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**2018  
MCM/ICM  
Summary Sheet**

**Summary**

The communication of high frequency wave on sea is essential in our life but the problem about reflection with several hops for turbulent ocean is not solved.

This paper only considers the basic propagation which includes free-space propagation loss, ionosphere reflection loss, reflection surface loss and extra system loss. For every kind of loss, the specific improvements and calculations is described including the improvement of reflection coefficient for vertical and horizontal polarization especially.

For part I, the situation of one hop is discussed firstly in the paper, which uses different calculation model to express the reflection coefficient for calm ocean and turbulent ocean as well as comparing the differences between the results of simulation. After that, the model and algorithm is come out to calculate the number of hop with assumed parameters.

For part II, according to the characteristic of smooth terrain and rugged terrain, the method to calculating the coefficient is modified while the other models is the same as for part I. Then the difference between four kinds of reflection surface is analyzed by the results of calculation and the relation curve.

For part III, in order to deal with the angle after diffuse reflection, modified Markov process with first-order self-regression model is used in this paper and the relation between SNR and hop, the angle of emission, the frequency as well as power of wave is analyzed detailedly by the results of simulation using modified Markov process with first-order self-regression model. Moreover, the measures to enhance the communication on turbulent ocean is come out and the optimal frequency of wave, the angle of emission are given in the results

Finally, the strengths and weaknesses of these models in this paper is discussed .

## Synopsis

High frequencies(HF, defined as 3-30 MHz) radio waves can travel long distance by multiple reflections off the ionosphere and off the earth with successive hop. The transmission attenuation of radio wave is an essential problem in engineering when facing different kinds of reflective surface and change of the ionosphere. This paper is focus on the research of characteristics of reflective surface on ocean and terrain with the ionosphere is even distributed and gives the specific analysis and conclusion.

Firstly, there are various factors which influence the attenuation in the transmission process from transmitter to receiver. Considering that the given problem, the basic transmission which includes free-space propagation loss, ionosphere reflection loss, reflection surface loss and extra system loss is analyzed detailedly while the others is ignored. For every kind of loss, the specific improvements and calculations is described in the paper including the improvement of reflection coefficient for vertical and horizontal polarization especially. In fact, the situation on the reflective surface is the most important problem in view of the research topic, and that's why the complex improvements of reflective coefficient, Rayleigh criterion and diffuse reflection are analyzed thoroughly in this article.

For the condition on calm ocean and turbulent ocean with one hop, the coefficient can be modified with the diffuse reflection factor which is relevant to the characteristics of surface. Generally, the calculation of diffuse reflection factor can be found by real data. In this paper, the calculation functions which denote the character of surface such as soil and sea water is cited from other researches. Conclusion can be drawn that for one hop, the attenuation on turbulent ocean is larger than that on calm ocean from the results of simulation using the previous calculation model and function, which is also estimated empirically to be correct. As for the successive hop on the calm ocean, the situation can be taken as specular reflection and thus the angle of emission of each hop will not change. By assuming parameters and controlling the effective distance of radio wave, original angle being changed with effective distance, the maximum hop is 2 which is easily seen from the given curve in the paper.

When dealing with smooth and rugged terrain, the calculation model is almost the same except the modified coefficient function which is also got from the results of other researches. Then by comparing the four kinds of reflective surface using the data from simulation with the same parameters, conclusion is that:  
with the increase of frequency,

$$SNR_{rugged\ terrain} \lesssim SNR_{turbulent\ ocean} \lesssim SNR_{smooth\ terrain} \lesssim SNR_{calm\ ocean}$$

when the angle of emission and the power of electromagnetic wave is constant.  
with the increase of the angle of emission,

$$SNR_{turbulent\ ocean} \lesssim SNR_{rugged\ terrain} \lesssim SNR_{smooth\ terrain} \lesssim SNR_{calm\ ocean}$$

when the power and frequency of electromagnetic wave is constant.

As for the successive hop on turbulent ocean, the angle of elevation will change after each hop. And because of the diffuse reflection is hard to predict, the angle will change randomly. Therefore, the calculation model on calm ocean for n hops is no longer effective for this problem. A new model, modified Markov process with first-order self-regression, is come out in this paper. The angle of elevation after each hop can be got

by the last angle combining the transfer probability and random factor in this new model, which can describe the characteristics of turbulent ocean that wave height, shapes, and frequencies change rapidly as well as the direction of wave being changed.

By analyzing the relation between SNR and the original angle of emission, the frequency as well as power of electromagnetic wave respectively through the data of simulation while controlling other parameters and variables, conclusion can be drawn that:

- the larger original angle of transmission is, the more stable electromagnetic wave will be after the same times of diffuse reflection;
- the larger frequency of electromagnetic wave is, the more stable and more strong electromagnetic wave will be;
- the larger frequency of electromagnetic wave is, the more stable and more strong electromagnetic wave will be.

According to relation curve between the SNR and other factors, there is an optimal problem for increasing the time to remain in communication, which is  $15\text{ MHz}$ ,  $60^\circ$  except the power of electromagnetic wave.

**Keywords:** Modified reflection coefficient ; SNR ; Successive hop ;

**Modified Markov process ; first-order self-regression**

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# 1 Introduction and assumption

## 1.1 Introduction

High frequencies(HF, defined as 3-30 MHz) radio waves can travel long distance by multiple reflections off the ionosphere and off the earth with successive hop. The transmission attenuation of radio wave is an essential problem in engineering when facing different kinds of reflective surface and change of the ionosphere. This paper is focus on the research of characteristics of reflective surface on ocean and terrain with the ionosphere is even distributed and gives the specific analysis and conclusion.

## 1.2 Assumptions

In order to simplify the difficulty of the problem compared with real life, there are several assumptions for the convenient analysis of calculation model.

- (a) The earth is considered as a ball
- (b) The distribution of ionosphere and stratosphere is even.
- (c) The refraction of electromagnetic wave in the stratosphere and the transmit of wave in atmosphere are ignored
- (d) The electromagnetic wave is circularly polarized waves.
- (e) The height of transmitter and receiver is ignored.
- (f) The gains of transmitter and receiver are 1.
- (f) The change of ionosphere with seasons, weather, and other factors is ignored.

# 2 Propagation attenuation calculation model

In the whole transmission process of HF wave from transmitter to receiver, there are all kinds of attenuation reasons which cause the loss of signal strength.

However, considering that this problem focuses on the basic propagation loss<sup>[1]</sup> in space, the free-space propagation loss ,ionosphere absorption loss ,reflecting surface loss and extra system loss are taken into account and other factors are ignored in the analysis of propagation attenuation.

$$L_b = L_{fr} + L_i + L_s + L_e \quad (1)$$

where,  $L_b$  is the basic transmission loss,  $L_{bf}$  is free-space propagation loss,  $L_i$  is the ionosphere absorption loss,  $L_s$  is the reflecting surface loss,  $L_e$  is extra extra system loss and their unit is dB.

The differences of absolute signal strength and relative signal strength, the calculation of 4 kinds of basic propagation loss as well as the calculation of usable signal-to-noise ratio(SNR) are in the following analysis

## 2.1 Relative signal strength and absolute signal strength

The unit of the relative signal strength is dB which only indicate the relative size of different powers. The calculation is given by<sup>[2]</sup>:

$$L_1 = 10 \lg \frac{P_1}{P_2} \quad (2)$$

where,  $L_1$  is the relative signal strength,  $P_1$  and  $P_2$  are the power of two waves. Taking an example to explain the meaning of the  $L$ , if the power of wave  $\alpha_1$  is  $100W$  and  $\alpha_2$  is  $50W$ , then  $L_1 = 10 \lg 2 = 3 \text{ dB}$ , which means the strength of  $\alpha_1$  is 3 dB higher than  $\alpha_2$ .

