

DPLL

DPLL:



Martin Davis



Hilary Putnam



Donald Loveland



George Logemann

DPLL (Davis, Putnam, Loveland and Logemann)

A method for checking satisfiability of sets of clauses.

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A method for checking satisfiability of sets of clauses.

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DPLL ingredients:

- ▶ Unit Propagation
- ▶ Splitting

Satisfiability-checking for sets of clauses

The CNF transformation of

$$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$$

gives the set of four clauses:

$$\begin{array}{l}\neg p \vee q \\ \neg p \vee \neg q \vee r \\ p \\ \neg r\end{array}$$

Every interpretation that satisfies this set of clauses **must** assign 1 to p and 0 to r , so **we do not have to guess values of these variables.**

In fact, we can do even better and establish unsatisfiability in this case **without any guessing.**

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Searching for satisfiability

$$\{p \mapsto 1, r \mapsto 0, q \mapsto 1\}$$

$$\neg p \vee q$$

$$\neg p \vee \neg q \vee r$$

$$p$$

$$\neg r$$

This set of clauses is unsatisfiable.

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$$\neg p \vee \neg q \vee r \quad \square$$

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$$\neg r$$

This set of clauses is unsatisfiable.

Unit propagation

Let S be a set of clauses.

Unit propagation. Repeatedly perform the following transformation:

If S contains a **unit clause**, i.e. a clause consisting of one literal L , then

1. remove from S every clause of the form $L \vee C$;
2. replace in S every clause of the form $\bar{L} \vee C$ by the clause C .

Lemma. Unit propagation is **satisfiability preserving** transformation.

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Lemma. Unit propagation is **satisfiability preserving** transformation.

Unit Propagation, Example

n_1	$\neg q \vee n_4$
$\neg n_1 \vee \neg n_2$	$\neg n_5 \vee \neg n_6 \vee r$
$n_1 \vee n_2$	$n_6 \vee n_5$
$\neg n_2 \vee \neg n_3 \vee n_7$	$\neg r \vee n_5$
$n_3 \vee n_2$	$\neg n_6 \vee p$
$\neg n_7 \vee n_2$	$\neg n_6 \vee q$
$\neg n_3 \vee n_4$	$\neg p \vee \neg q \vee n_6$
$\neg n_3 \vee n_5$	$\neg n_7 \vee \neg p \vee r$
$\neg n_4 \vee \neg n_5 \vee n_3$	$p \vee n_7$
$\neg n_4 \vee \neg p \vee q$	$\neg r \vee n_7$
$p \vee n_4$	

Propagating one unit

- ▶ completely **removes all occurrences** of the variable of this unit.
- ▶ can results in **more units** that in turn can be propagated!

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$\neg n_3 \vee n_4$	$\neg p \vee \neg q \vee n_6$
$\neg n_3 \vee n_5$	$\neg n_7 \vee \neg p \vee r$
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	$n_6 \vee n_5$
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$n_3 \vee n_2$	$\neg n_6 \vee p$
$\neg n_7 \vee n_2$	$\neg n_6 \vee q$
$\neg n_3 \vee n_4$	$\neg p \vee \neg q \vee n_6$
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	$\neg q \vee n_4$
	$\neg n_5 \vee \neg n_6 \vee r$
	$n_6 \vee n_5$
	$\neg r \vee n_5$
n_3	$\neg n_6 \vee p$
$\neg n_7$	$\neg n_6 \vee q$
$\neg n_3 \vee n_4$	$\neg p \vee \neg q \vee n_6$
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Unit Propagation, Example

$$\begin{array}{l} n_3 \\ \neg n_7 \\ \neg n_3 \vee n_4 \\ \neg n_3 \vee n_5 \\ \neg n_4 \vee \neg n_5 \vee n_3 \\ \neg n_4 \vee \neg p \vee q \\ p \vee n_4 \end{array} \quad \begin{array}{l} \neg q \vee n_4 \\ \neg n_5 \vee \neg n_6 \vee r \\ n_6 \vee n_5 \\ \neg r \vee n_5 \\ \neg n_6 \vee p \\ \neg n_6 \vee q \\ \neg p \vee \neg q \vee n_6 \\ \neg n_7 \vee \neg p \vee r \\ p \vee n_7 \\ \neg r \vee n_7 \end{array}$$

Propagating one unit

- ▶ completely removes all occurrences of the variable of this unit.
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Unit Propagation, Example

$$\begin{array}{l} n_4 \\ n_5 \\ \neg n_4 \vee \neg p \vee q \\ p \vee n_4 \end{array} \qquad \begin{array}{l} \neg q \vee n_4 \\ \neg n_5 \vee \neg n_6 \vee r \\ n_6 \vee n_5 \\ \neg r \vee n_5 \\ \neg n_6 \vee p \\ \neg n_6 \vee q \\ \neg p \vee \neg q \vee n_6 \\ \\ p \\ \neg r \end{array}$$

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Unit Propagation, Example

$$\begin{array}{l} \neg q \vee n_4 \\ \neg n_5 \vee \neg n_6 \vee r \\ n_6 \vee n_5 \\ \neg r \vee n_5 \\ \neg n_6 \vee p \\ \neg n_6 \vee q \\ \neg p \vee \neg q \vee n_6 \\ \\ n_4 \\ n_5 \\ \\ \neg n_4 \vee \neg p \vee q \\ p \vee n_4 \\ \\ p \\ \neg r \end{array}$$

