

# What's next

Algorithms for satisfiability, validity of QBF:

- ▶ Splitting
- ▶ DPLL

Reminder:

- (i)  $F(p_1, \dots, p_n)$  is **satisfiable** iff  $\exists p_1 \dots \exists p_n F(p_1, \dots, p_n)$  is **true**.
- (ii)  $F(p_1, \dots, p_n)$  is **valid** iff  $\forall p_1 \dots \forall p_n F(p_1, \dots, p_n)$  is **true**.

Algorithms will check whether a **closed formula is true or false**.

# Splitting: foundations

## Lemma

- ▶ A closed formula  $\forall p F$  is *true* if and only if the formulas  $F_p^\perp$  *and*  $F_p^\top$  are true.
- ▶ A closed formula  $\exists p F$  is *true* if and only if *at least one* of the formulas  $F_p^\perp$  *or*  $F_p^\top$  is true.

# Splitting

Simplification rules for  $\top$ :

$$\begin{aligned}\neg \top &\Rightarrow \perp \\ \top \wedge F_1 \wedge \dots \wedge F_n &\Rightarrow F_1 \wedge \dots \wedge F_n \\ \top \vee F_1 \vee \dots \vee F_n &\Rightarrow \top \\ F \rightarrow \top &\Rightarrow \top & \top \rightarrow F &\Rightarrow F \\ F \leftrightarrow \top &\Rightarrow F & \top \leftrightarrow F &\Rightarrow F\end{aligned}$$

Simplification rules for  $\perp$ :

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# Splitting algorithm

**procedure** *splitting*( $F$ )

**input:** closed rectified prenex formula  $F$

**output:** 0 or 1

**parameters:** function *select\_variable\_value* (selects a variable from the **outermost** prefix of  $F$  and a boolean value for it)

**begin**

$F := \text{simplify}(F)$

**if**  $F = \perp$  **then return** 0

**if**  $F = \top$  **then return** 1

Let  $F$  have the form  $\exists p_1 \dots \exists p_k F_1$

$(p, b) := \text{select\_variable\_value}(F)$

Let  $F'$  be obtained from  $F$  by deleting  $\exists p$  from its outermost prefix

**if**  $b = 0$  **then** //  $p \mapsto \perp$  branch first

**case** (*splitting*(( $F'$ ) $^\perp_p$ ),  $\exists$ ) **of**

(0,  $\forall$ )  $\Rightarrow$  **return** 0

(1,  $\exists$ )  $\Rightarrow$  **return** 1

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## Splitting: examples

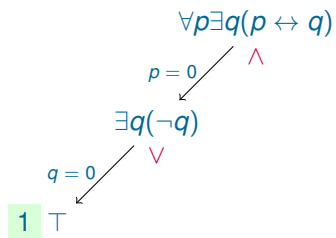
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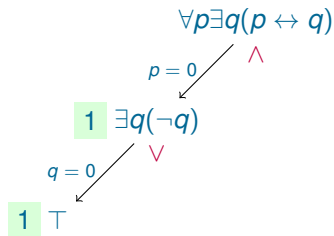
$$\begin{array}{l} \forall p \exists q (p \leftrightarrow q) \\ \quad \swarrow \quad \wedge \\ p = 0 \quad \searrow \\ \exists q (\neg q) \end{array}$$



