

Chapter 1

Dessins d'Enfants

These are notes for BUNTES Spring 2018, the topic is [Dessins d'Enfants](#), they were last updated February 9, 2018. For more details see [the webpage](#). These notes are by Alex, feel free to email me at alex.j.best@gmail.com to report typos/suggest improvements, I'll be forever grateful.

1.1 Overview (Angus)

1.1.1 Belyi morphisms

Let X be an algebraic curve over \mathbb{C} (i.e. a compact [Riemann surface](#)) when is X defined over $\overline{\mathbb{Q}}$?

Theorem 1.1.1 (Belyi). *An algebraic curve X/\mathbb{C} is defined over $\overline{\mathbb{Q}}$ \iff there exists a morphism $\beta: X \rightarrow \mathbb{P}^1 \mathbb{C}$ [ramified](#) only over $\{0, 1, \infty\}$.*

Definition 1.1.2 (Ramified). (AG) A morphism $f: X \rightarrow Y$ is **ramified** at $x \in X$ if on local rings the induced map $f^\#: \mathcal{O}_{Y,f(x)} \rightarrow \mathcal{O}_{X,x}$ descended to

$$\mathcal{O}_{Y,f(x)}/\mathfrak{m} \rightarrow \mathcal{O}_{X,x}/f^\#(\mathfrak{m})$$

is not a finite inseparable field extension.

(RS) A morphism $f: X \rightarrow Y$ is [ramified](#) at $x \in X$ if there are charts around x and $f(x)$ such that $f(x) = x^n$. This n is the **ramification index**.

Definition 1.1.3 (Belyi morphisms). A **Belyi morphism** is one [ramified](#) only over $\{0, 1, \infty\}$

A **clean Belyi morphism** or **pure Belyi morphism** is a Belyi morphism where the [ramification indices](#) over 1 are all exactly 2.

Lemma 1.1.4. *A curve X admits a [Belyi morphism](#) iff it admits a [clean Belyi morphism](#).*

Proof. If $\alpha: X \rightarrow \mathbb{P}^1 \mathbb{C}$ is Belyi, then $\beta = 4\alpha(1-\alpha)$ is a [clean Belyi morphism](#). \square

1.1.2 Dessin d'Enfants

Definition 1.1.5. A **dessin d'Enfant** (or Grothendieck [Dessin](#) or just **Dessin**) is a triple (X_0, X_1, X_2) where X_2 is a compact [Riemann surface](#), X_1 is a graph, $X_0 \subset X_1$ is a finite set of points, where $X_2 \setminus X_1$ is a collection of open cells. $X_1 \setminus X_0$ is a disjoint union of line segments

Lemma 1.1.6. *The data of a **dessin** is equivalent to a graph with an ordering on the edges coming out of each vertex.*

Definition 1.1.7 (Clean dessins). A **clean dessin** is a **dessin** with a colouring (white and black) on the vertices such that adjacent vertices do not share a colour.

1.1.3 The Grothendieck correspondence

Given a **Belyi morphism** $\beta: X \rightarrow \mathbf{P}^1 \mathbf{C}$ the graph $\beta^{-1}([0, 1])$ defines a **dessin**.

Theorem 1.1.8. *The map*

$$\{(\text{Clean}) \text{ Belyi morphisms}\} \rightarrow \{(\text{clean}) \text{ dessins}\}$$

$$\beta \mapsto \beta^{-1}([0, 1])$$

is a bijection up to isomorphisms.

Example 1.1.9.

$$\mathbf{P}^1 \mathbf{C} \rightarrow \mathbf{P}^1 \mathbf{C}$$

$$x \mapsto x^3$$

$$\mathbf{P}^1 \mathbf{C} \rightarrow \mathbf{P}^1 \mathbf{C}$$

$$x \mapsto x^3 + 1$$

1.1.4 Covering spaces and Galois groups

A **Belyi morphism** defines a covering map.

$$\tilde{\beta}: \tilde{X} \rightarrow \mathbf{P}^1 \mathbf{C} \setminus \{0, 1, \infty\}$$

the coverings are controlled by the profinite completion of

$$\pi_1(\mathbf{P}^1 \mathbf{C} \setminus \{0, 1, \infty\}) = \mathbf{Z} * \mathbf{Z} = F_2.$$

Theorem 1.1.10. *There is a faithful action*

$$\text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q}) \cup \hat{\pi}_1(\mathbf{P}^1 \mathbf{C} \setminus \{0, 1, \infty\})$$

Proof. By Belyi's theorem every elliptic curve $E/\overline{\mathbf{Q}}$ admits a **Belyi morphism**.

