! \dashv L^* : \mathcal{P}\mathcal{L} \rightarrow \mathcal{P}\mathcal{K}$  where  $L_!$  is the universal cocontinuous extension of  $L$  and  $L^*P = PL$ . Furthermore, if  $L$  is a (symmetric) strong monoidal functor, as it is the case when it is a (symmetric) monoidal left adjoint [26], then the adjunction  $L_! \dashv L^*$  is (symmetric) monoidal [25].

In this situation, as it happens with every monoidal functor, one obtains a 2-functor mapping a  $\mathcal{P}\mathcal{L}$ -category  $\mathcal{C}$  to the  $\mathcal{P}\mathcal{K}$ -category  $L^*\mathcal{C}$  with objects those of  $\mathcal{C}$  and hom-presheaves  $L^*\mathcal{C}(A, B) = L^*(\mathcal{C}(A, B))$ . This construction has the following closure property:

if  $\mathcal{C}$  has  $\mathcal{L}$ -powers  $[- \multimap_{\mathcal{L}} =]$  then  $L^*\mathcal{C}$  has  $\mathcal{K}$ -powers  $[- \multimap_{\mathcal{K}} =]$ . These are given by

$$[X \multimap_{\mathcal{K}} B] = [LX \multimap_{\mathcal{L}} B]$$

as

$$L^*\mathcal{C}(A, [LX \multimap_{\mathcal{L}} B]) \cong L^*\mathcal{P}\mathcal{L}(y(LX), \mathcal{C}(A, B)) \\ \cong \mathcal{C}_{L(X) \otimes L(-)}(A, B) \cong \mathcal{C}_{L(X \otimes -)}(A, B) \\ \cong \mathcal{P}\mathcal{L}(yX, L^*\mathcal{C}(A, B))$$

Furthermore, we have a  $\mathcal{P}\mathcal{K}$ -functor  $\underline{L} : \mathcal{K} \rightarrow L^*\mathcal{L}$  with object mapping that of  $L$  and hom-actions given by

$$\begin{array}{ccc} \mathcal{K}(X, Y) & \xrightarrow{\quad} & L^*\mathcal{L}(LX, LY) \\ \downarrow & & \uparrow \\ \mathcal{K}(X \otimes -, Y) & \rightarrow \mathcal{L}(L(X \otimes -), LY) & \rightarrow \mathcal{L}(L(X) \otimes L(-), LY) \end{array}$$

with the property that  $\underline{L}$  is a left  $\mathcal{P}\mathcal{K}$ -adjoint whenever  $L$  is a monoidal left adjoint. In particular, note that for a monoidal adjunction  $L \dashv K : \mathcal{L} \rightarrow \mathcal{K}$  one has

$$L^*\mathcal{L}(LX, Y) \cong \mathcal{L}(L(X) \otimes L(-), Y) \\ \cong \mathcal{L}(L(X \otimes -), Y) \\ \cong \mathcal{K}(X \otimes -, KY) \cong \mathcal{K}(X, KY)$$

To summarise, we have the following *lifting theorem*.

**Theorem 19.** *Every  $\mathbf{IMLL}_p^\eta$  model with a resource modality*

$$(\mathcal{L} \xrightarrow{\quad} \mathcal{S}, \mathcal{K} \xrightarrow{\quad} \mathcal{L})$$

*induces an  $\mathbf{IMLL}_p^\eta$  model, obtained by composing the following two adjunctions:*

$$\mathcal{K} \xrightarrow{\quad} L^*\mathcal{L} \xrightarrow{\quad} L^*\mathcal{S}.$$

**Corollary 20.** *Every  $\mathbf{IMELL}_p^\eta$  model  $(\mathcal{L} \xrightarrow{\quad} \mathcal{S}, \mathcal{V} \xrightarrow{\quad} \mathcal{L})$  induces an  $\mathbf{MLJ}_p^\eta$  model  $\mathcal{V} \xrightarrow{\quad} L^*\mathcal{S}$ .*

## 6. Additive Structure

We now consider additive connectives, that is to say positive co-products and negative products. Computationally, they correspond to strict sums and lazy pairs. The semantic subtlety is due to co-products, whereas the model theory of the negative cartesian product is simpler and could have been introduced earlier in Section 4.