The unit of the absolute signal strength is dBm which describes the absolute scalar of power compared with  $1mW$ . The calculation is given by<sup>[2]</sup>:

$$L_2 = 10 \lg \frac{P}{1mW} \quad (3)$$

where,  $L_2$  is the absolute signal strength,  $P$  is the power of a specific wave. If the power of wave  $\alpha_1$  is  $100W$ , then  $L_2 = 10 \lg 100000 = 50 \text{ dBm}$ .

The relation of relative signal strength and absolute signal strength is as follows:

$$L_1 = L'_2 - L''_2 \quad (4)$$

## 2.2 Free-space propagation loss

As the distance increases, the energy of HF wave diffuses to large sphere in the transmission process, which causes the decline of power flow density, resulting in the free-space propagation loss. And free-space propagation loss is the most important factor for transmission attenuation.

According to the Frii Free-space Equation, if the electromagnetic is spherical waves, the power of receiver is expressed as<sup>[3]</sup>:

$$P_r(d) = \frac{P_t G_t G_r \lambda^2}{(4\pi)^2 r^2 L} \quad (5)$$

where,  $P_t$  is the power of transmitter,  $G_t$   $G_r$  are the antenna gains of transmitter and receiver respectively,  $\lambda$  is the wavelength of electromagnetic wave,  $r$  is the effective transmission distance,  $L$  is the factor of the extra system loss which is irrelevant with the process of transmission.

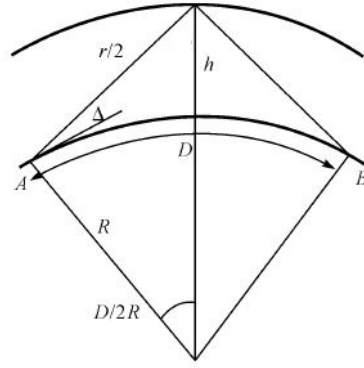
Further, the loss of free-space transmission is as follows:

$$P_{loss} = 10 \lg P_t / P_r = -10 \lg \frac{G_t G_r \lambda^2}{(4\pi)^2 r^2 L} \quad (6)$$

With  $\lambda = c/f$ , the final calculation expression after simplification is given by:

$$L_{fr} = 32.44 + 20 \lg f + 20 \lg r \quad (7)$$

where,  $f$  is the frequency of electromagnetic wave and unit is MHz,  $r$  is the effective transmission path of electromagnetic wave and unit is Km.

Figure 1: The explanation of one hop<sup>[4]</sup>

As can be seen in Figure, according to the Cosine theorem and Sine theorem

$$\left(\frac{r}{2}\right)^2 = R^2 + (R + h)^2 - 2R(R + h) \cos \frac{D}{2R} \quad (8)$$

$$\Delta = \frac{\pi}{2} - \arcsin\left(\frac{R + h}{r} \sin \frac{D}{2R}\right) \quad (9)$$

where  $D$  is denoted as the length of  $\widehat{AB}$ , the below Formula describes the calculation method,  $\Delta$  is the angle of emission.

$$D = R \arccos c \quad (10)$$

$$c = \sin(\text{Lat}A) \sin(\text{Lat}B) + \cos(\text{Lat}A) \cos(\text{Lat}B) \cos(\text{Lon}A - \text{Lon}B) \quad (11)$$

where,  $\text{Lat}A$  is the latitude of A,  $\text{Lon}A$  is the longitude of A,  $\text{Lat}B$  is the latitude of B,  $\text{Lon}B$  is the longitude of B.

### 2.3 Ionosphere absorption loss

when electromagnetic wave transmits cross the ionosphere, the part energy of wave is absorbed, causing ionosphere absorption loss which is second important factor for propagation attenuation. The empirical calculation formula is as follows<sup>[1]</sup>.

$$L_i = I \frac{677.2 \sec \phi}{(f + f_H)^{1.98} + 10.2} \quad (12)$$

where,  $f$  is the frequency of electromagnetic wave,  $f_H$  is the magnetic rotation frequency at the height of  $H$ ,  $I$  is absorption coefficient and  $\phi$  is electromagnetic wave incident angle. Generally,  $f_H$  can be got by searching the information about the latitude of the receiver and the unit is MHz.

The formulas of  $I$  and  $\phi$  are described as follows<sup>[1]</sup>:

$$I = (1 + 0.0037 \bar{R}_{12})(\cos 0.081x)^{1.3} \quad (13)$$

$$\phi = \arcsin(0.985 \cos \delta) \quad (14)$$

where,  $\bar{R}_{12}$  is 12 months moving average sunspot and  $x$  is the top angle of the sun which both of them need to check the related data in geography. The following table<sup>[5]</sup> is about the 12 months moving average sunspot.

	January	February	March	April	May	June	July	August	September	October	November	December	Average
2009	1.76	1.92	2.19	3.02	3.73	4.29	4.88	5.47	6.15	7.00	8.19	9.75	4.86
2010	11.81	14.56	17.35	19.78	22.69	27.02	32.13	36.83	40.60	43.71	45.97	47.52	30.00
2011	49.11	50.88	52.99	55.52	57.67	58.03	56.58	54.81	53.98	54.37	55.74	57.58	54.77
2012	59.42	61.08	62.60	64.25	67.05	71.47	77.70	85.43	91.71	95.19	98.39	102.39	78.06
2013	104.77	104.74	103.99	102.85	100.25	96.92	94.22	90.98	88.01	86.87	85.09	81.15	94.99
2014	77.99	77.28	76.76	75.93	76.76	77.96	76.48	73.67	71.42	68.82	66.03	64.27	73.61
2015	63.51	62.40	60.90	58.26	53.81	48.94	44.95	41.96	40.05	39.23	38.37	37.18	49.13
2016	35.25	33.35	31.53	31.06	31.05	30.91	31.31	31.22	29.86	28.02	26.83	25.92	30.55
2017	25.01	25.07	25.62	24.93	23.18	21.17	19.07	17.46	16.39	15.57	14.74	14.08	20.19
2018	12.99	10.84	8.28	6.95	6.69	6.52	6.24	6.05	6.26	6.55	6.49	6.00	7.49
2019	5.61	5.65	5.81	7.59	7.59	9.04	10.68	12.19	13.38	14.45	15.55	16.51	10.24

## 2.4 Reflecting surface loss

Surface can be divided into smooth surface and rough surface according to the roughness degree of reflective surface. On a smooth flat surface, the specular reflection is dominant. On a rough surface, diffuse reflection is dominant. The detailed analysis of smooth surface and rough surface is in the following text.

### 2.4.1 smooth surface reflection

When the reflection surface is smooth, the oblique incident wave can be divided into 2 circumstances. One is horizontal polarization which means the electric field vector is located in the plane of incidence. And the other is vertical polarization, the electric field vector being perpendicular to the incident surface. Our analysis about these two conditions are as follow.