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Unit Propagation, Example

$$\neg n_6$$

$$\begin{array}{l} \neg n_6 \vee q \\ \neg q \vee n_6 \end{array}$$

$$q$$

Propagating one unit

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Unit Propagation, Example

$$\neg n_6$$

$$\neg n_6 \vee q$$
$$\neg q \vee n_6$$

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Unit Propagation, Example



Propagating one unit

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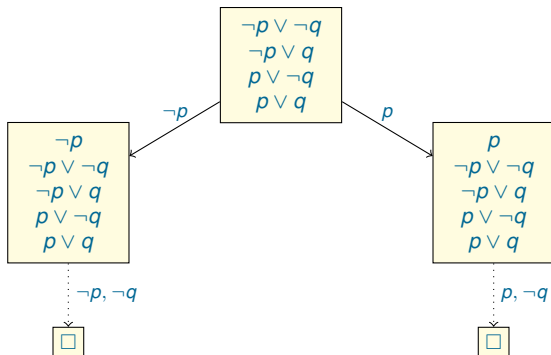
We established **unsatisfiability** of this set of clauses **in a completely deterministic way**, by unit propagation.

DPLL = splitting + unit propagation

```
procedure DPLL(S)  
input: set of clauses S  
output: satisfiable or unsatisfiable  
parameters: function select_literal  
begin  
  S := propagate(S)  
  if S is empty then return satisfiable  
  if S contains  $\square$  then return unsatisfiable  
  L := select_literal(S)  
  if DPLL( $S \cup \{L\}$ ) = satisfiable  
    then return satisfiable  
    else return DPLL( $S \cup \{\bar{L}\}$ )  
end
```

DPLL. Example 1

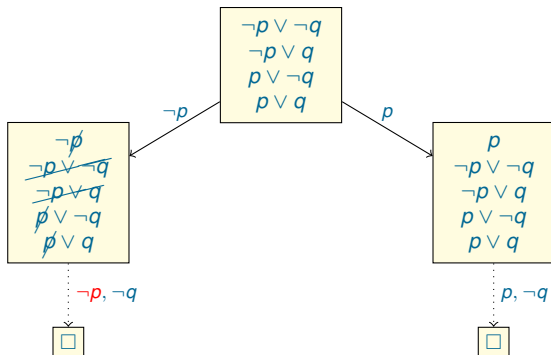
Can be illustrated using **DPLL trees** (similar to splitting trees).



Since all branches end up in a set containing the **empty clause**, the initial set of clauses is **unsatisfiable**.

DPLL. Example 1

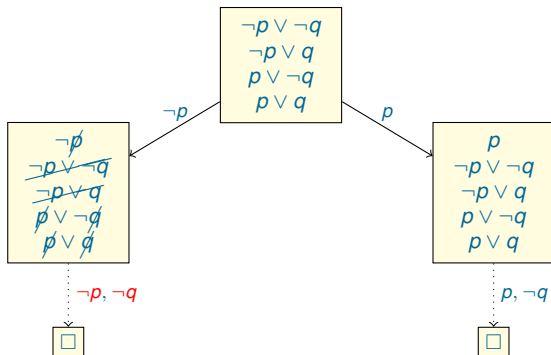
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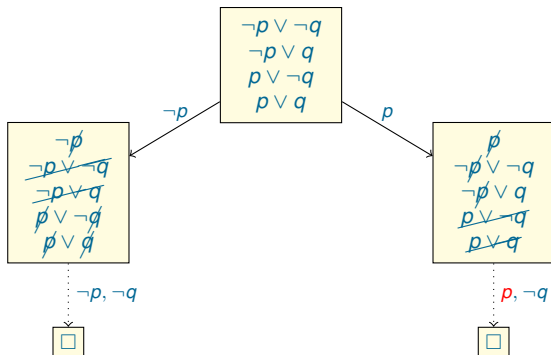
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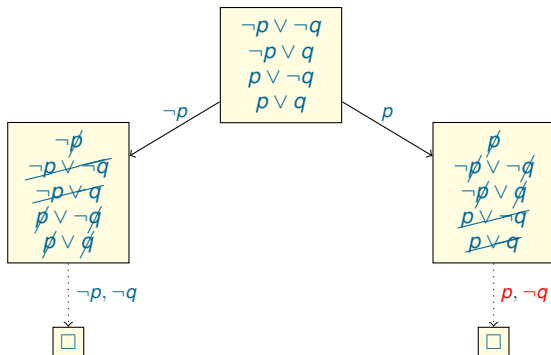
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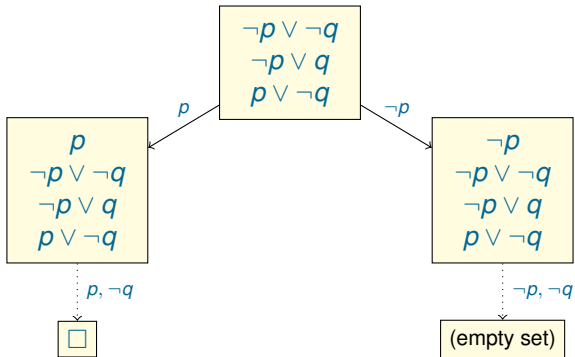
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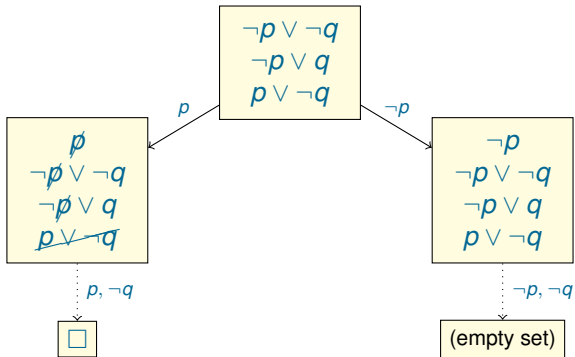