For each $j \in \overline{\mathbf{Q}}$ there exists an elliptic curve $E_j/\overline{\mathbf{Q}}$ with j -invariant j .

Given $\sigma \in \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$,

$$\sigma(E_j) = E(\sigma(j))$$

assume $\sigma \mapsto 1$,

$$E_j \cong E_{\sigma(j)} \quad \forall j$$

$$j = \sigma(j) \quad \forall j$$

a contradiction. □

Corollary 1.1.11. *We have a faithful action of $\text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$ on **dessins**.*

Theorem 1.1.12. *We have a faithful action of $\text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$ on the set of **dessins** of any fixed **genus**.*

1.1.5 Exercises

Exercise 1.1.13. Compute the Dessins for the following Belyi morphisms

1.

$$\mathbf{P}^1 \mathbf{C} \rightarrow \mathbf{P}^1 \mathbf{C}, \mapsto x^4$$

2.

$$\mathbf{P}^1 \mathbf{C} \rightarrow \mathbf{P}^1 \mathbf{C}, \mapsto x^2(3 - 2x)$$

3.

$$\mathbf{P}^1 \mathbf{C} \rightarrow \mathbf{P}^1 \mathbf{C}, \mapsto \frac{1}{x(2-x)}$$

Exercise 1.1.14. Give an alternate proof of the fact that X admits a Belyi morphism if and only if it admits a clean Belyi morphism using dessins and the Grothendieck correspondence.

Exercise 1.1.15. Prove that a Belyi morphism corresponding to a tree, that sends ∞ to ∞ is a polynomial.

1.2 Riemann Surfaces I (Ricky)

1.2.1 Definitions

Definition 1.2.1. A topological surface is a Hausdorff space X which has a collection of charts

$$\{\phi_i: U_i \xrightarrow{\sim} \phi_i(U_i) \subseteq \mathbf{C}, \text{ open}\}_{i \in I}$$

such that

$$X = \bigcup_{i \in I} U_i.$$

We call X a **Riemann surface** if the transition functions $\phi_i \circ \phi_j^{-1}$ are holomorphic.

1.2.2 Examples

Example 1.2.2. Open subsets of \mathbf{C} , e.g.

$$\mathbf{C}$$

$$\mathbf{D} = \{z \in \mathbf{C} : |z| < 1\}$$

$$\mathbf{H} = \{z \in \mathbf{C} : \text{im } z > 0\}.$$

Example 1.2.3. $\hat{\mathbf{C}}$ = Riemann sphere = $\mathbf{C} \cup \{\infty\}$. A basis of neighborhoods of ∞ is given by

$$\{z \in \mathbf{C} : |z| > R\} \cup \{\infty\}.$$

Example 1.2.4.

$$\mathbf{P}^1(\mathbf{C}) = \{[z_0 : z_1] : (z_0, z_1) \neq (0, 0)\}$$

$$U_0 = \{[z_0, z_1] : z_0 \neq 0\} \rightarrow \mathbf{C}$$

$$[z_0 : z_1] \mapsto \frac{z_1}{z_0}$$

$$U_1 = \{[z_0, z_1] : z_1 \neq 0\} \rightarrow \mathbf{C}$$

$$[z_0 : z_1] \mapsto \frac{z_0}{z_1}.$$

Example 1.2.5. Let $\Lambda = \mathbf{Z} \oplus \mathbf{Z}i \subseteq \mathbf{C}$ then $X = \mathbf{C}/\Lambda$ is a Riemann surface.

1.2.3 Morphisms

Definition 1.2.6 ((Holo/Mero)-morphisms of Riemann surfaces). A **morphism of Riemann surfaces** is a continuous map

$$f: S \rightarrow S'$$

such that for all charts ϕ, ψ on S, S' respectively we have $\psi \circ f \circ \phi^{-1}$ is holomorphic.

We call a morphism $f: S \rightarrow \mathbf{C}$ a **holomorphic function** on S .

We say $f: S \rightarrow \mathbf{C}$ is a **meromorphic function** if $f \circ \phi^{-1}$ is meromorphic.

