

The (inescapable) p -adics

Alex J. Best

5/5/2018

BU Math Retreat 2018

Definition (Linear recurrence sequence)

A **linear recurrence sequence**, is a sequences whose n th term is the linear combination of the previous k terms (for all $n \geq k$)

Linear recurrence sequences

Definition (Linear recurrence sequence)

A **linear recurrence sequence**, is a sequences whose n th term is the linear combination of the previous k terms (for all $n \geq k$)

Example (Fibonacci)

$a_0 = 0, a_1 = 1$ and $a_n = a_{n-1} + a_{n-2}$ for $n \geq k = 2$:

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, 377, 610, 987, 1597, 2584, 4181,

Linear recurrence sequences

Definition (Linear recurrence sequence)

A **linear recurrence sequence**, is a sequences whose n th term is the linear combination of the previous k terms (for all $n \geq k$)

Example (Fibonacci)

$a_0 = 0, a_1 = 1$ and $a_n = a_{n-1} + a_{n-2}$ for $n \geq k = 2$:

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, 377, 610, 987, 1597, 2584, 4181,

a_n grows exponentially.

Linear recurrence sequences

Definition (Linear recurrence sequence)

A **linear recurrence sequence**, is a sequences whose n th term is the linear combination of the previous k terms (for all $n \geq k$)

Example (Natural numbers interlaced with zeroes)

$a_0 = 1, a_1 = 0, a_2 = 2, a_3 = 0$ with $a_n = 2a_{n-2} - a_{n-4}$

1, 0, 2, 0, 3, 0, 4, 0, 5, 0, 6, 0, 7, 0, 8, 0, 9, 0, 10, 0, 11, 0, 12, 0, 13, 0, 14, 0, 15, 0

Linear recurrence sequences

Definition (Linear recurrence sequence)

A **linear recurrence sequence**, is a sequences whose n th term is the linear combination of the previous k terms (for all $n \geq k$)

Example (Natural numbers interlaced with zeroes)

$a_0 = 1, a_1 = 0, a_2 = 2, a_3 = 0$ with $a_n = 2a_{n-2} - a_{n-4}$

1, 0, 2, 0, 3, 0, 4, 0, 5, 0, 6, 0, 7, 0, 8, 0, 9, 0, 10, 0, 11, 0, 12, 0, 13, 0, 14, 0, 15, 0

not periodic but the zeroes *do* have a regular repeating pattern.

The ultimate question

Question

What possible patterns are there for the zeroes of a linear recurrence sequence?

The ultimate question

Question

What possible patterns are there for the zeroes of a linear recurrence sequence?

Observation

A linear recurrence sequence is the Taylor expansion around 0 of a rational function

$$\frac{a_1 + a_2x + \cdots + a_\ell x^\ell}{b_1 + b_2x + \cdots + b_k x^k}$$

with $b_1 \neq 0$ (so that the expansion makes sense).

Linear recurrence sequences

Example

$$\frac{x}{1 - x - x^2} \leftrightarrow \text{Fibonacci}$$

Linear recurrence sequences

Example

$$\frac{x}{1-x-x^2} \leftrightarrow \text{Fibonacci}$$

$$\frac{1}{1+x+x^2} \cdot \leftrightarrow 1, 0, -1, 1, 0, -1, 1, 0, -1, 1, 0, -1, 1, 0, -1, 1, 0, -$$

Linear recurrence sequences

Example

$$\frac{x}{1-x-x^2} \leftrightarrow \text{Fibonacci}$$

$$\frac{1}{1+x+x^2} \cdot \leftrightarrow 1, 0, -1, 1, 0, -1, 1, 0, -1, 1, 0, -1, 1, 0, -1, 1, 0, -$$

$$\frac{1}{(1-x^2)^2} \cdot \leftrightarrow 1, 0, 2, 0, 3, 0, 4, 0, 5, 0, 6, 0, 7, 0, 8, 0, 9, 0, 10, 0, 11, 0, 12, 0, 13, \dots$$