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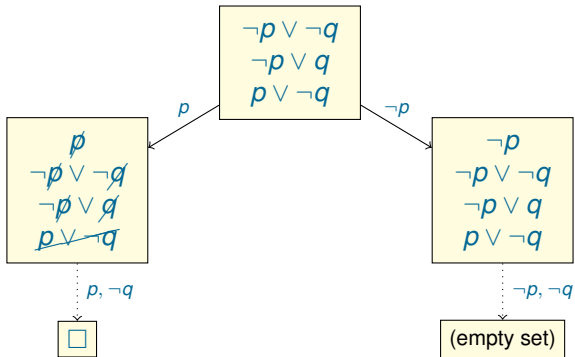
DPLL. Example 2



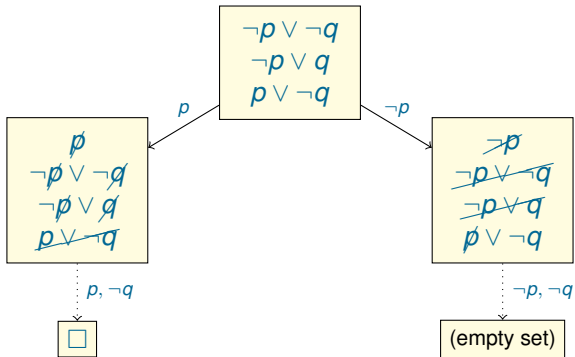
DPLL. Example 2



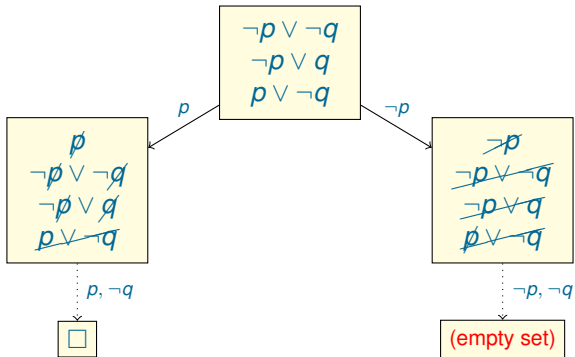
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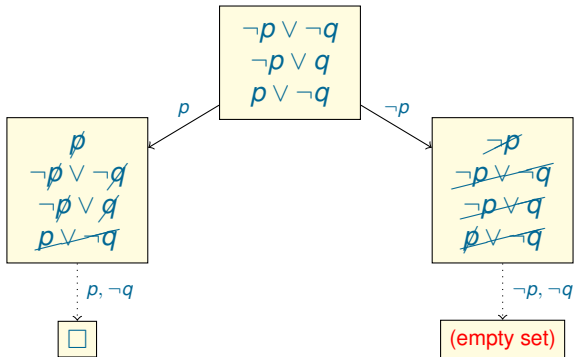
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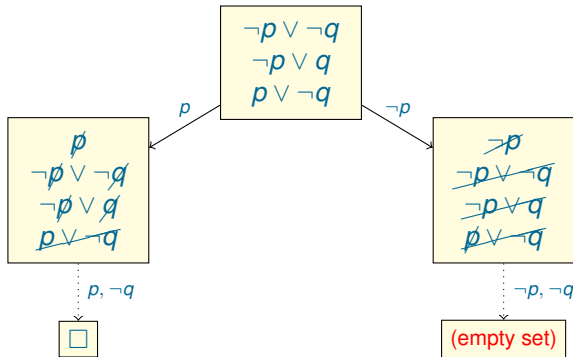


DPLL. Example 2



The set of clauses is **satisfiable**.

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The set of clauses is **satisfiable**.

The **model** can be obtained by collecting all **selected literals** and **literals used in unit propagation** on the branch resulting in the **empty set**.

This DPLL tree gives us the model $\{p \mapsto 0, q \mapsto 0\}$.

Two optimisations

Tautologies are valid formulas.

Tautological clauses $p \vee \neg p \vee C$.

Optimization 1. We can remove tautological clauses.

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Tautologies are valid formulas.

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Optimization 1. We can remove tautological clauses.

A literal L in S is called pure
if S contains no clauses of the form $\bar{L} \vee C$.

Optimization 2. We can remove clauses with pure literals.

Pure literals: example

$$\neg p_2 \vee \neg p_3$$

$$p_1 \vee \neg p_2$$

$$\neg p_1 \vee p_2 \vee \neg p_3$$

$$\neg p_1 \vee \neg p_3$$

$$p_1 \vee p_2$$

$$\neg p_1 \vee \neg p_2 \vee \neg p_3$$

Pure literals: example

$$\begin{array}{c}\neg p_2 \vee \neg p_3 \\ p_1 \vee \neg p_2 \\ \neg p_1 \vee p_2 \vee \neg p_3 \\ \neg p_1 \vee \neg p_3 \\ p_1 \vee p_2 \\ \neg p_1 \vee \neg p_2 \vee \neg p_3\end{array}$$

The literal $\neg p_3$ is pure in this set. We can remove all clauses containing this literal.

Pure literals: example

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Pure literals: example

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$$p_1 \vee p_2$$

The literal $\neg p_2$ is pure in this set. We can remove all clauses containing this literal.

Now the literal p_1 is pure in this set. We can remove all clauses containing this literal.

