Part III Homological and Homotopical Algebra 2014

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Elements of Homological Algebra

1.1 Introduction

These are lecture notes for the 2014 Part III Homological and Homotopical Algebra course taught by Dr. Julian Holstein, these notes are part of Mjolnir.

The recommended books are:

- W. G. Dwyer and J. Spalinski, Homotopy theories and model categories
- S. I. Gelfand and Yu. I. Manin, Methods of Homological Algebra
- C. Weibel, An introduction to homological algebra

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1.2 Motivation

Start with a graded ring $\mathbf{C}[x_0,\ldots,x_n]$ with $\deg x_i=1$. Consider a graded module $M=\bigoplus_d M_d$ over R. Hilbert looked at the map $d\mapsto H_M(d)=\dim_{\mathbf{C}} M_d$. For example we can take R to be the homogeneous coordinate ring of \mathbf{P}^n and $V(I)\subset \mathbf{P}^n$ a subvariety where I is a homogeneous ideal. We then take M=R/I, if V is a curve C then $H_{R/I}(d)=\deg(V)\cdot d+(1-g(C))$. Hilbert showed that the function $H_M(d)$ is eventually polynomial. We can compute this function easily if M is free so we try to replace M by free modules. First we take

$$K_0 \to F_0 \to M$$

where K_0 is the kernel of the surjective map from F_0 to M. We can continue this getting

$$K_1 \to F_1 \to K_0$$

$$K_2 \to F_2 \to K_1$$
.

we can then write

$$\cdots \rightarrow F_2 \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0$$
,

this is a free resolution of M. We also have the following.

Theorem 1.2.1 (Hilbert). $F_{n+1} = 0$.

Corollary 1.2.2. $H_M(d) = \sum_i (-1)^i H_{F_i}(d)$.

1.3 Categorical notions

1.3.1 Abelian Categories

Example 1.3.1. Rmod - the category of left R-modules for R an associative ring is an abelian category.

Example 1.3.2. The categories of sheaves of abelian groups on a topological space, sheaves of \mathcal{O} -modules on a scheme and (quasi-)coherent sheaves on a scheme are all abelian.

Definition 1.3.3 (Additive categories). An **additive category** is a category in which:

- 1. Every hom-space has the structure of an abelian group.
- 2. There exists a 0-object (one with exactly one map to and from every other object).
- 3. Finite products exist (these are automatically equal to sums $A \times B = A \oplus B = A \coprod B$).

In such a category we let

$$\ker(f) = \operatorname{eq}(A \xrightarrow{f \atop 0} B)$$

and

$$\operatorname{coker}(f) = \operatorname{coeq}(A \xrightarrow{f \atop 0} B).$$

Definition 1.3.4 (Abelian categories). An **abelian category** \mathcal{A} is an additive category in which:

- 1. Every map f has a kernel and cokernel.
- 2. For all f we have $\operatorname{coker}(\ker(f)) = \operatorname{im}(f) = \operatorname{coim}(f) = \ker(\operatorname{coker}(f))$.

Example 1.3.5. Let \mathcal{B} be the category of pairs of vector spaces $V \subset W$, with morphisms the compatible linear maps. Consider the natural map $f \colon 0 \subset V \to V \subset V$, we then have im $f \cong 0 \subset V$ but coim $f \cong V \subset V$. So this category is not abelian.

From now on we take A to be any abelian category.

1.3.2 Exactness

Definition 1.3.6 (Exact sequences). A sequence of morphisms

$$A \xrightarrow{f} B \xrightarrow{g} C$$

in \mathcal{A} is **exact at** B if im $f = \ker g$. A sequence is then exact if it is exact everywhere. An exact sequence of the form

$$0 \to A \to B \to C \to 0$$

is called a **short exact sequence**.

Definition 1.3.7 (Mono and epi morphisms). A morphism f is a **monomorphism** if $fg = fh \implies g = h$ and it is an **epimorphism** if $gf = hf \implies g = h$.