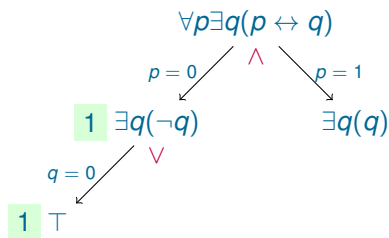
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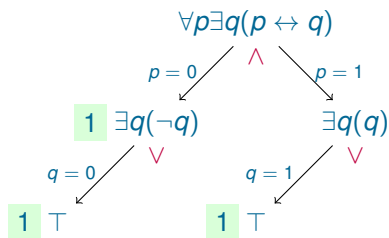
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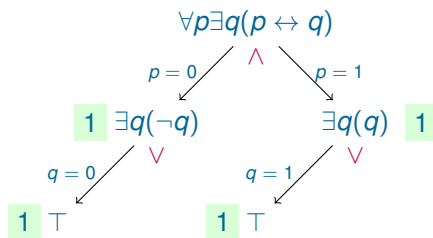
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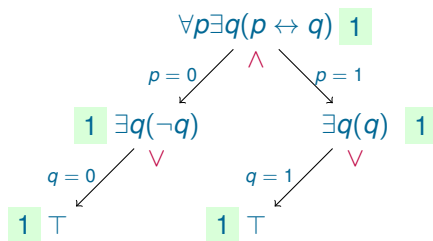
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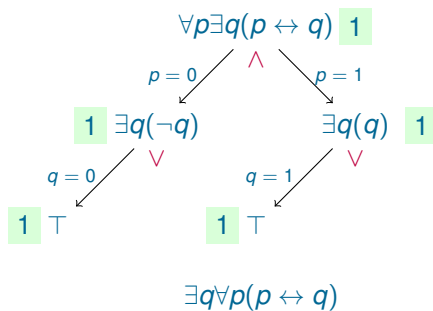
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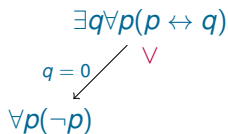
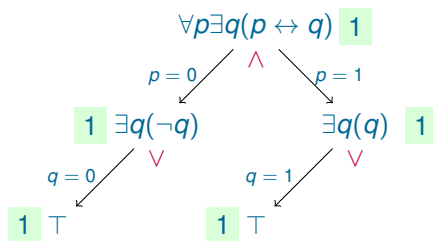
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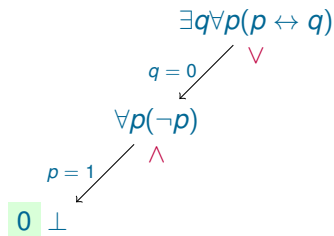
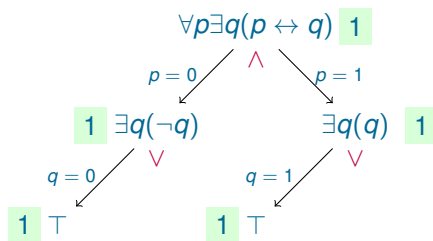


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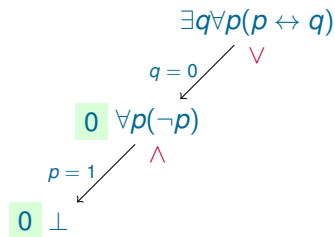
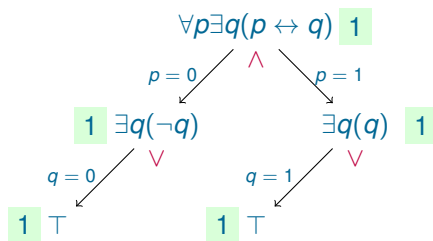




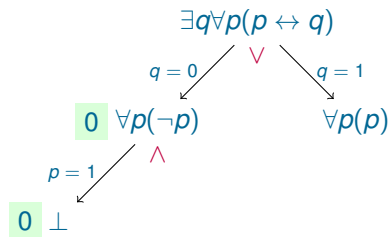
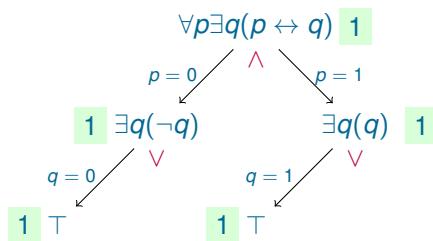
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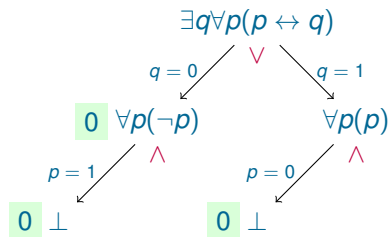
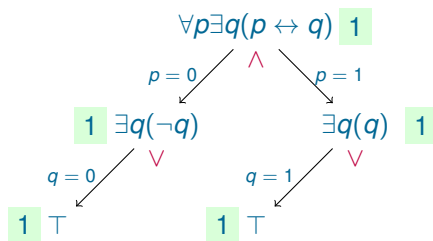
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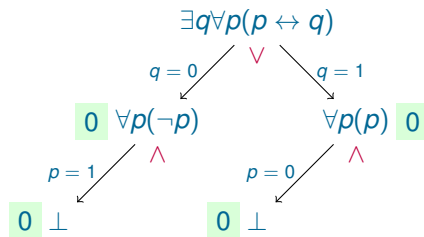
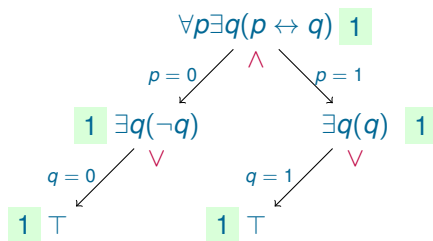
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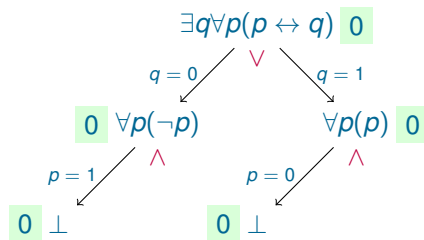
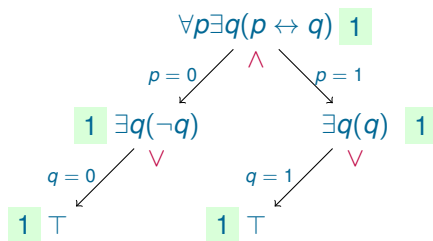
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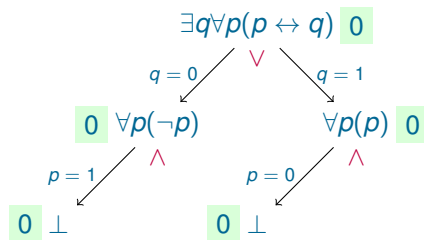
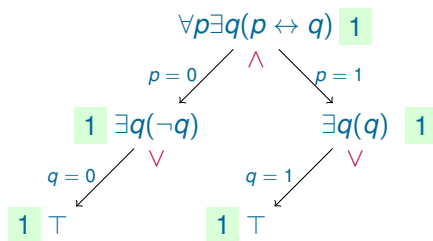
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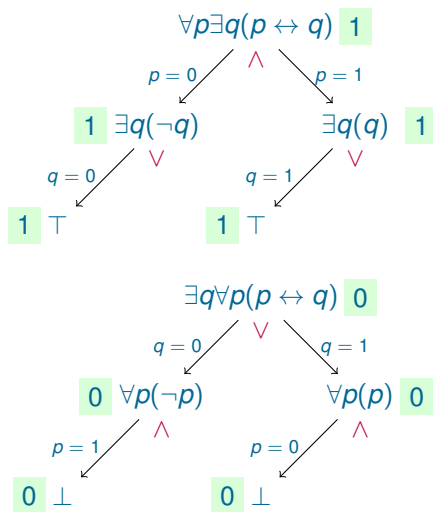


