

# $p$ -adic methods for rational points on curves

MA841 at BU Fall 2019

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November 13, 2019

These are notes for Jennifer Balakrishnan's course MA841 at BU, Fall 2019.  
The course webpage is <http://math.bu.edu/people/jbala/841.html>.

## 1 Rational points on curves

Lecture 1 5/9/2019

Main Question: How do we determine  $X(\mathbf{Q})$  for  $X$  smooth projective of genus  $\geq 2$ ? What computational tools are involved?

Topics:

1. Chabauty-Coleman method
2. Coleman integration ( $p$ -adic integration)
3.  $p$ -adic heights
4. quadratic Chabauty

Evaluation (if you need a grade), TeX 3-4 classes worth of lecture notes.

Detailed list of topics:

- Chabauty-Coleman
- Explicit Coleman integration
- $p$ -adic cohomology, based point counting (Kedlaya + Tuitman)
- Iterated Coleman integration
- Chabauty-Coleman in practice + other tools
- Étale descent
- Covering collections
- Elliptic curve Chabauty
- $p$ -adic heights on elliptic curves
- $p$ -adic heights on Jacobians on curves
- Local heights
- Quadratic Chabauty for integral points on affine hyperelliptic curves
- Kim's nonabelian Chabauty program

- Nekovář's p-adic height
- Quadratic Chabauty for  $\mathbf{Q}$ -points on curves
- Quadratic Chabauty in practice

References for first two weeks:

- McCallum-Poonen
- Stoll: [Arithmetic of Hyperelliptic Curves](#)
- Kedlaya: *p*-adic cohomology from theory to practice (notes from 2007 AWS)
- Besser: [Heidelberg lectures on Coleman integration](#)

For computations

- Sage
- MAGMA

## 2 The Chabauty-Coleman method

### 2.1 A question about triangles

Does there exist a rational right triangle and a rational isosceles triangle with same perimeter and same area? (rational means all side lengths are rational)

Suppose there does exist such a pair, then introducing parameters,  $k, t$  for the right triangle, and  $l, u$  for the isosceles we can rescale to

$$k, t, u \in \mathbf{Q}$$

$$0 < t, u < 1, k > 0$$

an equate areas and perimeters. Areas:

$$\begin{aligned} \frac{1}{2}(2kt)(k)(1-t^2) &= \frac{1}{2}(4u)(1-u^2) \\ \implies k^2 t^2 (1-t^2) &= 2u(1-u^2). \end{aligned}$$

Perimeters:

$$\begin{aligned} k(1-t^2) + k(1+t^2) + 2kt &= 1 + u^2 + 1 + u^2 + 4u \\ \implies k + kt &= 1 + 2u + u^2 = (1+u)^2 \end{aligned}$$

so letting  $x = 1 + u$ , after some algebra we have  $1 < x < 2$  in  $\mathbf{Q}$  s.t.

$$2xk^2 + (-3x^3 - 2x^2 + 6x - 4)k + x^5 = 0$$

this is a quadratic in  $k$ , and the discriminant is a square in  $\mathbf{Q}$ . so

$$\begin{aligned} X: y^2 &= (-3x^3 - 2x^2 + 6x - 4)^2 - 4(2x)x^5 \\ &= x^6 + 12x^5 - 32x^4 + 52x^2 - 48x + 16 \end{aligned}$$

so this is a genus 2 hyperelliptic curve. We need the  $\mathbf{Q}$ -points of this.

**Facts:.**  $\text{Jac}(X)$  has Mordell-Weil rank 1. The Chabauty-Coleman bound on the size of  $X(\mathbf{Q})$  for this curve gives  $\#X(\mathbf{Q}) \leq 10$ . But we find points

$$\left\{ (0 : -4 : 1), \infty_{\pm}, (0 : 4 : 1), (1 : -1 : 1), (1 : 1 : 1), \left( \frac{12}{11} : -\frac{868}{1331} : 1 \right), \right. \\ \left. \left( \frac{12}{11} : \frac{868}{1331} : 1 \right), (2 : -8 : 1), (2 : 8 : 1) \right\}$$

so this set is  $X(\mathbf{Q})$ .

Back in the original problem we specified  $x < 1 < 2$ , so there is a unique such pair of triangles:

**Theorem 2.1 Hirakawa-Matsumura '18.** *Up to similitude there exists a unique pair of a rational right triangle and a rational isosceles triangle that have the same perimeters and areas. The unique pair consists of a right triangle with sides*

$$(377, 135, 352)$$

*and the isosceles triangle with sides*

$$(366, 366, 132).$$

## 2.2 Why care about $X(\mathbf{Q})$ for $X$ of genus 2?

Curves of genus 0: have no  $\mathbf{Q}$ -points or infinitely many, they satisfy a local to global principle so there exists an algorithm to determine the  $\mathbf{Q}$ -points in finite time.

Curves of genus 1: If we have 1 smooth rational point then we have an elliptic curve, Mordell's theorem implies that  $E(\mathbf{Q})$  is a finitely generated abelian group,

$$E(\mathbf{Q}) \simeq \mathbf{Z}^r \oplus T$$

where the possible torsion parts  $T$  have been determined by Mazur's theorem. To understand  $T$ , and the distribution of  $T$  there is work of Haron and Snowden, this often comes down to understanding rational points on  $X_1(N)$ .

Upshot: to understand  $E(\mathbf{Q})$  we want to understand  $r$ :

Q1: is there an algorithm to compute  $r$ ?

Q2: what values of  $r$  can occur?

Q3: what is the distribution of  $r$ ?

A1:  $n$ -descent, the obstacle is III, proving finiteness, it is conjectured that  $r = \text{ord}_{s=1} L(E, s)$  (BSD).

A2: record due to Noam Elkies an example of  $E$  with  $r \geq 28$ .

A3: minimalist conjecture: 50% of all curves have rank 0, 50% rank 1.

**Theorem 2.2 Bhargava-Shankar.** *The average rank is  $< 1$ .*

Baur Bektemirov, Barry Mazur, William Stein, and Mark Watkins, Average ranks of elliptic curves: tension between data and conjecture, Bull. Amer. Math. Soc. (N.S.) 44 (2007), no. 2, 233–254. MR 2009e:11107 gave average rank graphs, which kept increasing.

Sarnak said there would "obviously be a turn around".

Jennifer S. Balakrishnan, Wei Ho, Nathan Kaplan, Simon Spicer, William Stein, and James Weigandt, Databases of elliptic curves ordered by height and distributions of Selmer groups and ranks, LMS J. Comput. Math. 19 (2016), supp. A, pp. 351-370. MR 3540965

## 2.3 Coleman's bound

Lecture 2 10/9/2019

Goal today: prove Coleman's refinement of Chabauty's theorem.

**Theorem 2.3 Coleman 1985.** *Let  $X/\mathbf{Q}$  be a curve of genus  $g \geq 2$ . Suppose the Mordell-Weil rank of  $J(\mathbf{Q})$  is less than  $g$ . Then if  $p > 2g$  is a good prime for  $X$  we have*

$$\#X(\mathbf{Q}) \leq \#X_{\mathbf{F}_p}(\mathbf{F}_p) + 2g - 2.$$

**Definition 2.4 Differentials.** Let  $X$  be a curve over a field  $k$ . The space of **differentials** on  $X$  over  $k$  is a 1-dimensional  $k(X)$ -vector space  $\Omega_X^1(k)$ .

There is a nontrivial  $k$ -linear derivation

$$d: k(X) \rightarrow \Omega_X^1(k)$$

i.e.  $d$  is  $k$ -linear and satisfies the Leibniz rule

$$d(fg) = g df + f \cdot dg$$

for all  $f, g \in k(X)$  and there is some  $f \in k(X)$  s.t.  $df \neq 0$ .

A general differential can be written as  $\omega = f dg$  where  $g \in K(X)$  with  $dg \neq 0$ . If we fix  $g$  this representation is unique. If  $\omega, \omega' \in \Omega_X^1(k)$  with  $\omega' \neq 0$  then there's a unique  $f \in K(X)$  s.t.  $\omega = f\omega'$ . We may write  $\omega/\omega' = f$ .  $\diamond$

**Definition 2.5 Differentials of the first second and third kinds.** Let  $0 \neq \omega \in \Omega_X^1(k)$  and  $P \in X(k)$ . Let  $t \in k(X)$  be a uniformizer at  $P$ . Then  $v_P(\omega) = v_P(\omega/dt)$  is the valuation of  $\omega$  at  $P$ . This valuation is nonzero for only finitely many points  $P \in X(\bar{k})$ . The divisor

$$\text{div}(\omega) = \sum_{P \in X(\bar{k})} v_P(\omega)P \in \text{Div}_X(k)$$

is the divisor of  $\omega$ .

If  $v_P(\omega) \geq 0$  then  $\omega$  is regular at  $P$  and  $\omega$  is said to be regular if it is regular at all points  $P \in X(\bar{k})$ .

Also called differentials of the **first kind**.

A differential of the **second kind** has residue zero at all points  $P \in X(\bar{k})$ .

A differential of the **third kind** has at most a simple pole at all points  $P \in X(\bar{k})$  (and integer residues there in some references).  $\diamond$

Since the quotient of any two non-zero differentials is a function

$$\omega_1 = f_1 dg$$

$$\omega_2 = f_2 dg$$

so

$$\frac{\omega_1}{\omega_2} = \frac{f_1}{f_2}.$$

The difference of any two divisors of differentials is a principal divisor.

$$\begin{aligned} \text{div}\left(\frac{\omega_1}{\omega_2}\right) &= \text{div}\left(\frac{f_1}{f_2}\right) \\ &= \text{div } \omega_1 - \text{div } \omega_2. \end{aligned}$$

So the divisors of differentials form one linear equivalence class of divisors, the canonical class.

**Recall.** Let  $X/k$  be a curve and  $D \in \text{Div}_X(k)$ . The Riemann-Roch space of  $D$  is the  $k$ -vector space

$$L(D) = \{\phi \in k(X)^\times : \text{div } \phi + D \geq 0\} \cup \{0\}$$

where we write  $D \geq D'$  if  $v_P(D) \geq v_P(D')$  for all  $P$ .

**Theorem 2.6 Riemann-Roch.** Let  $X/k$  be a curve of genus  $g$  then there is a divisor  $W \in \text{Div}_X(k)$  s.t. for every  $D \in \text{Div}_X(k)$  we have  $\dim_k L(D)$  is finite and

$$\dim_k L(D) = \deg D - g + 1 \dim_k L(W - D).$$

In particular,  $\dim_k L(W) = g$ ,  $\deg W = 2g - 2$ .

The canonical class is exactly the class of the divisor  $W$  in Riemann-Roch.

The  $k$ -vector space of regular differentials has  $\dim L(W) = g$ , and is denoted as  $H^0(X, \Omega_X^1)$ .

**Example 2.7** Let  $X: y^2 = f(x)$  be a hyperelliptic curve of genus  $g$  over  $k$ . Then  $H^0(X, \Omega_X^1)$  has basis

$$\left\{ \frac{dx}{2y}, \dots, \frac{x^{g-1} dx}{2y} \right\}$$

so every regular differential can be written uniquely as

$$\frac{p(x) dx}{2y}$$

with a polynomial  $p$  of degree  $\leq g - 1$ . □

We want to integrate differentials in some  $p$ -adic sense, Q: What does a  $p$ -adic line integral look like?

**Theorem 2.8** Let  $X/\mathbb{Q}_p$  be a curve with good reduction then there is a  $p$ -adic integral

$$\int_P^Q \omega \in \overline{\mathbb{Q}_p}$$

defined for each pair of points  $P, Q \in X(\overline{\mathbb{Q}_p})$  and regular differential  $\omega \in H^0(X, \Omega_X^1(\overline{\mathbb{Q}_p}))$  that satisfies the following properties:

1. The integral is  $\overline{\mathbb{Q}_p}$  linear in  $\omega$
2. If  $P, Q$  both reduce to the same point  $\bar{P} \in X_{\mathbb{F}_p}(\mathbb{F}_p)$  then the integral can be evaluated by writing

$$\omega = \omega(t) dt$$

with  $t$  a uniformizer at  $P$  reducing to a uniformizer at  $\bar{P}$  and  $\omega$  a power series. Then integrating formally obtaining a power series  $l$  s.t.

$$dl(t) = \omega(t) dt$$

and  $l(0) = 0$  and finally evaluating

$$l(t(Q))$$

which converges. This implies that  $\int_P^P \omega = 0$ .

3.

$$\int_P^Q \omega + \int_{P'}^{Q'} \omega = \int_P^{Q'} \omega + \int_{P'}^Q \omega$$

so it makes sense to define:

$$\int_D \omega$$

for

$$\sum_{j=1}^n Q_j - P_j \in \text{Div}_X^0(\overline{\mathbf{Q}}_p)$$

as

$$\int_D \omega = \sum_{j=1}^n \int_{P_j}^{Q_j} \omega$$

4. If  $D$  is principal then  $\int_D \omega = 0$ .

5. The integral commutes with the action of  $\text{Gal}(\overline{\mathbf{Q}}_p/\mathbf{Q}_p)$ .

6. Fix  $P_0 \in X(\overline{\mathbf{Q}}_p)$ . If  $0 \neq \omega \in H^0(X, \Omega_X^1)$ , then the set of points  $P \in X(\overline{\mathbf{Q}}_p)$  reducing to a fixed point  $P_0 \in X_{\mathbb{F}_p}(\overline{\mathbb{F}}_p)$ . and s.t.

$$\int_{P_0}^P \omega = 0$$

is finite.

**Remark 2.9** The statement that the curve has good reduction is not necessary but simplifies the statement of 2.

**Remark 2.10** This integral is the Coleman integral [32], other works on  $p$ -adic integration include Berkovich [13]. Also there is work of Zarhin, Colmez, Vologodsky, Besser, ...

**Remark 2.11** Theory of Coleman integration of forms the second or third kind developed by Coleman-de Shalit [34]. (additivity in endpoints, linearity, change of variables, FTC).

**Corollary 2.12** Given the hypotheses of the previous theorem

$$P_0 \in X(\mathbf{Q}_p)$$

and  $J$  the Jacobian of  $X$  let

$$\iota: X \rightarrow J$$

be the embedding

$$P \mapsto [P - P_0]$$

there is a map

$$\begin{aligned} J(\mathbf{Q}_p) \times H^0(X, \Omega_X^1) &\rightarrow \mathbf{Q}_p \\ (P, \omega) &\mapsto \langle P, \omega \rangle \end{aligned}$$

that is additive in  $P$  and  $\mathbf{Q}_p$  linear in  $\omega$  which is given by

$$\langle [D], \omega \rangle = \int_D \omega$$

in particular for

$$P \in X(\mathbf{Q}_p)$$

we have

$$\langle \iota(P), \omega \rangle = \int_{P_0}^P \omega.$$

**Remark 2.13** If  $P \in J(\mathbf{Q}_p)$  has finite order, then

$$\langle P, \omega \rangle = 0, \forall \omega \in H^0(X, \Omega_X^1)$$

to see this, if  $nP = 0$  then

$$\langle P, \omega \rangle = \frac{1}{n} \langle nP, \omega \rangle = \frac{1}{n} 0 = 0.$$

One can show that torsion points are the only points with this property. On the other hand, if  $\omega$  has the property that  $\langle P, \omega \rangle = 0$  for all  $P \in J(\mathbf{Q}_p)$  then  $\omega = 0$ .

**Corollary 2.14** Let  $X/\mathbf{Q}$  be a curve of genus  $g$  with Mordell-Weil rank less than  $g$ . Then  $\#X(\mathbf{Q})$  is finite. Note we don't need  $g \geq 2$ , in  $g = 1$  this applies to rank 0.

*Proof.* Pick a prime of good reduction for  $X$  let

$$V = \{\omega \in H^0(X, \Omega_X^1) : \forall P \in J(\mathbf{Q}) : \langle P, \omega \rangle = 0\}$$

by additivity in the first argument this condition is equivalent to requiring that  $\langle P_j, \omega \rangle = 0$  for a basis  $\{P_j\}_{j=1}^r$  of the free part of  $J(\mathbf{Q})$  so it leads to at most  $r$  linear constraints, so  $\dim V \geq g - r > 0$ . So there is some  $0 \neq \omega \in V$  pick  $P_0 \in X(\mathbf{Q})$ , if  $X(\mathbf{Q}) = \emptyset$  we are done. To define  $\iota: X \hookrightarrow J$ . Since  $\iota(P) \in J(\mathbf{Q})$  for all  $P \in X(\mathbf{Q})$  so it follows that  $\int_{P_0}^P \omega = 0$  for all  $P \in X(\mathbf{Q})$ . By the theorem the number of such  $P$  is finite in each residue disk of  $X(\mathbf{Q})$ . Since the number of residue classes is  $\#X(\mathbf{F}_p)$  which is finite. The total number of points in  $X(\mathbf{Q})$  is finite also.

To get an actual bound we have to bound the number of zeroes of

$$\int_{P_0}^z \omega$$

as a  $p$ -adic power series. We can think of  $X(\mathbf{Q}_p)$  set theoretically as a finite union of residue disks. Within each residue disk

$$\int_{P_0}^z \omega$$

has finitely many  $p$ -adic zeroes. ■

Lecture 3 10/9/2019

We want to give a more refined version of this result which uses results about zeroes of  $p$ -adic power series.