Pure literals: example

The literal $\neg p_3$ is pure in this set. We can remove all clauses containing this literal.

Now the literal p_1 is pure in this set. We can remove all clauses containing this literal.

We obtain the **empty set of clauses**. Therefore this set is **satisfiable**.

Pure literals: example

$$\begin{array}{c} \neg p_2 \vee \neg p_3 \\ p_1 \vee \neg p_2 \\ \neg p_1 \vee p_2 \vee \neg p_3 \\ \neg p_1 \vee \neg p_3 \\ p_1 \vee p_2 \\ \neg p_1 \vee \neg p_2 \vee \neg p_3 \end{array}$$

The literal $\neg p_3$ is pure in this set. We can remove all clauses containing this literal.

Now the literal p_1 is pure in this set. We can remove all clauses containing this literal.

We obtain the **empty set of clauses**. Therefore this set is **satisfiable**.

This gives us two models:

$$\begin{array}{l} \{p_1 \mapsto 1, p_2 \mapsto 0, p_3 \mapsto 0\} \\ \{p_1 \mapsto 1, p_2 \mapsto 1, p_3 \mapsto 0\} \end{array}$$

Horn clauses

A clause is called **Horn** if it contains at most one positive literal.

Examples: The following clauses are **Horn**:

$$\begin{aligned} & p_1 \\ & \neg p_1 \vee p_2 \\ & \neg p_1 \vee \neg p_2 \vee p_3 \\ & \neg p_3 \vee \neg p_4 \end{aligned}$$

The following clauses are **non-Horn**:

$$\begin{aligned} & p_1 \vee p_2 \\ & p_1 \vee \neg p_2 \vee p_3 \end{aligned}$$

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Satisfiability of Horn clauses can be decided by unit propagation

Example:

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Example:

$$\begin{array}{c} p_2 \\ \neg p_2 \vee p_3 \\ \neg p_3 \vee \neg p_4 \end{array}$$

Satisfiability of Horn clauses can be decided by unit propagation

Example:

$$\neg p_3 \vee \neg p_4$$

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Example:

$$\neg p_4$$

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Model: $\{p_1 \mapsto 1, p_2 \mapsto 1, p_3 \mapsto 1, p_4 \mapsto 0\}$

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Note that deleting a literal from a Horn clause gives a Horn clause.

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Therefore, unit propagation applied to a set S of Horn clauses gives a set S' of Horn clauses.

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Two cases:

1. S' contains \square . Then, S' (and hence S) is unsatisfiable.

2. S' does not contain \square .

- Each clause in S' has at least two literals.
- Hence each clause in S' contains at least one negative literal.
- Hence setting all variables in S' to 0 satisfies S' .

Satisfiability of Horn clauses can be decided by unit propagation

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 - ▶ Hence setting all variables in S' to 0 satisfies S' .

Satisfiability of Horn clauses can be decided by unit propagation

Example:

$$\begin{aligned} & p_1 \\ & \neg p_1 \vee p_2 \\ & \neg p_1 \vee \neg p_2 \vee p_3 \\ & \neg p_3 \vee \neg p_4 \end{aligned}$$

Model: $\{p_1 \mapsto 1, p_2 \mapsto 1, p_3 \mapsto 1, p_4 \mapsto 0\}$

Note that deleting a literal from a Horn clause gives a Horn clause.

Therefore, unit propagation applied to a set S of Horn clauses gives a set S' of Horn clauses.

Two cases:

1. S' contains \square . Then, S' (and hence S) is unsatisfiable.
2. S' does not contain \square . Then:
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DIMACS input format:

```
p cnf 3 4
1 0
-1 2 0
-1 -2 3 0
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```

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3 variables, **4** clauses.

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$$\neg p_1 \vee \neg p_2 \vee p_3$$

Probabilistic analysis of satisfiability

Next:

- ▶ What is quantitative relationship between satisfiable and unsatisfiable problems? In other words if we pick a set of clauses at **random** with what probability it will be satisfiable?
- ▶ How can we **randomly generate hard problems**?
- ▶ **Randomized algorithms** for showing satisfiability.

SAT and k -SAT

SAT is the problem of satisfiability checking for sets of clauses.

A **k -clause** is a clause with k literals. **k -SAT** is the problem of satisfiability checking for sets of **k -clauses**.

- SAT is NP-complete;
- 3-SAT is NP-complete.
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There is a simple **reduction of SAT to 3-SAT** based on the same ideas as used for generating short clausal forms (naming). Take a clause having more than 3 literals:

$$L_1 \vee L_2 \vee L_3 \vee L_4 \dots$$

And replace it by two clauses:

$$\begin{aligned} L_1 \vee L_2 \vee n \\ \neg n \vee L_3 \vee L_4 \dots \end{aligned}$$

where n is a new variable.

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where n is a new variable.

We will consider **k -SAT** for a fixed k .

Random Clause Generation

How can one generate a random k -clause?

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- ▶ **random k -clause**: generate k random literals

Suppose we generate random clauses one after one. **How does the set of models of this set change?**

Example (obtained by a program) for $n = 5$ and $k = 2$

p_1	p_2	p_3	p_4	p_5
0	0	0	0	0
0	0	0	0	1
0	0	0	1	0
0	0	0	1	1
0	0	1	0	0
0	0	1	0	1
0	0	1	1	0
0	0	1	1	1
0	1	0	0	0
0	1	0	0	1
0	1	0	1	0
0	1	0	1	1
0	1	1	0	0
0	1	1	0	1
0	1	1	1	0
0	1	1	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1
1	1	1	0	0
1	1	1	0	1
1	1	1	1	0
1	1	1	1	1

Number of models: 32

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0		1	0	0	0	0
	0	0	0	0	1		1	0	0	0	1
	0	0	0	1	0		1	0	0	1	0
	0	0	0	1	1		1	0	0	1	1
	0	0	1	0	0		1	0	1	0	0
	0	0	1	0	1		1	0	1	0	1
	0	0	1	1	0		1	0	1	1	0
	0	0	1	1	1		1	0	1	1	1
	0	1	0	0	0		1	1	0	0	0
	0	1	0	0	1		1	1	0	0	1
	0	1	0	1	0		1	1	0	1	0
	0	1	0	1	1		1	1	0	1	1
	0	1	1	0	0		1	1	1	0	0
	0	1	1	0	1		1	1	1	0	1
	0	1	1	1	0		1	1	1	1	0
	0	1	1	1	1		1	1	1	1	1

Number of models: 32