$\mathbf{IMALL}_p^\eta / \mathbf{LJ}_p^\eta = \mathbf{IMLL}_p^\eta / \mathbf{MLJ}_p^\eta + \text{additives as follows:}$

GRAMMAR AND CONVERSIONS (PSEUDO-TERMS)

$$\begin{array}{ll} N, M ::= \dots \mid A \& B \mid \top & \text{values } V, W ::= \dots \mid \iota_1(V) \mid \iota_2(V) \mid \mu < \alpha^A.c; \beta^B.c' > \mid \mu < V > \\ P, Q ::= \dots \mid A \oplus B \mid \mathbf{0} & \text{stacks } S ::= \dots \mid \pi_1 \cdot S \mid \pi_2 \cdot S \mid \tilde{\mu}[x^A.c \mid y^B.c'] \mid \tilde{\mu}[S] \end{array}$$

(a) Types (b) Pseudo-terms

$$\begin{array}{ll} (R\&) \quad \langle \mu < \alpha_1^{A_1}.c_1; \alpha_2^{A_2}.c_2 > \parallel \pi_i \cdot S \rangle \triangleright_R c_i[S/\alpha_i] & (\text{no reduction } RT) \\ (R\oplus) \quad \langle \iota_i(V) \parallel \tilde{\mu}[x_1^{A_1}.c_1 \mid x_2^{A_2}.c_2] \rangle \triangleright_R c_i[V/x_i] & (\text{no reduction } R\mathbf{0}) \end{array}$$

(c) Reductions

$$\begin{array}{ll} (E\&) \quad \mu < \alpha. \langle V \parallel \pi_1 \cdot \alpha \rangle; \beta. \langle V \parallel \pi_2 \cdot \beta \rangle > \triangleright_E V & (ET) \quad \mu < x_1 \otimes \dots \otimes x_n > \triangleright_E V, \text{ where } \{x_1, \dots, x_n\} = \mathbf{fv} V \\ (E\oplus) \quad \tilde{\mu}[x. \langle \iota_1(x) \parallel S \rangle \mid y. \langle \iota_2(y) \parallel S \rangle] \triangleright_E S & (E\mathbf{0}) \quad \tilde{\mu}[x_1 \dots x_n \cdot \alpha] \triangleright_E S, \text{ where } \{x_1, \dots, x_n, \alpha\} = \mathbf{fv} S \end{array}$$

(d) Extensionality

TYPING RULES (LEGAL TERMS)

$$\begin{array}{ll} \frac{\Gamma; S; A_i \vdash \Delta}{\Gamma; \pi_i \cdot S; A_1 \& A_2 \vdash \Delta} (\&_f \vdash) & \frac{c : (\Gamma \vdash \alpha : A) \quad c' : (\Gamma \vdash \beta : B)}{\Gamma \vdash \mu < \alpha^A.c; \beta^B.c' > : A \& B;} (\vdash \&) & \frac{\Gamma \vdash V : A;}{\Gamma \vdash \mu < V > : \top;} (\vdash_f \top) \\ \frac{\Gamma \vdash V : A_i;}{\Gamma \vdash \iota_i(V) : A_1 \oplus A_2;} (\vdash_f \oplus_i) & \frac{c : (\Gamma, x : A \vdash \Delta) \quad c' : (\Gamma, y : B \vdash \Delta)}{\Gamma; \tilde{\mu}[x^A.c \mid y^B.c'] : A \oplus B \vdash \Delta} (\oplus \vdash) & \frac{\Gamma; S; A \vdash \Delta}{\Gamma; \tilde{\mu}[S] : \mathbf{0} \vdash \Delta} (\mathbf{0} \vdash_f) \end{array}$$