Exercise 1.2.7. The set of **meromorphic functions** on a **Riemann surface** form a field.

We denote the field of **meromorphic functions** by $\mathcal{M}(S)$.

Proposition 1.2.8 (1.26).

$$\mathcal{M}(\hat{\mathbf{C}}) = \mathbf{C}(z).$$

Proof. Let $f: \hat{\mathbf{C}} \rightarrow \mathbf{C}$ be meromorphic. Then the number of poles of f is finite say at a_1, \dots, a_n . So, locally at a_i we can write

$$f(z) = \sum_{j=1}^{j_i} \frac{\lambda_{j,i}}{(z - a_i)^j} + h_i(z)$$

with h_i holomorphic. Then

$$f(z) - \sum_{i=1}^n \sum_{j=1}^{j_i} \frac{\lambda_{j,i}}{(z - a_i)^j}$$

is holomorphic everywhere. By Liouville's theorem this is constant. \square

We say S, S' are isomorphic if $\exists f: S \rightarrow S', g: S' \rightarrow S$ morphisms such that $f \circ g = \text{id}_{S'}, g \circ f = \text{id}_S$.

Exercise 1.2.9. Show that

$$\hat{\mathbf{C}} \simeq \mathbf{P}^1(\mathbf{C}).$$

Remark 1.2.10. $\mathbf{C} \neq \mathbf{D}$ by Liouville.

If S, S' are connected compact **Riemann surfaces**, then any nonconstant morphism $f: S \rightarrow S'$ is surjective. (Nonconstant holomorphic maps are open)

1.2.4 Ramification

Definition 1.2.11 (Orders of vanishing). The **order of vanishing** at $P \in S$ of a **holomorphic function** on S is defined as follows: For ϕ a chart centered at P write

$$f \circ \phi^{-1}(z) = a_n z^n + a_{n+1} z^{n+1} + \dots, a_n \neq 0$$

then $\text{ord}_P(f) = n$.

More generally, for $f: S \rightarrow S'$ we can define $m_P(f)$ (**multiplicity** of f at P) by using a chart ψ on S' and setting

$$m_P(f) = \text{ord}_P(\psi \circ f).$$

If $m_P(f) \geq 2$ then we call P a **branch point** of f and call f **ramified** at P .

Example 1.2.12.

$$f: \mathbb{C} \rightarrow \mathbb{C}, f(z) = z^2.$$

The chart $\phi_a(z) = z - a$ is centered at $a \in \mathbb{C}$. Then to compute $m_a(f)$ we compute

$$f \circ \phi_a^{-1}(z) = a^2 + 2az + z^2$$

hence

$$\text{ord}_a(f) = \begin{cases} 0, & \text{if } a \neq 0 \\ 2, & \text{if } a = 0 \end{cases}.$$

1.2.5 Genus

Theorem 1.2.13 (Rado). *Any orientable compact surface can be triangulated.*

Fact 1.2.14. *Riemann surfaces are orientable.*

Given such an oriented polygon coming from a [Riemann surface](#), we can associate a word w to it from travelling around the perimeter.

Example 1.2.15. For the sphere $w = a^{-1}ab^{-1}bc^{-1}c$.

Fact 1.2.16. *Every such word can be normalised without changing the corresponding [Riemann surface](#).*

$$w = \begin{cases} w_0 = aa^{-1}, \\ w_g = a_1b_1a_1^{-1}b_1^{-1} \cdots a_gb_ga_g^{-1}b_g^{-1} \end{cases}$$

The (uniquely determined) g is the **genus** of the surface.

Example 1.2.17. $w_1 = a_1b_1a_1^{-1}b_1^{-1}$.

$$w_2 = a_1b_1a_1^{-1}b_1a_2b_2a_2^{-1}b_2^{-1}.$$

Theorem 1.2.18.

$$\chi(S) = v - e + f = 2 - 2g(S).$$

1.3 Riemann Hurwitz Formula (Sachi)

Exercise 1.3.1 (Unimportant). The [genus](#) is invariant under changing triangulation.