#### (a). vertical polarization

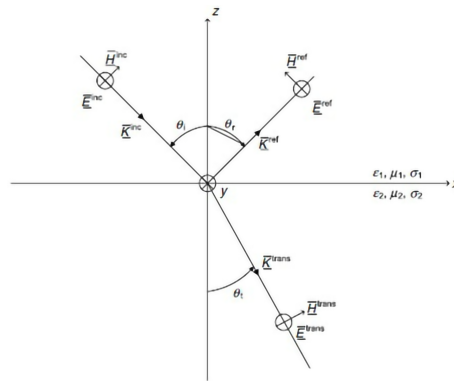


Figure 2: vertical polarization

The incident, reflected and transmitted wave vector in the coordinate system when the polarization is vertical are denoted as

$$k^{inc} = \hat{x}k_x^{inc} - \hat{z}k_z^{inc} = \omega\sqrt{u_1\epsilon_1^e}(\hat{x}\sin\theta_i - \hat{z}\cos\theta_i) \quad (15)$$

$$k^{ref} = \hat{x}k_x^{ref} + \hat{z}k_z^{ref} = \omega\sqrt{u_1\epsilon_1^e}(\hat{x}\sin\theta_r + \hat{z}\cos\theta_r) \quad (16)$$



$$k^{trans} = \hat{x}k_x^{trans} - \hat{z}k_z^{trans} = \omega\sqrt{u_2\varepsilon_2}(\hat{x}\sin\theta_t - \hat{z}\cos\theta_t) \quad (17)$$

In the meantime, the incident, reflected and transmitted wave electric fields are expressed as

$$E^{inc} = \hat{y}E_{0,\perp}e^{-jk_{inc}*\Gamma} \quad (18)$$

$$E^{ref} = \hat{y}\Gamma_{\perp}E_{0,\perp}e^{-jk_{ref}*\Gamma} \quad (19)$$

$$E^{trans} = \hat{y}T_{\perp}E_{0,\perp}e^{-jk_{trans}*\Gamma} \quad (20)$$

The total electric field in both media is denoted respectively as

$$E_1 = E^{inc} + E^{ref} \quad (21)$$

$$E_2 = E^{trans} \quad (22)$$

By the relation

$$H = \frac{1}{\omega\varepsilon}k \times E \quad (23)$$

Therefore,  $H^{inc}$ ,  $H^{ref}$  and  $H^{trans}$  can be expressed respectively as

$$H^{inc} = \frac{\hat{x}k_z^{inc} + \hat{z}k_x^{inc}}{\omega u_1}E_{0,\perp}e^{-jk_{inc}*\Gamma} \quad (24)$$

$$H^{ref} = \frac{-\hat{x}k_z^{inc} + \hat{z}k_x^{inc}}{\omega u_1}\Gamma_{\perp}E_{0,\perp}e^{-jk_{ref}*\Gamma} \quad (25)$$

$$H^{trans} = \frac{\hat{x}k_z^{trans} + \hat{z}k_x^{inc}}{\omega u_2}T_{\perp}E_{0,\perp}e^{-jk_{trans}*\Gamma} \quad (26)$$

The total magnetic field in both media is denoted respectively as

$$H_1 = H^{inc} + H^{ref} \quad (27)$$

$$H_2 = H^{trans} \quad (28)$$

Then applying the boundry condition at  $z = 0$ , equations are as follows

$$1 + \Gamma_{\perp} = T_{\perp} \quad (29)$$

$$(1 - \Gamma_{\perp})\frac{k_z^{inc}}{\omega u_1} = T_{\perp}\frac{k_z^{trans}}{\omega u_2} \quad (30)$$

By solving above equations, the vetical polarization reflection coefficient can be got finally.

$$\Gamma_{\perp} = \frac{u_2k_z^{inc} - u_1k_z^{trans}}{u_2k_z^{inc} + u_1k_z^{trans}} \quad (31)$$

$$T_{\perp} = \frac{2u_2k_z^{inc}}{u_2k_z^{inc} + u_1k_z^{trans}} \quad (32)$$

## (b). horizontal polarization

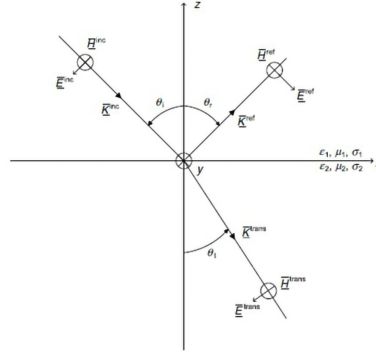


Figure 3: horizontal polarization

$H_{||}^{inc}$ ,  $H_{||}^{ref}$  and  $H_{||}^{trans}$  in the coordinate system when the polarization is horizontal can be expressed as

$$H_{||}^{inc} = \hat{y} \frac{E_{0,||}}{\eta_1} e^{-jk_{inc} \Gamma} \quad (33)$$

$$H_{||}^{ref} = \hat{y} \frac{\Gamma_{||} E_{0,||}}{\eta_1} e^{-jk_{ref} \Gamma} \quad (34)$$

$$H_{||}^{trans} = \hat{y} \frac{T_{||} E_{0,||}}{\eta_2} e^{-jk_{trans} \Gamma} \quad (35)$$

The total magnetic field in both media is denoted respectively as

$$H_1 = H_{||}^{inc} + H_{||}^{ref} \quad (36)$$

$$H_2 = H_{||}^{trans} \quad (37)$$

By the relation

$$E = -\frac{1}{\omega \epsilon} k \times H \quad (38)$$

Therefore,  $E^{inc}$ ,  $E^{ref}$  and  $E^{trans}$  in the coordinate system can be expressed as

$$E^{inc} = \frac{-\hat{x} k_z^{inc} - \hat{z} k_x^{inc}}{k_1} E_{0,||} e^{-jk_{inc} \Gamma} \quad (39)$$

$$E^{ref} = \frac{\hat{x} k_z^{inc} - \hat{z} k_x^{inc}}{k_1} \Gamma_{||} E_{0,||} e^{-jk_{ref} \Gamma} \quad (40)$$

$$E^{trans} = \frac{-\hat{x} k_z^{trans} - \hat{z} k_x^{trans}}{k_2} T_{||} E_{0,||} e^{-jk_{trans} \Gamma} \quad (41)$$

The total electric field in both media is denoted respectively as

$$E_1 = E^{inc} + E^{ref} \quad (42)$$

$$E_2 = E^{trans} \quad (43)$$

Applying the boundary condition at  $z = 0$ , equations are as follows.

$$1 + \Gamma_{||} = T_{||} \frac{\eta_1}{\eta_2} \quad (44)$$

$$(1 - \Gamma_{||}) \frac{k_z^{inc}}{k_1} = T_{||} \frac{k_z^{trans}}{k_2} \quad (45)$$

By solving the above equations, the horizontal polarization reflection coefficient can be got finally.

$$\Gamma_{||} = \frac{\varepsilon_2^e k_z^{inc} - \varepsilon_1^e k_z^{trans}}{\varepsilon_2^e k_z^{inc} + \varepsilon_1^e k_z^{trans}} \quad (46)$$

$$T_{||} = \frac{2\varepsilon_2^e k_z^{inc} \eta_2}{(\varepsilon_2^e k_z^{inc} + \varepsilon_1^e k_z^{trans}) \eta_1} \quad (47)$$