Example 1.3.8. In Ab the following are exact sequences:

$$0 \to \mathbf{Z}/2 \to \mathbf{Z}/2 \oplus \mathbf{Z}/2 \to \mathbf{Z}/2 \to 0$$
$$0 \to \mathbf{Z}/2 \to \mathbf{Z}/4 \to \mathbf{Z}/2 \to 0$$
$$0 \to \mathbf{Z} \xrightarrow{\cdot 3} \mathbf{Z} \to \mathbf{Z}/3 \to 0$$

Definition 1.3.9 (Additive functors). A functor of additive categories is **additive** if it is a homomorphism on hom-sets.

1.4 Chain complexes

Definition 1.4.1 (Chain complexes). A **chain complex** C_{\bullet} is a collection of objects $(C_i)_{i \in \mathbb{Z}}$ in A with maps $d_i : C_i \to C_{i-1}$ such that $d_{i-1} \circ d_i = 0$.

Definition 1.4.2 (Cycles, boundaries, homology objects). We define the $\mathbf{cycles}Z_i = \ker d_i$ and $\mathbf{boundaries}B_i = \operatorname{im} d_{i+1}$ and the *i*th $\mathbf{homology}$ $\mathbf{object}H_i(C) = \operatorname{coker}(B_i \to Z_i)$. A complex is $\mathbf{acyclic}$ if it is exact i.e. $H_{\bullet}(C) = 0$.

Definition 1.4.3 (Cochain complexes). A **cochain complex** C^{\bullet} is a collection of objects $(C^i)_{i \in \mathbf{Z}}$ in \mathcal{A} with maps $d_i \colon C_i \to C_{i+1}$ such that $d_{i+1} \circ d_i = 0$. We then have as above H^i the *i*th **cohomology object**.

We can switch between chain complexes and cochain complexes via $C^i = C_{-i}$.

Example 1.4.4. We have many such complexes:

- Singular (co-)chain complex on a top space.
- de Rahm complex.
- Cellular chain complex.
- Flabby resolution of a sheaf.
- Bar resolution of a group.
- Koszul complex.

Definition 1.4.5 (Chain maps). Given B, C chain complexes, a **chain map** $f: B \to C$ is a collection of maps $f_i: B_i \to C_i$ such that df = fd.

We now have formed the **category of chain complexes** Ch(A) using these maps. We write Ch(R) for Ch(Rmod). Note that Ch(A) is an additive category moreover it is an abelian category, we can define and check everything level-wise. For example $\ker(A \to B)_n = \ker(A_n \to B_n)$. Note that the H_n form a functor $Ch(A) \to A$. Define $f_* \colon H_nA \to H_nB$ in the natural way and check it works. H_n is additive.

Lemma 1.4.6 (Snake lemma). Let $0 \to A \to B \to C \to 0$ be a short exact sequence then there exist natural boundary maps ∂_n which fit into a long exact sequence of homology objects

$$\cdots \longrightarrow H_n(A) \xrightarrow{f_*} H_n(B) \xrightarrow{g_*} H_n(C)$$

$$\xrightarrow{\partial_n} H_{n-1}(A) \longrightarrow H_{n-1}(B) \longrightarrow H_{n-1}(C) \longrightarrow \cdots$$

Proof. Exercise.

Naturality here means given two short exact sequences and compatible chain maps the induced maps on homology are compatible with ∂_n . (The obvious diagram commutes.)

Recall that f is a chain map if $\partial f - f \partial = 0$.

Definition 1.4.8. Let $\underline{\text{Hom}}_n(A, B)$ consist of functions $\{f_i : A_i \to B_{i+n}\}$ and define $df = d \cdot f - (-1)^n f d$ if $f \in \underline{\text{Hom}}_n$. Check that

$$d^{2}f = d \cdot (d \cdot f - (-1)^{f}d) - (-1)(d \cdot f - (-1)^{n}f \cdot d) \cdot d = 0.$$

We use the "Sign rule" to help with definitions, this states that if a moves past b we pick a sign $(-1)^{\deg a \deg b}$.