(e) Logic

DERIVABLE RULES

$$\begin{array}{ll} \frac{\Gamma \mid e : A_i \vdash \Delta}{\Gamma \mid \pi_i \cdot e : A_1 \& A_2 \vdash \Delta} (\&_i \vdash) & \pi_i \cdot e^{A_i} \stackrel{\text{def}}{=} \tilde{\mu} x^{A_1 \& A_2} \langle \mu \alpha^{A_i} \langle x \parallel \pi_i \cdot \alpha \rangle \parallel e \rangle & \frac{}{\Gamma \vdash \mu < >_\Gamma : \top;} (\vdash \top) & \mu < >_\Gamma \stackrel{\text{def}}{=} \mu < \otimes \text{dom } \Gamma > \\ \frac{\Gamma \vdash t : A_i \mid}{\Gamma \vdash \iota_i(t) : A_1 \oplus A_2 \mid} (\vdash \oplus_i) & \iota_i(t^{A_i}) \stackrel{\text{def}}{=} \mu \alpha^{A_i \oplus A_2} \langle t \parallel \tilde{\mu} x^{A_i} \langle \iota_i(x) \parallel \alpha \rangle \rangle & \frac{}{\Gamma; \tilde{\mu}[\ ]_{\Gamma, \Delta} : \mathbf{0} \vdash \Delta} (\mathbf{0} \vdash) & \tilde{\mu}[\ ]_{\Gamma, \Delta} \stackrel{\text{def}}{=} \tilde{\mu}[\bullet \text{dom}(\Gamma, \Delta)] \end{array}$$

(f) Remaining rules of sequent calculus

Figure 4. Additives

They are grouped here mainly for their similarity on the calculus side.

## 6.1 $\mathbf{IMALL}_p^\eta$ Calculus

$\mathbf{IMALL}_p^\eta$  is obtained by adding to  $\mathbf{IMLL}_p^\eta$  the additives of Figure 4: binary connectives  $\&$  and  $\oplus$ , and units  $\top$  and  $\mathbf{0}$ .

**Sums** Sums provide a striking example of the simplification brought by L-calculi [40]. For instance, a commuting conversion of the  $\lambda$ -calculus with sums, such as:

$$\delta(t^{A \oplus B}, x^A.u, y^B.v)^{C \rightarrow D} V^C \triangleright \delta(t^{A \oplus B}, x^A.u V, y^B.v V)^D$$

(where  $x, y \notin \mathbf{fv} V$ ) is redundant with the definition in  $\mathbf{IMALL}_p^\eta$  and its extensions:

$$\delta(t^{A \oplus B}, x_1.u_1, x_2.u_2)^C \stackrel{\text{def}}{=} \mu \alpha^C. \langle t \parallel \tilde{\mu}[x_1^A \langle u_1 \parallel \alpha \rangle \mid x_2^B \langle u_2 \parallel \alpha \rangle] \rangle$$

Indeed, in context  $\alpha$ , both sides of the above equation reduce to:

$$\langle t \parallel \tilde{\mu}[x^A \langle u \parallel V \cdot \alpha \rangle \mid y^B \langle v \parallel V \cdot \alpha \rangle] \rangle$$

which can unlock further reductions involving  $V \cdot \alpha$ .

**Units** Because of linearity, additive units require a special treatment. Indeed, a naive approach is to introduce the syntax  $\mu < \alpha.c; \beta.c' >$  and  $\tilde{\mu}[x.c \mid y.c']$  together with the following rules:

$$\frac{}{\Gamma \vdash \mu < > : \top;} \quad \frac{}{\Gamma; \tilde{\mu}[\ ] : \mathbf{0} \vdash \Delta}$$

However, this gives an incoherent system: the judgement  $x : A, y : B \vdash \mu < \alpha.c; \beta.c' > : \top \otimes \top$ ; has two derivations with possibly distinct interpretations (viz. arising from  $\tau_{A^+} \otimes \tau_{B^+}$  and  $\gamma_{G\top, G\top} \circ (\tau_{A^+} \otimes \tau_{B^+})$  where  $\tau_P : I \otimes P \rightarrow G1$  is the transpose of the unique map  $FP \rightarrow I$ ).

The syntax  $\tilde{\mu}[V]$  and  $\tilde{\mu}[S]$  and the rules  $(\vdash_f \top)$  and  $(\mathbf{0} \vdash_f)$  from Figure 4 provide the necessary disambiguation: the four possible morphisms are represented with  $\mu < x > \otimes \mu < y >$ ,  $\mu < y > \otimes \mu < x >$ ,  $\mu < x \otimes y > \otimes \mu < () >$ , and  $\mu < () > \otimes \mu < x \otimes y >$ . They also preserve the property that all variables with a linear type are free, which is important for splitting the contexts of the rules (cut),  $(\vdash_f \otimes)$ , and  $(\rightarrow \vdash_f)$ .