Linear recurrence sequences

Example

$$\frac{x}{1-x-x^2} \leftrightarrow \text{Fibonacci}$$

$$\frac{1}{1+x+x^2} \cdot \leftrightarrow 1, 0, -1, 1, 0, -1, 1, 0, -1, 1, 0, -1, 1, 0, -1, 1, 0, -$$

$$\frac{1}{(1-x^2)^2} \cdot \leftrightarrow 1, 0, 2, 0, 3, 0, 4, 0, 5, 0, 6, 0, 7, 0, 8, 0, 9, 0, 10, 0, 11, 0, 12, 0, 13, \dots$$

$$\frac{(1+x)^3 - x^3}{(1+x)^5 - x^5} \leftrightarrow 1, -2, 3, -5, 10, -20, 35, -50, 50, 0, -175, 625, \\ -1625, 3625, -7250, 13125, -21250, 29375, -29375, \\ 0, 106250, -384375, 1006250, -2250000, 4500000, \\ -8140625, 13171875, -18203125, 18203125, 0, -65859$$

Observation

The set of all linear recurrence sequences is a vector space! Hard to tell how the rule changes.

Observation

The set of all linear recurrence sequences is a vector space! Hard to tell how the rule changes.

We can always mess up a finite amount of behaviour. So assume a_n has infinitely many zeroes, what is the structure of the zero set?

Linear recurrence sequences

Example

$$\frac{1}{(1-x^2)^2} - (1-x+2x^2+3x^4+4x^6) \leftrightarrow 0, 1, 0, 0, 0, 0, 0, 0, 5, 0, 6, 0, 7, 0, 8, 0,$$

Linear recurrence sequences

Example

$$\frac{1}{(1-x^2)^2} - (1-x+2x^2+3x^4+4x^6) \leftrightarrow 0, 1, 0, 0, 0, 0, 0, 0, 5, 0, 6, 0, 7, 0, 8, 0,$$

Interlacing with 0 and *shifting* correspond to plugging in x^2 and multiplying by x respectively in the rational functions

Linear recurrence sequences

Example

$$\frac{1}{(1-x^2)^2} - (1-x+2x^2+3x^4+4x^6) \leftrightarrow 0, 1, 0, 0, 0, 0, 0, 0, 5, 0, 6, 0, 7, 0, 8, 0,$$

Interlacing with 0 and shifting correspond to plugging in x^2 and multiplying by x respectively in the rational functions

$$\frac{1}{(1-x)^2} \leftrightarrow 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21,$$

Linear recurrence sequences

Example

$$\frac{1}{(1-x^2)^2} - (1-x+2x^2+3x^4+4x^6) \leftrightarrow 0, 1, 0, 0, 0, 0, 0, 0, 5, 0, 6, 0, 7, 0, 8, 0,$$

Interlacing with 0 and shifting correspond to plugging in x^2 and multiplying by x respectively in the rational functions

$$\frac{1}{(1-x)^2} \leftrightarrow 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21,$$

$$\frac{1}{(1-x^2)^2} \leftrightarrow 1, 0, 2, 0, 3, 0, 4, 0, 5, 0, 6, 0, 7, 0, 8, 0, 9, 0, 10, 0, 11, 0, 12, 0, 13,$$

Linear recurrence sequences

$$\frac{1}{(1-x)^2} \leftrightarrow 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, \dots$$

$$\frac{1}{(1-x^2)^2} \leftrightarrow 1, 0, 2, 0, 3, 0, 4, 0, 5, 0, 6, 0, 7, 0, 8, 0, 9, 0, 10, 0, 11, 0, 12, 0, 13, \dots$$

$$\frac{1}{(1-x^4)^2} \leftrightarrow 1, 0, 0, 0, 2, 0, 0, 0, 3, 0, 0, 0, 4, 0, 0, 0, 5, 0, 0, 0, 6, 0, 0, 0, 7, 0, 0, \dots$$

Linear recurrence sequences

$$\frac{1}{(1-x)^2} \leftrightarrow 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, \dots$$

$$\frac{1}{(1-x^2)^2} \leftrightarrow 1, 0, 2, 0, 3, 0, 4, 0, 5, 0, 6, 0, 7, 0, 8, 0, 9, 0, 10, 0, 11, 0, 12, 0, 13, \dots$$

$$\frac{1}{(1-x^4)^2} \leftrightarrow 1, 0, 0, 0, 2, 0, 0, 0, 3, 0, 0, 0, 4, 0, 0, 0, 5, 0, 0, 0, 6, 0, 0, 0, 7, 0, \dots$$

$$\frac{x}{(1-x^4)^2} \leftrightarrow 0, 1, 0, 0, 0, 2, 0, 0, 0, 3, 0, 0, 0, 4, 0, 0, 0, 5, 0, 0, 0, 6, 0, 0, 0, 7, 0, \dots$$

