


UC Berkeley EECS  
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## Computational Structures in Data Science

# Lecture 5: Recursion



February 25, 2019 <http://inst.eecs.berkeley.edu/~cs88>

## Administrative Issues

- Where is Lecture 4? See Last slides.
- Labs are to help you learn the materials, so please make full use of them
- Materials for midterm go through March 4th Lecture.

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## Computational Concepts Toolbox

- Data type: values, literals, operations,
  - e.g., int, float, string
- Expressions, Call expression
- Variables
- Assignment Statement
- Sequences: tuple, list
  - indexing
- Data structures
- Tuple assignment
- Call Expressions
- Function Definition Statement
- Conditional Statement
- Iteration:
  - data-driven (list comprehension)
  - control-driven (for statement)
  - while statement
- Higher Order Functions
  - Functions as Values
  - Functions with functions as argument
  - Assignment of function values
- Higher order function patterns
  - Map, Filter, Reduce
- Function factories – create and return functions

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## Today: Recursion

**re·cur·sion**  
/riˈkærZHən/ ⓘ

**noun** MATHEMATICS LINGUISTICS

the repeated application of a recursive procedure or definition.

- a recursive definition.
- plural noun: recursions

**re·cur·sive**  
/riˈkærsv/ ⓘ

**adjective**

characterized by recurrence or repetition, in particular.

- MATHEMATICS LINGUISTICS relating to or involving the repeated application of a rule, definition, or procedure to successive results.
- COMPUTING relating to or involving a program or routine of which a part requires the application of the whole, so that its explicit interpretation requires in general many successive executions.


- Recursive function calls itself, directly or indirectly

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## Review: Functions

```
def <function name> (<argument list>) :
```

↓ ↓

return 

```
def concat(str1, str2):
    return str1+str2;

concat("Hello", "World")
```

- Generalizes an expression or set of statements to apply to lots of instances of the problem
- A function should *do one thing well*

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## Review: Higher Order Functions

- Functions that operate on functions
- A function

```
def odd(x):
    return x%2

>>> odd(3)
1
```

- A function that takes a function arg

```
def filter(fun, s):
    return [x for x in s if fun(x)]

>>> filter(odd, [0,1,2,3,4,5,6,7])
[1, 3, 5, 7]
```

Why is this not 'odd'?

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## Review Higher Order Functions (cont)

- A function that returns (makes) a function

```
def leq_maker(c):
    def leq(val):
        return val <= c
    return leq

>>> leq_maker(3)
<function leq_maker.<locals>.leq at 0x1019d8c80>

>>> leq_maker(3)(4)
False

>>> filter(leq_maker(3), [0,1,2,3,4,5,6,7])
[0, 1, 2, 3]
>>>
```

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## Review: One more example

- What does this function do?

```
def split_fun(p, s):
    """ Returns <you fill this in>."""
    return [i for i in s if p(i)], [i for i in s if not p(i)]

>>> split_fun(leq_maker(3), [0,1,2,3,4,5,6])
([0, 1, 2, 3], [4, 5, 6])
```

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## Function Review

- A function cannot...

- have a function as argument
- define a function within itself
- return a function
- call itself
- None of the above.



Solution:

E) A, B, C, D are all possible!

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## Function Review

- A Python function can...

- not return a value
- return different values for the same input
- halt the entire program
- change global variables
- All of the above.



Solution:

E) A, B, C, D are all possible!

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## Recall: Iteration

```
def sum_of_squares(n):
    accum = 0
    for i in range(1, n+1):
        accum = accum + i*i
    return accum
```

1. Initialize the "base" case of no iterations

2. Starting value

3. Ending value

4. New loop variable value

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## Recursion Key concepts – by example

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return sum_of_squares(n-1) + n**2
```

1. Test for simple "base" case

2. Solution in simple "base" case

3. Assume recursive solution to simpler problem

4. Transform soln of simpler problem into full soln

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## In words

- The sum of no numbers is zero
- The sum of  $1^2$  through  $n^2$  is the
  - sum of  $1^2$  through  $(n-1)^2$
  - plus  $n^2$

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return sum_of_squares(n-1) + n**2
```

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## Why does it work

```
sum_of_squares(3)

# sum_of_squares(3) => sum_of_squares(2) + 3**2
#                   => sum_of_squares(1) + 2**2 + 3**2
#                   => sum_of_squares(0) + 1**2 + 2**2 + 3**2
#                   => 0 + 1**2 + 2**2 + 3**2 = 14
```

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## How does it work?