We have seen surprisingly few treatments of additive units in syntaxes for linear logic; one exception is Cockett and Pastre [9, Appendix A] who present a solution involving annotations by the typing context, qualified as “tricky”. Proof nets [22], as well, attach the wires to the context of the  $\top$  rule. Figure 4f defines the derived sequent calculus rules  $(\vdash \top)$  and  $(\mathbf{0} \vdash)$  with derived constructs  $\mu < >_\Gamma$  and  $\tilde{\mu}[\ ]_{\Gamma, \Delta}$  that have such typing context annotations. Our treatment is technically simpler because it lets us keep Barendregt’s technique intact. Notice also that with our approach we find back that in the intuitionistic case no annotation is in fact required, since we always have  $\Gamma \vdash \mu < () > : \top$ ; and  $\Gamma; \tilde{\mu}[\alpha] : \mathbf{0} \vdash \alpha : A$ .

**Theorem 21.**  $\mathbf{IMALL}$  is expressible in  $\mathbf{IMALL}_p^\eta$ .

**Theorem 22.**  $\mathbf{IMALL}_p^\eta$  has the Barendregt-style properties.

In the above, and in the rest of the paper, the rules  $(ET)$  and  $(E\mathbf{0})$  are excluded from Subject Reduction.

## 6.2 IMALL<sub>p</sub><sup>η</sup> Models

The relevant notion of additive structure is *distributive*.

**Positive additive structure** In the linear setting we consider the following.

**Definition 23.** A *linear distributive category* is a symmetric monoidal category with finite coproducts in which the distributive law of tensor products over coproducts holds; that is, the canonical map  $\coprod_{i \in I} (X_i \otimes Y) \rightarrow (\coprod_{i \in I} X_i) \otimes Y$  is an isomorphism, for all finite families of objects  $\{X_i\}_{i \in I}$  and objects  $Y$ .

**Distributive presheaves** Incorporating coproducts into IMLL<sub>p</sub><sup>η</sup> models (Definition 4) requires a further analysis of presheaves crucial to which is the following definition (cf. Fiore, Di Cosmo and Balat [19, Section 3.1]).

**Definition 24.** For a cocartesian category  $\mathcal{L}$ , a presheaf  $P : \mathcal{L}^{\text{op}} \rightarrow \mathbf{Set}$  is said to be *distributive* whenever it maps coproducts in  $\mathcal{L}$  to products in  $\mathbf{Set}$ ; that is, for all finite families of objects  $\{X_i\}_{i \in I}$  of  $\mathcal{L}$ , the canonical map  $P(\coprod_{i \in I} X_i) \rightarrow \prod_{i \in I} P(X_i)$  is an isomorphism.

We let  $\mathcal{DV}$  be the full subcategory of  $\mathcal{PV}$  consisting of all the distributive presheaves.

For a linear distributive category  $\mathcal{L}$ , the convolution monoidal structure on  $\mathcal{PL}$  restricts to  $\mathcal{DL}$  yielding a strong monoidal Yoneda embedding  $y : \mathcal{L} \hookrightarrow \mathcal{DL}$  that induces a  $\mathcal{DL}$ -enrichment (with respect to the convolution monoidal structure)  $\underline{\mathcal{L}}$  on  $\mathcal{L}$ . Furthermore,  $\mathcal{DL}$  is a full reflective linear-exponential ideal of  $\mathcal{PL}$  and thereby underlies a  $\mathcal{DL}$ -category (with respect to the convolution monoidal structure)  $\underline{\mathcal{DL}}$  for which the Yoneda embedding is a  $\mathcal{DL}$ -functor.

We remark that a  $\mathcal{DL}$ -category is a  $\mathcal{PL}$ -category in which every hom-presheaf is distributive, while the  $\mathcal{DL}$ -enriched notions of functor, natural transformation, cartesian structure, and adjunctions coincide with those for  $\mathcal{PL}$ -enrichment. For linear distributive  $\mathcal{L}$ , the same holds for powers.

**Negative additive structure** A  $\mathcal{DL}$ -category  $\mathcal{C}$  is *cartesian* whenever every finite family of objects  $\{A_i\}_{i \in I}$  can be represented by an object  $\prod_{i \in I} A_i$  together with an isomorphism

$$\mathcal{C}_X(B, \prod_{i \in I} A_i) \cong \prod_{i \in I} \mathcal{C}_X(B, A_i)$$

natural for  $X$  in  $\mathcal{L}$  and  $\mathcal{L}$ -natural for  $B$  in  $\mathcal{C}$ .