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**Two-player game:** by selecting a value for  $\exists q$  one is trying to make the formula true, by selecting a value for  $\forall p$  one is trying to make it false.



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A quantified boolean formula  $F$  is in **CNF**, if it is either  $\perp$ , or  $\top$ , or has the form  $\exists_1 p_1 \dots \exists_n p_n (C_1 \wedge \dots \wedge C_m)$ , where  $C_1, \dots, C_m$  are clauses.

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Example:

$$\forall p \exists q \exists s ((\neg p \vee s \vee q) \wedge (s \vee \neg q) \wedge \neg s))$$

# CNF rules

Prenexing rules + propositional CNF rules:

$$\begin{aligned} F \leftrightarrow G &\Rightarrow (\neg F \vee G) \wedge (\neg G \vee F), \\ F \rightarrow G &\Rightarrow \neg F \vee G, \\ \neg(F \wedge G) &\Rightarrow \neg F \vee \neg G, \\ \neg(F \vee G) &\Rightarrow \neg F \wedge \neg G, \\ \neg\neg F &\Rightarrow F, \\ (F_1 \wedge \dots \wedge F_m) \vee G_1 \vee \dots \vee G_n &\Rightarrow (F_1 \vee G_1 \vee \dots \vee G_n) \wedge \\ &\quad \dots \wedge \\ &\quad (F_m \vee G_1 \vee \dots \vee G_n). \end{aligned}$$

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- ▶  $Q$ : quantifier sequence  $\exists_1 p_1 \dots \exists_n p_n$
- ▶  $S$ : a set of clauses

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The player playing  $\forall$  wants to make the formula false.

When it is his turn to make a move  $\forall p$ , he has a **winning move**:

select the value for  $p$  which makes the unit clause false (and hence the conjunction of clauses false too).

# DPLL algorithm

**procedure** *DPLL*( $Q, S$ )

**input:** quantifier sequence  $Q = \exists_1 p_1 \dots \exists_n p_n$ , set of clauses  $S$

**output:** 0 or 1

**parameters:** function *select\_variable\_value* (selects a variable from the **outermost** prefix of  $F$  and a boolean value for it)

**begin**

$S := \text{unit\_propagate}(Q, S)$

**if**  $S$  is empty **then return** 1

**if**  $S$  contains  $\square$  **then return** 0

$(p, b) := \text{select\_variable\_value}(Q, S)$

Let  $Q'$  be obtained from  $Q$  by deleting  $\exists p$  from its **outermost prefix**