Linear recurrence sequences

$$\frac{1}{(1-x)^2} \leftrightarrow 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, \dots$$

$$\frac{1}{(1-x^2)^2} \leftrightarrow 1, 0, 2, 0, 3, 0, 4, 0, 5, 0, 6, 0, 7, 0, 8, 0, 9, 0, 10, 0, 11, 0, 12, 0, 13, \dots$$

$$\frac{1}{(1-x^4)^2} \leftrightarrow 1, 0, 0, 0, 2, 0, 0, 0, 3, 0, 0, 0, 4, 0, 0, 0, 5, 0, 0, 0, 6, 0, 0, 0, 7, 0, \dots$$

$$\frac{x}{(1-x^4)^2} \leftrightarrow 0, 1, 0, 0, 0, 2, 0, 0, 0, 3, 0, 0, 0, 4, 0, 0, 0, 5, 0, 0, 0, 6, 0, 0, 0, 7, 0, \dots$$

$$\frac{1+2x}{(1-x^4)^2} \leftrightarrow 1, 2, 0, 0, 2, 4, 0, 0, 3, 6, 0, 0, 4, 8, 0, 0, 5, 10, 0, 0, 6, 12, 0, 0, 7, 14, \dots$$

Linear recurrence sequences

$$\frac{1}{(1-x)^2} \leftrightarrow 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, \dots$$

$$\frac{1}{(1-x^2)^2} \leftrightarrow 1, 0, 2, 0, 3, 0, 4, 0, 5, 0, 6, 0, 7, 0, 8, 0, 9, 0, 10, 0, 11, 0, 12, 0, 13, \dots$$

$$\frac{1}{(1-x^4)^2} \leftrightarrow 1, 0, 0, 0, 2, 0, 0, 0, 3, 0, 0, 0, 4, 0, 0, 0, 5, 0, 0, 0, 6, 0, 0, 0, 7, 0, \dots$$

$$\frac{x}{(1-x^4)^2} \leftrightarrow 0, 1, 0, 0, 0, 2, 0, 0, 0, 3, 0, 0, 0, 4, 0, 0, 0, 5, 0, 0, 0, 6, 0, 0, 0, 7, 0, \dots$$

$$\frac{1+2x}{(1-x^4)^2} \leftrightarrow 1, 2, 0, 0, 2, 4, 0, 0, 3, 6, 0, 0, 4, 8, 0, 0, 5, 10, 0, 0, 6, 12, 0, 0, 7, 14, \dots$$

Still has periodic zero set, all n congruent to 2, 3 modulo 4.

Approach

Expand into partial fractions

$$\frac{p(x)}{q(x)} = \sum_{i=1}^m \sum_{j=1}^{n_j} \frac{r_{ij}}{(1 - \alpha_i x)^j}$$

Approach

Expand into partial fractions

$$\frac{p(x)}{q(x)} = \sum_{i=1}^m \sum_{j=1}^{n_j} \frac{r_{ij}}{(1 - \alpha_i x)^j}$$

do some math:

$$\sum_{n=0}^{\infty} \left(\sum_{i=1}^m \sum_{j=1}^{n_j} r_{ij} \binom{n+j-1}{j-1} \alpha_i^n \right) x^n$$

Approach

Expand into partial fractions

$$\frac{p(x)}{q(x)} = \sum_{i=1}^m \sum_{j=1}^{n_j} \frac{r_{ij}}{(1 - \alpha_i x)^j}$$

do some math:

$$\sum_{n=0}^{\infty} \left(\sum_{i=1}^m \sum_{j=1}^{n_j} r_{ij} \binom{n+j-1}{j-1} \alpha_i^n \right) x^n$$

Upshot: there are polynomials $A_i(n)$ such that

$$a_n = \sum_{i=1}^m A_i(n) \alpha_i^n.$$

Like that formula for Fibonacci with the golden ratio in.

Approach

So a_n is an analytic function of n which has zeroes for infinitely many integer values.

So a_n is an analytic function of n which has zeroes for infinitely many integer values.

Like

$$\sin(\pi x)!$$

Approach

So a_n is an analytic function of n which has zeroes for infinitely many integer values.

Like

$$\sin(\pi x)!$$

Ridiculous suggestion

What if the integers were bounded? In that case infinitely many zeroes \implies the function is zero!