**Adjunction models** The above leads to the following notion of model.

**Definition 25.** An *IMALL<sub>p</sub><sup>η</sup> model* consists of a linear distributive category  $\mathcal{L}$  and a cartesian  $\mathcal{DL}$ -category  $\underline{\mathcal{L}}$  with  $\mathcal{L}$ -powers together with a  $\mathcal{DL}$ -adjunction  $\underline{\mathcal{L}} \xrightarrow{\perp} \underline{\mathcal{L}}$ .

In view of the remarks above on the relationship between the  $\mathcal{DV}$  and  $\mathcal{PV}$  enriched notions involved in IMLL<sub>p</sub><sup>η</sup> and IMALL<sub>p</sub><sup>η</sup> models, there is only one difference between the two notions; namely, that the latter come with cartesian structure in  $\underline{\mathcal{L}}$  and linear distributive structure in  $\mathcal{L}$  crucially satisfying

$$\underline{\mathcal{L}}_{\prod_{i \in I} P_i}(M, N) \cong \prod_{i \in I} \underline{\mathcal{L}}_{P_i}(M, N) \quad (5)$$

for all finite families of objects  $\{P_i\}_{i \in I}$  in  $\mathcal{L}$  and objects  $M, N$  in  $\underline{\mathcal{L}}$ . More precisely, we have the following.

**Proposition 26.** An IMLL<sub>p</sub><sup>η</sup> model  $\underline{\mathcal{L}} \xrightarrow{\perp} \underline{\mathcal{L}}$  is an IMALL<sub>p</sub><sup>η</sup> model iff  $\mathcal{L}$  is linear distributive,  $\underline{\mathcal{L}}$  is cartesian, and every hom-presheaf of  $\underline{\mathcal{L}}$  is distributive.

Note that the refinement from enriching over  $\mathcal{PL}$  to enriching over  $\mathcal{DL}$  guarantees that:

$$\begin{aligned} \underline{\mathcal{L}}_0(M, N) &\cong 1 \\ \underline{\mathcal{L}}_{Q \otimes (P_1 + P_2)}(M, N) &\cong \underline{\mathcal{L}}_{Q \otimes P_1}(M, N) \times \underline{\mathcal{L}}_{Q \otimes P_2}(M, N) \end{aligned}$$

We mention a few basic examples of models.

**Example 27.** Every bicartesian symmetric monoidal closed category  $\mathcal{L}$ , cartesian and powered  $\mathcal{L}$ -category  $\mathcal{C}$ , and  $\mathcal{DL}$ -adjunction  $\underline{\mathcal{L}} \xrightarrow{\perp} \underline{\mathcal{L}}$  provide a IMALL<sub>p</sub><sup>η</sup> model. Such examples include:

1.  $\underline{\mathcal{L}} = \underline{\mathcal{L}}$  with the monoidal-closure adjunction  $(-) \otimes S \dashv [S \multimap -]$  for  $S$  in  $\mathcal{L}$ ,
2.  $\underline{\mathcal{L}} = \underline{\mathcal{L}}^{\text{op}}$  with the linear double-dualisation adjunction  $[- \multimap R] \dashv [- \multimap R]$  for  $R$  in  $\mathcal{L}$ , and
3.  $\underline{\mathcal{L}} = \underline{\mathcal{L}}^{\text{op}}$  for a cartesian  $\star$ -autonomous category  $\mathcal{L}$ , with the adjoint equivalence provided by the duality.

**IMALL<sub>p</sub><sup>η</sup> semantics** The interpretation of types is given by setting:

$$\begin{aligned} (A \& B)^- &= A^- \times B^- & \top^- &= 1 \\ (A \oplus B)^+ &= A^+ + B^+ & \mathbf{0}^+ &= 0 \end{aligned}$$

As for terms, the definitions are:

- $\llbracket \Gamma; \pi_i : S : A_1 \& A_2 \vdash \Delta \rrbracket : A_1^- \times A_2^- \rightarrow_{\Gamma^+} \Delta^-$  is obtained from  $\llbracket \Gamma; S : A_i \vdash \Delta \rrbracket : A_i^- \rightarrow_{\Gamma^+} \Delta^-$  pre-composed with  $\pi_i : A_1^- \times A_2^- \rightarrow_I A_i^-$ ;
- $\llbracket \Gamma \vdash \mu < \alpha^A.c; \beta^B.c' > : A \& B; \rrbracket : \Gamma^+ \rightarrow G(A^- \times B^-)$  is obtained from the transpose of the map  $F\Gamma^+ \rightarrow_I A^- \times B^-$  given by the pairing of the transposes of maps arising from  $\llbracket c : (\Gamma \vdash \alpha : A) \rrbracket : \Gamma^+ \rightarrow GA^-$  and  $\llbracket c' : (\Gamma \vdash \alpha : B) \rrbracket : \Gamma^+ \rightarrow GB^-$ ;
- $\llbracket \Gamma \vdash \mu < V > : \top; \rrbracket : \Gamma^+ \rightarrow G1$  arises from the transpose of the unique map  $F\Gamma^+ \rightarrow_I 1$ ;
- $\llbracket \Gamma \vdash \iota_i(V) : A_1 \oplus A_2; \rrbracket : \Gamma^+ \rightarrow A_1^+ + A_2^+$  is  $\llbracket \Gamma \vdash V : A_i; \rrbracket : \Gamma^+ \rightarrow A_i^+$  post-composed with  $\iota_i : A_i^+ \rightarrow A_1^+ + A_2^+$ ;
- $\llbracket \Gamma; \tilde{\mu}[x^A.c]y^B.c' : A \oplus B \vdash \Delta \rrbracket : F(A^+ + B^+) \rightarrow_{\Gamma^+} \Delta^-$  is the transpose of the composite  $\Gamma^+ \otimes (A^+ + B^+) \cong (\Gamma^+ \otimes A^+) + (\Gamma^+ \otimes B^+) \rightarrow G\Delta^-$  where the second map is the copairing of  $\llbracket c : (\Gamma, x : A \vdash \Delta) \rrbracket$  and  $\llbracket c' : (\Gamma, y : B \vdash \Delta) \rrbracket$ ;
- $\llbracket \Gamma; \tilde{\mu}[S] : \mathbf{0} \vdash \Delta \rrbracket : F0 \rightarrow_{\Gamma^+} \Delta^-$  is obtained by transposing the unique map  $\Gamma^+ \otimes 0 \cong 0 \rightarrow G\Delta^-$ .

**Theorem 28.** The IMALL<sub>p</sub><sup>η</sup> semantics is coherent and sound.

## 6.3 ILL<sub>p</sub><sup>η</sup>, Calculus and Models

**Calculus** The calculus ILL<sub>p</sub><sup>η</sup> is given by adding exponentials and additives to IMLL<sub>p</sub><sup>η</sup>.

**Theorem 29.** ILL is expressible in ILL<sub>p</sub><sup>η</sup>.

**Theorem 30.** ILL<sub>p</sub><sup>η</sup> has the Barendregt-style properties.

**Adjunction models** As previously, our modular development allows for a straightforward extension with resources.

**Definition 31.** An IMALL<sub>p</sub><sup>η</sup> model with a resource modality  $(\underline{\mathcal{L}} \xrightarrow{\perp} \underline{\mathcal{L}}, \mathcal{K} \xrightarrow{\perp} \mathcal{L})$  consists of an IMALL<sub>p</sub><sup>η</sup> model  $\underline{\mathcal{L}} \xrightarrow{\perp} \underline{\mathcal{L}}$  together with a linear distributive category  $\mathcal{K}$  and a monoidal adjunction  $\mathcal{K} \xrightarrow{\perp} \mathcal{L}$ . An ILL<sub>p</sub><sup>η</sup> model is an IMALL<sub>p</sub><sup>η</sup> model with resources in which the symmetric monoidal structure of  $\mathcal{K}$  is cartesian.

The ILL<sub>p</sub><sup>η</sup> semantics is that of IMALL<sub>p</sub><sup>η</sup> and IMELL<sub>p</sub><sup>η</sup>, and thus also sound.

