

The S-unit equation and non-abelian Chabauty in depth 2

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The S-unit Equation

Throughout let S be a finite set of primes of \mathbb{Q} or in a number field K

$$u \in \mathbb{Q} \quad v_p(u) = 0 \quad \forall p \notin S$$

- Plan:
1. History / background
 2. Chabauty.
 3. Nonabelian extension
 4. Refinement + application

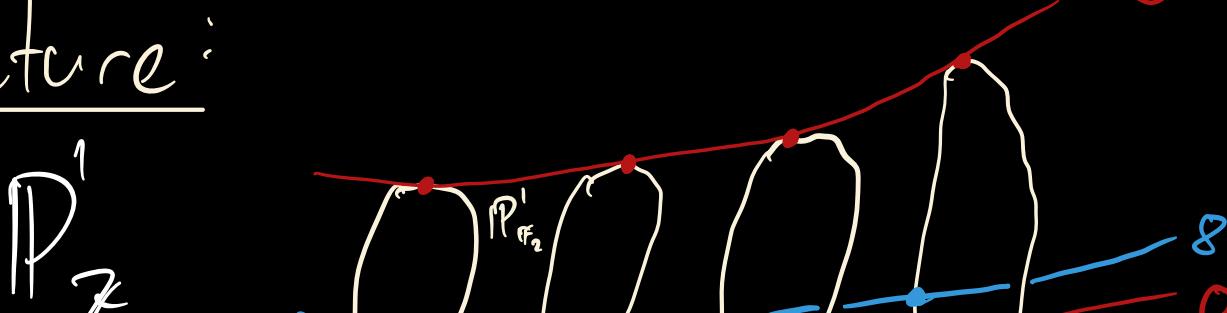
Def: A pair of S -units (u, v) such that $u + v = 1$ is called a solution to the S -unit equation.

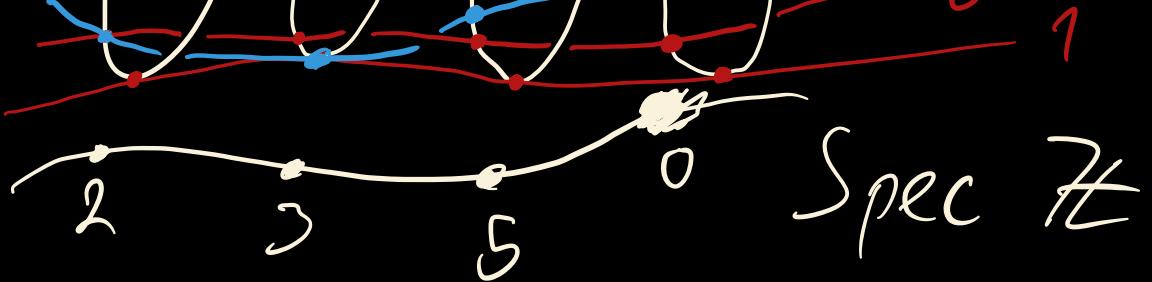
Ex: $S = \{2, 3\}$, $K = \mathbb{Q}$ then $9 - 8 = 1$ so $(9, -8)$ is a solution to the $\{2, 3\}$ -unit equation, we can also take $(-8, 9)$ or $(\frac{9}{8}, -\frac{1}{8})$.

Observations: 1) There is an action of S_3 on solutions, generated by these symmetries: $(u, v) \mapsto (v, u)$, $(u, v) \mapsto (-\frac{u}{v}, \frac{1}{v})$.

2) We have $v = 1 - u$ so in terms of u we have a solution if and only if all of $u, 1 - u, \frac{1}{u}, \frac{1}{1-u}$ are $\not\equiv 0 \pmod{p}$ $\forall p \notin S$.

Picture:





Solutions to the S-unit equation can be thought of as

$\mathbb{Z}[\frac{1}{S}]$ -points of $P^1 \setminus \{0, 1, \infty\}$, we will use $z = u$ from now on.

Thm (Siegel): For fixed S we have $|P^1 \setminus \{0, 1, \infty\}(\mathbb{Z}[\frac{1}{S}])| < \infty$.

" χ "

This result is not constructive however!

Questions remain about this problem:

1. Can we bound the set of solutions in terms of S or $|S|$ and K or $\deg(K)$?
2. Can we (efficiently) determine the set of solutions given any S and K ?
3. Can we bound the heights of solutions?