**Theorem 2.15** Let

$$0 \neq l(t) = \sum_{n=0}^{\infty} a_n t^n \in \mathbf{Q}_p[[t]]$$

such that  $a_n \rightarrow 0$  as  $n \rightarrow \infty$  in the  $p$ -adic topology. Let

$$v_0 = \min\{v_p(a_n) : n \geq 0\}$$

and

$$N = \max\{n \geq 0, v_p(a_n) = v_0\}$$

then there is a constant

$$c \in \mathbf{Q}_p^\times$$

a monic polynomial

$$q \in \mathbf{Z}_p[t]$$

of degree  $N$ , a power series

$$h(t) = \sum_{n=0}^{\infty} b_n t^n \in 1 + pt\mathbf{Z}_p[[t]]$$

with

$$b_n \rightarrow 0 \text{ as } n \rightarrow \infty$$

and

$$l(t) = cq(t)h(t).$$

*Proof.* After rescaling by  $a_0^{-1}$  can assume  $v_0 = 0$  and  $a_N = 1$  so this in particular  $l(t) \in \mathbf{Z}_p[[t]]$  the condition  $a_n \rightarrow 0$  means that the image  $l_m(t)$  of  $l(t)$  in  $\mathbf{Z}/p^m\mathbf{Z}[[t]]$  is actually a polynomial for all  $m \geq 1$ .

The idea is to construct inductively constants  $c_m \in (\mathbf{Z}/p^m)^\times$ , monic polynomials  $q_m(t) \in (\mathbf{Z}/p^m)[t]$  of degree  $N$  and polynomials  $h_m(t) \in (\mathbf{Z}/p^m)[t]$  with  $h_m \equiv 1 \pmod{pt}$  satisfying

$$l_m(t) = c_m q_m(t) h_m(t)$$

and such that

$$(c_{m+1}, q_{m+1}, h_{m+1})$$

reduces  $\pmod{p^m}$  to

$$(c_m, q_m, h_m).$$

Then there is a unique  $c, q, h$  as above such that

$$(c, q, h)$$

reduces  $\pmod{p^m}$  to

$$(c_m, q_m, h_m)$$

for all  $m$ .

To start the induction set  $c_1 = 1$

$$q_1(t) = l_1(t)$$

$$h_1(t) = 1$$

this is possible since  $l_1(t)$  is monic of degree  $N$ .

Assume we've constructed  $c_m, q_m, h_m$ , let

$$\tilde{c}_{m+1}, \tilde{q}_{m+1}, \tilde{h}_{m+1}$$

be arbitrary lifts of  $c_m, q_m, h_m$  to objects over  $\mathbf{Z}/p^{m+1}$  with

$$\tilde{q}_{m+1} \text{ monic of degree } N$$

$$\tilde{h}_{m+1}(t) \equiv 1 \pmod{pt}$$

then

$$l_{m+1}(t) - \tilde{c}_{m+1} \tilde{q}_{m+1} \tilde{h}_{m+1} = p^m d(t)$$

with

$$d(t) \in (\mathbf{Z}/p)[t]$$

then we must have

$$c_{m+1} = \tilde{c}_{m+1} + p^m \gamma$$



$$q_{m+1} = \tilde{q}_{m+1} + p^m k(t)$$

$$h_{m+1} = \tilde{h}_{m+1} + p^m \eta(t)$$

with  $\gamma \in \mathbf{Z}/p$ ,  $k \in (\mathbf{Z}/p)[t]$  of degree  $< N$ . and  $\eta \in (\mathbf{Z}/p)[t]$  with  $\eta(0) = 0$ .

For  $\gamma \in \mathbf{Z}/p\mathbf{Z}$ ,  $k(t) \in (\mathbf{Z}/p\mathbf{Z})[t]$  of degree less than  $N$ , and  $\eta(t) \in (\mathbf{Z}/p\mathbf{Z})[t]$  with  $\eta(0) = 0$ . So the relation  $l_{m+1}(t) = c_{m+1}q_{m+1}(t)h_{m+1}(t)$  is equivalent to  $d(t) = (\gamma + \eta(t))l_1(t) + k(t)$ , and  $\gamma, k(t)$ , and  $\eta(t)$  are uniquely determined through division by  $l_1(t)$  with remainder  $d(t)$ , and this determines  $c_{m+1}$ ,  $q_{m+1}(t)$ , and  $h_{m+1}(t)$ . ■

Now we apply this to study zeroes of  $p$ -adic power series coming from Coleman integrals.

**Lemma 2.16** *Let  $l(t) \in \mathbf{Q}_p[[t]]$  with formal derivative  $w(t) \in \mathbf{Z}_p[[t]]$ . Such that the image  $\bar{w}(t) \in \mathbf{F}_p[[t]]$  has the form  $ut^v + \dots$  with  $u \in \mathbf{F}_p^\times$ . Then  $l$  converges on  $p\mathbf{Z}_p$ . If  $p > v + 2$  then*

$$\#\{\tau \in p\mathbf{Z}_p : l(\tau) = 0\} \leq v + 1.$$

*Proof.* Let

$$w(t) = w_0 + w_1 t + \dots$$

$$l(t) = l_0 + l_1 t + \dots$$

then

$$l_{n+1} = \frac{w_n}{n+1} \in \frac{1}{n+1} \mathbf{Z}_p$$

since  $v_p(n+1) = O(\log n)$  the assumption that

$$w_n \in \mathbf{Z}_p$$

implies that  $v_p(l_n) = v_p(w_n/(n+1)) \geq -c \log n$  for some constant  $c$ . If  $\tau \in p\mathbf{Z}_p$  so  $v_p(\tau) \geq 1$ , then

$$v_p(l_n \tau^n) \geq n - c \log n \rightarrow \infty$$

as  $n \rightarrow \infty$ , hence  $l(\tau)$  converges. Now consider  $l(pt) = l_0 + pl_1 t + p^2 l_2 t^2 + \dots$ . The claim is that in the notation of the previous theorem we have  $N \leq v + 1$ .

$$v_p(p^{v+1} l_{v+1}) = v + 1 + v_p(l_{v+1})$$

$$= v + 1 + v_p\left(\frac{w_v}{v+1}\right)$$

$$= v + 1 + v_p(w_v) - v_p(v+1) \leq v + 1$$

as by assumption  $\bar{w}(t) \in \mathbf{F}_p[[t]]$  has the form  $ut^v + \dots$  so that  $v_p(w_v) = 0$ .

For  $n > v$  we have

$$v_p(p^{n+1} l_{n+1}) = n + 1 + v_p(l_{n+1})$$

$$= n + 1 + v_p(w_n) - v_p(n+1)$$

$$\geq n + 1 - v_p(n+1)$$

since

$$v_p(w_n) \geq 0$$

for  $n > v$ . So it suffices to show that

$$n - v_p(n+1) > v$$

This is clear for  $v_p(n+1) = 0$ . Otherwise suppose  $e = v_p(n+1)$  then  $p^e | (n+1)$ . So  $n+1 \geq p^3 > v+e+1$ , where the second inequality can be shown by induction. For  $e = 1$  this is our hypothesis that  $p > v + 2$ , then use  $p^{e+1} \geq p^e + 1$ . The previous corollary now gives the result. ■

**Theorem 2.17 Coleman '85.** Let  $X/\mathbf{Q}$  be a curve of genus  $g$ , with Mordell-Weil rank of  $J$  less than  $g$ . Then

$$\#X(\mathbf{Q}) \leq \#X(\mathbf{F}_p) + 2g - 2.$$

[33].

*Proof.* We assume  $P_0 \in X(\mathbf{Q})$ , now arguing as in the proof of today's first corollary there is a non-zero differential  $\omega \in H^0(X, \Omega_{X/\mathbf{Q}_p}^1)$  such that

$$\int_{P_0}^P \omega = 0$$

for all  $P \in X(\mathbf{Q})$ . Now consider a point  $\bar{Q} \in \bar{X}(\mathbf{F}_p)$  and lift it to  $Q$  in  $X(\mathbf{Q}_p)$ , we can pick a uniformizer  $t \in \mathbf{Q}_p(X)^\times$  s.t at  $Q$   $t$  reduces to a uniformizer  $\bar{t} \in \mathbf{F}_p(\bar{X})^\times$  at  $\bar{Q}$ . We rescale  $\omega$  s.t. its reduction  $\bar{\omega}$  is defined and non-zero. Then  $\bar{\omega} \in H^0(X, \Omega_{X/\mathbf{F}_p}^1)$ . Recall that  $\text{div}(\bar{\omega})$  is effective and has degree  $2g - 2$ . Let  $\nu(\bar{Q})$  denote the valuation at  $\bar{Q}$  of  $\bar{\omega}$ .  $\nu(\bar{Q}) = \nu_{\bar{Q}}(\bar{\omega})$ . We write  $\omega(t) = w(t) dt$  with

$$w(t) \in \mathbf{Z}_p[[t]]$$

the coefficients are in  $\mathbf{Z}_p$  since  $\bar{\omega}$  is defined. Then

$$\bar{\omega} = \bar{w}(t) d\bar{t}$$

$$\bar{w}(\bar{t}) = \bar{t}^{\nu(\bar{Q})}(u_0 + u_1\bar{t} + \cdots).$$

$$\int_{P_0}^P \omega = l(t(P))$$

for  $P \in X(\mathbf{Q}_p)$  such that  $\bar{p} = \bar{q}$  and apply previous lemma. Now summing over residue disks we get

$$\begin{aligned} \#X(\mathbf{Q}) &\leq \# \left\{ P \in X(\mathbf{Q}_p) : \int_{P_0}^P \omega = 0 \right\} \\ &\leq \sum_{\bar{Q} \in \bar{X}(\mathbf{F}_p)} (\nu(\bar{Q}) + 1) \\ &= \sum_{\bar{Q} \in \bar{X}(\mathbf{F}_p)} \nu(\bar{Q}) + \sum_{\bar{Q} \in \bar{X}(\mathbf{F}_p)} 1 \\ &\leq \deg(\text{div } \omega) + \#\bar{X}(\mathbf{F}_p) \\ &= 2g - 2 + \#\bar{X}(\mathbf{F}_p). \end{aligned}$$

■

**Remark 2.18** Stoll (06) showed that we can choose the best  $\omega$  in each residue disk, can improve the bound,  $r < g$  and  $p > 2r + 2$  is a good prime then

$$\#X(\mathbf{Q}) \leq \#\bar{X}(\mathbf{F}_p) + 2r$$

can also weaken the assumption that

$$p > 2r + 2.$$

If  $p > 2$  then

$$\#X(\mathbf{Q}) \leq \#\bar{X}(\mathbf{F}_p) + 2r + \left\lfloor \frac{2r}{p-1} \right\rfloor.$$

[87]. Katz-Rabinoff-Zuerieck-Brown (12) extend Stoll's result tot the case of bad reduction, if  $p > 2g$  and  $X$  a proper regular model for  $X$  over  $\mathbf{Z}_p$  then

$$\#X(\mathbf{Q}) \leq \#X_{sm}(\mathbf{F}_p) + 2r$$

where  $\bar{X}(\mathbf{F}_p)$  is the set of smooth points in the special fiber of minimal proper regular model of  $X$  over  $\mathbf{Z}_p$ . [58].

Lecture 4 17/9/2019

A few results applying Chabauty-Coleman to prove uniform bounds:

**Theorem 2.19 Stoll '13.** *If  $X/\mathbf{Q}$  is hyperelliptic of genus  $g$  with Jacobian of Mordell-Weil rank  $r \leq g - 3$ , then*

$$\#X(\mathbf{Q}) \leq 8rg + 33(g - 1) + 1$$

[88].

**Theorem 2.20 Katz-Rabinoff-Zuerieck-Brown '19.** *If  $X/\mathbf{Q}$  curves of genus  $g$  with  $r \leq g - 3$ .*

$$\#X(\mathbf{Q}) \leq 84g^2 - 98g + 28.$$

Ref KRZB and expository paper.

Suppose  $X/\mathbf{Q}$  is genus 3, hyperelliptic curve of rank 0, Stoll's bound gives  $\#X(\mathbf{Q}) \leq 67$ . Is there a curve meeting this bound? Or even  $\#X(\mathbf{Q}) = 10$ ?

In the LMFDB we find in  $g = 2$ ,  $r = 0$  the record seems to be  $\#X(\mathbf{Q}) = 8$ . For <http://lmfdb.org/Genus2Curve/Q/1116.a.214272.1> we have  $\#X(\mathbf{Q}) = 8$ .

$$J(\mathbf{Q}) \simeq \mathbf{Z}/39$$

with simple Jacobian (first found by Elkies).

It is possible to use constructions of Howe, Leprevost, Poonen, Elkies, others to construct Jacobians with even larger torsion (and possibly curves of low rank with many rational points? Earlier we talked about computing annihilating differentials in the Chabauty-Coleman method. Here is a concrete example, to motivate a discussion of explicit Coleman integration.

**Example 2.21** Consider

$$X: y^2 = x^5 - 4x^3 + 3x + 1$$

<http://lmfdb.org/Genus2Curve/Q/3920.b.62720.1>.

$$J(\mathbf{Q}) \simeq \mathbf{Z} \oplus \mathbf{Z}/2.$$

$$N = 3920 = 2^4 \cdot 5 \cdot 7^2.$$

And

$$X(\mathbf{Q}) \supseteq \{\infty, (0, \pm 1), (1, \pm 1), (-1, \pm 1)\}$$

$$\#X_{\mathbf{F}_{11}}(\mathbf{F}_{11}) = 13$$

$$\#X_{\mathbf{F}_{13}}(\mathbf{F}_{13}) = 14$$

so the Chabauty-Coleman bound by itself does not prove that we found all the  $\mathbf{Q}$ -points already. The point

$$[(1, 1) - \infty]$$

is of infinite order in  $J(\mathbf{Q})$ . We use it to construct an annihilating differential. Let  $p = 11$ . Then a basis of  $H^0(X, \Omega^1)$  is given by

$$\left\{ \omega_i = \frac{x^i dx}{2y} \right\}_{i=0,1}$$

so the annihilating differential  $\eta$  is some  $\mathbf{Q}_p$ -linear combination of  $\omega_0, \omega_1$ . We use the values of

$$\int_{\infty}^{(1,1)} \omega_0, \int_{\infty}^{(1,1)} \omega_1$$

to compute  $\eta$ . We find

$$\int_{\infty}^{(1,1)} \omega_0 = 8 \cdot 11 + 7 \cdot 11^2 + 7 \cdot 11^3 + 4 \cdot 11^7 + 9 \cdot 11^8 + O(11^9) = \alpha$$

$$\int_{\infty}^{(1,1)} \omega_1 = 3 \cdot 11 + 2 \cdot 11^2 + 4 \cdot 11^3 + 3 \cdot 11^4 + 6 \cdot 11^5 + 6 \cdot 11^6 + 8 \cdot 11^7 + 3 \cdot 11^8 + O(11^9) = \beta.$$

Then

$$\int_{\infty}^{(1,1)} \beta \omega_0 - \alpha \omega_1 = 0$$

so take

$$\eta = \beta \omega_0 - \alpha \omega_1.$$

To use  $\eta$  to compute  $X(\mathbf{Q})$  or more precisely, a finite subset of  $X(\mathbf{Q}_p)$  containing  $X(\mathbf{Q})$  we need to compute the collection of indefinite Coleman integrals

$$\left\{ \int_{\infty}^{P_i} \eta \right\}$$

where  $P_i$  ranges over all residue disks. And solve for  $z \in X(\mathbf{Q}_p)$  such that

$$\int_{\infty}^z \eta = 0.$$

So to compute  $\alpha, \beta$  and the functions we needed Coleman integrals between points not in the same residue disk.  $\square$

Goal: show how to compute these  $p$ -adic integrals.

Let  $X/\mathbf{Q}$  be a curve. Let  $X^{an}$  be the associated rigid analytic space. (Let  $X$  be a smooth curve over  $\mathbf{Z}_p$  s.t.

$$X \otimes \mathbf{Q}_p \simeq X \otimes \mathbf{Q}_p,$$

then  $X^{an}$  denotes the rigid analytic space over  $\mathbf{Q}_p$  which is the generic fibre of  $X$ .)

**Definition 2.22** A wide open subspace of  $X^{an}$  is the complement in  $X^{an}$  of the union of a finite collection of disjoint closed disks of radius  $\lambda_i < 1$ .  $\diamond$

**Example 2.23** Let

$$X: y^2 = \prod_{i=1}^5 (x - \alpha_i)$$

take out closed disks of radius  $\lambda_i$  for each  $P_i = (\alpha_i, 0)$  and  $\infty$ .  $\square$

**Theorem 2.24 Coleman, Coleman-de Shalit.** Let  $\eta, \xi$  be 1-forms on a wide open  $V$  of  $X^{an}$  and  $P, Q, R \in V(\mathbf{Q}_p)$ . Let  $a, b \in \mathbf{Q}_p$ . The definite Coleman integral has the following properties

1. Linearity

$$\int_P^Q a\eta + b\xi = a \int_P^Q \eta + b \int_P^Q \xi$$

2. Additivity in endpoints

$$\int_P^Q \eta = \int_P^R \eta + \int_R^Q \eta$$

3. Change of variables, if  $V' \subseteq X'$  is a wide open subspace of a rigid analytic space  $X'$  and  $\phi: V \rightarrow V'$  is a rigid analytic map then

$$\int_P^Q \phi^* \eta = \int_{\phi P}^{\phi Q} \eta.$$

4. Fundamental theorem of calculus

$$\int_P^Q df = f(Q) - f(P)$$

for  $f$  a rigid analytic function on  $V$ .