**Lifting theorem** For every symmetric strong monoidal functor  $L : \mathcal{K} \rightarrow \mathcal{L}$  between linear distributive categories  $\mathcal{K}$  and  $\mathcal{L}$ , the symmetric monoidal adjunction  $L_! \dashv L^* : \mathcal{PL} \rightarrow \mathcal{PK}$  cuts down to a symmetric monoidal adjunction  $L_! \dashv L^* : \mathcal{DL} \rightarrow \mathcal{DK}$ . As in

Section 5.2, the  $\mathcal{DH}$ -category  $L^*\underline{\mathcal{S}}$  has  $\mathcal{K}$ -powers and, moreover, it is cartesian whenever  $\mathcal{C}$  is:

$$L^*\underline{\mathcal{S}}(N, \prod_{i \in I} N_i) \cong L^*(\prod_{i \in I} \underline{\mathcal{S}}(N, N_i)) \cong \prod_{i \in I} L^*\underline{\mathcal{S}}(N, N_i) .$$

Hence we have the following *lifting theorem*.

**Theorem 32.** *Every  $\mathbf{IMALL}_p^n$  model with a resource modality  $(\underline{\mathcal{L}} \xrightarrow{\perp} \underline{\mathcal{S}}, \mathcal{K} \xrightarrow{\perp} \underline{\mathcal{L}})$  induces an  $\mathbf{IMALL}_p^n$  model, obtained by composing the following two adjunctions:*

$$\mathcal{K} \xrightarrow{\perp} L^*\underline{\mathcal{L}} \xrightarrow{\perp} L^*\underline{\mathcal{S}} .$$

#### 6.4 $\mathbf{LJ}_p^n$ , Calculus and Models

**Calculus** The calculus  $\mathbf{LJ}_p^n$  is given by adding additives to  $\mathbf{MLJ}_p^n$ .

**Theorem 33.**  *$\mathbf{LJ}$  is expressible in  $\mathbf{LJ}_p^n$ .*

**Theorem 34.**  *$\mathbf{LJ}_p^n$  has the Barendregt-style properties.*

**Adjunction models** Models of  $\mathbf{LJ}_p^n$  are the intuitionistic version of  $\mathbf{IMALL}_p^n$  models. The  $\mathbf{LJ}_p^n$  semantics is that of  $\mathbf{MLJ}_p^n$  and  $\mathbf{IMALL}_p^n$  and thus sound; it is also coherent.

**Definition 35.** An  $\mathbf{LJ}_p^n$  model is an  $\mathbf{IMALL}_p^n$  model  $\underline{\mathcal{L}} \xrightarrow{\perp} \underline{\mathcal{S}}$  in which the symmetric monoidal structure of  $\underline{\mathcal{L}}$  is cartesian.

**Theorem 36.** *The notion of  $\mathbf{LJ}_p^n$  model and Levy's notion of CBPV adjunction [32, Definition 5.5] are equivalent.*

**Corollary 37.** *Every  $\mathbf{ILL}_p^n$  model  $(\underline{\mathcal{L}} \xrightarrow{\perp} \underline{\mathcal{S}}, \mathcal{V} \xrightarrow{\perp} \underline{\mathcal{L}})$  induces an  $\mathbf{LJ}_p^n$  model  $\underline{\mathcal{V}} \xrightarrow{\perp} L^*\underline{\mathcal{S}}$ .*

### 7. Perspectives

**Benefits of L-calculi** L-calculi are an alternative to structured operational semantics. They can be seen as providing a principled reconstruction of *defunctionalized CPS in direct style* [40], while giving a modern view on the dualities of computation: expression/context, producer/consumer, strict/lazy.

In particular, as a legacy of CPS, there are benefits reminiscent of the advantages of CPS over ANF [20] and monadic meta-languages [35] as reported by Kennedy [28]: simplifications of commuting conversions, of inlining, of sharing of contexts... A natural question is, then, whether these simplifications carry over to the operational and equational modelling of effects and resources.

**Commuting conversions and effects** Benton and Kennedy [6] show that lessons from the proof theory of  $\lambda$ -calculus with sums, in particular the role of commuting conversions, help in the design and equational reasoning of exceptions. It is thus tempting to investigate how far advantages of L-calculi over the  $\lambda$ -calculus with sums carry over to the study of effects.

Benton and Kennedy refine the syntax for exceptions using a new form of handler *try-unless* which is suitable for expressing commuting conversions in the vein of the conversions for sums. Commuting conversions are used to turn an abstract-machine-based operational semantics of exceptions into a definition in terms of the source language, which is simpler since “there is a certain amount of clutter involved in using stacks (extra syntax, type rules, etc.)”. They moreover show that it is possible to produce optimised code by combining commuting conversions with effect analysis in the intermediate representation (for instance, compiling a non-tail-recursive source example into a tail-recursive final code). Finally, while commuting conversions duplicate expressions, they mention that it is possible to avoid the explosion in code size by using in the implementation “a special abstraction construct which compiles to a block of code accessed by jumps”.