### (c). the reflection coefficient of the surface

If the reflection surface is non-magnetic media, then  $u_1 = u_2$ . Considering the relationship in the coordinate system

$$k_z^{inc} = \omega \sqrt{u_1 \varepsilon_1^e} \cos \theta_i \quad (48)$$

$$k_z^{trans} = \omega \sqrt{u_2 \varepsilon_2^e - u_1 \varepsilon_1^e \sin^2 \theta_i} \quad (49)$$

The reflection coefficients of vertical and horizontal polarization on the smooth surface are expressed as follows.

$$\Gamma_{\perp} = \frac{\cos \theta_i - \sqrt{\varepsilon_2^e / \varepsilon_1^e - \sin^2 \theta_i}}{\cos \theta_i + \sqrt{\varepsilon_2^e / \varepsilon_1^e - \sin^2 \theta_i}} \quad (50)$$

$$\Gamma_{||} = \frac{\varepsilon_2^e / \varepsilon_1^e \cos \theta_i - \sqrt{\varepsilon_2^e / \varepsilon_1^e - \sin^2 \theta_i}}{\varepsilon_2^e / \varepsilon_1^e \cos \theta_i + \sqrt{\varepsilon_2^e / \varepsilon_1^e - \sin^2 \theta_i}} \quad (51)$$

Because of the assumption that incident electromagnetic wave is the circularly polarized wave, the scalars of vertical and horizontal polarization are equal. Therefore, the formula of reflection coefficient is as follows.

$$\rho_0 = \frac{|\Gamma_{\perp}|^2 + |\Gamma_{||}|^2}{2} \quad (52)$$

where  $\rho_0$  is the reflection coefficient of smooth surface.

Then, the reflection loss on the smooth surface is described in Formula and unit is dB.

$$L_s = -10 \lg \rho_0 \quad (53)$$

### 2.4.2 Rayleigh Criterion

Actually, there is no absolutely smooth surface in reality. In order to judge the relative flatness of the surface, Rayleigh came out a criterion. As can be seen in Figure 4, there is a beam of parallel electromagnetic casting to the rugged ground. Ray ① reflects at the

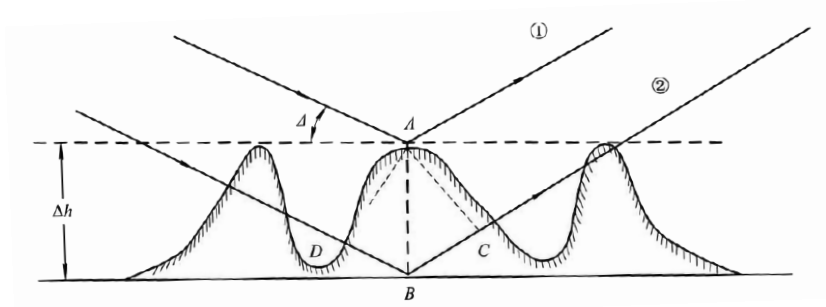


Figure 4: The reflection on rough surface

point A and Ray ② reflects at the point B. Because of the different propagation path of the two rays, there is a phase difference when they arrive at the receiving point, which are described respectively as follows.

$$\Delta r = 2\Delta h \sin \Delta \quad (54)$$

$$\Delta \psi = \frac{2\pi}{\lambda} \Delta r = 4\pi \Delta h \frac{\sin \Delta}{\lambda} \quad (55)$$

where,  $\lambda$  is the wavelength,  $h$  is the undulating wave height difference,  $\Delta r$  is the path difference,  $\Delta \psi$  is the phase difference and generally the reflection surface is thought to be smooth if  $\Delta \psi \leq \pi/2$  which corresponds the below inequality<sup>[6]</sup> as well as Rayleigh Criterion.

$$\Delta h_{max} \leq \frac{\lambda}{8 \sin \Delta} \quad (56)$$

When the undulating wave height difference satisfies Rayleigh Criterion, it is generally considered the surface is smooth and produces specular reflection only.

### 2.4.3 rough surface reflection

When the surface is so rough that Rayleigh Criterion can not be met, the power reflected to antenna from is mainly contributed by diffuse reflection.

For the rough surface, equivalent coefficient reflection is defined as<sup>[7]</sup>

$$\rho_r = \epsilon \nu \gamma \rho_0 \quad (57)$$

where,  $\epsilon$  is the diffusion factor,  $\nu$  is reduction factor,  $\gamma$  is the antenna directional suppression factor. Generally,  $\rho_r$  is calculated by empirical function according to the specific surface and the roughness.

Then, the reflection loss on the rugged surface is as follows and unit is dB.

$$L_s = -10 \lg \rho_r \quad (58)$$

## 2.5 Extra system loss

Extra system loss includes offset absorption, additional loss in the ionosphere E, polarization loss and so on. It's almost a function of time in a day which can be searched in the following table<sup>[1]</sup>.

## 2.6 Signal-to-noise ratio

In the classical calculation model, the electric field at the receiver can be calculated by:

$$E_t = 137.2 + 20 \lg f + 10 \lg P + G_t - L_b \quad (59)$$

where, the unit of  $E_t$  is dB,  $f$  is the frequency of electromagnetic waves and unit is MHz,  $P$  is the transmit power of transmitter and unit is kW,  $G_t$  is antenna radiation direction gain and unit is dB,  $L_b$  is the propagation loss of waves and unit is dB.

Atmospheric noise is the main noise source for the transmission of electromagnetic waves on the sea. The electric field of atmospheric noise can be calculated by:

$$E_n = F_a + 10 \lg B + 20 \lg f - 96.8 \quad (60)$$

where, the unit of  $E_n$  is dB,  $F_a$  is the effective coefficient of atmospheric radio noise and unit is dB,  $B$  is the effective noise bandwidth of receiver and unit is Hz,  $f$  is the frequency of electromagnetic waves and unit is MHz.

According to the Formula, the calculation of signal-to-noise ratio for electromagnetic waves on the sea is as follows:

$$SNR_t = 202.56 + 10 \lg P_t - 20 \lg f - 20 \lg r - 10 \lg B - L_i - L_e - F_a \quad (61)$$

## 3 Analysis and Simulation of Part I

This section is divided into three parts which are analysis of one hop and analysis of  $n$  hops on calm ocean in the following article.

### 3.1 Analysis of one hop

#### 3.1.1 calm ocean surface analysis

Specular Reflection is dominant when the ocean is calm, therefore the reflection coefficient of smooth surface can be used in this condition. According to the Formula, the coefficients of vertical and horizontal polarization after equivalent change,  $\sin^2 \theta_i + \cos^2 \theta_i = 1$ , can be expressed respectively as:

$$\Gamma_{\perp} = \frac{\varepsilon \sin \theta_i - \sqrt{\varepsilon - \cos^2 \theta_i}}{\varepsilon \sin \theta_i + \sqrt{\varepsilon - \cos^2 \theta_i}} \quad (62)$$

Table 1: Extra system loss with the time

The local time of transmitter	extra system loss (dB)
22-04	18
04-10	16.6
10-16	15.4
16-22	16.6

$$\Gamma_{||} = \frac{\sin \theta_i - \sqrt{\varepsilon - \cos^2 \theta_i}}{\sin \theta_i + \sqrt{\varepsilon - \cos^2 \theta_i}} \quad (63)$$

where,  $\varepsilon$  is the complex permittivity. The specific caculation expressions of  $\varepsilon$  for calm water are as follows<sup>[6]</sup>:

$$\varepsilon = \varepsilon' - i\varepsilon'' \quad (64)$$

$$\varepsilon' = \varepsilon_{ir} + \frac{\varepsilon_s - \varepsilon_{ir}}{1 + (2\pi f\tau)^2} \quad (65)$$

$$\varepsilon'' = \frac{2\pi f\tau(\varepsilon_s - \varepsilon_{ir})}{1 + (2\pi f\tau)^2} + \frac{\sigma_s}{2\pi f\varepsilon_0} \quad (66)$$

where,  $f$  is the frequency of electromagnetic wave,  $\varepsilon_0$  is the permittivity of free space and  $\varepsilon_0 = 8.854 \times 10^{-12} F/m$ ,  $\varepsilon_{ir}$  is the sea water dielectric permittivity and  $\varepsilon_{ir} = 4.9$ ,  $\tau$  is the relaxation time of sea water,  $\sigma_s$  and  $\varepsilon_s$  are the ionic conductivity and the static permittivity of the ocean, respectively.