Goal: want to integrate

$$\int_P^Q \omega$$

for a 1-form of the second kind,  $P, Q \in V(\mathbf{Q}_p)$ . Idea

1. Take  $\phi$  to be a lift of Frobenius from the special fibre.
2. Write a basis  $\{\omega_i\}$  of 1-forms of the second kind.
3. Compute  $\phi^* \omega_i$  and use properties of Coleman integral to relate  $\int_P^Q \phi^* \omega_i$  to  $\int_P^Q \omega_i$  and other terms we can compute.

[59], [37], also Stephanie Chan MMath thesis (is this online?)

Setup  $p \neq 2$  prime

$$\overline{X}/\mathbf{F}_q, q = p^n$$

hyperelliptic of genus  $g$  with affine equation

$$y^2 = P(x)$$

with  $P(x)$  monic degree  $2g + 1$ , with no repeated roots.

$$X: \overline{X} \setminus \{\infty, y = 0\}.$$

$W$  ring of Witt vectors over  $\mathbf{F}_q$ , (the unique unramified extension of  $\mathbf{Z}_p$  with residue field  $\mathbf{F}_q$ ).

Choose a lift  $\tilde{P}$  of  $P$ , to a monic polynomial of degree  $2g + 1$ . Over  $W$  this gives a lift  $\tilde{X}$  of  $X$ . Let  $A = W[x, y, y^{-1}]/(y^2 - \tilde{P}(x))$  Let  $A^\dagger$  be the weak completion of  $A$ , explicitly let  $v_p$  denote the  $p$ -adic valuation on  $W$  extend it

to polynomials. If  $g(x) = \sum a_i x^i$ , define  $v_p(g) = \min\{v_p(a_i)\}$ . The elements of  $A^\dagger$  are series

$$\sum_{-\infty}^{\infty} (S_n(x) + T_n(x)y)y^{2n}$$

where  $S_n$  and  $T_n$  are polynomials of degree at most  $2g$  s.t. limits are positive.

Lecture 5 19/9/2019

References for Rigid Geometry: [38] [22].

$\bar{X}/\mathbb{F}_q$  a hyperelliptic curve of genus  $g$ , with odd degree model and monic, no repeated roots.

$$X: \bar{X} \setminus \{\infty, y = 0\}$$

$$\tilde{X}$$

is a lift of  $X$  to  $\mathbb{Z}_q$  the ring of Witt vectors over  $\mathbb{F}_q$ .

$$y^2 = \tilde{P}(x).$$

$$A: \mathbb{Z}_q[x, y, y^{-1}]/(\tilde{y}^2 - \tilde{P}(x))$$

$A^\dagger$  the weak completion of  $A$ , this is

$$\left\{ \sum_{-\infty}^{\infty} s_n(x)y^n : s_n \in \mathbb{Z}_q[x], \deg s_n \leq 2g, \text{ord}_p(s_n) > c^n \text{ for some } c > 0 \right\}.$$

Monsky-Washnitzer cohomology is a  $p$ -adic cohomology theory for smooth affine varieties, over fields of characteristic  $p$ .

**Theorem 2.25 Special case, Berthelot, (1974, 1997).** *The algebraic de Rham cohomology of  $\tilde{X}$  coincides with the Monsky-Washnitzer cohomology of  $X$ .*

Monsky-Washnitzer cohomology is finite dimensional and is equipped with an action of Frobenius. So the theorem tells us that we can compute via a description of de Rham cohomology.

**Proposition 2.26** *The de Rham cohomology of  $A$  splits into eigenspaces under the hyperelliptic involution: a positive eigenspace generated by*

$$\frac{x^i dx}{y^2}, i = 0, \dots, 2g$$

*and a negative eigenspace generated by*

$$\frac{x^i dx}{y}, i = 0, \dots, 2g - 1.$$

We lift  $p$ -power Frobenius to an endomorphism of  $A^\dagger$  by defining it as the canonical Witt vector Frobenius on  $\mathbb{Z}_q$ .

$$(a_0, a_1, \dots) \mapsto (a_0^p, a_1^p, \dots)$$

for  $a_i \in \mathbb{F}_q$ , then extend it to  $\mathbb{Z}_q[x]$  by mapping  $x \mapsto x^p$ . Then since  $y^2 = \tilde{P}(x)$ , we have

$$(y^\sigma)^2 = (y^2)^\sigma = (\tilde{P}(x))^\sigma$$

$$= (\tilde{P}(x))^\sigma \left( \frac{y^2}{\tilde{P}(x)} \right)^p = \frac{y^{2p} \tilde{P}(x)^\sigma}{\tilde{P}(x)^p}$$

$$\begin{aligned}
y &\mapsto y^p \left( \frac{\tilde{P}(x)^\sigma}{\tilde{P}(x)^p} \right)^{\frac{1}{2}} \\
&= y^p \left( 1 + \frac{\tilde{P}(x)^\sigma - \tilde{P}(x)^p}{\tilde{P}(x)^p} \right)^{\frac{1}{2}} \\
&= y^p \sum_{i=0}^{\infty} \binom{1/2}{i} \left( \frac{\tilde{P}(x)^\sigma - \tilde{P}(x)^p}{y^{2p}} \right)^i
\end{aligned}$$

**Remark 2.27** Here is why we removed the Weierstrass points (we don't want to divide by  $y$  and have things diverge). It's possible to compute a Frobenius lift without deleting Weierstrass points, but then we need to solve for images of  $x, y$  using a 2 variable newton iteration.

Further extend to differentials by sending

$$dx \mapsto d(x^p) = px^{p-1} dx$$

define  $F_* = \sigma^{\log_p q}$  this is a lift of  $q$ -power frobenius.

Key reduction lemmas, (to prove prop on eigenspaces).

**Lemma 2.28** If  $A(x) = \tilde{P}(x)B(x) + \tilde{P}'(x)C(x)$  then

$$\frac{A(x) dx}{y^2} = \left( B(x) + \frac{2C'(x)}{s-2} \right) \frac{dx}{y^{s-2}}$$

as elements of  $H_{MW}^1(X)$ .

We also have

$$d(x^i y^j) = ix^{i-1} dx y^j + x^i j y^{j-1} dy,$$

use  $y^2 = \tilde{P}(x)$  which implies

$$\begin{aligned}
d(y^2 = \tilde{P}(x)) &= 2y dy = \tilde{P}'(x) dx \\
\implies dy &= \frac{\tilde{P}'(x) dx}{2y}
\end{aligned}$$

giving

$$d(x^i y^j) = ix^{i-1} y^j dx + x^i j y^{j-1} \frac{\tilde{P}'(x) dx}{2y}.$$

A special case of this: let  $Q(x) = x^{m-2g}$  then

$$d(Q(x)y) = (Q(x)\tilde{P}'(x) + 2Q'(x)\tilde{P}(x)) \frac{dx}{y} \equiv 0 \text{ in } H_{MW}^1(X).$$

Goal for Coleman integration: We compute

$$\left( \frac{x^i dx}{y} \right)^\sigma$$

reduce using the above reductions to get a cohomologous differential that's a linear combination of the basis

$$\left\{ \frac{x^i dx}{y} \right\}_{i=0, \dots, 2g-1}.$$

What does this look like?

1. The reduction process is essentially subtracting the right linear combinations of  $d(x^i y^j)$  and using  $y^2 = \tilde{P}(x)$ .
2. Precision is lost when we divide by  $p$  in the reduction algorithm, so we'll need to measure the loss of precision at each step to know how many provably correct  $p$ -adic digits we have.

We compute

$$\left(\frac{x^i dx}{y}\right)^\sigma = \frac{p x^{pi+p-1} dx}{y^p} \sum_{i=0}^L \binom{-1/2}{i} \frac{(\tilde{P}(x)^\sigma - \tilde{P}(x)^p)^i}{y^{2pi}}$$

we need to know how large  $L$  must be to get provably correct expansions.

If the result of this is

$$\sum_{j=-M}^N \frac{A_j(x) dx}{y^{2j+1}}$$

using the reduction formulas to eliminate the  $j = N$  term then the  $N - 1$  term until no terms with  $j > 0$  remain. Do likewise with the  $j = -M, -M + 1, \dots$  terms.

At the end of the reduction algorithm we are left with

$$\left(\frac{x^i dx}{y}\right)^\sigma = df_i + \sum_{j=0}^{2g-1} M_{ji} \frac{x^j dx}{y}$$

the  $df_i$  is what's eliminated by the reduction algorithm, we sum the  $d$ 's at each step.

Do this for each  $i = 0, \dots, 2g - 1$ . Then  $M = (M_{ij})$  gives the matrix of Frobenius. Its characteristic polynomial gives you the numerator of the zeta function of  $X$ .

Lemmas on precision:

**Lemma 2.29** Let  $A(x) \in \mathbf{Z}_q[x]$  be a polynomial of degree  $\leq 2g$ . For some  $m > 0$  consider the reduction of

$$\omega = \frac{A(x) dx}{y^{2m+1}}$$

by Reduction 1

$$\omega = \frac{A(x) dx}{y^{2m+1}} = \frac{B(x) dx}{y} + df$$

with  $B(x) \in \mathbf{Q}_q[x]$  with  $\deg B(x) \leq 2g - 1$ . We have

$$p^{\lfloor \log_p(2m-1) \rfloor} B(x) \in \mathbf{Z}_q[x].$$

$$f = \sum_{k=-1}^{m-1} \frac{F_k(x)}{y^{2k+1}}, \deg F_k \leq 2g.$$

**Lemma 2.30** Let  $A(x) \in \mathbf{Z}_q[x]$  be a polynomial of degree  $\leq 2g$ . For some  $m > 0$  consider the reduction of

$$\omega = \frac{A(x) y^{2m} dx}{y}$$



by Reduction 2

$$\omega = \frac{A(x)y^{2m} dx}{y} = \frac{B(x) dx}{y} + df$$

with  $B(x) \in \mathbf{Q}_q[x]$  with  $\deg B(x) \leq 2g - 1$ ,

$$f = cy^{2m+1} + \sum_{k=0}^{m-1} F_k(x)y^{2k+1}$$

$$c \in \mathbf{Q}_q, \deg F_k \leq 2g, p^{\lfloor \log_p(2g+1)(2m+1) \rfloor} B(x) \in \mathbf{Z}_q[x].$$

**Proposition 2.31** To get  $N$  correct digits in the expansion after reduction we need to start with precision

$$N_1 = N + \max \left\{ \left\lfloor \log_p(2M - 3) \right\rfloor, \left\lfloor \log_p(2g + 1) \right\rfloor \right\} + 1 + \left\lfloor \log_p(2g - 1) \right\rfloor,$$

where  $M$  is the smallest integer s.t.

$$M - \max \left\{ \left\lfloor \log_p(2M + 1) \right\rfloor, \left\lfloor \log_p(2g + 1) \right\rfloor \right\}.$$

**Example 2.32** Let

$$y^2 = \tilde{P}(x) = x^3 + x + 1/\mathbf{Q}$$

let  $p = 5$  (or take this over  $\mathbf{F}_5$  and lift to  $\mathbf{Z}_5$ ). Let  $N = 2$  be the number of correct 5-adic digits, so  $M = 3$ , so  $N_1 = 3$ , use the differentials  $\frac{dx}{y}, \frac{x dx}{y}$

$$\left( \frac{dx}{y} \right)^\sigma = \left( \frac{25x + 50}{y^{15}} + \frac{75x^2 + 100x + 25}{y^{13}} + \frac{50x^2 + 50x + 100}{y^{11}} + \frac{75x + 50}{y^9} + \frac{50x^2 + 50x}{y^7} + \frac{70x^2 + 70x + 25}{y^5} + \frac{5x}{y^3} \right)$$

similar for

$$\left( \frac{x dx}{y} \right)^\sigma = \left( \frac{100x^2 + 100x + 75}{y^{15}} + \dots \right) dx \pmod{5^3}$$

let  $F_k$  be the polynomial in the term

$$\frac{F_k dx}{y^{2k+1}}$$

starting from  $k = 7$ , set  $s_k(x) = F_k(x)$ , compute a series of polynomials inductively for  $k - 1, k - 2, \dots, 0$ . Given  $S_{k+1}$  find polynomials  $A_{k+1}, B_{k+1}$  s.t.

$$A_{k+1}\tilde{P} + B_{k+1}\tilde{P}' = s_{k+1}$$

then set  $s_k(x) = F_k(x) + A_{k+1}(x) + \frac{2B'_{k+1}(x)}{2k+1}$

$$\left( \frac{dx}{y} \right)^\sigma = 15x \frac{dx}{y} \pmod{5^2}$$

$$\left( \frac{x dx}{y} \right)^\sigma = (22x + 18) \frac{dx}{y} \pmod{5^2}$$

$$M = \begin{pmatrix} 0 & 18 \\ 15 & 22 \end{pmatrix} \pmod{5^2}.$$

□

I missed a day heere!

Lecture 7 1/10/2019

Set-up for Tuitman's algorithm:

$X$ : Smooth projective curve  $\mathbf{F}_q$ , birational to

$$Q(x, y) = y^{d_x-1} + Q_{d_x-1}y^{d_x-2} + \dots + Q_0 = 0$$

is irreducible, where  $Q_i \in \mathbf{F}_q[x]$  for  $i = 0, \dots, d_x - 1$ . This is part II of Tuitman [93] as we have a not necessarily smooth model.

Tuitman's idea:

1. Use the (low degree) map  $x: X \rightarrow \mathbf{P}^1$ .
2. remove the ramification locus of  $x$ , call this  $r(x) = 0$ , c.f. Kedlaya's algorithm where we deleted the Weierstrass points.
3. Choose a lift of Frobenius that sends  $x$  to  $x^p$ . Compute  $y$  via Hensel lifting.
4. Compute the action of Frobenius on differentials, reduce in cohomology, using Lauders fibration algorithm.

Then for a basis  $\{\omega_i\}$  of  $H_{\text{dR}}^1(X)$  Tuitman's algorithm computes:

$$\phi^* \omega_i = df_i + \sum_j M_{ji} \omega_j$$

and as before this can be used to give an algorithm for Coleman integration [9].

Let

$$S = \mathbf{Z}_q[x, 1/r], R = \mathbf{Z}_q[x, 1/r, y]/Q$$

where  $Q$  is a lift of  $Q$  to  $\# \mathbf{Z}_q$  that is monic with same monomials in support. This is possibly an issue for  $g \geq 5$ , see Tuitmans paper. See also [30] for heuristics, possible solutions in higher genus.

Let  $V = \text{Spec } S$ ,  $U = \text{Spec } R$ . The ring of overconvergent functions on  $U$  is

$$R^+ = \mathbf{Z}_q \langle x, 1/r, y \rangle^+ / Q$$

Goal: compute a lift of Frobenius on  $R^+$  in an explicit and fast way.

Let  $\mathbf{F}_q(x, y)$  denote the field of fractions of  $R \otimes_{\mathbf{Z}_q} \mathbf{F}_q$  and  $\mathbf{Q}_q(x, y)$  the field of fractions of  $R \otimes_{\mathbf{Z}_q} \mathbf{Q}_q$ .

Assumption 0 : The polynomial  $r(x)$  is separable over  $\mathbf{F}_q$ , recall that

$$\Omega_{R^+}^1 = \frac{R^+ dx \oplus R^+ dy}{dQ}$$

and if we write  $d: R^+ \rightarrow \Omega_{R^+}^1$  we have

$$H_{\text{rig}}^1(U) = \text{coker}(d) \otimes \mathbf{Q}_q.$$

[11]

We will compute  $H^1(X) \subseteq H^1(U)$  ???????????????

**Proposition 2.33**  $R^+$  is a free module of rank  $d_x$  over  $S^+ = \mathbf{Z}_q \langle x, 1/r \rangle^+$ . A basis is

$$[1, y, \dots, y^{d_x-1}].$$

**Theorem 2.34** *There is a lift of Frobenius  $\phi$  on  $R^\dagger$  that sends  $x$  to  $x^p$ .*

idea compute  $\phi(y)$  by Hensel lifting, using equation

$$Q^\sigma(x^p, \phi(y))$$

note that this is possible since we've removed zeroes of  $\frac{\partial Q}{\partial y}$  from the curve by deleting  $r(x)$ .

After precomputing  $\phi(y), \phi(y^2), \dots, \phi(y^{d_x-1})$  and  $\phi(1/r)$  it is easy to compute  $\phi$  on  $R^\dagger, \Omega_{R^\dagger}^1$ .

**Proposition 2.35** *Let  $G \in M_{d_x \times d_x}(\mathbb{Z}_q[x, 1/r])$  denote the matrix s.t.*

$$d(y^j) = \sum_{i=0}^{d_x-1} G_{i+1, j+1} y^i dx$$

for  $j = 0, \dots, d_x - 1$ . then  $G = M/r$  where  $M \in \text{Mat}_{d_x \times d_x}(\mathbb{Z}_q[x])$ .