The calculus  $\mathbf{LJ}_p^n$  can be extended so as to give an operational semantics for exceptions, using an auxiliary stack of stacks, as introduced by Ariola, Herbelin and Sabry [2] in the context of delimited control operators.

$$\langle \hat{\mu}c \parallel S \rangle^+ \{\pi\} \triangleright_R c[S, \pi]$$

$$\langle V \parallel \wedge \rangle^+ \{S, \pi\} \triangleright_R \langle V \parallel S \rangle^+ \{\pi\}$$

To do so, those stacks are made to correspond to the successive *try-unless* handlers  $(\tau(t, x.u, E(y).v))$  in scope, by solving the following (simplified) equations.

$$\langle V \parallel \text{return} \rangle^+ \{\hat{\mu}[R(x).c[E(y).c'], \pi] \rightarrow_R^* c[V/x]\{\pi\}$$

$$\langle \text{raise } E(V) \parallel S \rangle^+ \{\hat{\mu}[R(x).c[E(y).c'], \pi] \rightarrow_R^* c'[V/y]\{\pi\}$$

$$\langle \tau(t, x.u, E(y).v) \parallel S \rangle^+ \{\pi\}$$

$$\rightarrow_R^* \langle t \parallel \text{return} \rangle^+ \{\hat{\mu}[R(x).u \parallel S]^+ [E.v \parallel S]^+, \pi\}$$

Thus, the abstract machine for exceptions can, as an alternative to adding commuting conversions to the source language, be turned into an L-calculus that reveals a decomposition of *try-unless* into simpler primitives:

$$\tau(t, x.u, E(y).v)^P$$

$$\stackrel{\text{def}}{=} \mu\alpha^P. \left\langle \hat{\mu} \left\langle t \parallel \hat{\mu}x^P. \langle R(x) \parallel \wedge \rangle \right\rangle \parallel \hat{\mu} [R(x). \langle u \parallel \alpha \rangle] E(y). \langle v \parallel \alpha \rangle \right\rangle$$

In particular, it is possible to derive the commuting conversions that Benton and Kennedy use to define the operational semantics<sup>2</sup>.

However, other conversions, characteristic of exceptions<sup>3</sup>, are out of reach. Thus, on the one hand, the L-calculus provides a simpler operational semantics than the  $\lambda$ -calculus with *try-unless*, but on the other hand it is not able to produce by itself exception-specific optimisations as in Benton and Kennedy (as one could expect beforehand).

Lastly, the duplications happening during commuting conversions are mediated by  $\mu$ . Thus, the mismatch between the reduction theory and the implementation in Benton and Kennedy can be explained as the need to determine an appropriate sharing implementation for a single  $\mu$  binder, as is done with CPS [28].

The challenge is to let L-calculi model the operational semantics of a wider class of effects, such as algebraic effects, along the above lines, all the while simplifying the study of their equational theory.

**Completeness** In connection to the model theory, direct algebraic descriptions of our calculi are to be obtained in terms of the duploids arising from the effect adjunctions. These direct models are to be put in reflection with the enriched adjunction models, to generalise Führmann's direct characterisation of  $\lambda_C$ -models [21], in the continuity of [39]. In fact, we conjecture that the calculi form initial models, not only for direct duploid models, but also for the adjunction models, provided that the data of values and stacks is appropriately preserved.

In particular, we have observed that the distributivity requirement on presheaves, while needed for the correspondence with CBPV models, and holding in all concrete models we know of, was actually not used for defining the semantic interpretation. However, as syntactic presheaves are naturally distributive, we conjecture that distributivity is required for completeness.

**Biclosed action models** As alluded in Example 8, we are aware of classes of models that display more mathematical structure than the ones presented here and will be studied elsewhere. We are particularly interested in the class given by biclosed symmetric-monoidal skew actions, that seems to be related to delimited control and type-and-effect systems.

<sup>2</sup> *proj-try, app-try, case-try* and *try-case* in the terminology of [6].

<sup>3</sup> *try-try, try-case* in [6].



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