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0
	0	0	0	0	1
	0	0	0	1	0
	0	0	0	1	1
	0	0	1	0	0
	0	0	1	0	1
	0	0	1	1	0
	0	0	1	1	1
	0	1	0	0	0
	0	1	0	0	1
	0	1	0	1	0
	0	1	0	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

Number of models: 24

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0		1	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1		1	0	0	0	1
	0	0	0	1	0		1	0	0	1	0
	0	0	0	1	1		1	0	0	1	1
	0	0	1	0	0		1	0	1	0	0
	0	0	1	0	1		1	0	1	0	1
	0	0	1	1	0		1	0	1	1	0
	0	0	1	1	1		1	0	1	1	1
	0	1	0	0	0		1	1	0	0	0
	0	1	0	0	1		1	1	0	0	1
	0	1	0	1	0		1	1	0	1	0
	0	1	0	1	1		1	1	0	1	1

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	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1
	0	0	0	1	0
	0	0	0	1	1
	0	0	1	0	0
	0	0	1	0	1
	0	0	1	1	0
	0	0	1	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

Number of models: 20

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1
$\neg p_2 \vee p_2$	0	0	0	1	0
	0	0	0	1	1
	0	0	1	0	0
	0	0	1	0	1
	0	0	1	1	0
	0	0	1	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
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1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

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	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1
$\neg p_2 \vee p_2$	0	0	0	1	0
$\neg p_2 \vee p_2$	0	0	0	1	1
$p_1 \vee p_1$	0	0	1	0	0
	0	0	1	0	1
	0	0	1	1	0
	0	0	1	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
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p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$				
$\neg p_2 \vee p_1$				
$\neg p_2 \vee p_2$				
$p_1 \vee p_1$				

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

Number of models: 12

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$					
$\neg p_2 \vee p_1$					
$\neg p_2 \vee p_2$					
$p_1 \vee p_1$					
$\neg p_5 \vee p_5$					

	p_1	p_2	p_3	p_4	p_5
	1	0	0	0	0
	1	0	0	0	1
	1	0	0	1	0
	1	0	0	1	1
	1	0	1	0	0
	1	0	1	0	1
	1	0	1	1	0
	1	0	1	1	1
	1	1	0	0	0
	1	1	0	0	1
	1	1	0	1	0
	1	1	0	1	1

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Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$					
$\neg p_2 \vee p_1$					
$\neg p_2 \vee p_2$					
$p_1 \vee p_1$					
$\neg p_5 \vee p_5$					
$p_4 \vee p_5$					

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

Number of models: 12

Example (obtained by a program) for $n = 5$ and $k = 2$

$p_1 \quad p_2 \quad p_3 \quad p_4 \quad p_5$

$$\neg p_2 \vee \neg p_3$$

$$\neg p_2 \vee p_1$$

$$\neg p_2 \vee p_2$$

$$p_1 \vee p_1$$

$$\neg p_5 \vee p_5$$

$$p_4 \vee p_5$$

$p_1 \quad p_2 \quad p_3 \quad p_4 \quad p_5$

1 0 0 0 1

1 0 0 1 0

1 0 0 1 1

1 0 1 0 1

1 0 1 1 0

1 0 1 1 1