Assumption 1: Let  $W^0 \in \text{GL}_{d_x}(\mathbb{Z}_q[x, 1/r])$ ,  $W^\infty \in \text{GL}_{d_x}(\mathbb{Z}_q[x, 1/x, 1/r])$  be matrices such that if we denote

$$b_j^0 = \sum_{i=0}^{d_x-1} W_{i+1, j+1}^0 y^i$$

$$b_j^\infty = \sum_{i=0}^{d_x-1} W_{i+1, j+1}^\infty y^i$$

for all  $0 \leq j \leq d_x - 1$ . Then  $\{b_j^0\}_{j=0}^{d_x-1}$  is an integral basis for  $\mathbb{Q}(x, y)$  over  $\mathbb{Q}[x]$ .  
 $\{b_j^\infty\}_{j=0}^{d_x-1}$  is an integral basis for  $\mathbb{Q}(x, y)$  over  $\mathbb{Q}[1/x]$ .

**Remark 2.36** Magma can compute these integral bases.

Once we compute the action of Frobenius on 1-forms we need to reduce, Tuitman uses Lauder's fibration algorithm.

1. Reduce pole order of points not lying over  $\infty$ .
2. Reduce pole order of points lying over  $\infty$ .

Let  $r'$  denote  $dr/dx$  for points not over  $\infty$ .

**Proposition 2.37** *For all  $l \in \mathbb{N}$  and every  $w \in \mathbb{Q}_q[x]^{\oplus d_x}$  there exist vectors  $u, v \in \mathbb{Q}_q[x]^{\oplus d_x}$  such that*

$$\deg(v) < \deg(r)$$

and

$$\frac{\sum_{i=0}^{d_x-1} w_i b_i^0}{r^l} \frac{dx}{r} = d \left( \frac{\sum_{i=0}^{d_x-1} v_i b_i^0}{r^l} \right) + \left( \frac{\sum_{i=0}^{d_x-1} u_i b_i^0}{r^{l-1}} \right) \frac{dx}{r}$$

*Proof.* Since  $r$  is separable,  $r'$  is invertible in  $\mathbb{Q}_q[x]/r$ . Check that there exists a unique solution  $v$  to the  $d_x \times d_x$  linear system.

$$\left( \frac{M}{r'} - lI \right) v \equiv \frac{w}{r'} \pmod{r}$$

over  $\mathbb{Q}_q[x]/(r)$ . Then take

$$u = \frac{w - (M - lr'I)v}{r} - \frac{dv}{dx}.$$

For points over infinity, similar proposition. ????????

**Theorem 2.38** Define the  $\mathbf{Q}_q$ -vector spaces

$$E_0 = \left\{ \left( \sum_{i=0}^{d_x-1} u_i(x) b_i^0 \right) \frac{dx}{r} : u \in \mathbf{Q}_q[x]^{\oplus d_x} \right\}$$

$$E_\infty = \left\{ \left( \sum_{i=0}^{d_x-1} u_i(x, 1/x) b_i^\infty \right) \frac{dx}{r} : u \in \mathbf{Q}_q[x, 1/x]^{\oplus d_x} \right\}$$

$$B_0 = \left\{ \sum_{i=0}^{d_x-1} v_i(x) b_i^0 : v \in \mathbf{Q}_q[x]^{\oplus d_x} \right\}$$

$$B_\infty = \left\{ \sum_{i=0}^{d_x-1} v_i(x, 1/x) b_i^\infty : v \in \mathbf{Q}_q[x, 1/x]^{\oplus d_x} \right\}$$

then  $E_0 \cap E_\infty$  and  $d(B_0 \cap B_\infty)$  are finite dimensional  $\mathbf{Q}_q$ -vector spaces and

$$H_{\text{rig}}^1(U) \simeq (E_0 \cap E_\infty) / d(B_0 \cap B_\infty).$$

**Theorem 2.39** There is an exact sequence

$$0 \rightarrow H^1(X) \rightarrow H_{\text{rig}}^1(U) \xrightarrow{(\text{res}_0 \oplus \text{res}_\infty) \otimes \mathbf{Q}_q}$$

Lecture 8 3/10/2019

I lovingly stole this from Angus' notes, ty Angus.

1. Determine a basis for cohomology. We want to find  $\omega_0, \dots, \omega_{k-1} \in (E_0 \cap E_\infty) \cap \Omega^1(U)$  such that

- (a)  $\{\omega_0, \dots, \omega_{k-1}\}$  is a basis of

$$H_{\text{rig}}^1 \cong (E_0 \cap E_\infty) / d(B_0 \cap B_\infty)$$

- (b) The class of every element of  $(E_0 \cap E_\infty) \cap \Omega^1(U)$  in  $H_{\text{rig}}^1(U)$  has  $p$ -adically integral coefficients with respect to  $\{\omega_0, \dots, \omega_{k-1}\}$ .
- (c)  $\{\omega_0, \dots, \omega_{k-1}\}$  is a basis for the kernel of  $\text{res}_0 \oplus \text{res}_\infty$  and hence for the subspace  $H_{\text{rig}}^1(X)$  of  $H_{\text{rig}}^1(U)$ .

2. Compute lift of Frobenius  $\phi$ , and compute the action of Frobenius on  $\{\omega_0, \dots, \omega_{k-1}\}$ .
3. Reduce pole orders so that we have

$$\phi^* \omega_i = df_i + \sum_j M_{ji} \omega_j$$

where

$$df_i = \underbrace{df_{i,0}}_{\text{finite pole reduction}} + \underbrace{df_{i,\infty}}_{\text{infinite pole reduction}} + df_{i,\text{end}}$$

**Remark 2.40**

1. Let  $X$  be a genus 3 smooth plane quartic, say  $X = X_s(13)$ , the split Cartan curve of level 13. Then  $\dim H_{\text{rig}}^1(X) = 6$ , but  $\dim H_{\text{rig}}^1(U) = 45 = 6 + 39$ ,

where  $39 = 3 \deg r(x)$ .

2. For applications to Coleman integrals between “good points”, proceed as before

$$\int_{\phi(P)}^{\phi(Q)} \omega_i = \int_P^Q \phi^* \omega_i$$

and correct endpoints.

3. For Coleman integration from a *very bad point* (a point above  $\infty$  or a point with  $x$ -coordinate such that  $r(x) = 0$ )  $B$ , split up the integral

$$\int_B^Q \omega_i = \int_B^{B'} \omega_i + \int_{B'}^Q \omega_i$$

for  $B'$  a point near the boundary of the residue disk of  $B$ .

**Finite pole order reduction.** For  $i = 0, \dots, 2g - 1$ , find  $f_{i,0} \in \mathcal{R}^+ \otimes \mathbb{Q}_p$  such that

$$\phi^* \omega_i = df_{i,0} + G_i \frac{dx}{r}$$

where  $G_i \in \mathcal{R} \otimes \mathbb{Q}_p$  only has poles above  $\infty$ .

**Infinite pole order reduction.** For  $i = 0, \dots, 2g - 1$ , find  $f_{i,\infty} \in \mathcal{R} \otimes \mathbb{Q}_p$  such that

$$\phi^* \omega_i = df_{i,0} + df_{i,\infty} + H_i$$

where  $H_i$  only has poles at point  $P$  above  $\infty$ , and  $\text{ord}_P(H_i) \geq (\text{ord}_0(W) - \deg r + 2)e_p$  where  $W = (W^0)^{-1}W^\infty$  and  $e_p$  is the index of ramification of  $x$ -map at  $P$ .

**Final reduction.** For  $i = 0, \dots, 2g - 1$ , find  $f_{i,\text{end}} \in \mathcal{R} \otimes \mathbb{Q}_p$  such that

$$\phi^* \omega_i = \underbrace{df_{i,0} + df_{i,\infty} + df_{i,\text{end}}}_{=df_i} + \sum_j M_{ji} \omega_j.$$

## 2.4 Iterated Coleman Integrals

Let  $X/\mathbb{Q}$  be a smooth, projective curve,  $p$  a prime of good reduction.

**Goal.** Describe an iterated Coleman integral on  $X_{\mathbb{Q}_p}$  and applications to rational points.

Roughly speaking, an iterated Coleman integral is an iterated path integral

$$\int_P^Q \eta_n \dots \eta_1 = \int_0^1 \int_0^{t_1} \dots \int_0^{t_{n-1}} f_n(t_n) \dots f_1(t_1) dt_n \dots dt_1.$$

**Idea.** Want to apply Kedlaya/Tuitman as before, by computing action of Frob and reducing to simpler integrals. Earlier we had

$$\int_P^Q df = f(Q) - f(P)$$

and now reduce  $n$ -fold integral to  $(n - 1)$ -fold integral.

**Notation:.**  $P, Q \in X(\mathbf{Q}_p)$ ,  $\eta_1, \dots, \eta_n$  are 1-forms of the 2nd kind, without poles at  $P, Q$ .

$$\eta_P^Q \eta_1 \dots \eta_n = \int_P^Q \eta_1(R_1) \int_P^{R_1} \eta_2(R_2) \dots \int_P^{R_{n-2}} \eta_{n-1}(R_{n-1}) \int_P^{R_{n-1}} \eta_n$$

for dummy variables  $R_i$ .

## 2.5 Algorithm for tiny iterated integral

**Input:.** Points  $P, Q \in X(\mathbf{Q}_p)$  in same residue disk.

**Output:.**  $\int_P^Q \eta_1 \dots \eta_n$

1. Compute a local coordinate at  $P$ ,  $(x(t), y(t))$
2. For each  $k$ , write  $\eta_k(x, y)$  as  $\eta_k(t)dt$ .
3. Let  $I_{n+1} = 1$ . Compute for  $k = n, n-1, \dots, 2$

$$I_k = \int_P^{R_{k-1}} \eta_k I_{k+1} = \int_P^{t(R_{k-1})} \eta_k(t) I_{k+1} dt$$

where  $t(R_{k-1})$  is parameterising points in the residue disk of  $P$ .

4.  $\int_P^Q \eta_1 \dots \eta_n = \int_P^{t(Q)} \eta_1(t) I_2(t) dt$ .

To compute more general iterated Coleman integrals, we'll use the following properties.

**Proposition 2.41** *Let  $\omega_{i_1}, \dots, \omega_{i_n}$  be forms of the second kind, regular at  $P, Q \in X(\mathbf{Q}_p)$ .*

1.  $\int_P^P \omega_{i_1} \dots \omega_{i_n} = 0$
2.  $\sum_{\text{all permutations } \sigma} \int_P^Q \omega_{\sigma(i_1)} \dots \omega_{\sigma(i_n)} = \prod_{j=1}^n \int_P^Q \omega_{i_j}$
3.  $\int_P^Q \omega_{i_1} \dots \omega_{i_n} = (-1)^n \int_Q^P \omega_{i_n} \dots \omega_{i_1}$

**Corollary 2.42**  $\int_P^Q \omega_i \dots \omega_i = \frac{1}{n!} \left( \int_P^Q \omega_i \right)^n$

**References.** For classical theory of iterated integrals

- K-T. Chen, Algebras of iterated path integrals and fundamental groups, Trans. of AMS 156 (1971) [\[31\]](#)

For  $p$ -adic theory

- Coleman, Dilogarithms, regulators and  $p$ -adic  $L$ -functions, Invent. Math. 1982 [\[35\]](#).
- Coleman, de Shalit,  $p$ -adic regulators on curves and special values of  $p$ -adic  $L$ -functions, Invent. Math. 1988 [\[34\]](#)
- Besser, Coleman integration using the Tannakian formalism, Math. Ann. 2002 [\[16\]](#)

**Remark 2.43** Still have linearity in the integrand, change of variables under rigid analytic maps. Be careful about additivity in endpoints.

**Lemma 2.44** Let  $P, P', Q \in X(\mathbf{Q}_p)$ . Then

$$\int_P^Q \omega_{i_1} \dots \omega_{i_n} = \sum_{j=0}^n \int_{P'}^Q \omega_{i_1} \dots \omega_{i_j} \int_P^{P'} \omega_{i_{j+1}} \dots \omega_{i_n}$$

For all algorithms, we'll restrict to the case  $n = 2$  (double Coleman integrals).

**Example 2.45** Let  $\phi(P), \phi(Q)$  be images of  $P, Q \in X(\mathbf{Q}_p)$  under Frobenius  $\phi$ , then

$$\int_P^Q \omega_i \omega_k = \int_P^{\phi(P)} \omega_i \omega_k + \int_{\phi(P)}^{\phi(Q)} \omega_i \omega_k + \int_{\phi(Q)}^Q \omega_i \omega_k + \int_P^{\phi(P)} \omega_k \int_{\phi(P)}^Q \omega_i + \int_{\phi(P)}^{\phi(Q)} \omega_k \int_{\phi(Q)}^Q \omega_i \quad (2.1)$$

□

## 2.6 Strategy for computing double Coleman integrals of words in $H_{\text{dR}}^1(X)$

**Input:.**  $\int_P^Q \omega_i \omega_j$

1. Compute  $\phi(P), \phi(Q)$
2. Compute action of Frob and do some linear algebra to simplify

$$\int_{\phi(P)}^{\phi(Q)} \omega_i \omega_j = \int_P^Q \phi^*(\omega_i) \phi^*(\omega_j)$$

3. Correct endpoints using equation (2.1).

$$\begin{aligned} \int_{\phi(P)}^{\phi(Q)} \omega_i \omega_k &= \int_P^Q \phi^*(\omega_i \omega_k) \\ &= \int_P^Q (\phi^* \omega_i)(\phi^* \omega_k) \\ &= \int_P^Q \left( df_i + \sum_j M_{ji} \omega_j \right) \left( df_k + \sum_j M_{jk} \omega_j \right) \\ &= \int_P^Q \left( df_i df_k + df_i \sum_j M_{jk} \omega_j + \sum_j M_{ji} \omega_j df_k + \sum_j M_{ji} \omega_j \sum_j M_{jk} \omega_j \right) \end{aligned}$$

Lecture 9 8/10/2019

Last time: we were computing Coleman integrals

$$\begin{aligned} \int_{\phi(P)}^{\phi(Q)} \omega_i \omega_j &= \int_P^Q \phi^*(\omega_i \omega_j) \\ &= \int_P^Q df_i df_k + \int_P^Q \sum_j M_{ji} \omega_j df_k + \int_P^Q df_i \sum_j M_{jk} \omega_j + \int_P^Q \sum_j M_{ji} \omega_j \sum_j M_{jk} \omega_j \end{aligned}$$

expand each of the first three terms into expressions involving single Coleman integrals

$$\begin{aligned}
\int_P^Q df_i df_k &= \int_P^Q df_i(R) \int_P^R df_k = \int_P^Q df_i(R)(f_k(R) - f_k(P)) = \int_P^Q f_k df_i - f_k(P)(f_i(Q) - f_i(P)) \\
\int_P^Q \sum_j M_{ji} \omega_j df_k &= \int_P^Q \sum_j M_{ji} \omega_j(R) \int_P^R df_k = \int_P^Q \sum_j M_{ji} \omega_j(R)(f_k(R) - f_k(P)) = \int_P^Q \sum_j f_k M_{ji} \omega_j - f_k(P) \int_P^Q \sum_j M_{ji} \omega_j \\
&= \int_P^Q df_i \sum_j M_{jk} \omega_j = \int_P^Q df_i(R) \int_P^R \sum_j M_{jk} \omega_j \\
&= f_i(R) \int_P^R \sum_j M_{jk} \omega_j - \int_P^Q f_i(R) \left( \sum_j M_{jk} \omega_j(R) \right) \\
&= f_i(Q) \int_P^Q \sum_j M_{jk} \omega_j - \int_P^Q f_i \sum_j M_{jk} \omega_j
\end{aligned}$$

then collect terms If  $c_{ik}$  is the sum of the expanded above, then the vector of double coleman integrals is a solution of a linear system involving all of the above.

**Application (preview).** Let  $\mathcal{E}/\mathbf{Z}$  be the minimal regular model of an elliptic curve, and let  $\mathcal{X} = \mathcal{E} - 0$ . Let

$$\omega_0 = \frac{dx}{2y + a_1x + a_3}, \quad \omega_1 = x\omega_0$$

in Weierstrass coordinates, let  $b$  be 0 (really a tangential base-point at 0). Or an integral 2-torsion point. Let  $p$  be an odd prime of good reduction, suppose  $\mathcal{E}$  has analytic rank 1, and Tamagawa product 1.

Consider

$$\log(z) = \int_b^z \omega_0, \quad D_2(z) = \int_b^z \omega_0 \omega_1,$$

can think of  $\log$  as extending the log in the formal group.

**Theorem 2.46 Kim '10, B.-Kedlaya-Kim '11.** Suppose  $P$  is a point of infinite order in  $\mathcal{E}(\mathbf{Z})$  then  $\mathcal{X}(\mathbf{Z}) \subseteq \mathcal{E}(\mathbf{Z})$  is in the zero set of

$$f(z) = (\log(P))^2 D_2(z) - (\log(z))^2 D_2(P).$$

**Chabauty-Coleman wrap up.** What if Coleman's bound

$$\#X(\mathbf{Q}) \leq \#X(\mathbf{F}_p) + 2g - 2$$

is larger than  $\#X(\mathbf{Q})_{\text{known}}$ . If we carry out Chabauty-Coleman, what can we do if we seem to find "extra"  $p$ -adic points that don't look like they live if  $X(\mathbf{Q})$ ?