Ch(A) can be enriched over $Ch(\mathbf{Z})$.

Definition 1.4.9 (Shifted complexes). The **shifted complex**C[n] for $C \in Ch(\mathcal{A})$ is defined by $C[n]_i = C_{n+i}$ and $d_i^{C[n]} = (-1)^n d_{n+i}^C$.

Note that $H_i(C) = H_0(C[i])$.

So a chain map $f: A \to B[n]$ is exactly a cycle in $\underline{\mathrm{Hom}}_n(A,B)$.

Now $\operatorname{Hom}(A, B) = Z_0(\operatorname{\underline{Hom}}(A, B))$, so what is $H_0(\operatorname{\underline{Hom}}(A, B))$?

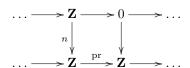
Definition 1.4.10 (Chain homotopies). A **chain homotopy** S between chain maps $f, g: A \to B$ is a collection $S_i: A_i \to B_i$ such that $\partial S + S \partial = f - g$. Equivalently we could say a map $A \to B[1]$ such that dS = g - f (note: not a chain map). We write $f \simeq g$ to denote the fact that f is chain homotopic to g.

Definition 1.4.11 (Chain homotopy equivalences). Two chain complexes A and B are said to be **chain homotopy equivalent** if there are some $f: A \to b, g: B \to A$ such that $gf \simeq 1_A$ and $fg \simeq 1_B$.

Lemma 1.4.12. If $f \simeq g$ then $f_* = g_*$ on homology.

Proof. Check.
$$\Box$$

Definition 1.4.13 (Quasi-isomorphisms). A chain map f inducing isomorphisms on homology is called a **quasi-isomorphism**. Two chains A, B are quasi-isomorphic if there is a quasi-isomorphism $A \to B$ and $B \to A$.



Example 1.4.14. is a quasi-isomorphism.

Any chain homotopy equivalence is a quasi-isomorphism, the converse is false however.

Definition 1.4.16 (Cones). Given $f: A \to B$ we define a chain complex called the **cone** of f by $cone(f)_n = A_{n-1} \oplus B_n$ with maps

$$d = \begin{pmatrix} -d_A & 0 \\ -f & d_B \end{pmatrix}.$$

Note that there exists a short exact sequence

$$B \xrightarrow[b\mapsto(0,b)]{} \operatorname{cone}(f) \underset{a,b)\mapsto-a}{\longrightarrow} A[-1].$$

Doing the diagram chase of the Snake lemma 1.4.6 we see that the boundary map is induced by f on homology i.e.

$$f_*: H_{n-1}A \to H_{n-1}B.$$

This proves the following.

Lemma 1.4.17. f is a quasi-isomorphism if and only if cone(f) is exact.

Proof. Look at the long exact sequence of $B \to \text{cone}(f) \to A[-1]$

$$H_n(\operatorname{cone}(f)) \to H_n(A) \xrightarrow{f_*} H_{n-1}(B) \to H_{n-1}(\operatorname{cone}(f)).$$

1.5 Exact Functors

Definition 1.5.1 (Exact functors). An additive functor F is **exact** if it preserves short exact sequences. It is **left exact** if it sends a short exact sequence of the form

$$0 \to A \to B \to C \to 0$$

to an exact sequence

$$0 \to FA \to FB \to FC$$
.

We have a similar definition for **right exact**.

Example 1.5.2. The functor $\operatorname{Hom}_{\mathcal{A}}(M,-)$ is left exact from \mathcal{A} to $\operatorname{Ab} = \mathbf{Z} \operatorname{mod}$. The functor $\operatorname{Hom}_{\mathcal{A}}(-,M) \colon \mathcal{A}^{\operatorname{op}} \to \operatorname{Ab}$ is left exact.

Note that left adjoint functors are right exact as they preserve colimits.

Example 1.5.3. Let M be an R, S-bimodule (i.e. a left R-module and a right S-module). Then for $A \in S \mod$, $B \in R \mod$

$$\operatorname{Hom}_R(M \otimes_S A, B) \cong \operatorname{Hom}_S(M, \operatorname{Hom}_R(A, B))$$

Clearly not all functors are exact. However they all preserve split exact sequences, i.e. those of the form

$$0 \to A \to A \oplus C \to C$$
.