These problems have a long history: Some examples:

not complete

1. Thm (Evertse):

$$|P^1 \setminus \{0, 1, \infty\}(\mathbb{Z}[\frac{1}{S}])| < 3 \cdot 7^{\deg K + |S|}$$

Lower bounds due to Konyagin-Soundararajan.

2. Matschke has a solver based on sieving using height bounds coming from modularity due to Matschke-von Känel.

3. Bugeaud, Győry, Le Fourn,....

Goal of our work: Not to attack these well studied problems directly, instead we wish to test some conjectures in **non-abelian Chabauty** applied to $\mathbb{P}^1 \setminus \{0, 1, \infty\}$.

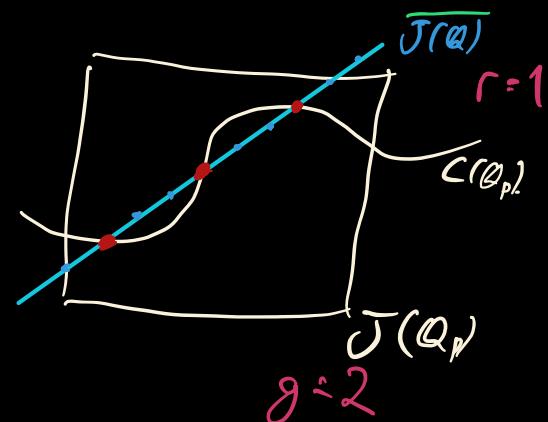
2. Chabauty Methods:

Let C/\mathbb{Q} be a nice curve of genus $g \geq 2$ and fix a prime p of good reduction for C , and a base point $P \in C(\mathbb{Q})$.

Then we can often determine $C(\mathbb{Q})$ using Chabauty's method.

$$\begin{array}{ccc} C(\mathbb{Q}) & \hookrightarrow & C(\mathbb{Q}_p) \\ \downarrow \text{Jac}(C) & & \downarrow \\ J(\mathbb{Q}) & \hookrightarrow & J(\mathbb{Q}_p) \cong \text{Lie } J(\mathbb{Q}_p) \end{array}$$

analytic
 j_p
abelian group rank r
 g -dimensional p -adic lie group.



If $r < g$ then $J(\mathbb{Q}) \cap C(\mathbb{Q}_p)$ should be finite, this proves the Mordell conjecture for these curves.

Coleman: defines an integration theory for curves over p -adic fields, which is p -adically valued. This makes the map j_p and hence Chabauty's method explicit, we can find $g - r$ independent

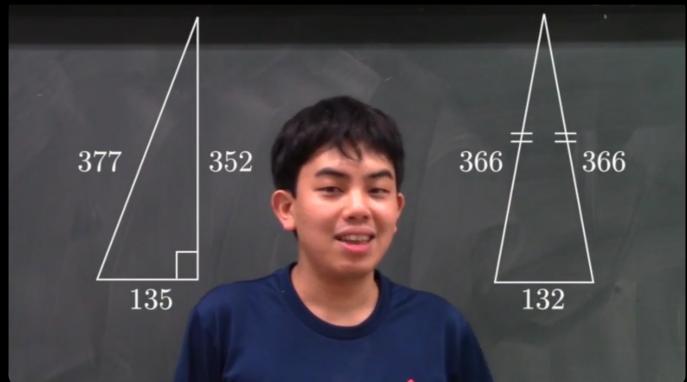
holomorphic differentials $\{\omega_i\}$ on $\mathcal{J}(\mathbb{Q}_p)$ such that the integrals $\int_p^x \omega_i$ simultaneously vanish on $C(\mathbb{Q})$.

Application: Thm: (Hirakawa-Matsumura, 2019):

There exists a unique pair of a rational right triangle and a rational isosceles triangle with the equal areas and equal perimeters.

Pf: Chabauty on a genus 2 rank 1

$$\text{curve: } r^2 = (-3w^3 + 2w^2 - 6w + 4)^2 - 8w^6$$



Hideki Matsumura

S-units: Fix a prime $p \notin S$. We can form a similar diagram using the generalized Jacobian of X . Assume $K = \mathbb{Q}$.

$$\begin{array}{ccc}
 X(\mathbb{Z}[\frac{1}{S}]) & \hookrightarrow & X(\mathbb{Z}_p) \\
 \downarrow z & & \downarrow (\log_p z, \log_{p'} z) \\
 (\mathbb{Z}, 1-z) & & \\
 G_m(\mathbb{Z}[\frac{1}{S}]) \times G_m(\mathbb{Z}[\frac{1}{S}]) & \longrightarrow & G_m(\mathbb{Z}_p) \times G_m(\mathbb{Z}_p) \\
 \{ \pm 1 \} \times \mathbb{Z}^{1|1} \times \{ \pm 1 \} \times \mathbb{Z}^{1|1} & \longrightarrow & \mathbb{Z}_p^\times \times K_p^\times \\
 ((v_q)_q, (w_q)_q)_{q \in S} & \longmapsto & \left(\sum_{q \in S} v_q \log_p q, \sum_{q \in S} w_q \log_{p'} q \right)
 \end{array}$$