**if**  $b = 0$  **then**  $L := \neg p$

**else**  $L := p$

**case** ( $DPLL(Q', S \cup \{L\})$ ,  $\exists$ ) **of**

$(0, \forall) \Rightarrow$  **return** 0

$(1, \forall) \Rightarrow$  **return**  $DPLL(Q', S \cup \{\bar{L}\})$

$(1, \exists) \Rightarrow$  **return** 1

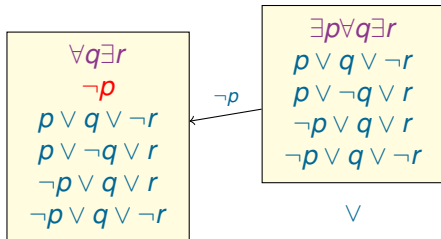
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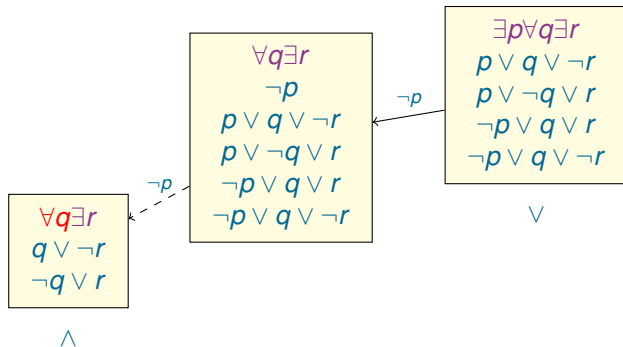
# Example

$$\begin{array}{c} \exists p \forall q \exists r \\ p \vee q \vee \neg r \\ p \vee \neg q \vee r \\ \neg p \vee q \vee r \\ \neg p \vee q \vee \neg r \\ \vee \end{array}$$

# Example

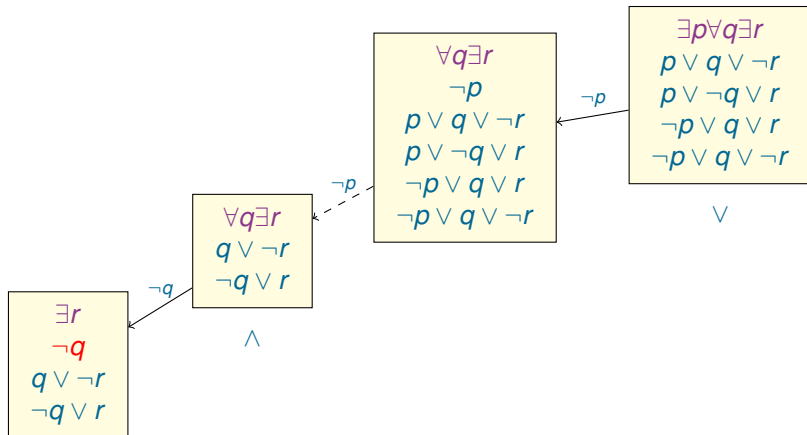


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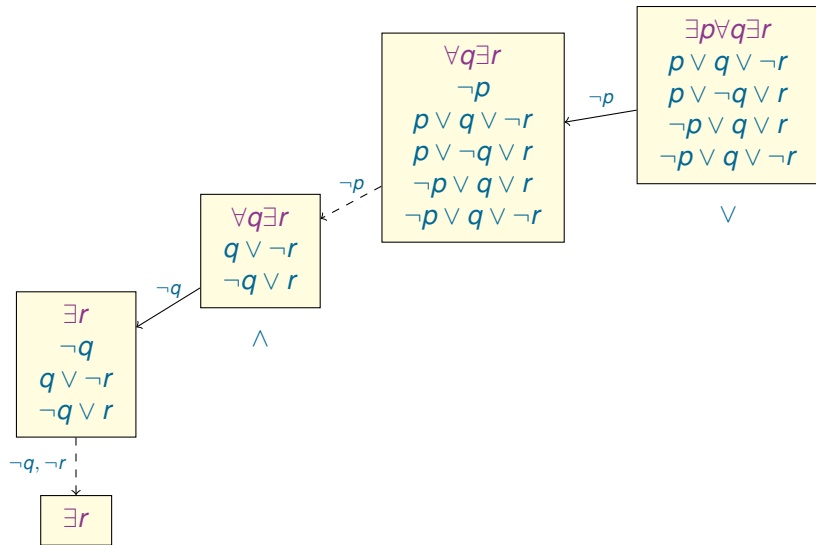