Because they preserve finite direct sums

(r,g),(f,s) are inverse isomorphisms if and only if g=fr+sg.

1.6 Derived Functors, Introduction

We fix A, and Ch(A). If we have some right exact functor F we obtain exact sequences of the form

$$FA \to FB \to FC \to 0$$

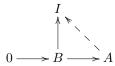
and the question arises, can we extend this exact sequence by placing objects to the left of it?

If F is exact on short exact sequences of complexes we get a long exact sequence of homology H_iFA . F is exact on complexes if it is level wise exact, but F is exact if it is level wise exact. We know F is exact on split exact sequences. So we can try to force a short exact sequence to be exact by replacing objects by complexes.

Definition 1.6.1 (Projective and injective objects). An object M is **projective** if for all epimorphisms q and maps $M \xrightarrow{f} B$ there exists a lift making

$$A \xrightarrow{f} B \longrightarrow 0$$

commute. The dual notion is called **injective**



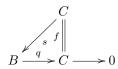
Example 1.6.2. Free modules in Rmod are projective. In $Mat_n(R)$ -mod the column vectors R^n form a projective object. \mathbf{Q} is injective in Ab.

Lemma 1.6.3. If C is projective or A is injective then

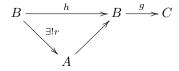
$$0 \to A \to B \to C \to 0$$

is split.

Proof. (We prove the C projective case) Consider



then gs = 1. Now produce r such that $rf = 1_A$, $fr + sg = 1_B$ and rs = 0. Let h = 1 - sg. Now gh = 0 giving that h = fr by the properties of the kernel.



Now check $rf = 1_A$ and rs = 0.

Note that in Rmod this shows projectives are exactly summands of free modules.

Definition 1.6.4 (Projective resolutions). A **projective resolution** $P_{\bullet} \stackrel{\epsilon}{\to} A$ of A is a non-negative chain complex such that all P_i are projective and ϵ is a quasi-isomorphism. So $H_iP = 0$ if i > 0 and $H_0P = A$.

Definition 1.6.5 (Derived functors). The *i*th **left derived functor** $L_iF(A)$ of a right exact functor F is defined as $H_iF(P)$ for some projective resolution P of A.

Dually we may define injective resolutions $B \xrightarrow{\sim} I^{\bullet}$ with $I \in \mathrm{Ch}^{\geq 0}(\mathcal{A})$ and we get **right derived functors** of a left exact functor,

$$R^i F(B) = H^i(FI).$$

Note $L_{<0}F(A) = 0$ and $L_0 = fP_0/FP_1 = F(P_0/P_1) = F(A)$.

Example 1.6.6 (Tor). Define $\operatorname{Tor}_{i}^{R}(A,B)$ to be $L_{i}(-\otimes_{R}B)(A)$. Let $\mathcal{A}=\operatorname{Ab}$. What is $\operatorname{Tor}_{i}(\mathbf{Z}/p,B)$?

$$\mathbf{Z}$$
 p
 $\mathbf{Z} \xrightarrow{p} \mathbf{Z} \xrightarrow{\sim} \mathbf{Z}_{p}$

is a projective resolution. So $\operatorname{Tor}_* = H_*(B \xrightarrow{p} B)$ and we have $\operatorname{Tor}_0^{\mathbf{Z}}(\mathbf{Z}/p, B) = B/pB$ and $\operatorname{Tor}_1^{\mathbf{Z}}(\mathbf{Z}/p, B) = pB = \{b : pb = 0\}.$

Example 1.6.7 (Ext). Define $\operatorname{Ext}_R^i(A,B)$ to be $R^i\operatorname{Hom}_R(-,B)(A)$. Injective in Rmod^{op} correspond to projectives in Rmod. So $\operatorname{Ext}_{\mathbf{Z}}^*i(\mathbf{Z}/p\mathbf{Z},B)=H_*(B\overset{p}{\to}B)$ hence $\operatorname{Ext}^0(\mathbf{Z}/p,B)={}_pB$ and $\operatorname{Ext}^1(\mathbf{Z}/p,B)=B/pB$.