$X = P' \setminus \{0, 1, \infty\}$

Bottom left group has rank $2|S|$ and the bottom right is of dimension 2. So a naive application of Chabauty to this diagram

would not apply.

$$\zeta^{\pm q^n \pm 1} = 1.$$

Consider the case of $|S| = 1$, $S = \{q\}$, and assume $v_q(1-z) = 0$.

$$\begin{array}{c} p \notin S \\ \text{prime} \end{array} \quad \begin{array}{c} \pm q^a = z \\ \downarrow \quad \downarrow \\ (a, 0) \xrightarrow{(\log z, \log(1-z))} (a \log_p q, 0) \end{array}$$

then the bottom horizontal map has non-dense image.

So the set of z s.t. $v_q(1-z) = 0$ lie in the locus where $\log(1-z) = 0$.

The zeroes of \log are precisely the roots of unity.

$$\begin{aligned} \log_3(1-z) &= 0 \\ 3\text{-adically} \\ \Rightarrow z &= 2. \end{aligned}$$

E.g.:
If $q = 2, p = 3$ then this gives: Solutions to the $\{2\}$ -unit equation for which $v_2(1-z) = 0$ have $1-z$ a root of \log which is 3-adically near -1 , hence $1-z = -1$ and $z = 2$ is the only solution.

Other solutions?

What about the complete set of solutions when $|S| = 1$?

If $v_q(z) > 0$ then $v_q(1-z) = 0$.

If $v_q(z) = 0$ then swapping z and $1-z$ reduces us to the first case.

If $v_q(z) < 0$ then $v_q(1-z) = v_q(z)$ and then $v_q(-\frac{z}{1-z}) = 0, v_q(\frac{1}{1-z}) > 0$ so the S_3 -action reduces us to the previous case.

In fact, valuations of $(z, 1-z)$ look like:

$$v_q(z) = 0 \quad (v_q(z), v_q(1-z))$$

$$v_g(1-z) = 0 \quad \text{Tropicalization of } \mathbb{P}^1 \setminus \{0, 1, \infty\}$$

$$v_g(z) = v_g(1-z) \leq 0$$

Generators of S_3 -action have the effect of swapping

$$u \leftrightarrow v \quad \text{or} \quad (u, v) \leftrightarrow (-\frac{v}{u}, \frac{1}{u})$$

In the tropicalization this acts via

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \begin{array}{c} \diagdown \curvearrowleft \\ \diagup \end{array} \quad \begin{pmatrix} 1 & -1 \\ 0 & -1 \end{pmatrix} \quad \begin{array}{c} \curvearrowright \diagdown \\ \curvearrowleft \diagup \end{array}$$

3. Non-abelian Chabauty

- Minhyong Kim has introduced an extension of Chabauty's method that conjecturally gives another proof of Mordell's conjecture in many cases.
- This method reproves Siegel's theorem but remains to be fully understood.

Kim's idea: Extend the Chabauty diagram to non-linear objects on the second row (Selmer schemes).

Before we took the Jacobian which is an abelianized fundamental group, by including more non-abelian information we

hope to retain more knowledge about the curve.

Let $\mathcal{X}/\mathbb{Z}_{(p)}$ be a model of a hyperbolic curve (e.g. $\mathbb{P}^1 \setminus \{0, 1, \infty\}$) then:

- $U^{\text{\'et}}$ denotes the \mathbb{Q}_p -pro-unipotent completion of $\pi_1^{\text{\'et}}(\mathcal{X})$
- $U_n^{\text{\'et}}$ denotes the quotient by the nth step of the lower central series (n is called the depth).
- U^{dR} , U_n^{dR} are defined likewise for the de Rham fundamental group $\pi_1^{\text{dR}}(\mathcal{X})$

Then we consider the local Selmer scheme: $\xrightarrow{\text{Gal}(\mathbb{Q}_p/\mathbb{Q}_p)}$.

$$H_f^1(G_p, U_n^{\text{\'et}}) \subseteq H^1(G_p, U_n^{\text{\'et}})$$

Consisting of crystalline classes, and we have an isomorphism

$$H_f^1(G_p, U_n^{\text{\'et}}) \cong F^\circ \downarrow_{\text{Hodge}}^{U_n^{\text{dR}}} \quad (J(\mathbb{Q}_p) \cong \text{Lie } J(\mathbb{Q}_p))$$

Similarly we define the global Selmer scheme:

$$\text{Sel}_{S,n} = \text{Sel}_{S,n}(\mathcal{X}) \subseteq H^1(G_S, U_n^{\text{\'et}}).$$

containing those classes which are crystalline at p and are unramified at all $q \notin S \cup \{p\}$.