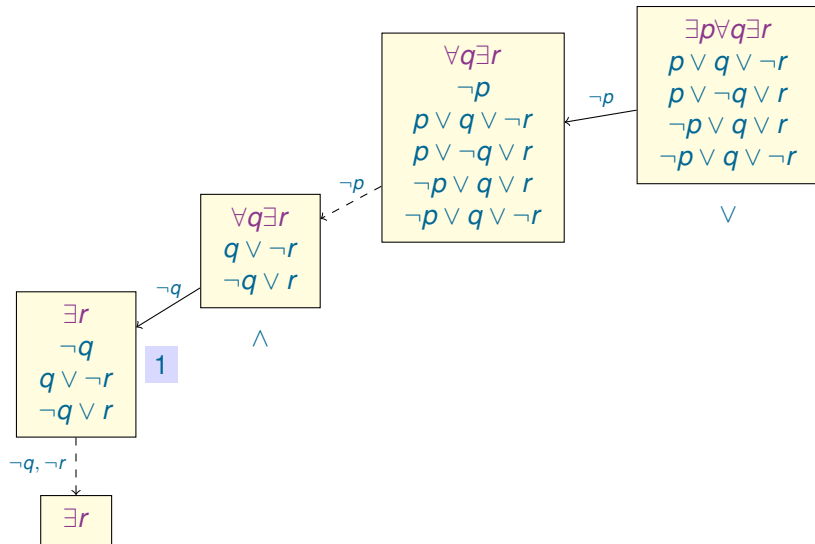
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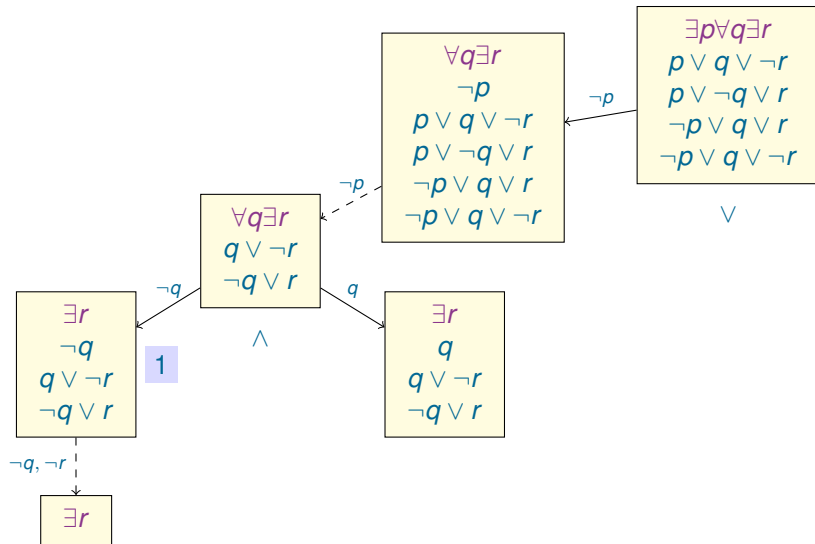
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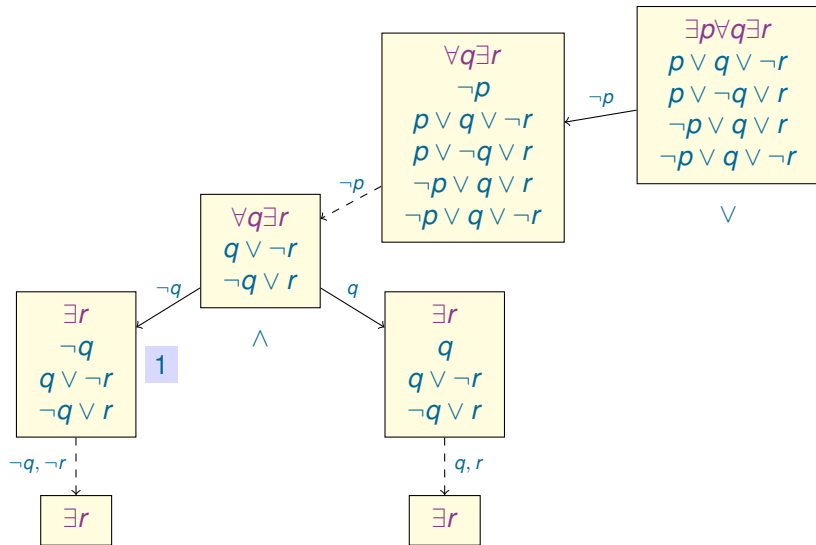
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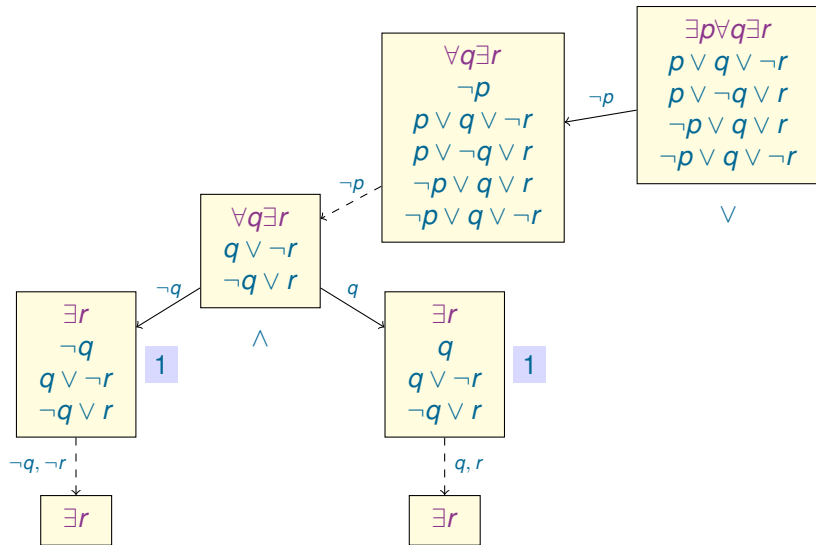