- Each recursive call gets its own local variables
  - Just like any other function call
- Computes its result (possibly using additional calls)
  - Just like any other function call
- Returns its result and returns control to its caller
  - Just like any other function call
- The function that is called happens to be itself
  - Called on a simpler problem
  - Eventually bottoms out on the simple base case
- Reason about correctness “by induction”
  - Solve a base case
  - Assuming a solution to a smaller problem, extend it

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## Questions

- In what order do we sum the squares ?
- How does this compare to iterative approach ?

```
def sum_of_squares(n):
    accum = 0
    for i in range(1,n+1):
        accum = accum + i*i
    return accum
```

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return sum_of_squares(n-1) + n**2
```

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return n**2 + sum_of_squares(n-1)
```

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## Tail Recursion

- All the work happens on the way down the recursion
- On the way back up, just return

```
def sum_up_squares(i, n, accum):
    """Sum the squares from i to n in incr. order"""
    if i > n:
        Base Case
    else:
        Tail Recursive Case

>>> sum_up_squares(1,3,0)
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```

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## Local variables

```
def sum_of_squares(n):
    n_squared = n**2
    if n < 1:
        return 0
    else:
        return n_squared + sum_of_squares(n-1)
```

- Each call has its own “frame” of local variables
- What about globals?
- Let’s see the environment diagrams (next lecture)

<https://goo.gl/CiFaUJ>

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## Iteration vs Recursion

### For loop:

```
def sum(n):
    s=0
    for i in range(0,n+1):
        s=s+i
    return s
```

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## Iteration vs Recursion

### While loop:

```
def sum(n):
    s=0
    i=0
    while i<n:
        i=i+1
        s=s+i
    return s
```

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## Iteration vs Recursion

### Recursion:

```
def sum(n):
    if n==0:
        return 0
    return n+sum(n-1)
```

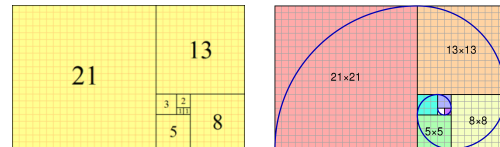
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## For Homework

```
fibonacci(n) = fibonacci(n-1) + fibonacci(n-2)
where fibonacci(1) == fibonacci(0) == 1
```



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## Another Example

```
def first(s):
    """Return the first element in a sequence."""
    return s[0]
def rest(s):
    """Return all elements in a sequence after the first"""
    return s[1:]
```

```
def min_r(s):
    """Return minimum value in a sequence."""
    if Base Case:
        return s[0]
    else:
        return min_r(s[1:])
```

- Recursion over sequence length, rather than number magnitude

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## Visualize its behavior (print)

```
In [104]: def min_r(s):
           print('min_r:', s)
           if len(s) == 1:
               return first(s)
           else:
               result = min(first(s), min_r(rest(s)))
               print('min_r:', s, '=>', result)
               return result
```

```
In [105]: min_r([3,4,2,5,11])
min_r: [3, 4, 2, 5, 11]
min_r: [4, 2, 5, 11]
min_r: [2, 5, 11]
min_r: [5, 11]
min_r: [11]
min_r: [5, 11] => 5
min_r: [2, 5, 11] => 2
min_r: [4, 2, 5, 11] => 2
min_r: [3, 4, 2, 5, 11] => 2
```

- What about sum?
- Don't confuse print with return value

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## Trust ...

- The recursive “leap of faith” works as long as we hit the base case eventually

What happens if we don't?

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## Recursion

- Recursion is...

- A) Less powerful than a for loop
- B) As powerful as a for loop
- C) As powerful as a while loop
- D) More powerful than a while loop
- E) Just different all together



Solution:

C) Any recursion can be formulated as a while loop and any while loop can be formulated as a recursion (with a global variable).

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## Why Recursion?

- “After Abstraction, Recursion is probably the 2<sup>nd</sup> biggest idea in this course”
- “It’s tremendously useful when the problem is self-similar”
- “It’s no more powerful than iteration, but often leads to more concise & better code”
- “It’s more ‘mathematical’”
- “It embodies the beauty and joy of computing”
- ...

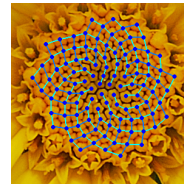
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## Why Recursion? More Reasons

- Recursive structures exist (sometimes hidden) in nature and therefore in data!
- It’s mentally and sometimes computationally more efficient to process recursive structures using recursion.

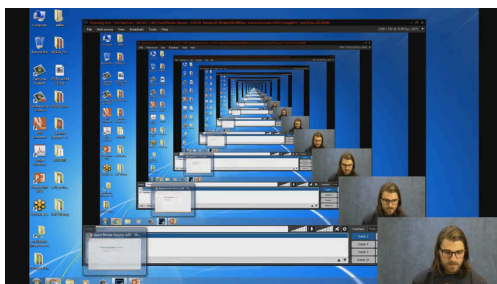


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## Recursion (unwanted)



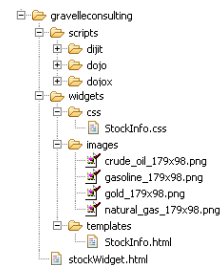
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## Example I

List all items on your hard disk



- Files
- Folders contain
  - Files
  - Folders

Recursion!

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## List Files in Python