Try using the Mordell-Weil sieve (developed by Scharashkin in his thesis 99, adapted by Flynn ('04), Poonen-Schaefer-Stoll 07, Bruin-Stoll. See (((Unresolved xref, reference "bib-bruin-stoll-mw"; check spelling or use "provisional" attribute))) (((Unresolved xref, reference "bib-siksek-mw"; check spelling or use "provisional" attribute))).

Set-up

$$X/\mathbf{Q}$$



a curve of genus  $g \geq 2$ ,  $M > 0$  an integer

$$i: X \hookrightarrow J$$

suppose  $c_M \subseteq J(\mathbf{Q})/MJ(\mathbf{Q})$  is a set of residue classes for which we want to show that no rational point  $P \in X(\mathbf{Q})$  maps to  $c_M$  under  $\pi \circ i$ .

Simplest case: pick a good prime  $v$

$$\begin{array}{ccc} X(\mathbf{Q}) & \longrightarrow & J(\mathbf{Q})/MJ(\mathbf{Q}) \\ \downarrow & & \downarrow \\ X(\mathbf{F}_v) & \longrightarrow & J(\mathbf{F}_v)/MJ(\mathbf{F}_v) \end{array}$$

if  $\alpha_v(c_M) \cap \beta_v(X(\mathbf{F}_v)) = \emptyset$  then done. Typically this is not enough: More generally consider set  $S$  of good primes and the commutative diagram

$$\begin{array}{ccc} X(\mathbf{Q}) & \longrightarrow & J(\mathbf{Q})/MJ(\mathbf{Q}) \\ \downarrow & & \downarrow \\ \prod_v X(\mathbf{F}_v) & \longrightarrow & \prod_v J(\mathbf{F}_v)/MJ(\mathbf{F}_v) \end{array}$$

then it suffices to show that  $\alpha_s(c_M) \cap \beta_s(\prod_v X(\mathbf{F}_v)) = \emptyset$ .

The goal is then to find a good set of  $S$  s.t.

$$A(S, c_M) = \left\{ c \in c_M : \alpha_S(c) \in \beta_s\left(\prod_v X(\mathbf{F}_v)\right) \right\}$$

is empty.

Heuristically the size of  $A(S, c_M)$  is as follows: For a good prime

$$X_{M,v} = \beta_v(X(\mathbf{F}_v))$$

$$\gamma(v, M) = \frac{\#X_{M,v}}{\#J(\mathbf{F}_v)/MJ(\mathbf{F}_v)}$$

Note :  $v$  is only useful if  $\gamma(v, M) < 1$ .

Expected size of  $A(S, M)$  is

$$\#c_M \prod_c \gamma(v, M)$$

want this to be small.

Difficulties in using the sieve, the set,  $A(S, c_M)$  can be large. The computation of images of  $X(\mathbf{F}_v)$  in  $J(\mathbf{F}_v)$  can become infeasible (the computation requires  $v$  discrete logs in  $J(\mathbf{F}_v)$ ). Can be mitigated by using primes for which  $\#J(\mathbf{F}_v)$  is sufficiently smooth.

Two variations on Chabauty-Coleman: Pass to a collection of covering curves, (difficulty: constructing covers) Elliptic Chabauty method: (difficulty computing  $E(K)$  for  $K/\mathbf{Q}$  a larger degree number field.

These methods can potentially compute  $X(\mathbf{Q})$  when  $\text{rk } J(\mathbf{Q}) \geq g$ .

**Theorem 2.47 Chevalley-Weil.** *Let  $f: Y \rightarrow X$  be an unramified morphism of curves /  $\mathbf{Q}$  then there is a computable finite extension  $K/\mathbf{Q}$  such that*

$$f^{-1}(X(\mathbf{Q})) \subseteq Y(K).$$

**Theorem 2.48 Wetherell '97.** *There is a finite set of unramified covering curves  $Y_i \rightarrow X$  over  $\mathbf{Q}$  (all isomorphic over  $\overline{\mathbf{Q}}$ ), such that*

$$X(\mathbf{Q}) \subseteq \bigcup_{i=1}^n f_i(Y_i(\mathbf{Q})).$$

**Remark 2.49** When  $X$  is an elliptic curve this is descent in the proof of the Mordell-Weil theorem.

## 2.7 Introduction to $p$ -adic heights

Lecture 11 17/10/2019

The theory of  $p$ -adic heights has developed over 20 years, with work due to Bernardi, Neron, Schneider, Perrin-Riou, Mazur, Tate, Zarhin, Iovita, Werner, Coleman, Gross, Nekovar.

We will begin with  $p$ -adic heights on elliptic curves. Let  $E/\mathbf{Q}$  be an elliptic curve, then a  $p$ -adic height  $h$  is a function

$$h: E(\overline{\mathbf{Q}}) \rightarrow \mathbf{Q}_p$$

that plays a similar role to the canonical height

$$\hat{h}E(\overline{\mathbf{Q}}) \rightarrow \mathbf{R}.$$

Let  $p \geq 5$  be a prime of good ordinary reduction for  $E$ . Let  $0 \neq P \in E(\mathbf{Q})$ , then write

$$P = (x(P), y(P)) = \left( \frac{a(P)}{d(P)^2}, \frac{b(P)}{d(P)^2} \right)$$

and assume  $(a(P), d(P)) = (b(P), d(P)) = 1$  and  $d \geq 1$ .  $d(P)$  is the denominator of  $P$ .

Suppose  $P$  satisfies two conditions

1.  $P$  reduces to 0 in  $E(\mathbf{F}_p)$ .
  2.  $P$  reduces to a non-singular point of  $E(\mathbf{F}_l)$  for all bad primes  $l$ .
1. Implies  $E(P) = -x(P)/y(P)$  is divisible by  $P$ .

**Definition 2.50** Let  $E/\mathbf{Q}$  and  $p \geq 5$  be good ordinary, the cyclotomic  $p$ -adic height  $h$  on such points  $P$  in  $E(\mathbf{Q})$  is

$$h(P) = \frac{1}{p} \log_p \left( \frac{\sigma(P)}{d(P)} \right).$$

$\sigma(P)$  is the  $p$ -adic sigma function associated to  $E/\mathbf{Z}_p$ . ◇

What is  $\sigma(P)$ ?

Mazur-Stein-Tate "Computation of  $p$ -adic heights and log convergence", Doc. Math 2006. Mazur-Tate "The  $p$ -adic sigma function", Duke 1991. Mazur-Tate gave 11 different characterizations of the  $p$ -adic sigma function. We'll describe one characterization, let

$$x(t) = t^{-2} + \dots \in \mathbf{Z}_p((t))$$

be  $x$  in the formal group of  $E/\mathbf{Z}_p$ , then

$$y(t) = t^{-3} + \dots \in \mathbf{Z}_p((t))$$

(Silverman AEC, Ch IV).

**Theorem 2.51 Mazur-Tate.** *There is exactly one odd function*

$$\sigma(t) = t + \cdots \in t\mathbf{Z}_p[[t]]$$

and constant  $c \in \mathbf{Z}_p$  that together satisfy the  $p$ -adic differential equation

$$x(t) + c = \frac{-d}{\omega} \left( \frac{1}{\sigma} \frac{d\sigma}{\omega} \right)$$

$\omega$  is the invariant differential associated to a chosen Weierstrass model for  $E$

$$\omega = \frac{dx}{2y + a_1x + a_3}$$

and

$$c = \frac{a_1^2 + 4a_2 - E_2(E, \omega)}{12}$$

we'll say more about  $E_2(E, \omega)$  in a bit!

**Lemma 2.52** *The height function  $h$  extends uniquely to the full Mordell-Weil group  $E(\mathbf{Q})$  and satisfies  $h(nQ) = n^2h(Q)$  for all  $n \in \mathbf{Z}$ , and  $Q \in E(\mathbf{Q})$  for  $P, Q \in E(\mathbf{Q})$  setting*

$$(P, Q) = h(P) + h(Q) - h(P + Q)$$

we get a pairing on  $E(\mathbf{Q})$ .

To compute  $h(Q)$  for arbitrary  $Q \in E(\mathbf{Q})$ . Let  $n_1 = \#E(\mathbf{F}_p)$ ,  $n_2 = \text{lcm}_v(\{c_v\})$ , let  $n = \text{lcm}(n_1, n_2)$  then  $P = nQ$  satisfies 1) and 2) from earlier. So compute  $h(P) = h(nQ)$  and then

$$h(Q) = \frac{1}{n^2}h(nQ) = \frac{1}{n^2}h(P).$$

**Remark 2.53** The  $p$ -adic regulator  $\text{Reg}_p$  of  $E/\mathbf{Q}$  is the determinant of the matrix of pairings on  $E(\mathbf{Q})/E(\mathbf{Q})_{\text{tors}}$ .

**Conjecture 2.54 Schneider '82.** *The (cyclotomic) height pairing is non-degenerate, equivalently  $\text{Reg}_p$  is non-zero.*

Contrast with canonical height  $\hat{h}(P) = 0 \iff P$  is torsion

**Remark 2.55** This  $p$ -adic regulator fits into a  $p$ -adic BSD conjecture.

**Conjecture 2.56 Special case of Mazur-Tate-Teitelbaum, '86, Inventiones.** *Suppose  $E$  has good ordinary reduction at  $p$ , let  $\mathcal{L}_p(E, T)$  be the  $p$ -adic  $L$ -function attached to  $E/\mathbf{Q}$ .*

1.

$$\text{ord}_{T=0} \mathcal{L}_p(E, T) = \text{rk } E(\mathbf{Q})$$

2.

$$\mathcal{L}_p^*(E, 0) = \frac{\epsilon_p \prod c_v |III(E/\mathbf{Q})| \text{Reg}_p}{\#E(\mathbf{Q})_{\text{tors}}^2}$$

the leading coefficient.

$\epsilon_p = (1 - \alpha^{-1})^2$  where  $\alpha$  is the unit root of  $x^2 - a_p x + p = 0$ .

**Remark 2.57** See also Stein-Wuthrich, "Algorithms for the arithmetic of elliptic curves using Iwasawa theory", Math. Comp. 2013.

Back to  $E_2(E, \omega)$ , Katz '73, gave an interpretation of  $E_2(E, \omega)$  as a direction of the unit-root eigenspace of Frobenius acting on Monsky-Washnitzer cohomology. Suppose  $E: y^2 = f(x)$ , then the basis for

$$H_{\text{dR}}^1(E) = H_{\text{MW}}^1(E')^- = \left\{ \frac{dx}{y}, \frac{x dx}{y} \right\}$$

moreover  $H_{\text{dR}}^1(E) = H^0(E, \Omega^1) \oplus U$  with  $U$  the unit root subspace. Compute the matrix of  $p$ -power Frobenius  $F$ , want to find a basis for  $U$ . We know that

$$F \left( \frac{dx}{y} \right) \in p H_{\text{dR}}^1(E)$$

so that  $\text{mod } p^n$ ,  $U$  is the span of  $F^n \left( \frac{x dx}{y} \right)$  so for each  $n$  write

$$F^n \left( \frac{x dx}{y} \right) = a_n \frac{dx}{y} + b_n \frac{x dx}{y}$$

then  $E_2(E, \omega) = -12 \frac{a_n}{b_n} \pmod{p^n}$ .

What does this have to do with rational/integral points on  $E$ ?

$$E: y^2 = f(x)$$

Recall

$$x + c = \frac{-d}{\omega}$$

in formal group

$$\begin{aligned} \implies \omega(x + c) &= -d \left( \frac{1}{\sigma} \frac{d\sigma}{\omega} \right) \\ \implies \int \left( \frac{x dx}{y} + \frac{c dx}{y} \right) &= -\frac{d\sigma}{\sigma\omega} = \frac{d}{\sigma}. \end{aligned}$$

Lecture 1? 22/10/2019

$p$ -adic heights after Coleman-Gross, "p-adic heights on curves" 1989.

Let  $X/\mathbf{Q}$  be a smooth projective curve of genus  $g \geq 1$  and  $p$  a prime of good reduction for  $X$  and ordinary reduction for  $J$ . We'll be thinking of  $X/\mathbf{Q}_p = K$ .

Important: fix a subspace of  $H_{\text{dR}}^1(Y/K)$  complementary to  $H^0(X, \Omega_{X/K}^1)$  call this  $W$ .  $H_{\text{dR}}^1(X/K) = H^0(X, \Omega_X^1) \oplus W$ .

We'd like to understand the  $p$ -adic height of two degree 0 divisors  $D_1, D_2$ . Assume  $D_1, D_2 \in \text{Div}^0(X)$  have disjoint support.

**Definition 2.58** The cyclotomic  $p$ -adic height is a symmetric bilinear pairing

$$\begin{aligned} h: \text{Div}^0(X) \times \text{Div}^0(X) &\rightarrow \mathbf{Q}_p \\ (D_1, D_2) &\mapsto h(D_1, D_2) \end{aligned}$$

disjoint support.

where

1.

$$\begin{aligned} h(D_1, D_2) &= \sum_v h_v(D_1, D_2) = h_p(D_1, D_2) + \sum_{v \neq p} h_v(D_1, D_2) \\ &= \int_{D_2} \omega_{D_1} + \sum_{v \neq p} m_v \log_p(v) \end{aligned}$$

with the left term a Coleman integral of the third kind and  $m_v$  some intersection multiplicities.

2.

$$h(D, \div(\beta)) = 0$$

for  $\beta \in \mathbf{Q}(X)^\times$ , so  $h$  is well defined on  $J \times J$ .

◇

**Remark 2.59** The local heights can be extended non-uniquely to give the self-pairing

$$h(D, D) = \sum h_v(D, D)$$

this needs a choice of tangent vector at each point in the support of  $D$ .

**Remark 2.60** The local height at  $p$  is a Coleman integral of a normalized differential w.r.t.  $W$  of the third kind.

e.g. if

$$X: y^2 = f(x)$$

is a hyperelliptic curve

$$D_1 = (P) - (Q), P, Q \in X(\mathbf{Q}), y(P), y(Q) \neq 0$$

can we construct  $\omega$  with  $\text{Res}(\omega) = D_1$ ? We want residue 1 at  $P$  and -1 at  $Q$ . So  $\omega$  has simple poles at  $P, Q$ . e.g.

$$\omega = \frac{dx}{2y} \left( \frac{y + y(P)}{x - x(P)} - \frac{y + y(Q)}{x - x(Q)} \right)$$

has  $\text{Res}(\omega) = D_1$ . But there are lots more!

Recall:  $H_{\text{dR}}^1(X)$  has a canonical non-degenerate form given by cup-product pairing

$$\begin{aligned} H_{\text{dR}}^1(X/K) \times H_{\text{dR}}^1(X/K) &\rightarrow K \\ ([\mu_1], [\mu_2]) &\mapsto [\mu_1] \cup [\mu_2] \end{aligned}$$

where

$$[\mu_1] \cup [\mu_2] = \sum_Q \text{Res}_Q \left( \mu_2 \int \mu_1 \right)$$

note that  $\mu_1, \mu_2$  are differentials of the second kind (residue 0). So the residue does not depend on the choice of local integral for  $\mu_1$  since  $\mu_2$  has no residue at any point.

Let  $T(K)$  be the space of differentials of the third kind on  $X$ , at most simple poles, integer residues. We have a residue divisor hom

$$\text{Res}: T(K) \rightarrow \text{Div}^0(X)$$

$$\omega \mapsto \text{Res}(\omega) = \sum_p \text{Res}_p \omega$$

we have the short exact sequence

$$0 \rightarrow H^0(X, \Omega_X^1) \rightarrow T(K) \xrightarrow{\text{Res}} \text{Div}^0(X) \rightarrow 0.$$

We're interested in  $T_l(K)$  these are log differentials

$$\frac{df}{f}, f \in K(X)^\times.$$

Since

$$T_l(K) \cap H^0(X, \Omega_{X/K}^1) = \{0\}$$

and  $\text{Res}(df/f) = \text{div}(f)$  we get from the above sequence

$$0 \rightarrow H^0(X, \Omega_X^1) \rightarrow T(K)/T_l(K) \rightarrow J(K) \rightarrow 0$$

**Proposition 2.61** *There is a canonical homomorphism*

$$\Psi: T(K)/T_l(K) \rightarrow H_{\text{dR}}^1(X).$$

Note:  $\Psi$  is the identity on differentials of the first kind.  $\Psi$  sends third kind differentials to second kind or exact differentials.  $\Psi$  sends log differentials to 0.

**Definition 2.62** Let  $D \in \text{Div}^0(X)$  then  $\omega_D$  is the unique form of the third kind with

$$\text{Res}(\omega_D) = D$$

and

$$\Psi(\omega_D) \in W$$

recall we fixed

$$H_{\text{dR}}^1(X) = H^0(X, \Omega_X^1) \oplus W.$$

◇

**Definition 2.63** The local height at  $p$  of  $D_1, D_2$  is

$$h_p(D_1, D_2) = \int_{D_2} \omega_{D_1}.$$

◇

**Remark 2.64** When  $X$  has good reduction and  $J$  has ordinary reduction then there is a canonical choice for  $W$ , the unit root subspace for the action of Frobenius.