1 1 0 0 1

1 1 0 1 0

1 1 0 1 1

Number of models: 9

Example (obtained by a program) for $n = 5$ and $k = 2$

p_1 p_2 p_3 p_4 p_5

$$\neg p_2 \vee \neg p_3$$

$$\neg p_2 \vee p_1$$

$$\neg p_2 \vee p_2$$

$$p_1 \vee p_1$$

$$\neg p_5 \vee p_5$$

$$p_4 \vee p_5$$

$$\neg p_5 \vee \neg p_3$$

p_1 p_2 p_3 p_4 p_5

1 0 0 0 1

1 0 0 1 0

1 0 0 1 1

1 0 1 0 1

1 0 1 1 0

1 0 1 1 1

1 1 0 0 1

1 1 0 1 0

1 1 0 1 1

Number of models: 9

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$					
$\neg p_2 \vee p_1$					
$\neg p_2 \vee p_2$					
$p_1 \vee p_1$					
$\neg p_5 \vee p_5$					
$p_4 \vee p_5$					
$\neg p_5 \vee \neg p_3$					

p_1	p_2	p_3	p_4	p_5
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	1	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

Number of models: 7

Example (obtained by a program) for $n = 5$ and $k = 2$

$p_1 \quad p_2 \quad p_3 \quad p_4 \quad p_5$

$$\neg p_2 \vee \neg p_3$$

$$\neg p_2 \vee p_1$$

$$\neg p_2 \vee p_2$$

$$p_1 \vee p_1$$

$$\neg p_5 \vee p_5$$

$$p_4 \vee p_5$$

$$\neg p_5 \vee \neg p_3$$

$$p_2 \vee \neg p_4$$

$p_1 \quad p_2 \quad p_3 \quad p_4 \quad p_5$

$$1 \quad 0 \quad 0 \quad 0 \quad 1$$

$$1 \quad 0 \quad 0 \quad 1 \quad 0$$

$$1 \quad 0 \quad 0 \quad 1 \quad 1$$

$$1 \quad 0 \quad 1 \quad 1 \quad 0$$

$$1 \quad 1 \quad 0 \quad 0 \quad 1$$

$$1 \quad 1 \quad 0 \quad 1 \quad 0$$

$$1 \quad 1 \quad 0 \quad 1 \quad 1$$

Number of models: 7

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
							1	1	0	0	1
							1	1	0	1	0
							1	1	0	1	1

Number of models: 4

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
$p_5 \vee \neg p_2$							1	1	0	0	1
							1	1	0	1	0
							1	1	0	1	1

Number of models: 4

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$							1	1	0	0	1
$p_5 \vee \neg p_2$							1	1	0	1	1

Number of models: 3

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$							1	1	0	0	1
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$							1	1	0	1	1

Number of models: 3

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
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$p_2 \vee \neg p_4$											
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$											

Number of models: 1

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
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$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
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$p_5 \vee p_2$											
$\neg p_1 \vee \neg p_4$											

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$\neg p_2 \vee p_1$											
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$$\neg p_2 \vee p_1$$