### 3.1.2 simulated calculation on calm ocean

Considering the problem ignores a lot of information, some relevent parameters are given by the Internet and some are based on The details for one hop(from the transmitter to the sea surface for the first time) are as follows.

In calculation process of the reflection loss of calm ocean for the 100-watt wave, the freuency  $f$  is taken as  $30MHz$ ,  $\varepsilon = 70$  and  $\sigma_s = 5$ , then the reflection coefficient of calm surface  $\rho_0 = 0.9091$ , therefore the reflection loss  $L_c$  is  $0.8281dB$ .

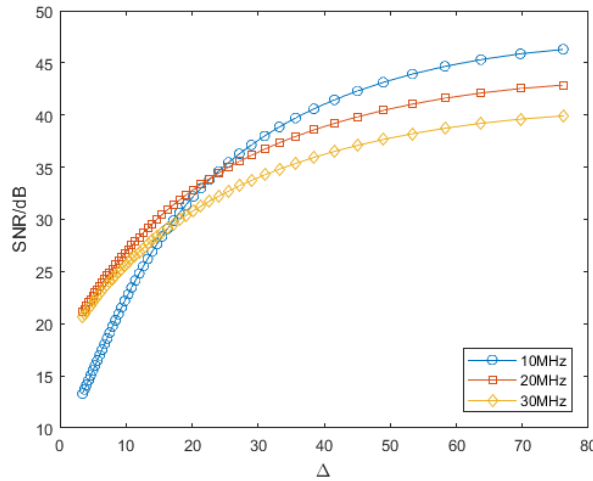
As for the calculation process of ionosphere absorption loss, the frequency of electromagnetic waves  $f$  is taken as  $30MHz$ , the magnetic rotation frequency at the height of  $210Km$  is taken as  $1.4MHz$ , 12 months moving average sunspot  $R_{12}$  is 749 and the top angle of the sun  $x$  is  $43.8948^\circ$ , then the ionosphere absorption loss  $L_i$  is  $2.5232dB$  by Formular.

When calculating the free-space transmission loss, the frequency  $f$  is taken as  $30MHz$ , the distance  $D$  between A and B is taken as  $2000Km$ , then the angle  $\Delta$  is  $7.1505^\circ$  and effective transmission length  $r$  is  $2073.6Km$  for one hop by Formular, therefore the free-space transmission loss  $L_{fr}$  is  $128.3269dB$ .

The extra system loss  $L_e$  is taken as  $16.6dB$  by Figure .

After the above calculation, the basic propagation attenuation  $L_b$  is  $148.2782dB$ , absolute strength of wave and SNR at the receiver is  $-98.278dBm$ ,  $23.721dB$  respectively while  $F_a$  is taken as 30 and  $F_h$  is  $1.4MHz$ .

Figure 5 shows the relation curve between the SNR and the angle of emission  $\Delta$  in the condtion that the frequency of wave is  $10MHz$  for one hop,  $20MHz$  and  $30MHz$  repectively. As can be seen in this fugure, the higher frequency is, the larger SNR will be when the angle  $\Delta$  is small. However, the oppisite conclusion will be drawn when the angle gets larger. And this phenomenon meets the empirical data in our life .

Figure 5: the relation curve between the SNR and  $\Delta$ 

### 3.1.3 turbulent ocean surface analysis

When the ocean is turbulent, the diffuse reflection is dominant and the calculation method has to make some change which is in the following formula.

$$\rho_r = \rho_0 \rho_d \quad (67)$$

where,  $\rho_0$  is the reflection coefficient of smooth surface,  $\rho_d$  is diffuse reflection factor which is the function of angle  $\Delta$  and mean square wave height  $\sigma_h$  and the length of electromagnetic wave  $\lambda$ . The approximation of diffuse reflection factor are got through research<sup>[8]</sup>:

$$\rho_d = \frac{1}{\sqrt{3.2g - 2 + \sqrt{(3.2g)^2 - 7g + 9}}} \quad (68)$$

where,

$$g = 0.5(4\pi\sigma_h\lambda\sin\Delta)^2 \quad (69)$$

$$\sigma_h = 0.0051v^2 \quad (70)$$

where,  $v$  is the power of wind and formula is given by the unit is  $m/s$ . The induction of Formula 68 is from Rayleigh criterion and relevant theory.

### 3.1.4 simulated calculation on turbulent surface

The ionosphere absorption loss, free-space transmission loss and The extra system loss is almost the same as the section 4.1.2. The only difference is the calculation of the reflection loss

In calculation process of the reflection loss of turbulent ocean for the 100-watt wave, the frequency  $f$  is taken as  $30MHz$ ,  $v$  is taken as  $12.25m/s$ ,  $\rho_d$  is  $0.5732$ , then the reflection coefficient of turbulent surface  $\rho_0 = 0.5291$ , therefore the reflection loss  $L_c$  is  $5.6656dB$ .

After the above calculation, the basic propagation attenuation  $L_b$  is  $153.1117dB$ , absolute strength of wave and SNR at the receiver is  $-103.1117dBm$ ,  $18.888dB$  respectively while  $F_a$  is taken as  $30$  and  $F_h$  is  $1.4MHz$ .

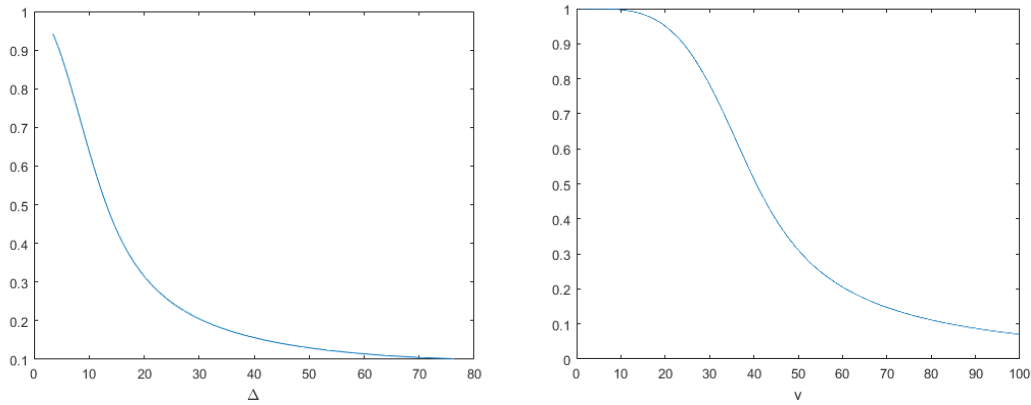


Figure 6: the relation curve of  $\rho_d$  and  $\Delta$  (left),  $\rho_d$  and  $v$  (right)

Figure 6(left) shows the change of the diffuse reflection factor with the angle of elevation  $\Delta$  in the condition of turbulent ocean when the effective transmission distance  $D$  is  $2000Km$  for one hop. In this figure, with the angle increases, the diffuse reflection factor decreases rapidly at first but decreases slowly when the angle gets larger.