**Proposition 2.65** *If  $\{\omega_0, \dots, \omega_{2g-1}\}$  is a basis for  $H_{\text{dR}}^1(X)$  with  $\{\omega_0, \dots, \omega_{g-1}\} \subseteq H^0(X, \Omega^1)$ . Then*

$$\{(\phi^*)^n \omega_g, \dots, (\phi^*)^n \omega_{2g-1}\}$$

*is a basis for  $W \bmod p^n$  where  $\phi$  is a lift of Frobenius.*

**Algorithm 2.66 Coleman integral of differential of the third kind, with poles in non-weierstrass disks.** *Input:  $\omega$  with  $\text{Res}(\omega) = (P) - (Q)$ .  $P, Q \in X(\mathbf{Q})$  non-weierstrass.  $R, S \in X(\mathbf{Q}_p)$ ,  $R, S \notin \text{disk}(P), \text{disk}(Q)$ . Output:*

$$\int_S^R \omega$$

1. Compute  $\Psi(\omega) \in H_{\text{dR}}^1(X)$ . Let  $\phi$  be a lift of Frobenius. Let  $\alpha = \phi^* \omega - p\omega$ . Use  $\Psi(\omega)$  and Frobenius equivariance. We have

$$\begin{aligned} \Psi(\alpha) &= \Psi(\phi^* \omega - p\omega) \\ &= \Psi(\phi^* \omega) - \Psi(p\omega) \\ &= \phi^* \Psi(\omega) - p\Psi(\omega) \end{aligned}$$

2. Let  $\beta$  be a 1-form with  $\text{Res}(\beta) = (R) - (S)$ . Compute  $\Psi(\beta)$ .
3. Compute  $\Psi(\alpha) \cup \Psi(\beta)$ , easy since both are elements in  $H_{\text{dR}}^1$  that we just computed.

4. Compute

$$\int_{\phi(S)}^S \omega$$

and

$$\int_R^{\phi(R)} \omega$$

tiny

5. Compute

$$\sum_A \text{Res}_A(\alpha \int \beta).$$

6.

$$\int_S^R \omega = \frac{1}{1-p} \left( \Psi(\alpha) \cup \Psi(\beta) + \sum_A \text{Res}_A(\alpha \int \beta) - \int_{\phi(S)}^S \omega - \int_R^{\phi(R)} \omega \right)$$

**Remark 2.67** Idea behind this algorithm is that  $\alpha$  is almost of the second kind, in that the sum of the residues of  $\alpha$  in each annulus is 0.

**Algorithm 2.68 Local height at  $p$ .** Output:  $h_p(D_1, D_2)$ .

1. Let  $\omega$  be a differential in  $T(K)$  with  $\text{Res}(\omega) = D_1$ .
2. Compute  $\Psi(\omega) = \sum_{i=0}^{2g-1} a_i \omega_i \in H_{\text{dR}}^1(X)$ . Then

$$\Psi(\omega) - \sum_{i=0}^{g-1} a_i \omega_i \in W$$

$$\text{let } \omega_{D_1} = \omega - \sum_{i=0}^{g-1} a_i \omega_i.$$

3. Compute using the previous algorithm

$$h_p(D_1, D_2) = \int_{D_2} \omega_{D_1}.$$

More details in Balakrishnan-Besser “computing local p-adic heights on hyperelliptic curves”. IMRN 2012.

What if  $D_1$  and  $D_2$  have common support? e.g.  $h_p(D, D)$ .

The local height at  $P$  would be

$$h_p(D, D) = \int_D \omega_D$$

ideal of Gross “local heights on curves” Arithmetic Geometry ’86.

At each point  $x$  in the common support of your divisors, choose a basis  $t, t_x$  for the tangent space.

Let  $z = z_\infty$  be a uniformizer at  $x$

$$\partial_t z = 1$$

any function

$$f \in K(X)^\times$$

then has a well-defined “value” at  $x$

$$f[x] = \frac{f}{z^m}(x)$$

where  $m = \text{ord}_x(f)$ . This depends only on  $t$  and not  $z$ . To do this for local  $p$ -adic heights use Besser's  $p$ -adic Arakelov theory, JNT 2005.

Balakrishnan-Besser Coleman-Gross height pairings and  $p$ -adic sigma function, Crelle, 2015.

**Proposition 2.69** *Let  $X/\mathbf{Q}$  be a hyperelliptic curve with odd degree model monic.*

$$D = (P) - (\infty)$$

$$\begin{aligned} h(D, D) &= \int_D \omega_D'' \\ &= \int_{i=0}^{g-1} \int_{\infty}^P \omega_i \bar{\omega}_i \end{aligned}$$

$\omega_i$  self dual basis for cup.

Lecture 1? 29/10/2019

How do we use local heights on Jacobians of curves to study integral points.

**Theorem 2.70 Quadratic Chabauty for integral points on hyperelliptic curves B.-Besser-Müller.** *Let  $f(x) \in \mathbf{Z}[x]$  be monic separable polynomial of degree  $2g + 1 \geq 3$ , that does not reduce to a square modulo  $q$  for any prime  $q$ . (in the paper monic is not used, this condition then restricts the reduction type) Let  $U = \text{Spec}(\mathbf{Z}[x, y]/(y^2 - f(x)))$  and let  $X$  be the normalization of the proj closure of the generic fiber of  $U$ . Let  $J$  be the Jacobian on  $X$  and assume  $\text{rk } J(\mathbf{Q}) = g$ , choose a prime  $p$  of good ordinary reduction. Suppose that the  $p$ -adic Coleman integrals*

$$f_i(z) = \int_{\infty}^z \omega_i = \int_{\infty}^z \frac{x^i dx}{2y}$$

*then there exists explicitly computable constants  $\alpha_{ij} \in \mathbf{Q}_p$  s.t. the locally analytic function*

$$\rho(z) = \theta(z) - \sum_{0 \leq i \leq j \leq g-1} \alpha_{ij} f_i(z) f_j(z),$$

*where  $\theta(z) = h_p((z) - (\infty), (z) - (\infty))$  is an extension of the Coleman-Gross local height at  $p$  which takes values in*

$$\mathcal{U}(\mathbf{Z}[1/p])$$

*in an effectively computable finite set  $S \subseteq \mathbf{Q}_p$ .*

Refs, Balakrishnan, Jennifer S., Amnon Besser, and J. Steffen Müller. "Quadratic Chabauty: P-Adic Heights and Integral Points on Hyperelliptic Curves." *Journal Für Die Reine Und Angewandte Mathematik (Crelles Journal)* 2016, no. 720 (January 1, 2016). <https://doi.org/10.1515/crelle-2014-0048>. Balakrishnan, Jennifer S., Amnon Besser, and J. Steffen Müller. "Computing Integral Points on Hyperelliptic Curves Using Quadratic Chabauty." *Mathematics of Computation* 86, no. 305 (October 12, 2016): 1403–34. <https://doi.org/10.1090/mcom/3130>. Müller, Jan Steffen. "Computing Canonical Heights Using Arithmetic Intersection Theory." *Mathematics of Computation* 83, no. 285 (2014): 311–336. <https://doi.org/10.1090/S0025-5718-2013-02719-6>.

*Proof.* Sketch: Recall the Coleman-Gross  $p$ -adic height for  $X/\mathbf{Q}$  is a symmetric bilinear pairing

$$h: J(\mathbf{Q}) \times J(\mathbf{Q}) \rightarrow \mathbf{Q}_p$$



the global height decomposes as a sum of local heights

$$h(D_1, D_2) = \sum_v h_v(D_1, D_2)$$

in particular we have

$$\begin{aligned} h(D_1, D_2) &= h_p(D_1, D_2) + \sum_{v \neq p} h_v(D_1, D_2) \\ &= \int_{D_2} \omega_{D_1} + \sum_{v \neq p} h_v(D_1, D_2) \end{aligned}$$

where  $\omega_{D_1}$  is a normalized differential of the 3rd kind (depends on a splitting of the Hodge filtration on  $H_{\text{dR}}^1(X/\mathbf{Q}_p)$ ) and for  $v \neq p$

$$h_v(D_1, D_2) = m_v \log_p v, \quad m_v \in \mathbf{Q}$$

computed using arithmetic intersection theory. See Muller and: Bommel, Raymond van, David Holmes, and J. Steffen Müller. "Explicit Arithmetic Intersection Theory and Computation of Néron-Tate Heights." Mathematics of Computation, 2019. <https://arxiv.org/abs/1809.06791v1>.

Then look at  $h = h_p + \sum_{v \neq p} h_v$  note

1.  $h$  is a quadratic form, so can be written in terms of a basis of space of quadratic forms for  $J(\mathbf{Q})$  and this can be done using Coleman integrals.

$$h(z - \infty, z - \infty) = \sum \alpha_{ij} f_i(z) f_j(z),$$

2.  $h_p$  is a locally analytic function and in the extension to self-pairing:

$$h_p(z - \infty, z - \infty) = -2 \sum_{i=0}^{g-1} \int_{\infty}^z \omega_i \bar{\omega}_i, \quad \omega_i = \frac{x^i dx}{2y}, \quad \bar{\omega}_i \text{ cup product duals.}$$

3. The sum

$$\sum_{v \neq p} h_v(z - \infty, z - \infty)$$

takes on finitely many values in  $S$  when restricted to  $p$ -integral points. The set  $S$  can be computed by knowing the reduction of  $X$  at bad primes.

4. Then rewrite

$$h - h_p \in \left\{ \sum_{v \neq p} h_v \right\} = S$$

5. this  $\rho$  can be computed as a convergent power series in each residue disk. So now pretend we are working in classical Chabauty Coleman. Expand  $\rho$  in each disk, set equal to each value in  $S$  solve for all  $t \in U(\mathbf{Z}_p)$  s.t.  $\rho(z) \in Z$ . Take all such points call that  $X(\mathbf{Z}_p)_2$ .
6. It's possible that  $X(\mathbf{Z}_p)_2$  is strictly larger than the known points in  $U(\mathbf{Z})$ . In this case run 1-4 on a collection of good ordinary  $p$  and run Mordell-Weil sieve.

More details on each step

1. Let  $D_1, \dots, D_g \in \text{Div}^0(X)$  representing basis elements of  $J(\mathbf{Q}) \otimes \mathbf{Q}$  then compute global height pairings.  $h(D_i, D_j)$  using B.-Besser-Muller. A basis for spaces of bilinear forms on  $J(\mathbf{Q})$  is

$$\frac{1}{2}(f_k f_l + f_l f_k)$$

so compute

$$\frac{1}{2}(f_k(D_i) f_l(D_j) + f_l(D_i) f_k(D_j))$$

do linear algebra to compute

$$h(D_i, D_j) = \sum \alpha_{k,l} \left( \frac{1}{2}(f_k(D_i) f_l(D_j) + f_l(D_i) f_k(D_j)) \right)$$

2. Want to compute

$$\{\bar{\omega}_i\}$$

for  $0 \leq i \leq g-1$  such that  $[\bar{\pi}_i] \cup [\omega_j] = \delta_{ij}$

- (a) Compute splitting of

$$H_{\text{dR}}^1(X/\mathbf{Q}_p) = H^0(X, \Omega_X^1) \oplus W,$$

where  $W$  is the unit root eigenspace of frob, recall that modulo  $p^n$  a basis for  $W$  is

$$\{(\phi^*)^n \omega_g, \dots, (\phi^*)^n \omega_{2g-1}\}$$

- (b) Let  $\bar{\omega}_j$  be a projection of  $\omega_j$  onto  $W$  along  $H^0(X, \Omega^1)$ . i.e.

$$\bar{\omega}_j = \omega_j - \sum_{i=0}^{g-1} a_i \omega_i.$$

- (c) use cup product matrix to compute

$$\bar{\omega}_0 = \sum_{i=g}^{2g-1} b_{0i} \bar{\omega}_i$$

$$\vdots$$

$$\bar{\omega}_{g-1} = \sum_{i=g}^{2g-1} b_{g-1,i} \bar{\omega}_i$$

then let

$$\theta(z) = -2 \sum_{i=0}^{g-1} \int \omega_i \bar{\omega}_i$$

to compute this as a power series in each residue disk for each residue disk compute a  $\mathbf{Z}_p$  point  $P$ , the value  $\theta(P)$  local coordinate  $z_P$  at  $P$ .

$$\begin{aligned} \theta(z) &= -2 \sum_{i=0}^{g-1} \int_{\infty}^z \omega_i \bar{\omega}_i \\ &= -2 \left( \sum_{i=0}^{g-1} \int_{\infty}^P \omega_i \bar{\omega}_i + \sum_{i=0}^{g-1} \int_P^{z_P} \omega_i \bar{\omega}_i + \sum_{i=0}^{g-1} \int_P^{z_P} \omega_i \int_{\infty}^P \bar{\omega}_i \right) \\ &= \theta(P) - 2 \left( \sum_{i=0}^{g-1} \int_P^{z_P} \omega_i \bar{\omega}_i + \sum_{i=0}^{g-1} \int_P^{z_P} \omega_i \int_{\infty}^P \bar{\omega}_i \right) \end{aligned}$$

3. Prop. There is a proper regular model  $\mathcal{X}$  of  $X \otimes \mathbf{Q}_q$  over  $\mathbf{Z}_q$  ( $q \neq p$ ) such that if  $\mathcal{X}$  is  $p$ -integral then

$$h_q((x) - (\infty), (x) - (\infty))$$

depends solely on the component of the special fibre  $\mathcal{X}_q$  that the section in  $\mathcal{X}(\mathbf{Z}_q)$  corresponding to  $x$  intersects. e.g. in  $g = 2$  special fibres have been classified by Namikawa-Ueno and if  $\mathcal{X}$  is semistable then the types are

$$[I_{n_1} - I_{n_2} - m] \text{ or } [I_{n_1 n_2 - n_3}]$$

pos integers  $n_i, m$ . Need to compute regular models (implementation in Magma by Donnelly) and grobner bases of ideals of divisors to compute intersection multiplicities.

■

**Remark 2.71** Roughly speaking intersections are computing denominators which is why its not obvious how to go beyond integral points using this construction. e.g. for elliptic curves have Mazur-Stein-Tate  $p$ -adic height.

$$h(P) = \frac{1}{p} \log_p(\sigma(P)) - \frac{1}{p} \log_p(D(P))$$

Coleman-Gross

$$h(P - \infty) = h_p(P - \infty) + \sum_{v \neq p} h_v(P - \infty)$$

extended appropriately.

**Example 2.72** Let

$$X: y^2 = (x^3 + x + 1)(x^4 + 2x^3 - 3x^2 + 4x + 4)$$

$$C_{496}^J$$

new modular curve studied by Baker Gonzalez-Jimenez Gonzalez, Poonen

$$J(\mathbf{Q}) = \mathbf{Z}^3 \oplus \mathbf{Z}/2$$

let  $P = (-1, 2), Q = (0, 2), R = (-2, 12), S = (3, 62)$  want to show that up to hyperelliptic involution these are the only integral points. Gens for  $J(\mathbf{Q}) \otimes \mathbf{Q}$ .

$$\{P_1 = [(P) - (\infty)], P_2 = [(S) - (w(Q))], P_3 = [(w(S)) - (R)]\}.$$

□

Lecture 1? 31/10/2019

Goal today: Give more context for quadratic chabauty, discuss Kim's non-abelian Chabauty program.

References

1. "p-adic approaches to rational and integral points on curves" - Poonen
2. From chabauty's method to kim's non-abelian chabauty method - Corwin

Let  $X$  be a smooth projective curve over  $K$  a number field and let  $Z$  be a 0-dimensional subscheme. Let  $U = X - Z$ ,  $d = \#Z(\bar{K})$ . The topological Euler

characteristic of  $U$  is  $\chi(U) = \chi(X) - d = 2g - 2 - d$ . If  $\chi(U) < 0$  we say that  $U$  is hyperbolic (we want to consider hyperbolic curves because they have nonabelian  $\pi_1$ .)

**Example 2.73**  $g = 0, d \geq 3$  e.g.  $\mathbf{P}^1 \setminus \{0, 1, \infty\}$ . □

**Example 2.74**  $g = 1, d \geq 1$  e.g. punctured elliptic curve  $E \setminus \{0\}$ . □

**Example 2.75**  $g = 2, d \geq 0$  e.g. smooth projective curves of genus  $g \geq 2$  or punctured versions, integral points. □

Fix a prime  $p$  of good reduction for  $X$ .

Recall the classical Chabauty-Coleman diagram

$$\begin{array}{ccc} X(K) & \longrightarrow & X(K_p) \\ \downarrow & & \downarrow \\ J(K) & \longrightarrow & J(K_p) \longrightarrow \text{Lie } J_{K_p} \end{array}$$

in the classical chabauty-coleman method, the image of  $J(K)$  in the  $g$ -dimensional space  $\text{Lie } J_{K_p}$  spans a  $K_p$  subspace of dimension at most  $r = \text{rk } J(K)$ . So if  $r < g$  there exists a non-zero  $K_p$  valued functional on  $\text{Lie } J_{K_p}$  vanishing on  $J(K)$ . This pulls back to  $d$  non-zero locally analytic functions on  $X(K_p)$  that vanish on  $X(K)$ .