Thm (Kim): This fits into a commutative diagram (Kim's cutter) for each n:

$$\begin{array}{ccc}
 \mathcal{X}(\mathbb{Z}_{(p)}) & \longrightarrow & \mathcal{X}(\mathbb{Z}_p) \\
 \text{algebraic} \nearrow j_S \downarrow & & \downarrow j_p \\
 (\mathbb{Q}_{p,n}) \simeq S & & H^1(G_S, U_n^{\text{\'et}}) \simeq F^\circ \downarrow_{\text{Hodge}}^{U_n^{\text{dR}}} \\
 & & \searrow \text{analytic}
 \end{array}$$

$$\xrightarrow[\text{finite type}]{} \text{Sel}_{S,n} \xrightarrow[\text{loc}_p]{} H_f^1(G_p, U_n) \rightarrow F \cap U_n$$

In the $n=1$ case this recovers the classical Chabauty diagrams we saw before.

Thm (Kim): If $\dim \text{Sel}_{S,1} \leq \dim H_f^1(G_p, U_1)$ then $\mathcal{X}(\mathbb{Z}[\frac{1}{S}])$ is finite and lies in $\mathcal{X}(\mathbb{Z}[\frac{1}{S}])_n := j_{\bar{P}}^{-1}(\text{loc}_p(\text{Sel}_{S,n}(x)))$ for all n .

Conjecture (Kim): We always have

$$\mathcal{X}(\mathbb{Z}[\frac{1}{S}]) = \mathcal{X}(\mathbb{Z}[\frac{1}{S}])_n$$

eventually. $n \gg 0$

Motivating question: How deep do we need to go?

4. Refinements and application to $\bar{P}' \setminus \{0, 1, \infty\}$.

For $\bar{P}' \setminus \{0, 1, \infty\}$ in depth 2 we have

$$\text{Sel}_{S,2} \stackrel{\cong}{\underset{\text{socle vanishing}}{\sim}} \text{Sel}_{S,1} \cong A^{(S)} \times A^{(S)}$$

$$H_f^1(G_p, U_2) \cong A^3 \cong A^2 \times A \quad \text{from before}$$

$$\begin{array}{ccc} \mathcal{X}(\mathbb{Z}[\frac{1}{S}]) & \rightarrow & \mathcal{X}(\mathbb{Z}_p) \\ \downarrow & & \downarrow \\ ((V_g(2)), (V_g(1-z)),) & \longrightarrow & (\log(2), \log(1-z), \text{Li}_2(z)) \\ A^{(S)} \times A^{(S)} & & \\ ((x_g)_g, (y_g)_g) & \longrightarrow & (\sum x_g \log g, \sum y_g \log g, h(x_g, y_g)) \end{array}$$

$\text{Li}_2(z)$ is an iterated Coleman integral: $\int \frac{\log^{(1-2)} d_2}{z} dz$

Defined near 0 by the classical power series

h_3 is the most mysterious part of this diagram.

Thm (Dan-Cohen, Wewers):

1. h_3 is a bilinear form
2. The coefficients of this form satisfy

$$a_{l,g} + a_{g,l} = \log g \log l \text{ for } l, g \in S.$$

We give new proofs of these facts.

Problem: $2|S| > 2$ as soon as $|S| > 1$, image of loc_p will be dense!

To directly apply this version of Kim's cutter we need to go to $n = 3$ at least!

Corwin & Dan-Cohen go to depth 4 and find the function

$$\begin{aligned} & \zeta^u(3) \log^u(3) \text{Li}_4^u - \left(\frac{18}{13} \text{Li}_4^u(3) - \frac{3}{52} \text{Li}_4^u(9) \right) \log^u \text{Li}_3^u \\ & - \frac{(\log^u)^3 \text{Li}_1^u}{24} \left(\zeta^u(3) \log^u(3) - 4 \left(\frac{18}{13} \text{Li}_4^u(3) - \frac{3}{52} \text{Li}_4^u(9) \right) \right) \end{aligned}$$

cutting out solutions for the $\{3\}$ -unit equation.