1.7 Derived Functors, Proofs

Definition 1.7.1. \mathcal{A} has **enough projectives** if for all $M \in \mathcal{A}$ there exists a projective P such that $P \to M \to 0$.

Example 1.7.2. Rmod has enough projectives.

Warning: The category of abelian sheaves on a topological space does not have enough projectives in general.

Lemma 1.7.3. Projective resolutions exist in A if A has enough projectives.

Proof. Let $A \in \mathcal{A}$, then there exists

$$0 \to K_0 \to P_0 \to A \to 0$$

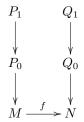
and inductively

$$0 \to K_{n+1} \to P_{n+1} \to K_n \to 0$$

with P_i projective. We can splice these together to get

$$\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow A \rightarrow 0.$$

Theorem 1.7.4 (Comparison Theorem). Let $\epsilon \colon P \to M$ and $\eta \colon Q \to N$ be two projective resolutions and let $f \colon M \to N$ then there exists a lift $\tilde{f} \colon P \to Q$ (a chain map) unique up to chain homotopy.



Proof. Exercise. \Box

Corollary 1.7.5. Projective resolutions are well defined up to chain homotopy equivalence and so derived functors are well defined.

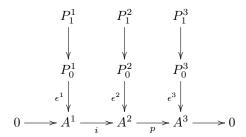
Proof. Lift the identity to get chain maps in both directions. Uniqueness implies that they are inverse up to homotopy. \Box

Corollary 1.7.6. L_iF are functors.

Lemma 1.7.7 (Horseshoe Lemma). Given a short exact sequence

$$A^1 \rightarrow A^2 \rightarrow A^3$$

and projective resolutions $P^1 \to A^1$ and $P^3 \to A^3$ there exists a projective resolution P^2 of A^2 with $P_i^2 = P_i^1 \oplus P_i^3$ and the inclusion and projection maps lift. So we have the following situation



Proof. By induction: To get $\epsilon^2 \colon P_0^i \oplus P_0^3 \to A^2$ we use i and ϵ^1 and a lift of p. Now the Snake lemma 1.4.6 shows that $\operatorname{coker} \epsilon^i$ and $\ker \epsilon^i$ fit into a long exact sequence and hence $\operatorname{coker} \epsilon^3 = 0$. Now apply the induction assumption to the short exact sequence of kernels

$$0 \to \ker \epsilon^1 \to \ker \epsilon^2 \to \ker \epsilon^3 \to \operatorname{coker} \epsilon^1 = 0.$$

Corollary 1.7.8. A short exact sequence $0 \to A \to B \to C \to 0$ in A gives a long exact sequence of left derived functors

$$\rightarrow L_2FC \rightarrow L_1FA \rightarrow L_1FB \rightarrow L_1FC \rightarrow FA \rightarrow FB \rightarrow FC \rightarrow 0.$$

Proof. Combine Horseshoe Lemma 1.7.7, 1.6.3 and Snake lemma 1.4.6. □

Proposition 1.7.9. The boundary map ∂ is natural, i.e. given

we have lifts $\partial \circ L_i f_3 = L_{i-1} f_1 \circ \partial$.

Note that there is no extra work needed to do all of this for right derived functors.

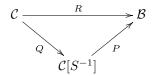
1.8 The Derived Category

Idea: We want to talk about complexes up to quasi-isomorphism. We will reinterpret derived functors as ways of lifting functors to derived categories.

Remark 1.8.1. If we add simply inverses of quasi-isomorphisms we get nasty stuff!