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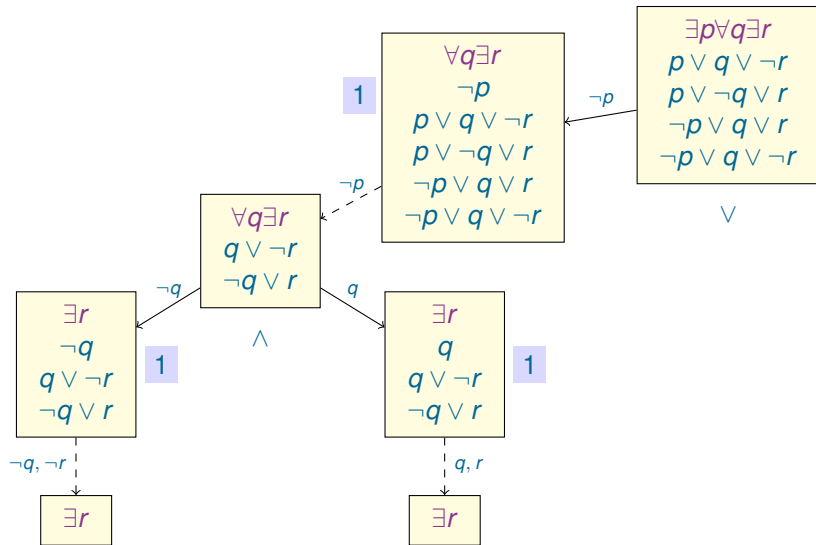
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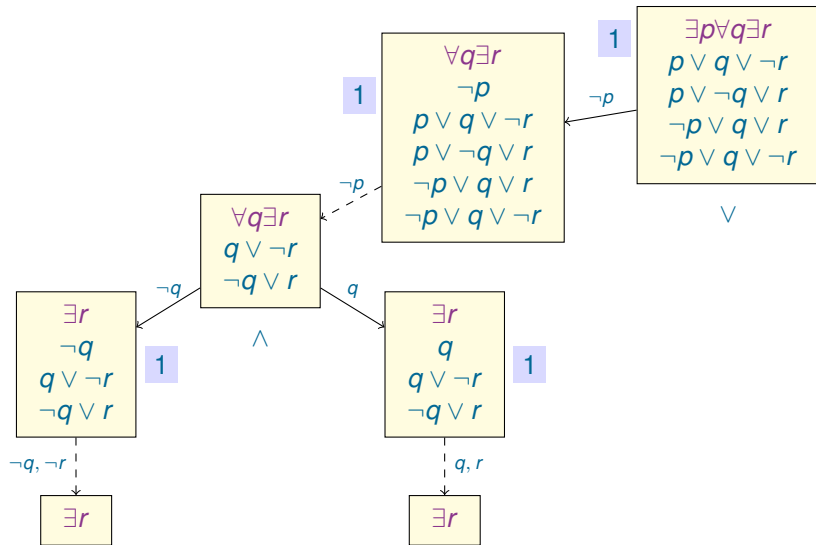
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# Pure literal rule