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$$p_5 \vee p_2$$

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Number of models: 0

This set of 13 clauses is unsatisfiable.

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$\neg p_5 \vee p_5$

$p_4 \vee p_5$

$\neg p_5 \vee \neg p_3$

$p_2 \vee \neg p_4$

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$\neg p_1 \vee \neg p_4$

$p_5 \vee p_2$

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Number of models: 0

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Increasing number of generated clauses we can observe **transition** from **satisfiable** to **unsatisfiable**.

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Note that the probability $\pi(r, n)$ is a monotone function: the more clauses we generate, the higher chance we have that the set is unsatisfiable.

Roulette

We will generate random instances of 3-SAT with 10-variables.



- 5 clauses?
- 30 clauses?
- 60 clauses?
- 100 clauses?
- 1000 clauses?

Roulette



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You will bet on whether the resulting set of clauses is satisfiable or not.

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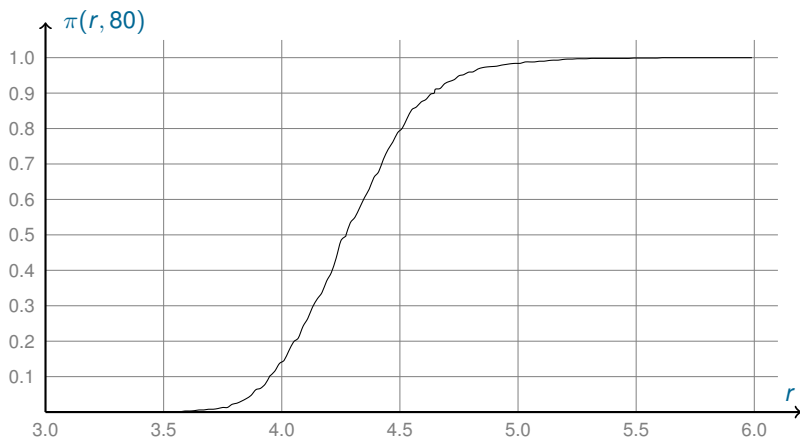
You will bet on whether the resulting set of clauses is satisfiable or not.

What will you bet on if we generate

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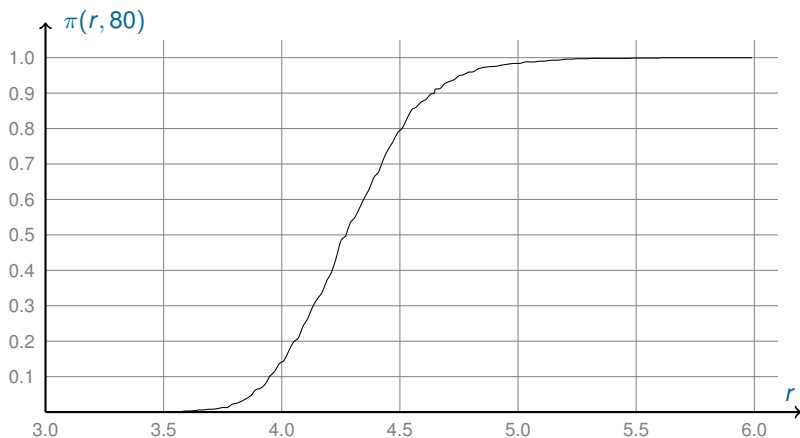
What would be your betting ratio?

Probability of obtaining an unsatisfiable set



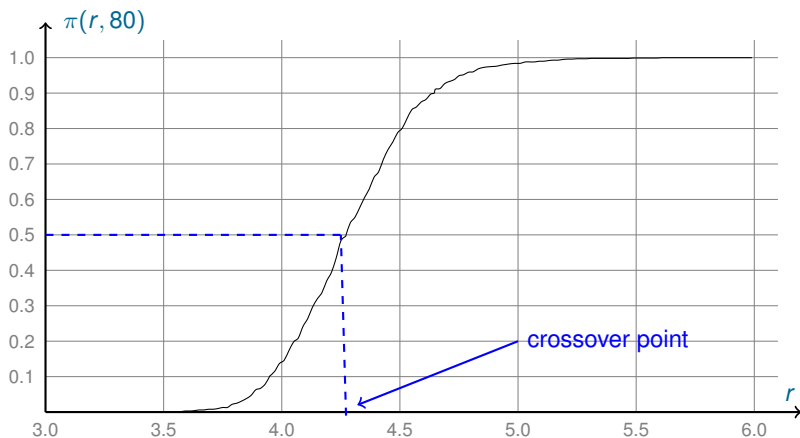
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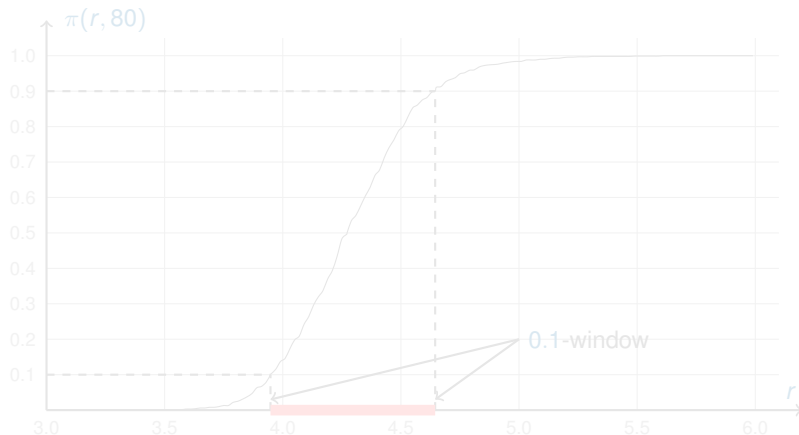


Experimentally: for large n **crossover point** is close to 4.25.

ϵ -window

Take a (small) number $\epsilon > 0$. ϵ -window is the interval of values of r where the probability is between ϵ and $1 - \epsilon$.

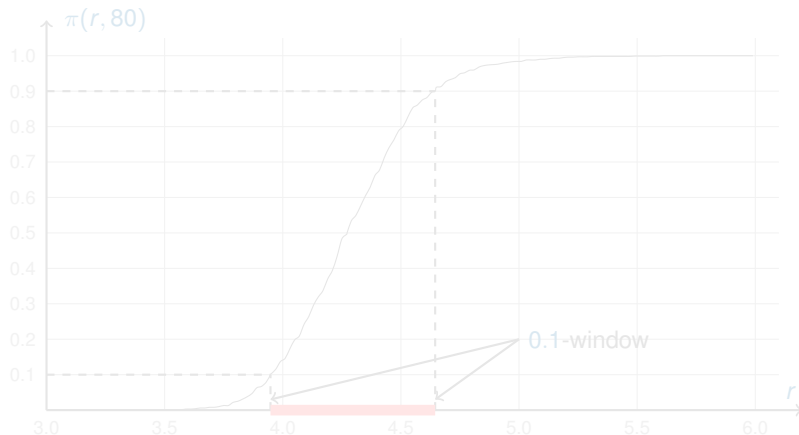
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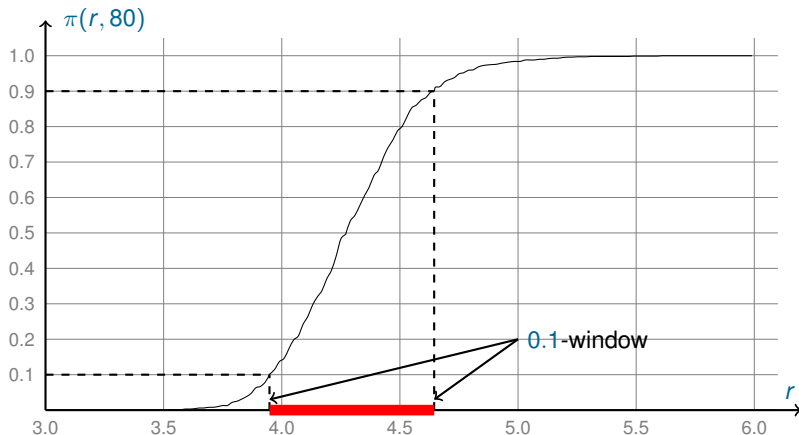
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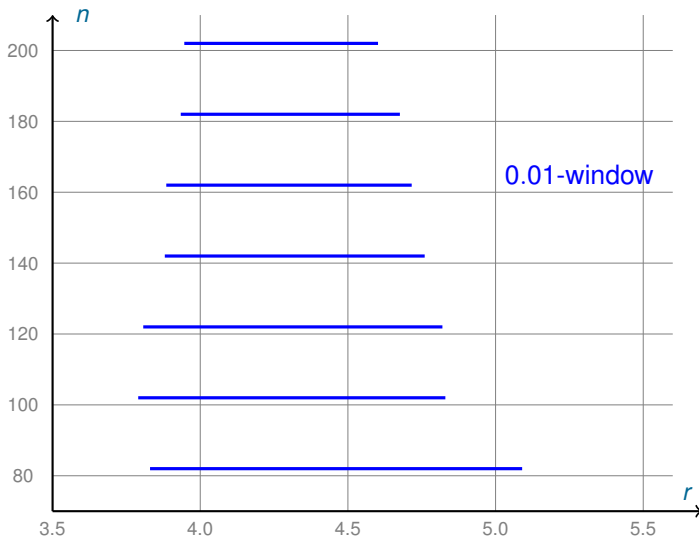
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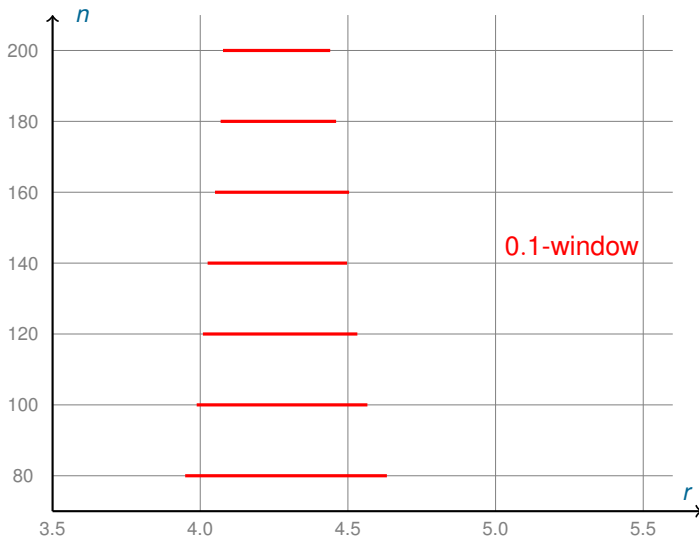
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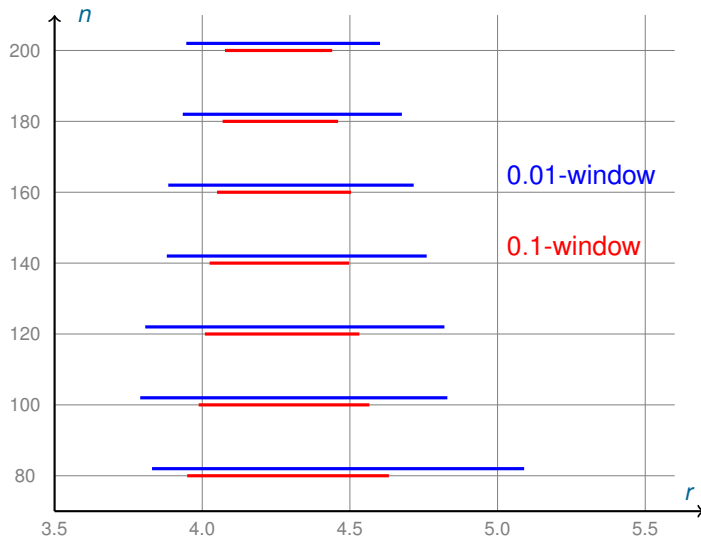
Scaling Window Effect



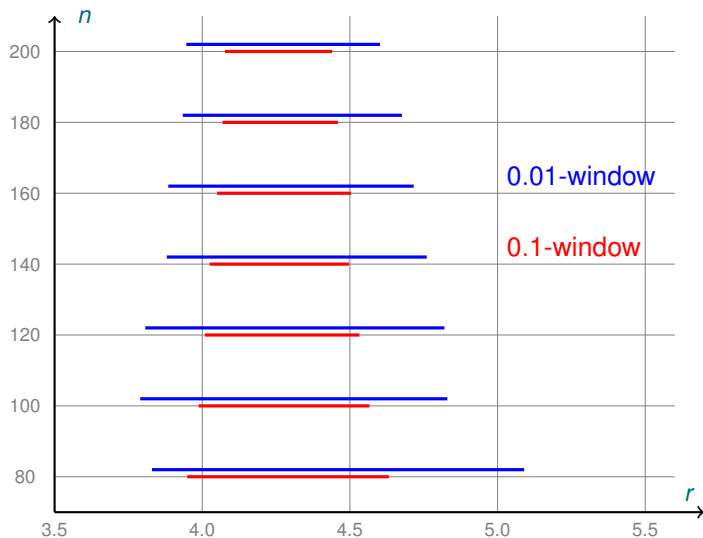
Scaling Window Effect



Scaling Window Effect

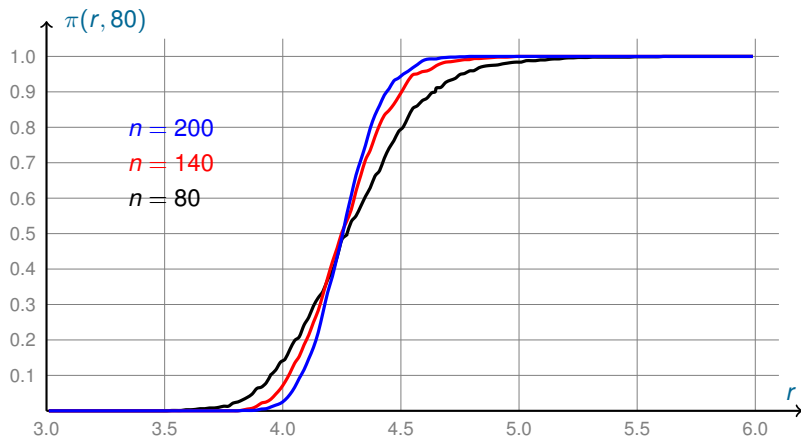


Scaling Window Effect



Conjecture: for $n \rightarrow \infty$ every ϵ -window “degenerates into a point”.

Sharp Phase Transition



Easy-Hard-Easy Pattern