In particular there are at least two distinct ways of thinking about [genus](#) for [Riemann surfaces](#) R

1.

$$\chi(R) = V - E + F = 2 - 2g$$

2. The dimension of the space of holomorphic differentials on R .

Goal: given R calculate [genus](#)

$$y^2 = (x+1)(x-1)(x+2)(x-2)$$

so in an ad hoc way

$$y = \sqrt{(x+1)(x-1)(x+2)(x-2)}$$

when x is not a root of the above we have two distinct values for y , we can imagine two copies of \mathbb{C} sitting above each other and then square root will

land in both copies. We have to make branch cuts between the roots and glue along these to account for the fact that going around a small loop surrounding a root will change the sign of our square root. We end up with something looking like a torus here.

Here we examined the value where there were not enough preimages when we plugged in a value for x . The idea is to project to x , and understand the number of preimages.

$$P(x, y) = y^n + p_{n-1}(x)y^{n-1} + \cdots + p_0(x)$$

an irreducible polynomial.

$$R = \{(x, y) : P(x, y) = 0\}.$$

If we fix $x_0 \in \mathbf{P}^1 \mathbf{C}$ we can analyse how many y values lie over this x . If we have fixed our coefficients we expect n solutions in y over \mathbf{C} , i.e. points $(x_0, y) \in R$.

For some values of x_0 this will not be true, there will be fewer y -values, this occurs when we have a multiple root. This happens precisely when the discriminant of this polynomial vanishes, the discriminant is a polynomial and so has finitely many roots.

Definition 1.3.2 (Branch points). Let $\pi: R \rightarrow \mathbf{P}^1 \mathbf{C}$. We say x_0 is a **branch point** if there are fewer than n distinct y -values above x . Then define the **total branching index**

$$b = \sum_{x \in \mathbf{P}^1 \mathbf{C}} (\deg(\pi) - \#\pi^{-1}(x)).$$

Claim 1.3.3.

$$\chi(R) = \deg \pi \cdot \chi(\mathbf{P}^1 \mathbf{C}) - b.$$

Lemma 1.3.4. *Locally given some choice of coordinate a non-constant [morphism of Riemann surfaces](#)*

$$f: R \rightarrow S$$

is given by $w \mapsto w^n$. More precisely given $r \in R$, $f(r) = s$ and $V_s \ni s$ a small neighbourhood choose an identification of

$$V_s \xrightarrow{\Psi} D$$

which sends $s \mapsto 0$ and we can find an analytic identification

$$r \in R_r \xrightarrow{\phi} D$$

such that

$$f(U_r) \subseteq V_s.$$

$$\begin{array}{ccc} U_r & \xrightarrow{f} & V_s \\ \phi \downarrow & & \downarrow \Psi \\ D & \xrightarrow{w \mapsto w^n} & D \end{array}$$

Proof. In Sachi's notes. □

Proof. Of Claim 1.3.3.

Triangulate R so that every face lies in some small coordinate neighborhood s.t.

$$\pi: R \rightarrow \mathbf{P}^1 \mathbf{C}$$

is given by $w \mapsto w^m$, s.t. every edge, all **branch points** are vertices. This ensures that each face edge and vertex has $n = \deg(\pi)$ preimages (except **branch points**). Then accounting for brach points we have $\deg(\pi) - \#\pi^{-1}(x_0)$ preimages. \square

Example 1.3.5. $P(x, y)$ plane curve, classically have

$$g = \frac{(d-1)(d-2)}{2}$$

$\mathbf{P}^2 = \{[x : y : z]\}$ and $(\mathbf{P}^2)^* = [a : b : c]$, lines in \mathbf{P}^2

$$ax + by + cz = 0$$

and we have lines \leftrightarrow points. We have C^* the dual curve in \mathbf{P}^2 cut out by the tangent lines t_Q for $Q \in C$. Claim $\deg C^* = (d-1)d$.

Want

$$R : \{P(x, y) = 0\} \xrightarrow{\pi} \mathbf{P}^1 \mathbf{C}$$

compute b . In other words, if we fix an arbitrary point $Q \in C$ then there are $d(d-1)$ lines through Q which are tangent to C . Projecting to the x -coordinate \iff family of lines through a point at $\infty \iff$ * line in $(\mathbf{P}^2)^*$. We have a new question: How many points does this line intersect (up to **multiplicity**). By bezout $\iff \deg C^*$.