Problem: By using the geometry of  $J$  impose too much structure, can't extend this to  $r \geq g$ . Kim's idea: Replace  $J$  with references to  $X$  and various homology groups, then generalize the diagram by replacing homology with various deeper quotients of (nonabelian)  $\pi_1$ .

Gives construction of Selmer varieties.

References for Kims work: Kim, Minhyong. "The Motivic Fundamental Group of  $P^1 \setminus \{0, 1, \infty\}$  and the Theorem of Siegel." *Inventiones Mathematicae* 161, no. 3 (September 2005): 629–56. <https://doi.org/10.1007/s00222-004-0433-9>. Kim, Minhyong. "The Unipotent Albanese Map and Selmer Varieties for Curves." *Publications of the Research Institute for Mathematical Sciences* 45, no. 1 (2009): 89–133. <https://doi.org/10.2977/prims/1234361156>. Kim, Minhyong. "Massey Products for Elliptic Curves of Rank 1." *Journal of the American Mathematical Society* 23, no. 3 (2010): 725–47. <https://doi.org/10.1090/S0894-0347-10-00665-X>.

$$\begin{array}{ccccc} X(K) & \longrightarrow & X(K_p) & & \\ \downarrow & & \downarrow & & \\ J(K) & \longrightarrow & J(K_p) & \longrightarrow & \text{Lie } J_{K_p} \\ \downarrow & & \downarrow & \nearrow & \\ \widehat{J(K)[1/p]} & \longrightarrow & \widehat{J(K_p)[1/p]} & & \\ \downarrow & & & & \\ \text{Sel}_{\mathbf{Q}_p}(J) = H_f^1(G_K, V) & \longrightarrow & H_f^1(G_{K_p}, V) & \longrightarrow & H_1^{\text{dR}}(X_{K_p})/F^0 \\ \downarrow & & \downarrow & & \\ H^1(G_K, V) & & H^1(G_K, V) & & \end{array}$$

We want to start by removing  $J(K)$  from the diagram. Recall: Let  $M$  be an abelian group. The  $p$ -adic completion

$$\widehat{M} = \varprojlim_n M/p^n M$$

is a  $\mathbf{Z}_p$ -module. We can get a  $\mathbf{Q}_p$ -vector space by inverting  $p$ .

$$\widehat{M}[1/p] \simeq \widehat{M} \otimes_{\mathbf{Z}_p} \mathbf{Q}_p.$$

The group  $J(K_p)$  is compact so that the images of  $p^n J(K_p)$  in  $\text{Lie } J(K_p) \rightarrow 0$ ,  $p$ -adically as  $n \rightarrow \infty$ . So the homomorphism

$$J(K_p) \rightarrow \text{Lie } J(K_p)$$

factors through  $\widehat{J(K_p)}$  and hence also through  $\widehat{J(K_p)}[1/p]$ . Since  $\log$  is a local diffeomorphism with finite kernel get a  $\mathbf{Q}_p$ -linear map

$$\widehat{J(K_p)}[1/p] \rightarrow \text{Lie } J(K_p)$$

is an isomorphism.

Recall the Kummer exact sequence

$$0 \rightarrow J[m] \rightarrow J \xrightarrow{\cdot m} J \rightarrow 0$$

take  $G_K = \text{Gal}(\overline{K}/K)$ -cohomology to get a long exact sequence which leads to a short exact sequence

$$0 \rightarrow J(K)/mJ(K) \xrightarrow{\kappa_m} H^1(G_K, J[m]) \rightarrow H^1(G_K, J)[m] \rightarrow 0$$

where  $\kappa$  is the Kummer map. There is a canonical  $G_K$ -equivariant isomorphism

$$J[m] \simeq H_1^{\text{et}}(J_{\overline{K}}, \mathbf{Z}/m) \simeq H_1^{\text{et}}(X_{\overline{K}}, \mathbf{Z}/m).$$

The Kummer map gives an embedding

$$J(K)/mJ(K) \hookrightarrow H^1(G_K, H_1^{\text{et}}(X_{\overline{K}}, \mathbf{Z}/m))$$

to get an embedding of  $J(K)$  rather than just  $J(K)/mJ(K)$  take  $m = p^n$  and inverse limit.

Get a  $\mathbf{Z}_p$ -Tate module.

$$T = \varprojlim_n J[p^n] \simeq H_1^{\text{et}}(X_{\overline{K}}, \mathbf{Z}_p)$$

let  $V = T[1/p] = T \otimes_{\mathbf{Z}_p} \mathbf{Q}_p$ . Let  $J(K)_{\mathbf{Z}_p} = J(K) \otimes_{\mathbf{Z}} \mathbf{Z}_p$ ,  $J(K)_{\mathbf{Q}_p} = J(K) \otimes_{\mathbf{Z}} \mathbf{Q}_p$ .

This gives us embeddings

$$J(K)_{\mathbf{Z}_p} \hookrightarrow H^1(G_K, T)$$

$$J(K)_{\mathbf{Q}_p} \hookrightarrow H^1(G_K, V)$$

this is almost our replacement for  $J$ .

We still need to identify  $\mathbf{Q}_p$ -span of  $J(K)$  inside  $H^1(G_K, V)$  using  $V$  and without reference to  $J$ .

The vector space  $V$  has the structure of a  $G_K$ -rep so we want a Galois theoretic way of identifying the image of  $J(K)_{\mathbf{Q}_p}$  in  $H^1(G_K, V)$ . This is where the Bloch-Kato Selmer groups come in. Back to the fundamental short exact

sequence from the Kummer sequence let  $m = p^n$  and we have the local diagram as well.

$$\begin{array}{ccccccc} 0 & \longrightarrow & J(K)/mJ(K) & \xrightarrow{\kappa} & H^1(G_K, J[m]) & \longrightarrow & H^1(G_K, J)[m] \longrightarrow 0 \\ & & \downarrow & & \downarrow \alpha & & \downarrow \\ 0 & \longrightarrow & \prod_v J(K_v)/mJ(K_v) & \xrightarrow{\beta} & \prod H^1(G_{K_v}, J[m]) & \longrightarrow & \prod H^1(G_{K_v}, J)[m] \longrightarrow 0 \end{array}$$

the selmer group is a finite dimensional subspace of  $H^1(G_K, J[p^n])$ .

$$\text{Sel}_{p^n}(J) = \alpha^{-1}(\text{im } \beta)$$

we have

$$J(K)/p^n J(K) \hookrightarrow \text{Sel}_{p^n}(J)$$

now taking inverse limits gives

$$\widehat{J(K)} \hookrightarrow \text{Sel}_{\mathbb{Z}_p} J \subseteq H^1(G_K, T)$$

and inverting  $p$  gives

$$\widehat{J(K)}[1/p] \hookrightarrow \text{Sel}_{\mathbb{Q}_p} J \subseteq H^1(G_K, V)$$

let  $\text{III}$  be the Shafarevich-Tate group of  $J$ . we have

$$0 \rightarrow J(K)/p^n J(K) \rightarrow \text{Sel}_{p^n}(J) \rightarrow \text{III}[p^n] \rightarrow 0$$

take inverse limits and invert  $p$

$$0 \rightarrow \widehat{J(K)}[1/p] \rightarrow \text{Sel}_{\mathbb{Q}_p}(J) \rightarrow (\varprojlim_n \text{III}[p^n])[1/p] \rightarrow 0$$

if  $\text{III}[p^\infty]$  is finite, then

$$\varprojlim_n \text{III}[p^n] = 0$$

so

$$\widehat{J(K)}[1/p] \simeq \text{Sel}_{\mathbb{Q}_p}(J)$$

we want to determine the image of  $J(K)_{\mathbb{Q}_p}$  in  $H^1(G_K, V)$  in terms of  $V$  using  $V$  as a Galois rep. We're almost there, we've replaced  $J(K)_{\mathbb{Q}_p}$  with  $\text{Sel}_{\mathbb{Q}_p}(J)$ . Remaining problem is that the local conditions giving us

$$\text{Sel}_{\mathbb{Q}_p}(J) \subseteq H^1(G_K, V)$$

we use information from the geometry of  $J$ . (certain subgroups of  $\prod H^1(G_{K_v}, V)$ ). This problem was solved by Bloch and Kato using Bloch-Kato Selmer groups. use  $p$ -adic Hodge theory to re-interpret  $\text{im } \beta$ .

Lecture 1? 5/11/2019

Recall the big chabauty-kim diagram.

Last time we had this

$$\begin{array}{ccc} J(K)/p^n J(K) & \longrightarrow & H^1(G_K, J[p^n]) \\ \downarrow & & \downarrow \alpha \\ \prod_v J(K_v)/p^n J(K_v) & \xrightarrow{\beta} & H^1(G_K, J[p^n]) \end{array}$$

$$\begin{aligned}\mathrm{Sel}_{p^n}(J) &= \alpha^{-1}(\mathrm{im}(\beta)) \\ \varprojlim_n \mathrm{Sel}_{p^n}(J) &= \mathrm{Sel}_{\mathbf{Z}_p} J,\end{aligned}$$

then we get

$$\widehat{J}(K)[1/p] \hookrightarrow \mathrm{Sel}_{\mathbf{Q}_p}(J) \subseteq H^1(G_K, V).$$

Goal is to determine the image of  $J(K)_{\mathbf{Q}_p}$  in  $H^1(G_K, V)$  in terms of the Galois representation  $V$ . We replaced  $J(K)_{\mathbf{Q}_p}$  by  $\mathrm{Sel}_{\mathbf{Q}_p}$ , defined by local conditions. But the local conditions (subgroup of  $\prod_v H^1(G_{K_v}, V)$ ) are defined via geometry of  $J$  rather than via galois reps.

Bloch and Kato defined  $\mathrm{im} \beta$  using  $p$ -adic Hodge theory and defined  $\mathrm{Sel}_{\mathbf{Q}_p}(J)$  in terms of data from  $V$ .

1. They wanted to extend Selmer and Shafarevich-Tate groups to motives beyond  $H^1$  of abelian varieties.
2. this construction will let us extend the idea of Selmer group from

$$T = H_1^{\mathrm{et}}(X_{\overline{K}}, \mathbf{Z}_p) \simeq \pi_1^{\mathrm{et}}(X_{\overline{K}})^{\mathrm{ab}} \otimes \mathbf{Z}_p$$

to certain (non-abelian) quotients of  $\pi_1^{\mathrm{et}}$ .

Crash course in Bloch-Kato Selmer groups. Let  $V$  be a finite dimensional  $\mathbf{Q}_p$ -vector space with a continuous action of  $G_{K_v}$ . Let,  $K_v = \mathbf{Q}_p$ . Fontaine defined  $D_{\mathrm{cris}}(V) = (B_{\mathrm{cris}} \otimes_{\mathbf{Q}_p} V)^{G_{K_v}}$  for a ring of  $p$ -adic periods  $B_{\mathrm{cris}}$  we have

$$\dim_{K_v} D_{\mathrm{cris}}(V) \leq \dim_{\mathbf{Q}_p} V$$

and if equality holds we say that  $V$  is crystalline. See Berger, or Caruso, or Andre.

An element  $\xi \in H^1(G_{K_v}, V)$  corresponds to an isomorphism class of extensions of  $\mathbf{Q}_p$  by  $V$

$$0 \rightarrow V \rightarrow E \rightarrow \mathbf{Q}_p \rightarrow 0$$

and  $\xi$  is said to be crystalline if the galois representation is. Let  $H_f^1(G_{K_v}, V)$  be the set of crystalline classes in  $H^1(G_{K_v}, V)$ . The “local Bloch-Kato Selmer group” at  $v$ .

**Definition 2.76** The Bloch-Kato Selmer group  $H_f^1(G_{K_v}, V)$  is the set of  $\xi \in H^1(G_K, V)$  whose image in  $H^1(G_{K_v}, V)$  is crystalline for each  $v|p$ .  $\diamond$

**Theorem 2.77 Bloch-Kato.** *The image of the Kummer map*

$$\kappa_v: J(K_v)_{\mathbf{Q}} \rightarrow H^1(G_{K_v}, V)$$

is  $H_f^1(G_{K_v}, V)$ .

**Corollary 2.78** *The Selmer group*

$$\mathrm{Sel}_{\mathbf{Q}_p} J$$

coincides with  $H_f^1(G_K, V)$  in  $H^1(G_K, V)$ .

Need to also define log intrinsically, also needs a new target space in place of  $\mathrm{Lie} J(K_p)$ .

**Definition 2.79** A filtered module over a commutative ring  $R$  is an  $R$ -module  $M$  with a collection

$$\{G^i M\}$$

of submodules that is decreasing

$$F^{i+1}M \subseteq F^i M, \forall i.$$

If  $\bigcup F^i M = M$  the filtration is exhaustive. For any filtered  $R$ -module  $M$  the associated graded module

$$\text{gr}(M) = \bigoplus_i \text{gr}^i(M), \text{gr}^i(M) = F^i M / F^{i+1} M.$$

◇

Recall the Hodge filtration on de Rham cohomology, this is a decreasing filtration  $F^i$  on  $H_{\text{dR}}^1(J_{K_p})$  with

$$0 = F^2 \subseteq F^1 = H^0(J_{K_p}, \Omega^1) \subseteq F^0 = H_{\text{dR}}^1.$$

The de Rham cohomology  $H_{\text{dR}}^1(J_{K_p})$  is the dual of  $H_1^{\text{dR}}(J_{K_p})$  and has a decreasing hodge filtration dual to that of  $H_{\text{dR}}^1(J_{K_p})$ , defined as

$$H^i H_1^{\text{dR}}(J_{K_p}) = \text{Ann}(F^{-i+1} H_1^{\text{dR}}(J_{K_p})).$$

$$H^0(X, \Omega^1)^\vee \simeq H^0(J, \Omega^1)^\vee = (\text{gr}^1 H_{\text{dR}}^1(J_{K_p}))^\vee = \text{gr}^{-1} H_1^{\text{dR}}(J_{K_p})$$

$$\text{gr}^{-1} H_1^{\text{dR}}(J_{K_p}) = H_1^{\text{dR}}(J_{K_p}) / F^0 H_1^{\text{dR}}(J_{K_p}) \simeq H_1^{\text{dR}}(X_{K_p}) / F^0 H_1^{\text{dR}}(X_{K_p})$$

this is our intrinsic definition of  $H^0(X, \Omega^1)^\vee$ .

More of our crash course on  $p$ -adic hodge theory. To define  $\log_{BK}$ .

We assume  $K_p \simeq \mathbf{Q}_p$ , Fontaine's theory defines a series of  $\mathbf{Q}_p$ -algebras with  $G_{\mathbf{Q}_p}$ -action and additional structure compatible with this, (i.e. frob, differential operators, hodge) we have

$$B_{\text{crys}} \subseteq B_{\text{dR}}???$$

Facts, there's a descending filtration  $F^1$  on  $B_{\text{dR}}$ , for which  $B_{\text{dR}}^+ = F^0 B_{\text{dR}}$  is a DVR with fraction field  $B_{\text{dR}}$  and residue field  $\mathbf{C}_p$ . There is an action of Frobenius on  $B_{\text{crys}}$  whose fixed subring is denoted

$$B_{\text{crys}}^{\phi=1}.$$

We have  $B_{\text{crys}}^{G_{K_p}} = B_{\text{dR}}^{G_{K_p}} = K_p$ .

For a continuous  $p$ -adic representation  $V$  of  $G_{\mathbf{Q}_p}$ . We define

$$D_{\text{dR}}(V) = (B_{\text{dR}} \otimes V)^{G_{K_p}}$$

$$D_{\text{dR}}^+(V) = (B_{\text{dR}}^+ \otimes V)^{G_{K_p}}$$

the filtration on  $B_{\text{dR}}$  induces one on  $D_{\text{dR}}(V)$ .

If  $Y$  is a smooth variety over  $\mathbf{Q}_p$  then  $p$ -adic height gives for

$$V = H_{\text{et}}^i(Y_{\overline{\mathbf{Q}_p}}, \mathbf{Q}_p)$$

that

$$D_{\text{dR}}(V) \xrightarrow{\sim} H_{\text{dR}}^i(Y).$$



Respecting the Hodge filtration on either side. Also  $D_{\text{dR}}^+(V)$  is naturally identified with  $F^0 D_{\text{dR}}(V)$ .

$$F^m D_{\text{dR}}(V) = (F^m B_{\text{dR}} \otimes V)^{G_{K_v}}$$

Frobenius on  $B_{\text{crys}}$  induces a Frobenius map on  $D_{\text{crys}}(V)$  and  $V = H_{\text{et}}^i(Y_{\overline{\mathbf{Q}_p}}, \mathbf{Q}_p)$  and  $Y$  good reduction with special fibre  $Y_{\mathbf{F}_p}$ . Then there's a natural isomorphism

$$D_{\text{crys}}(V) \xrightarrow{\sim} H_{\text{crys}}^1(Y_{\mathbf{F}_p}, \mathbf{Q}_p)$$

respects frob on each side.

$D_{\text{dR}}$  and  $D_{\text{crys}}$  commute with taking  $^\vee$  so have corresponding results for homology.