Figure 6(right) shows the relation curve of the diffuse reflection factor and the power of wind. The slope of this curve changes differently along with the increase of wind, which is very special.

### 3.1.5 comparsion between calm and turbulent ocean

From the data got from section 4.1.2 and 4.1.4 for one hop, the absolute strength of reflection wave about the calm and turbulent ocean is  $-98.278dBm$  and  $-103.1117dBm$  respectively, which tells that that disturb of the poor tepareture will decrease about  $5dB$  when the frequency of wave is  $30MHz$ .

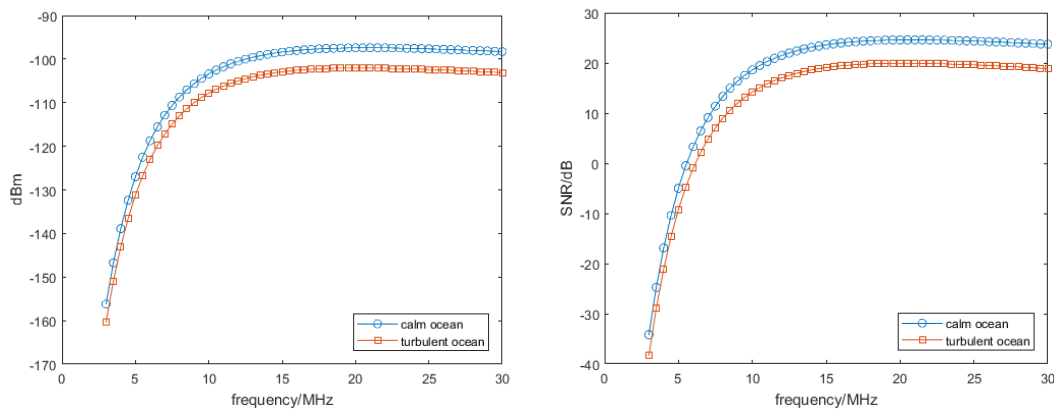


Figure 7: the relation curve of  $L_2$  and  $f$  (left),  $SNR$  and  $f$  (right)

Figure 7(left) shows the change of the absolute strength of wave with different frequency in the condition of calm and turbulent ocean when the effective transmission distance  $D$  is  $2000Km$  for one hop. In this figure, with the frequency in increases, the ab-



solute strength of wave increases rapidly at first and almost becomes a constant finally. Moreover, the absolute on turbulent ocean is always lower than calm ocean.

Figure 7(right) shows the relation curve of SNR and the frequency of waves, the same conclusion as the figure can be drawn.

### 3.2 Analysis of n hops on calm ocean

For the situation that the electromagnetic wave takes n hop on a calm ocean, the method is by contralling the number of  $D$  and to find the limit. The all parameters of simulation is as same as section 4.1.2 and results are in the following article.

The max number of n is 11 while the SNR of 12 hops is smaller than 10 dB. And For 11 hops, the reflection loss  $L_c$  is 2.5049dB and the ionosphere absorption loss  $L_i$  is 6.4208dB. When calculating the free-space transmission loss, the angle  $\Delta$  is  $68.8386^\circ$  and effective transmission length  $r$  is 5047.3929Km, therefore the free-space transmission loss  $L_{fr}$  is 136.0538dB.

From above data, the basic propagation attenuation  $L_b$  is 161.5795dB, absolute strength of wave and SNR at the receiver is  $-111.5795dBm$ , 10.4202dB respectively.

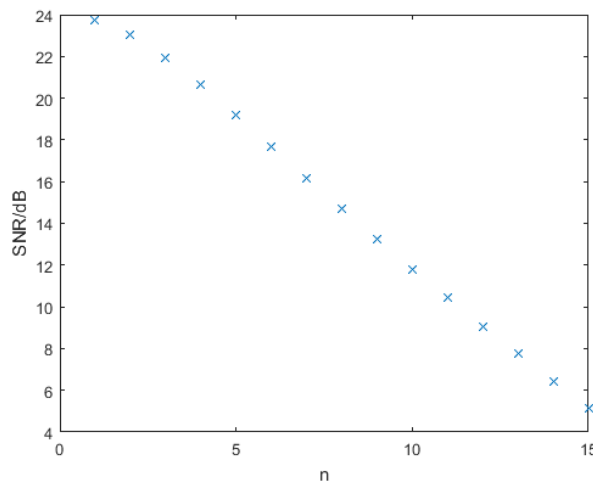


Figure 8: the relation curve of  $SNR$  and  $n$

Figure 8 shows the change of the SNR with different n hops when the effective transmission distance  $D$  is 2000Km. In this figure, the threshold of n for maintaining a usable signal-to-noise ratio can be seen easily. Moreover, conclusion can be drawn that when  $D$  is a constant, the SNR at the receiver is almost a linear function of n.

## 4 Analysis and Simulation of Part II

### 4.1 Analysis of smooth and rugged terrain

#### (a). smooth terrain

On the condition that the terrain is smooth, the basic parameters are the same as the parameters in section 4.1.2 except the permittivity is 15 and ionic conductivity is 0.033. By calculation, the reflection loss  $L_s$  is 2.9361dB, the ionosphere absorption loss  $L_i$  is 2.5232dB, the free-space transmission loss  $L_{fr}$  is 128.3269dB and the extra system loss  $L_e$  is 16.6dB.

After the above calculation, the basic propagation attenuation  $L_b$  is 148.2782dB, the SNR at the receiver is 21.6135dB.

### (b). rugged terrain

If the terrain is rugged, there are many models to describe the diffuse reflection coefficient. Ament's model uses random variable subjected the Gaussian distribution to express calculation factor for ups and downs of rugged terrain<sup>[9]</sup>.

$$\rho_d = e^{-8(\pi\sigma_h \sin\Delta\lambda)^2} \quad (71)$$

where,  $\sigma_h$  is the standard deviation of roughness of rugged terrain.

The all results of calculation on a rugged terrain are the same as the smooth terrain except that  $L_r$  is 9.6879dB and the SNR at the receiver is 14.8617dB.