Proof (Matt emerton) Consider a point on C in \mathbf{P}^2 such that no tangent line to the curve at ∞ passes through it. Move this point to the origin. If we write

$$P(x, y) = f_d + f_{d-1} + \cdots + f_0$$

then

$$(f_d, f_{d-1}) = 1$$

suppose they share a linear factor:

$$0 = (f_d)_x x + (f_d)_y y + f_{d-1},$$

then this defines a line through the origin. (Because this gives an equation of an asymptote, this is a contradiction).

$$f_d + f_{d-1} + \cdots + f_0 = 0$$

$$df_d + (d-1)f_{d-1} + \cdots + f_1 = 0$$

$$\implies$$

$$\begin{cases} f_d + f_{d-1} + \cdots + f_0 = 0 \\ f_{d-1} + 2f_{d-2} + \cdots + (d-1)f_1 = 0 \end{cases}.$$

Now these have $d(d-1)$ common solutions. C^* has degree $d(d-1)$ so $b = d(d-1)$. Riemann-Hurwitz implies

$$\chi(R) = 2 \deg \pi - d(d-1)$$

$$\chi(R) = 2d - d(d-1)$$

so

$$g = \frac{(d-1)(d-2)}{2}.$$

A 3-fold equivalence of categories Amazing synthesis.

1. Analysis: Compact connected [riemann surfaces](#).
 2. Algebra: Field extensions K/\mathbb{C} where K is finitely generated of transcendence degree 1 over \mathbb{C} .
 3. Geometry: Complete nonsingular irreducible algebraic curves in \mathbb{P}^n .
- 3) curve \rightarrow 2) field extension. Over \mathbb{C} all rational functions $\frac{P(x)}{Q(x)}$ $\deg P = \deg Q$, $P, Q: \mathbb{C} \rightarrow \mathbb{C} \cup \{\infty\}$.
- 3) \rightarrow 1) take complex structure induced by \mathbb{P}^n .
- 1) \rightarrow 2) associated field of [meromorphic functions](#) on X .
- 1) \rightarrow 3) Any curve which is holomorphic has an embedding into \mathbb{P}^n (Riemann-Roch).
- 2) \rightarrow 1) K/\mathbb{C} consider valuation rings R such that $K \supseteq R \supseteq \mathbb{C}$.

Example 1.3.6. $g = 0$, $\mathbb{P}^1 \mathbb{C} \mathbb{C}(t)$, $\mathbb{C} \cup \{\infty\}$.

Example 1.3.7. $g = 1$, elliptic curves, $f(x, y, z)$ smooth plane cubic, $f = 0$, $\mathbb{C}(\sqrt{f(x)}, x)$.

$$\begin{aligned} \mathbb{C}/\Lambda &\rightarrow \mathbb{P}^2 \\ z &\mapsto (z, \wp(z), \wp'(z)) \\ z &\notin \Lambda \end{aligned}$$

backwards

$$(x, y) \mapsto \int_{(x_0, y_0)}^{(x, y)} \frac{dx}{y}$$

Riemann-Hurwitz (generally) There's nothing that doesn't generalise about the previous proof.

Claim 1.3.8. For $\pi: R \rightarrow S$ a non-constant morphism of compact [Riemann surfaces](#)

$$\chi(R) = \deg \pi \cdot \chi(S) - \sum_{x \in S} (\deg(\pi) - \#\pi^{-1}(x)).$$

Corollary 1.3.9. There are no non-constant morphisms from a sphere to a surface of [genus](#) > 0 .

Proof.

$$\begin{aligned} f: \mathbb{P}^1 \mathbb{C} &\rightarrow S \\ \chi(\mathbb{P}^1 \mathbb{C}) &= \deg f \chi(S) - b \\ 2 &= (+) \cdot (-) - b. \end{aligned}$$

□

Exercise 1.3.10.

$$x^n + y^n + z^n$$

is not solvable in non-constant polynomials for $n > 2$.

Exercise 1.3.11.

$$E = \mathbb{C}/\mathbb{Z} + \mathbb{Z}i$$

multiplication by i rotates $x \mapsto xi$ let $x \sim xi$. If we mod out by \sim to get E/\sim this is still a [Riemann surface](#) and the quotient map

$$f: E \rightarrow E/\sim$$

is nice, compute the [branch points](#) of order 4 and order 2.

Exercise 1.3.12. X compact Riemann surface of $g \geq 2$ then there are at most $84(g - 1)$ automorphisms of X .

Exercise 1.3.13. Klein quartic

$$x^3y + y^3z + z^3x = 0$$

has 168 automorphisms and is genus 3.