Let  $Q$  be quantifier prefix and  $S$  set of clauses.

Let literal  $L$  be **pure** in  $S$  (i.e.  $\bar{L}$  does not occur in  $S$ ) then:

- ▶ If the variable of  $L$  is **existentially** quantified in  $Q$  then we can **remove all clauses** in which  $L$  occurs.
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- ▶ If the variable of  $L$  is **universally** quantified then we can **remove  $L$  from all clauses** where  $L$  occurs.

Why?

- ▶ The  $\exists$ -player will make the literal true (so all clauses containing this literal will be satisfied).

# Pure literal rule

Let  $Q$  be quantifier prefix and  $S$  set of clauses.

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Why?

- ▶ The  $\exists$ -player will make the literal true (so all clauses containing this literal will be satisfied).
- ▶ The  $\forall$ -player will make the literal false (so it can be removed from all clauses containing this literal).

# Universal literal deletion

Consider a quantifier prefix  $Q$  and a conjunction of clauses  $S$ .

- ▶ a variable  $p$  is **existential in**  $Q$ , if  $Q$  contains  $\exists p$ .
- ▶ a variable  $q$  is **universal in**  $Q$ , if  $Q$  contains  $\forall q$ .
- ▶ A variable  $p$  is **quantified before** a variable  $q$  if  $p$  occurs **before**  $q$  in  $Q$ .

**Example:** If  $Q$  is  $\forall q \exists p \forall r$  then  $q$  is quantified before both  $p$  and  $r$ ; and  $p$  is quantified before  $r$  (in  $Q$ ).

## Theorem

Let  $Q$  be a quantifier prefix and  $S$  a conjunction of clauses. Suppose that

1.  $C$  is a non-tautological clause in  $S$ ;
2. a variable  $q$  in  $C$  is universal in  $Q$ ;
3. all existential variables in  $C$  are quantified before  $q$ .

Then the deletion of the literal containing  $q$  from  $C$  does not change the truth value of  $QS$ .

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Let  $q_1, \dots, q_m$  be all universal variables of  $C$  such that all existential variables are quantified before them. Then  $C$  has the form:

$$L_1 \vee \dots \vee L_n \vee (\neg)q_1 \vee \dots \vee (\neg)q_m$$

Consider the position before the  $q_1, \dots, q_m$ -moves of the  $\forall$ -player.

- ▶ If at least one of the literals  $L_1, \dots, L_n$  is true, deletion of  $(\neg)q_1, \dots, (\neg)q_m$  will not change the outcome of the game, since after any assignment to  $q_1, \dots, q_m$  the clause will be true.
- ▶ If all of the literals  $L_1, \dots, L_n$  are false, the  $\forall$ -player will make all  $(\neg)q_1, \dots, (\neg)q_m$  false and win the game, so deletion of these literals will not change the outcome of the game either.

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## Example

$$\exists p \exists q \forall r \exists s ((p \vee \neg r) \wedge (\neg q \vee r) \wedge (\neg p \vee q \vee s) \wedge (\neg p \vee q \vee r \vee \neg s))$$

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- Apply universal literal deletion to  $p \vee \neg r$



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- ▶ Apply universal literal deletion to  $p \vee \neg r$
- ▶ Unit propagation  $p$
- ▶ Apply the pure literal rule to  $r$

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- ▶ Apply universal literal deletion to  $p \vee \neg r$
- ▶ Unit propagation  $p$
- ▶ Apply the pure literal rule to  $r$
- ▶ Unit propagation  $\neg q$

# Example

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- ▶ Apply universal literal deletion to  $p \vee \neg r$
- ▶ Unit propagation  $p$
- ▶ Apply the pure literal rule to  $r$
- ▶ Unit propagation  $\neg q, s$

# QBF and OBDD

Any QBF  $F(p_1, \dots, p_n)$  represents a boolean function.

OBDDs can be used to canonically represent boolean functions.

We know how to apply boolean operations to OBDDs.

Can we also apply quantification to OBDDs in a straightforward way?



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We know how to apply boolean operations to OBDDs.

Can we also apply quantification to OBDDs in a straightforward way?

**Quantification:** given an OBDD representing a formula  $F$ , find an OBDD representing  $\exists_1 p_1 \dots \exists_n p_n F$

# Quantification for OBDDs

We can use the following properties of QBFs:

- ▶  $\exists p ( \text{ if } p \text{ then } F \text{ else } G ) \equiv F \vee G;$
- ▶  $\forall p ( \text{ if } p \text{ then } F \text{ else } G ) \equiv F \wedge G;$

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- ▶  $\forall p ( \text{ if } p \text{ then } F \text{ else } G ) \equiv F \wedge G;$
- ▶ If  $p \neq q$ , then  
 $\exists p ( \text{ if } q \text{ then } F \text{ else } G ) \equiv \text{ if } q \text{ then } \exists p F \text{ else } \exists p G$