Theorem (Ostrowski)

The only absolute values on \mathbb{Q} are

the usual one & $|\cdot|_p$

defined by $|p|_p = \frac{1}{p}$ and $|q|_p = 1$ for all other primes $q \neq p$.

Theorem (Ostrowski)

The only absolute values on \mathbb{Q} are

the usual one & $|\cdot|_p$

defined by $|p|_p = \frac{1}{p}$ and $|q|_p = 1$ for all other primes $q \neq p$.

With $|\cdot|_p$ the integers are bounded!

Theorem (Ostrowski)

The only absolute values on \mathbb{Q} are

the usual one & $|\cdot|_p$

defined by $|p|_p = \frac{1}{p}$ and $|q|_p = 1$ for all other primes $q \neq p$.

With $|\cdot|_p$ the integers are bounded! Are the functions

$$\sum_{i=1}^m A_i(n) \alpha_i^n$$

p -adic analytic functions of n ?

Theorem (Ostrowski)

The only absolute values on \mathbb{Q} are

the usual one & $|\cdot|_p$

defined by $|p|_p = \frac{1}{p}$ and $|q|_p = 1$ for all other primes $q \neq p$.

With $|\cdot|_p$ the integers are bounded! Are the functions

$$\sum_{i=1}^m A_i(n) \alpha_i^n$$

p -adic analytic functions of n ?

Problem

The p -adic exponential function has finite radius of convergence.

Theorem (Ostrowski)

The only absolute values on \mathbb{Q} are

the usual one & $|\cdot|_p$

defined by $|p|_p = \frac{1}{p}$ and $|q|_p = 1$ for all other primes $q \neq p$.

With $|\cdot|_p$ the integers are bounded! Are the functions

$$\sum_{i=1}^m A_i(n) \alpha_i^n$$

p -adic analytic functions of n ?

Problem

The p -adic exponential function has finite radius of convergence.

The fix

Choose p so that $|\alpha_i|_p = 1$ for all i , then $\alpha_i^{p-1} = 1 + \lambda_i$ with $|\lambda_i|_p \leq \frac{1}{p}$. Now $(\alpha_i^{p-1})^n$ is analytic!

Write n as $r + (p - 1)n'$ with $0 \leq r < p - 1$

Write n as $r + (p - 1)n'$ with $0 \leq r < p - 1$, then

$$\begin{aligned} a_n &= \sum_{i=1}^m A_i(n) \alpha_i^n = \sum_{i=1}^m A_i(r + (p - 1)n') \alpha_i^{r + (p-1)n'} \\ &= \sum_{i=1}^m A_i(r + (p - 1)n') \alpha_i^r (\alpha_i^{(p-1)})^{n'} \end{aligned}$$

for each fixed r this function of n' is analytic.

Write n as $r + (p - 1)n'$ with $0 \leq r < p - 1$, then

$$\begin{aligned} a_n &= \sum_{i=1}^m A_i(n) \alpha_i^n = \sum_{i=1}^m A_i(r + (p - 1)n') \alpha_i^{r + (p-1)n'} \\ &= \sum_{i=1}^m A_i(r + (p - 1)n') \alpha_i^r (\alpha_i^{(p-1)})^{n'} \end{aligned}$$

for each fixed r this function of n' is analytic. Infinitely many zeroes for integer n means $\exists r$ with infinitely many zeroes of the form $r + (p - 1)n'$. So the function

$$\sum_{i=1}^m A_i(r + (p - 1)n') \alpha_i^r (\alpha_i^{(p-1)})^{n'}$$

is identically zero, and all these $a_n = 0$ when $n \equiv r \pmod{p - 1}$.

Theorem (Skolem \rightsquigarrow Mahler \rightsquigarrow Lech)

All except finitely many indices of the zeroes of a linear recurrence lie in a finite union of arithmetic progressions, i.e. they are all of the form $nM + b$ for some $b \in B \subset \{0, \dots, M - 1\}$, $n \in \mathbf{N}$.

Theorem (Skolem \rightsquigarrow Mahler \rightsquigarrow Lech)

All except finitely many indicies of the zeroes of a linear recurrence lie in a finite union of arithmetic progressions, i.e. they are all of the form $nM + b$ for some $b \in B \subset \{0, \dots, M - 1\}$, $n \in \mathbf{N}$.