## 4.2 Comparison between terrain and ocean surface

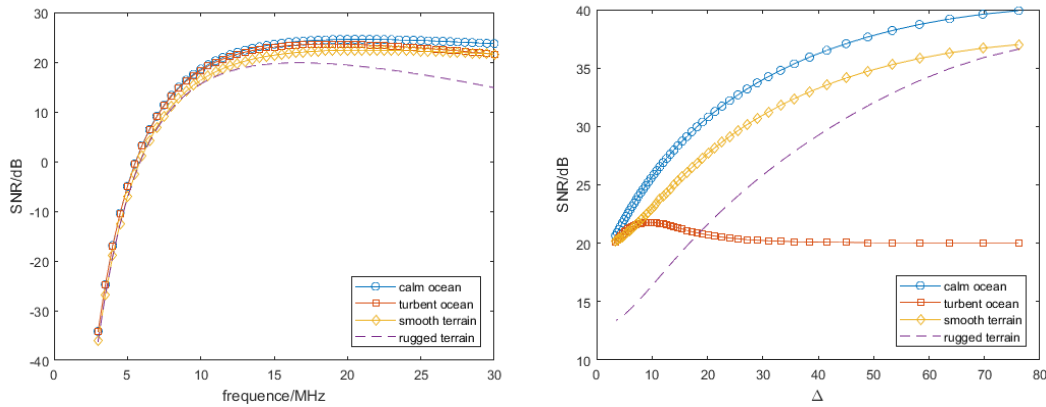


Figure 9: the relation curve of  $SNR$  and  $f$  (left),  $SNR$  and  $\Delta$  (right)

Figure 9(left) shows the change of SNR with different frequency by comparing four different reflection surface when the effective transmission distance  $D$  is 2000Km and angle the emission is constant for one hop. In this figure, the relation between these four surface is as follows.

$$SNR_{rugged\ terrain} \leq SNR_{turbulent\ ocean} \leq SNR_{smooth\ terrain} \leq SNR_{calm\ ocean} \quad (72)$$

Figure 9(right) shows relation curve between SNR and angle of emission  $\Delta$  by comparing the four kinds of surface when the frequency of wave  $f$  is 30MHz for one hop. In this figure, with the frequency in increases, the SNR increases rapidly at first while increases slowly finally except for turbulent ocean. The SNR of turbulent ocean is almost a constant when  $\Delta$  larger than  $30^\circ$ .

## 5 Analysis and Simulation of Part III

When considering the calculation of SNR on turbulent ocean for  $n$  hops, the angle  $\Delta_2$  will change after each hop. And because of the diffuse reflection is hard to predict, the angle  $\Delta_2$  will change randomly. Therefore, the calculation model on calm ocean for  $n$  hops is no longer effective for this problem. A new model, first-order self-regression Markov process, is used in the following article.

### 5.1 Modified Markov process with first-order self-regression model

If a time series  $X_t$  meets the following the formula:

$$P(X_{t+1} = j | X_0 = i_0, X_1 = i_1 \cdots X_t = i_t) = P(X_{t+1} = j | X_t = i_t) \quad (73)$$

where  $P$  is the change probability, then the  $X_t$  is called a Markov chain generated by Markov process, which means that the probable status of  $X$  at time  $t$  is only related to the previous status at time  $t - 1$ .

If a time series  $Y_t$  meets the following the formular:

$$Y_{t+1} = \zeta Y_t + \Psi \quad (74)$$

where,  $\zeta$  is self-regression coefficient,  $\Psi$  is a random variable subjected the Standard Normal Distribution  $N(0, 1)$ . Then  $Y_t$  is called a classic first-order self-regression series. according the theory of econometrics, the series  $Y_t$  is stable if and only if  $|\zeta| \leq 1$ .

The thought of calculating of modified Markov process with first-order self-regression model is that the angle of elevation after each hop can be got by the last angle combining the transfer probability and random factor in this new model, which can describe the characteristics of turbulent ocean that wave height, shapes, and frequencies change rapidly as well as the direction of wave being changed.

### 5.2 Methods to calculating the communication time

Presuming that  $\Delta_0 = 10^\circ$  and the  $\zeta = 0.9021$  by the estimation from data, the SNR with 300 times simulation after 2 hops and 3 hops for wave of  $30 MHz$  frequency is in the following figure respectively using the new model in section 6.1

As can be seen in Figure 10, the average SNR is  $11 - 13 dB$  approximately after 2 hops for 100-watt electromagnetic wave of  $30 MHz$  while it becomes about  $4.5 - 5.5 dB$  after 3 hops. Therefore, the max hops is 2 for a usable SNR and the effective distance is about  $3200 - 3800 Km$  using the above given parameters.

In order to solve the time for remaining in communication, there are some assumption that parameters and calculated results are as above, the direction of ship is away from the transmitter and the communication can be remained only when SNR is usable. Then the calculation is given by

$$T = D_{usable}/w \quad (75)$$

where,  $w$  is the speed of the ship on turbulent ocean and unit is  $Km/h$ . Taking an example, if the speed is  $30 Km$ , then the time for remaining in communication is about  $100 - 130 hours$ .

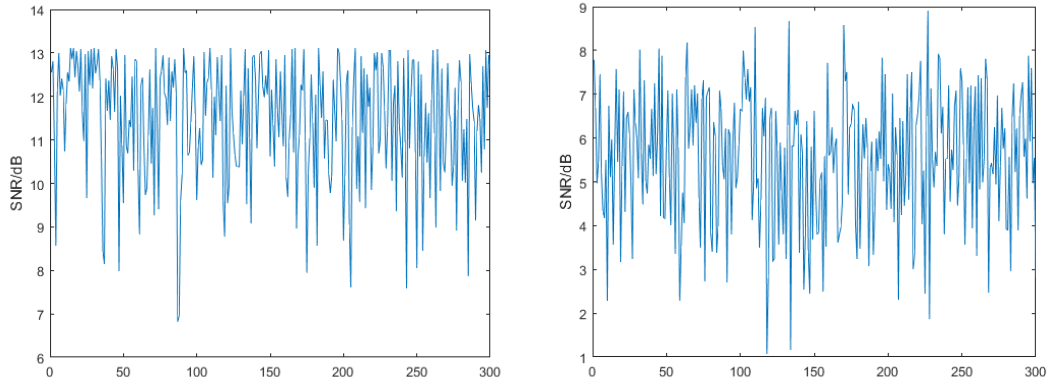


Figure 10: the relation curve of  $SNR$  of 2 hops (left),  $SNR$  and 3 hops (right)

### 5.3 Improvement for communication on turbulent ocean

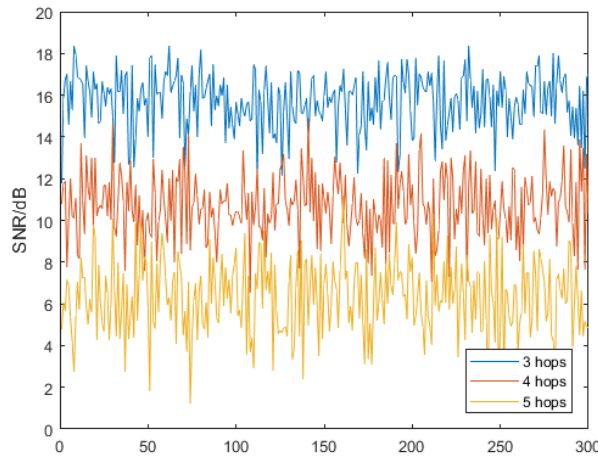


Figure 11: the relation curve of  $SNR$  of 3 hops, 4 hops and 5 hops

Figure 11 shows the SNR with 300 times simulation of 3 hops, 4 hops and 5 hops respectively for 1000 – watt wave of 30 MHz with the parameter  $\Delta_0 = 10^\circ$ . In this figure,

$$SNR_{3hops} \lesssim SNR_{4hops} \lesssim SNR_{5hops}$$

Moreover,

$$Fluctuation_{3hops} \lesssim Fluctuation_{4hops} \lesssim Fluctuation_{5hops}$$

Conclusion can be drawn that the larger hop is, the fluctuation of SNR will be in modified Markov process with first-order self-regression model, which is easily understood empirically because of the joint several times random diffuse reflection influence.