```
def listfiles(directory):
    content = [os.path.join(directory, x) for x in os.listdir(directory)]

    dirs = sorted([x for x in content if os.path.isdir(x)])
    files = sorted([x for x in content if os.path.isfile(x)])

    for d in dirs:
        print d
        listfiles(d)

    for f in files:
        print f
```

Iterative version about twice as much code  
and much harder to think about.

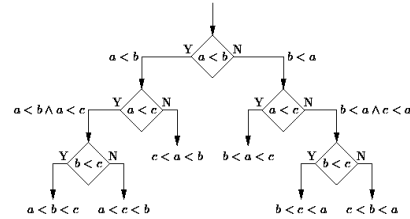
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## Example II

Sort the numbers in a list.



Hidden recursive structure: Decision tree!

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## Computational Concepts Toolbox

- Data type: values, literals, operations,
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- Higher Order Functions
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  - Functions with functions as argument
  - Assignment of function values
- Recursion



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## Answers for the Wandering Mind (Holiday Edition)

- How many answers can be maximally responded to by 20 questions (how much data do I need on my game device)?

Assume a number of answer possibilities  $b$ . This gives  $b^{20}$  possible answer paths. In below device:  $b=4$  ("unknown", "no", "yes", "sometimes") and  $4^{20}=1,099,511,627,776$ .

Even if each questions and each answer was only 1 byte long, the device would have to have peta bytes of memory.



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## Answers for the Wandering Mind (Holiday Edition)

- How can a 20-questions game get away with less?

Different answers lead to the same path (redundancy). For example, making  $b$  effectively 2 (instead of 4) results in only  $2^{20}=1,048,576$  concepts. The 20-volume Oxford English Dictionary only describes 171,476 ( $<4^9$ ) words. Typically, in our every-day life we deal with about 2000-4000 concepts ( $<4^6$ ).

- How can you make a 20 questions game fail?

Pick a new concept "data science" or chose a specialized one from the dictionary!

Q30. I am guessing that it is math?  
Right, Wrong, Close

29. I guessed that it was trigonometry (study of triangles)? Close  
28. Do you use it with a computer? Yes.  
27. Is it used in a sport? No.  
26. Do you use it at night? No.  
25. Is it healthy? No.  
24. I guessed that it was a philosophy? Close.  
23. Was it invented? Yes.  
22. I guessed that it was science? Close.  
21. Do you love it? Yes.  
20. I guessed that it was witchcraft? Wrong.  
19. Is it spontaneous? No.  
18. Do you look at it? No.  
17. I guessed that it was physics? Close.  
16. Would you use it daily? Yes.  
15. Does it contain words? Yes.  
14. Does it have cash value? No.  
13. Can it change size? No.  
12. Does it require specific knowledge to use it? Yes.  
11. Do you know any songs about it? No.  
10. Is it a feeling? No.  
9. Is it an emotion? No.  
8. Is it used for communications? Yes.  
7. Is it round? No.  
6. Is it a nocturnal animal? No.  
5. Could you send it in the mail? No.  
4. Would you find it in an office? No.  
3. Does it make noise? No.  
2. Does it get wet? No.  
1. It is classified as Concept.

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## Thoughts for the Wandering Mind

The computer choses a random element  $x$  of the list generated by `range(0,n)`. What is the smallest amount of iteration/recursion steps the best ever algorithms needs to guess  $x$ ?

How would the algorithm look like?

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