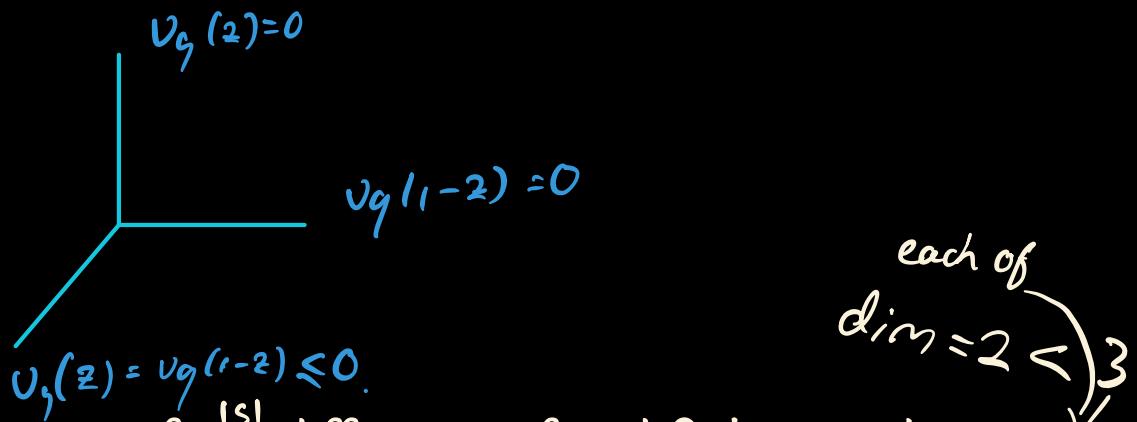
Alternative approach: Betts-Dogra (arXiv:1909.05734)

introduce refined Selmer schemes of smaller dimension, that take into account local behavior at primes in S .

This works out to be precisely the tropical decomposition we

considered above: For any $z \in \mathbb{P}^1 \setminus \{0, 1, \infty\}$ ($\neq \{\frac{1}{2}\}$), $g \in S$

$v_g(z), v_g(1-z)$ lies on one of the rays



So $j(z)$ lands in one of $3^{|\mathcal{S}|}$ different refined Selmer schemes depending on which ray z lay on for each prime in S .

We denote these as $\text{Sel}_{S,2}^{1,-}$ for example.

Upshot: $\dim \text{Sel}_{S,2}^{1,-} = 2 < 3$ so we can apply “refined non-abelian Chabauty” in depth 2 to bound the solutions to the S -unit equation.

Remark: this method in depth 1 is exactly what we did in the first example of finding $\{q\}$ -units with $v_q(1-z) = 0$.

Thm (BBKLMQSX): Let $S = \{\ell, q\}$ and $p \notin S$ then up to the S_3 -action the solutions to the S -unit equation lie in

$$\chi(\mathbb{Z}_p)_{S,2}^{1,-}$$

Which is given by $a_{\ell,q} L_{i_2}(z) = a_{q,\ell} L_{i_2}(1-z)$.

When we know one solution we can determine $a_{l,q}$ and then control all other possible solutions.

Thm (BBKLMQSX): Let $S = \{l\}$ and $p \neq l$ be prime, then

$$\chi(\mathbb{Z}_p)_{\{l\}}^{\text{min}}$$

is cut out by $\log(z) = 0, L_{i_2}(z) = 0$ (Up to the S -action)

Thm (BBKLMQSX): Let $S = \{q, l\}$ and let $p \notin S$ be prime then

$$\chi(\mathbb{Z}_p)_{\{q,l\}}^{\text{min}}$$

is cut out by

$$a_{l,q} L_{i_2}(z) = a_{q,l} L_{i_2}(1-z).$$

Up to the S_q -action.

When we know a solution we can go further and find the $a_{l,q}'$'s.

Thm (BBKLMQSX): Let $q = 2^k \pm 1$, be a Fermat or Mersenne prime then $\chi(\mathbb{Z}_p)_{\{2,q\},2}^{1,-}$ is cut out by

$$L_{i_2}(1 \mp q) L_{i_2}(z) = L_{i_2}(\mp q) L_{i_2}(1-z).$$

$$a_{2,q}''$$

We also show that working with refined Selmer schemes in depth 2 gives an easily checkable condition for extra solutions, this

completely resolves the $|SI| = 1$ case.