Figure 12 shows the SNR with 300 times simulation of 2 hops for 100 – watt wave of 30 MHz with the parameter  $\Delta_0 = 30^\circ$ ,  $\Delta_0 = 60^\circ$  respectively. In this figure,

$$SNR_{60^\circ} \approx SNR_{30^\circ}$$

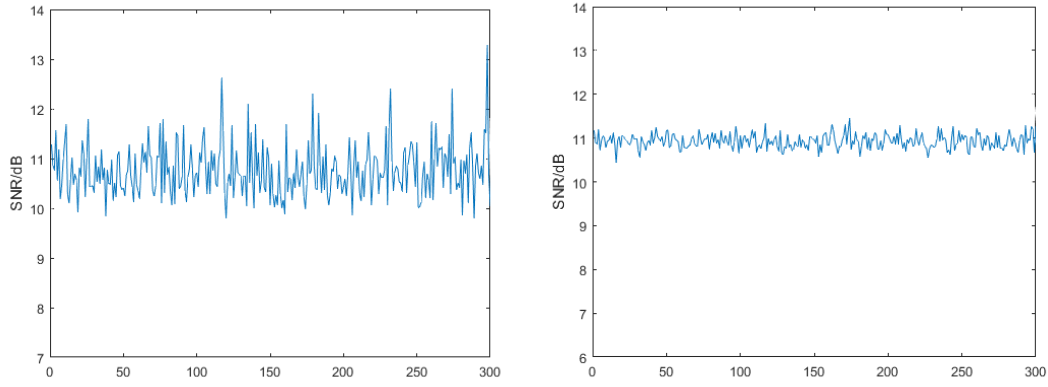


Figure 12: the relation curve of  $SNR$  of  $30^\circ$  (left),  $SNR$  of  $60^\circ$  (right)

However,

$$Fluctuation_{60^\circ} \lesssim Fluctuation_{30^\circ}$$

which means the larger original angle of emission is, the more stable electromagnetic wave will be after the same times of diffuse reflection on the condition that other parameters are totally the same.

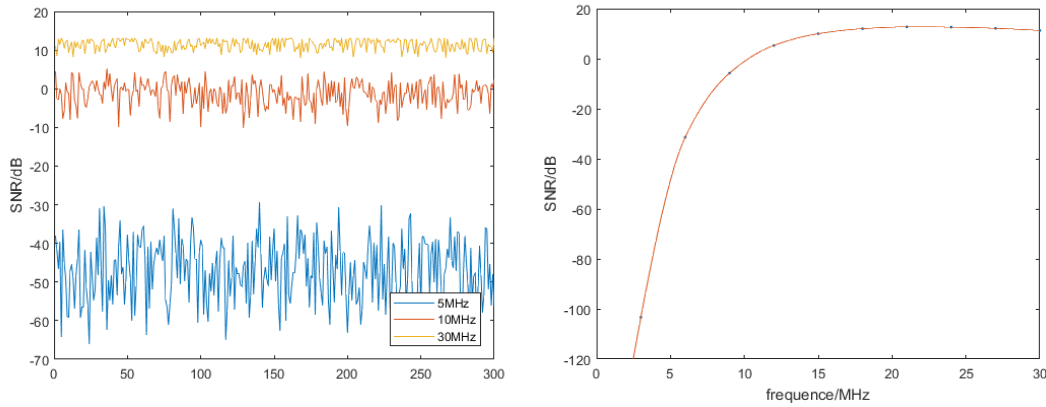


Figure 13: the relation curve of  $SNR$  of  $f$  (left),  $SNR$  and  $f$  (right)

Figure 13(left) shows the SNR with 300 times simulation of 2 hops with the parameter  $\Delta_0 = 30^\circ$  for 100-watt wave of 5 MHz, 10 MHz and 30 MHz respectively. As can be seen,

$$SNR_{5MHz} \lesssim SNR_{10MHz} \lesssim SNR_{30MHz}$$

Moreover,

$$Fluctuation_{30MHz} \lesssim Fluctuation_{10MHz} \lesssim Fluctuation_{5MHz}$$

which means the larger frequency of electromagnetic wave is, the more stable and more strong electromagnetic wave will be after the same times of diffuse reflection.

by the above analysis, measures which can improve the time in remaining communication on turbulent ocean are as follows.

**measure 1** Increase the original angle of emission.

**measure 2** Increase the power of electromagnetic wave.

**measure 3** Increase the frequency of electromagnetic wave.

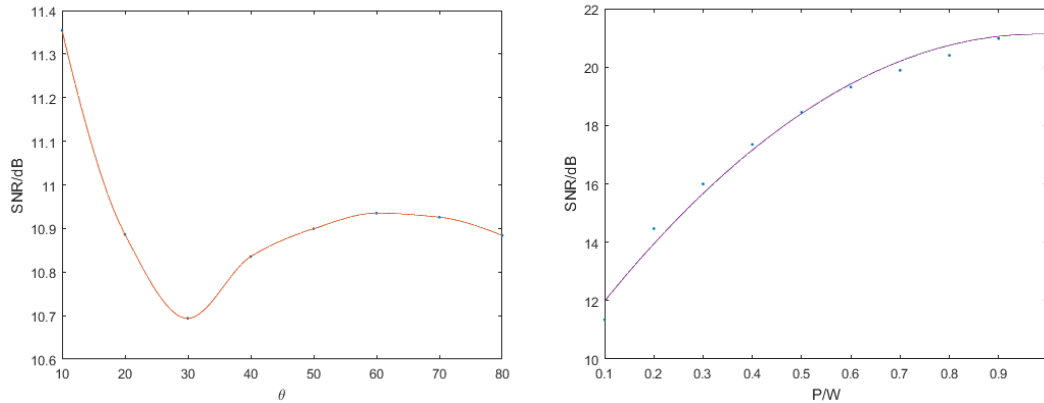


Figure 14: the relation curve of  $SNR$  of  $\Delta$  (left),  $SNR$  and  $watt$  (right)

Figure 13(right), 14(left) and 14(right) show the SNR with 300 times simulation of with different frequency, angle of emission and power of electromagnetic wave respectively. As can be seen in these 3 figure, there is a optimal problem for increasing the time to remain in communication, which is  $15\text{ MHz}$ ,  $60^\circ$  except the power of electromagnetic wave. Generally, the larger power of wave is, the more stable SNR will be.

## 6 Strength and weaknesses

### strength

- The specific provement and calculation of four kinds of loss is described in the analysis.
- This paper takes different calculation model for different situation and the results is reasonable.
- For the turbulent ocean on the condition n hops, the first-order self-regression Markov process is innovate and can describe the approximate real situation.
- This paper analysis all kinds of curve that SNR with different parameters and draw specific conclusions
- The optimum frequency, angle of emission, and power of wave to enhance the communication are given in the paper.

### weaknesses

- These calculation models ignores the loss in stratosphere and the transmit of electromagnetic wave in the ionosphere which will cause errors.

- The parameters of simulation is constant which is not meets the condition in real life.
- There is no real data to prove the results of simulation and the accuracy of first-order self-regression Markov process model.

## 7 References

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