**Example 2.80** Let  $V = H_1^{\text{et}}(X_{\overline{K}}, \mathbf{Q}_p)$  then

$$H_1^{\text{dR}}(X_{K_p}) \simeq D_{\text{dR}}(V) \simeq D_{\text{crys}}(V)$$

compat with hodge □

Lecture 1? 7/11/2019

$$T = H_1^{\text{et}}(X_{\overline{K}}, \mathbf{Z}_p)$$

$$V = H_1^{\text{et}}(X_{\overline{K}}, \mathbf{Q}_p)$$

want to define the Bloch-Kato logarithm.

$$\log_{BK}: H_f^1(G_{K_p}, V) \rightarrow H_1^{\text{dR}}(X_{K_p})/F^0.$$

Bloch-Kato 1990 give a short exact sequence

$$0 \rightarrow \mathbf{Q}_p \xrightarrow{\alpha} B_{\text{cris}}^{\phi=1} \oplus B_{\text{dR}}^+ \xrightarrow{\beta} B_{\text{dR}} \rightarrow 0,$$

where  $\alpha(x) = (x, x)$ ,  $\beta(x, y) = x - y$ . Tensor with  $V$  and take Galois cohomology to get

$$0 \rightarrow V^{G_{K_p}} \rightarrow D_{\text{cris}}(V)^{\phi=1} \oplus D_{\text{dR}}^+(V) \rightarrow D_{\text{dR}}(V) \rightarrow H^1(G_{K_p}, V) \rightarrow H^1(G_{K_p}, V \otimes B_{\text{cris}}^{\phi=1}) \rightarrow \dots$$

Bloch-Kato show that

$$H_e^1(G_{K_p}, V) = \ker(H^1(G_{K_p}, V) \rightarrow H^1(G_{K_p}, V \otimes B_{\text{cris}}^{\phi=1}))$$

is equal to

$$H_f^1(G_{K_p}, V).$$

This gives a surjection

$$D_{\text{dR}}(V)/D_{\text{dR}}^+(V) \rightarrow H_f^1(G_{K_p}, V)$$

this is the Bloch-Kato exponential map. (coincides with usual exp in the case of a  $p$ -adic formal lie group). The kernel of this map is

$$D_{\text{cris}}(V)^{\phi=1}/V^{G_{K_p}}$$

this is trivial since  $V$  is the Tate module of an abelian variety (true because of the Weil conjecture, and what we know about eigenvalues of frobenius).

So in this case the Bloch-Kato exponential map has an inverse, our Bloch-Kato logarithm.

$$\log_{BK}: H_f^1(G_{K_p}, V) \xrightarrow{\sim} D_{dR}(V)/D_{dR}^+(V) \simeq H_1^{dR}(X)/F^0$$

Kim's generalization. Let  $G$  be a group (resp. topological group). For subgroups

$$A, B \subseteq G$$

let  $[A, B]$  denote the (the closure of) the commutator of the subgroups.

**Definition 2.81** Let

$$\begin{aligned} G^{[1]} &= G \\ G^{[2]} &= [G^{[1]}, G] \\ &\dots \\ G^{[i+1]} &= [G^{[i]}, G] \end{aligned}$$

then

$$G^{[1]} \supseteq G^{[2]} \supseteq \dots$$

is a descending chain of normal subgroups of  $G$  called the lower central series of  $G$ . Let  $G_n = G/G^{[n+1]}$ .  $\diamond$

**Example 2.82**

$$G_1 = G/G^{[1]} = G/[G, G]$$

is the abelianization  $G^{ab}$  of  $G$ . For  $n \geq 2$  the group  $G_n$  is an  $n$ -step nilpotent group, typically nonabelian.  $\square$

Now for various flavours of  $\pi_1(X)$  we have

$$\pi_1(X)_1 = \pi_1(X)^{ab} = H_1(X)$$

so above we should think of  $H_1$  as the abelianization of  $\pi_1$ . We can therefore try to replace it by  $\pi_1(X)_n$  for  $n > 1$ . We'll do this for the two homology groups associated to  $X$

1.  $p$ -adic étale homology
2. de Rham homology

we can define the geometric étale fundamental group  $\pi_1^{\text{et}}(X_{\bar{K}})$  such that

$$\pi_1^{\text{et}}(X_{\bar{K}})^{ab} \simeq H_1^{\text{et}}(X_{\bar{K}}, \widehat{\mathbf{Z}})$$

$$\pi_1^{\text{et}}(X_{\bar{K}})^{ab} \simeq H_1^{\text{et}}(X_{\bar{K}}, \widehat{\mathbf{Z}}) \twoheadrightarrow H_1^{\text{et}}(X_{\bar{K}}, \mathbf{Z}_p) \subseteq H_1^{\text{et}}(X_{\bar{K}}, \mathbf{Q}_p) = V = \mathbf{G}_a^{2g}(\mathbf{Q}_p)$$

with action of  $G_K$  in particular  $G_K$  acts via  $\mathbf{Q}_p$ -linear automorphisms on  $\mathbf{G}_a^{2g}$ .

For  $n \geq 2$  there's an analogous construction that transforms  $\pi_1^{\text{et}}(X_{\bar{K}})_n$  into a topological group  $V_n$  that is the group of  $\mathbf{Q}_p$ -points of a unipotent algebraic group  $\mathcal{V}_n/\mathbf{Q}_p$ , equipped with a  $G_K$ -action.

**Definition 2.83** A pro-unipotent group over  $\mathbf{Q}_p$  is a group scheme of  $\mathbf{Q}_p$  that is a projective limit of unipotent algebraic groups over  $\mathbf{Q}_p$ .  $\diamond$

Then there is a notion of  $\mathbf{Q}_p$ -pro-unipotent completion

$$\pi_1^{\text{et}}(X_{\bar{K}})_{\mathbf{Q}_p}$$

of

$$\pi_1^{\text{et}}(X_{\bar{K}})$$

there is a continuous homomorphism

$$\pi_1^{\text{et}}(X_{\bar{K}}) \rightarrow \pi_1^{\text{et}}(X_{\bar{K}})_{\mathbf{Q}_p}(\mathbf{Q}_p)$$

is universal among such maps.

There are lower central series quotients

$$U_n^{\text{et}} = \pi_1^{\text{et}}(X_{\bar{K}})_{\mathbf{Q}_{p,n}} = \pi_1^{\text{et}}(X_{\bar{K}})_{\mathbf{Q}_p} / \pi_1^{\text{et}}(X_{\bar{K}})_{\mathbf{Q}_p}^{[n+1]}.$$

In the cases we consider, these are finite dimensional varieties. The coordinate ring

$$\mathcal{O}(\pi_1^{\text{et}}(X_{\bar{K}})_{\mathbf{Q}_p})$$

is a  $\mathbf{Q}_p$ -vector space. Recall that for a smooth curve  $X$  we had

$$H_1^{\text{dR}}(X_{K_p}) \simeq D_{\text{dR}}(H_1^{\text{et}}(X_{\bar{K}}, \mathbf{Q}_p))$$

we may define the de Rham fundamental group

$$\pi_1^{\text{dR}}(X_{K_p})$$

as

$$\text{Spec}(D_{\text{dR}}(\mathcal{O}(\pi_1^{\text{et}}(X_{\bar{K}})_{\mathbf{Q}_p}))).$$

$\pi_1^{\text{dR}}$  is equipped with a Hodge filtration. Just like we took the lower central series filtration on  $\pi_1^{\text{et}}(X_{\bar{K}})_{\mathbf{Q}_p}$ , do the same thing for quotients by

$$\pi_1^{\text{dR}}(X_{K_p})_n$$

We'll denote these as  $U_n^{\text{dR}}$ . We have

$$U_n^{\text{dR}} = D_{\text{cris}}(U_n^{\text{et}})$$

so it is equipped with a Frobenius action.

Now we have a non-abelian version of the Chabauty-Coleman diagram

$$\begin{array}{ccccc} X(K) & \longrightarrow & X(K_p) & & \\ \downarrow & & \downarrow & \searrow & \\ H_f^1(G_K, \pi_1^{\text{et}}(X_{\bar{K}})_{\mathbf{Q}_{p,n}}) & \longrightarrow & H_f^1(G_{K_p}, U_n^{\text{et}}) & \longrightarrow & U_n^{\text{dR}}/F^0 \end{array}$$

An element of

$$H_f^1(G_K, U_n^{\text{et}})$$

is a scheme over  $\mathbf{Q}_p$  with continuous  $G_K$ -action and  $G_K$ -equivariant action of  $U_n^{\text{et}}$  making it into a  $U_n^{\text{et}}$ -torsor.

Kim shows that

$$H_f^1(G_K, U_n^{\text{et}}) \subseteq H^1(G_K, U_n^{\text{et}})$$

has the structure of an algebraic variety the Selmer variety  $\text{Sel}(U_n)$ .

We refer to  $H_f^1(G_{K_p}, U_n^{\text{et}})$  as the local Selmer variety.

**Theorem 2.84 Kim.** For some  $n > 1$  if

$$\dim \text{Sel}(U_n) < \dim H_f^1(G_{K_p}, U_n^{\text{et}})$$

then  $X(K)$  is contained in the zero locus of non-trivial locally analytic function on  $X(K_p)$  so  $X(K)$  is finite.

Lecture 1? 12/11/2019

**Lemma 2.85** Let  $X$  be a smooth projective curve over an algebraically closed field  $k = \bar{k}$  and  $n \geq 1$  be invertible in  $k$  then

$$H_{\text{et}}^0(X_{\bar{k}}, \mu_n) = \mu_n$$

$$H_{\text{et}}^1(X_{\bar{k}}, \mu_n) = \text{Pic}^0(X_{\bar{k}})[n].$$

*Proof.* Sketch: Recall the Kummer sequence

$$0 \rightarrow \mu_n \rightarrow \mathbf{G}_m \rightarrow \mathbf{G}_m \rightarrow 0$$

we also have

$$H_{\text{et}}^0(X, \mathbf{G}_m) = k^\times$$

$$H_{\text{et}}^1(X, \mathbf{G}_m) = \text{Pic}(X)$$

$$H_{\text{et}}^q(X, \mathbf{G}_m) = 0 \text{ for } q \geq 2$$

take cohomology of the Kummer sequence to get

$$0 \rightarrow H_{\text{et}}^0(X_{\bar{k}}, \mu_n) \rightarrow k^\times \rightarrow k^\times \rightarrow 0$$

$$0 \rightarrow H_{\text{et}}^1(X_{\bar{k}}, \mu_n) \rightarrow \text{Pic}(X) \rightarrow H_{\text{et}}^2(X, \mu_n) \rightarrow 0$$

We also have

$$0 \rightarrow \text{Pic}^0(X) \rightarrow \text{Pic}(X) \rightarrow \mathbf{Z} \rightarrow 0$$

and we can identify  $\text{Pic}^0(X)$  with the group of  $k$ -rational points of  $J$  of  $X$ . Note that multiplication by  $n$  is surjective and the kernel is  $\text{Pic}^0[n]$ . ■

More generally, for any galois stable quotient  $U$  of  $U_n^{\text{et}}$  we have a similar diagram  $U^{\text{dR}} = D_{\text{cris}}(U)$  and corresponding maps

$$j_n^{\text{et}}, j_n^{\text{dR}}, \text{loc}_{U,p}, j_n^{\text{et}}: X(\mathbf{Q}) \rightarrow \text{Sel}(U).$$

We have that  $X(\mathbf{Q})$  is contained in

$$X(\mathbf{Q}_p)_U = j_{U,p}^{\text{et},-1}(\text{loc}_{U,p}(\text{Sel}(U))) \subseteq X(\mathbf{Q}_p)$$

when

$$U = U_n$$

we get

$$X(\mathbf{Q}_p)_n = X(\mathbf{Q}_p)_{U_n}$$

we have

$$X(\mathbf{Q}) \subseteq \cdots \subseteq X(\mathbf{Q}_p)_n \subseteq \cdots \subseteq X(\mathbf{Q}_p)_1 \subseteq X(\mathbf{Q}_p).$$

**Conjecture 2.86 Kim.** For  $n \gg 0$   $X(\mathbf{Q}_p)_n$  is finite.

**Remark 2.87** This is implied by Beilinson-Bloch-Kato and other standard conjectures on motives.

**Conjecture 2.88 Kim.** For  $n \gg 0$   $X(\mathbf{Q}_p)_n = X(\mathbf{Q})$ .

The analogue of analytic properties of  $AJ_b$  (there is a non-zero functional we can construct that vanishes on  $\overline{J(\mathbf{Q})}$  with Zariski dense image, and given by a convergent  $p$ -adic power series), so there are finitely many zeroes on each residue disk of  $X(\mathbf{Q}_p)$  is the following

**Theorem 2.89 Kim 09.** The map  $j_n^{\text{dR}}$  has Zariski dense image and is given by convergent  $p$ -adic power series on every residue disk.

The analogue of Chabauty-Coleman  $r < g$  hypothesis is non-density of  $\text{loc}_{U,p}$ .

**Theorem 2.90 Kim 09.** Suppose  $\text{loc}_{U,p}$  is non-dominant, when  $X(\mathbf{Q}_p)_U$  is finite.

**Remark 2.91** All finiteness results come from bounding the dimension of  $\text{Sel}(U)$ .

Coates and Kim did this:

**Theorem 2.92 Coates, Kim '10.** Let  $X/\mathbf{Q}$  be a smooth projective curve of genus  $g \geq 2$  and suppose  $J$  is isogenous over  $\overline{\mathbf{Q}}$  to

$$J \sim \prod A_i$$

of abelian varieties with  $A_i$  having CM by  $K_i$  of degree  $2 \dim A_i$ . Then for  $n \gg 0$  have  $X(\mathbf{Q}_p)_n$  is finite.

Examples:

- Fermat curves

$$x^m + y^m + z^m = 0.$$

- Twisted fermat curves

$$ax^m + by^m + cz^m = 0.$$

- Van Wamelen's list of genus 2 curves whose jacobians have CM and are simple.

Coates, John, and Minhyong Kim. "Selmer Varieties for Curves with CM Jacobians." *Kyoto Journal of Mathematics* 50, no. 4 (2010): 827-52. <https://doi.org/10.1215/0023608X-2010-015>.

Key idea is to use multivariable Iwasawa theory to bound the dimension of the Selmer variety.

Recall  $\pi_1^{\text{et}}(X_{\overline{\mathbf{Q}}})_{\mathbf{Q}_p}$  is the  $\mathbf{Q}_p$ -pro-unipotent completion of  $\pi_1^{\text{et}}(X_{\overline{\mathbf{Q}}})$ . Let

$$W = \pi_1^{\text{et}}(X_{\overline{\mathbf{Q}}})_{\mathbf{Q}_p} / [\pi_1^{\text{et}}(X_{\overline{\mathbf{Q}}})_{\mathbf{Q}_p}^{(2)}, \pi_1^{\text{et}}(X_{\overline{\mathbf{Q}}})_{\mathbf{Q}_p}^{(2)}]$$

be the quotient by the derived series

$$G^{(0)} = G$$

$$G^{(n)} = [G^{(n-1)}, G^{(n-1)}]$$

$W$  itself has a lower central series filtration

$$W = W^1 \supseteq W^2 \supseteq \dots W^{n-1} = [W, W^n] \supseteq \dots$$

and associated quotients  $W_n = W/W^{n+1}$ .

**Theorem 2.93 Coates-Kim.** *There is a bound  $B$  depends on  $X$  and  $T$  s.t.*

$$\dim \sum_{i=1}^n H^2(G_T, W^i/W^{i+1}) \leq Bn^{2g-1}.$$

This is done by controlling the zeroes of an algebraic  $p$ -adic  $L$ -function (really an annihilator of an ideal class group).

A corollary of this gives a dimension bound on Selmer varieties.

**Corollary 2.94** *For  $n \gg 0$  have  $\dim H_f^1(G, W_n) < \dim W_n^{\text{dR}}/F^0$ .*

**Remark 2.95** Structure of Selmer variety as an algebraic variety is a consequence of  $\mathbf{Q}_p$ -pro-unipotent completion of  $\pi_1^{\text{et}}(X_{\overline{\mathbf{Q}}})$ .

$\mathbf{Q}_p$ -pro-unipotent completion:

Given a finitely presented discrete group  $E$ , take the group algebra

$$\mathbf{Q}[E]$$

complete it with respect to the augmentation ideal  $K$

$$\mathbf{Q}[[E]] = \varprojlim_n \mathbf{Q}[E]/K^n$$

the coproduct

$$\Delta: \mathbf{Q}[E] \rightarrow \mathbf{Q}[E] \otimes \mathbf{Q}[E]$$

defined by  $g \mapsto g \otimes g$  takes  $K$  to the ideal

$$K \otimes \mathbf{Q}[E] + \mathbf{Q}[E] \otimes K$$

then there's an induced coproduct on

$$\Delta: \mathbf{Q}[[E]] \rightarrow \mathbf{Q}[[E]] \hat{\otimes} \mathbf{Q}[[E]].$$

The unipotent completion

$$U(E)$$

can be realized as the grouplike elements in  $\mathbf{Q}[[EE]]$ .

$$U(E) = \{g \in \mathbf{Q}[[E]] : \Delta(g) = g \otimes g\}$$

This defines the  $\mathbf{Q}$ -points of a pro-algebraic group over  $\mathbf{Q}$ .

When  $E$  is topologically finitely presented profinite group the  $\mathbf{Q}_p$ -pro-unipotent completion is defined analogously.

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