Definition 1.8.2 (Homotopy categories). Let the homotopy category $K(\mathcal{A})$ of \mathcal{A} have objects the objects of $Ch(\mathcal{A})$ and morphism the chain homotopy classes of chain maps. We can add boundedness conditions to our categories. So we let $Ch_+(\mathcal{A})$ be only those chain complexes A with $A_n = 0$ when n << 0, these are **bounded below** chain complexes. Similarly we define $Ch_-(\mathcal{A})$ and $Ch_b(\mathcal{A}) = Ch_-(\mathcal{A}) \cap Ch_+(\mathcal{A})$. We also define $Ch^+(\mathcal{A})$ etc. for cochain complexes. Finally we define $K^+(\mathcal{A})$ etc. in the obvious way.

Definition 1.8.3 (Localisations of categories). Given a category \mathcal{C} and a class of morphisms S define the localisation of \mathcal{C} at S to be a category $\mathcal{C}[S^{-1}]$ with a functor $\mathcal{C} \xrightarrow{Q} \mathcal{C}[S^{-1}]$ such that Q sends any $s \in S$ to an isomorphism, and also such that Q is universal with respect to having this property. If $\mathcal{C} \xrightarrow{R} \mathcal{B}$ sends S to isomorphisms then there exists some P so that we have



Definition 1.8.4. Let D(A), the **derived category** of A, be the localisation of K(A) at the quasi-isomorphisms. Similarly define the usual suspects $D^b = K^b(A)$ [quasi-isomorphisms], etc.

Theorem 1.8.5. D(A) exists.

Proof. See Weibel 10.3, Gelfand-Manin III 2.

Although we didn't prove this we should note that we can write morphisms in D(A) as

$$A \xleftarrow{\sim} A' \xrightarrow{f} B$$

with $f \in \operatorname{Hom}_{K(A)}(A', B)$ and $q \in \operatorname{Hom}_{K(A)}(A', A)$.

Remark 1.8.6. $D^b(A)$ is equivalent to the subcategory of D(A) with cohomology in bounded degrees.

Example 1.8.7. Let X be a scheme, Coh(X) the abelian category of coherent sheaves on X. Then the derived category of X is defined to be $D^b(X) = D^b(Coh(X))$.

Note that D(A) is an additive, but not necessarily abelian category.

Theorem 1.8.8. Given a complex $I^{\bullet} \in K^{+}(A)$ of injective objects and any chain complex A^{\bullet} then

$$\operatorname{Hom}_{D(\mathcal{A})}(A^{\bullet}, I^{\bullet}) \cong \operatorname{Hom}_{K(\mathcal{A})}(A^{\bullet}, I^{\bullet}).$$

Proof. (Sketch) Crucial ingredient: $\operatorname{Hom}_{K(\mathcal{A})}(-,I^{\bullet})$ sends quasi-isomorphisms to quasi-isomorphisms. So we can replace

$$A \xleftarrow{\sim} A' \to I$$

by $A \to I$. By considering cones it suffices to check that $\underline{\mathrm{Hom}}_{K(\mathcal{A})}(-,I)$ sends acyclics to complexes homotopy equivalent to 0. One can construct the homotopy equivalence by hand, using injectivity.

Corollary 1.8.9.

$$\operatorname{Hom}_{D(\mathcal{A})}(A, B[i]) = \operatorname{Ext}_{\mathcal{A}}^{i}(A, B).$$

Proof. Let $B \to I^{\bullet}$ be an injective resolution. Then both sides are isomorphic to

$$\operatorname{Hom}_{K(\mathcal{A})}(A, I[i]) = H^0 \operatorname{\underline{Hom}}_{K(\mathcal{A})}(A, I[i]).$$

Corollary 1.8.10. Assume A has enough injectives and write $\operatorname{inj} A \subset A$ for the full subcategory of injective objects. Then

$$K^+(\operatorname{inj} \mathcal{A}) \cong D^+(\mathcal{A}).$$

Proof. We have fully faithfullnes by 1.8.8. To see that it is essentially surjective write down injective resolutions for complexes (see later).

1.9 Total derived functors

We now interpret/redefine derived functors as lifts to the derived category.

Definition 1.9.1. Let F:

Applications

Spectral Sequences

Model Categories