## $\exists$ -quantification algorithm for OBDDs

**procedure**  $\exists quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_m\})$

**parameters:** global dag  $D$

**input:** nodes  $n_1, \dots, n_m$  representing  $F_1, \dots, F_m$  in  $D$

**output:** a node  $n$  representing  $\exists p_1 \dots \exists p_k (F_1 \vee \dots \vee F_m)$  in (modified)  $D$

**begin**

**if**  $m = 0$  **then return**  $\boxed{0}$

**if** some  $n_i$  is  $\boxed{1}$  **then return**  $\boxed{1}$

**if** some  $n_i$  is  $\boxed{0}$  **then**

**return**  $\exists quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_{i-1}, n_{i+1}, \dots, n_m\})$

$p := \text{max\_atom}(n_1, \dots, n_m)$

**forall**  $i = 1 \dots m$

**if**  $n_i$  is labelled by  $p$

**then**  $(l_i, r_i) := (\text{neg}(n_i), \text{pos}(n_i))$

**else**  $(l_i, r_i) := (n_i, n_i)$

**if**  $p \in \{p_1, \dots, p_k\}$

**then return**  $\exists quant(\{p_1, \dots, p_k\} - \{p\}, \{l_1, \dots, l_m, r_1, \dots, r_m\})$

**else**

$k_1 := \exists quant(\{p_1, \dots, p_k\}, \{l_1, \dots, l_m\})$

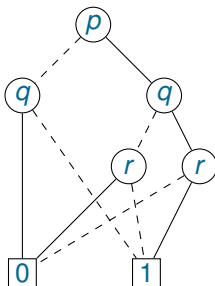
$k_2 := \exists quant(\{p_1, \dots, p_k\}, \{r_1, \dots, r_m\})$

**return**  $\text{integrate}(k_1, p, k_2, D)$

**end**

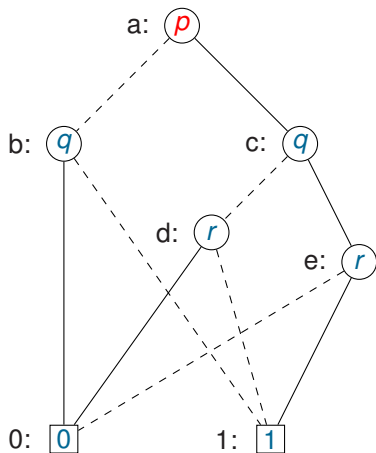
## Example

Take the order  $p > q > r$  and the formula  $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$ .



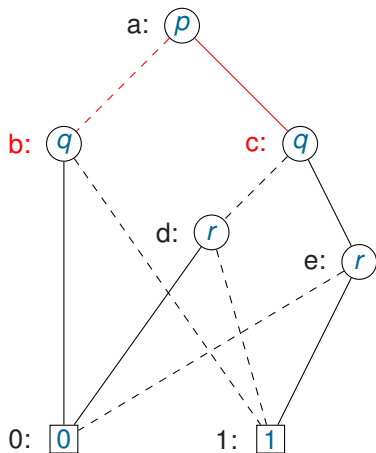
Example  $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

$\exists quant(\{r, p\}, \{a\})$



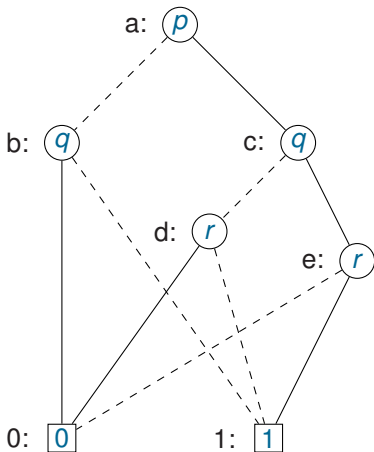
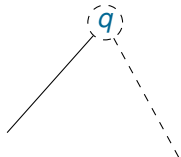
Example  $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

$\exists quant(\{r, p\}, \{a\})$   
 $\exists quant(\{r\}, \{b, c\})$



Example  $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

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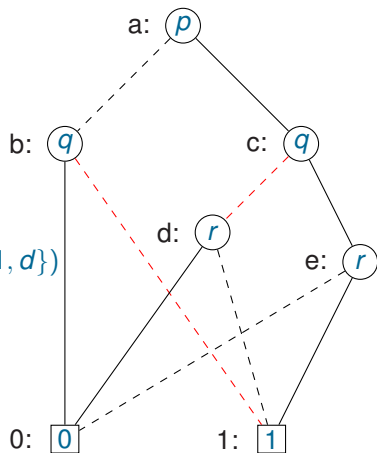


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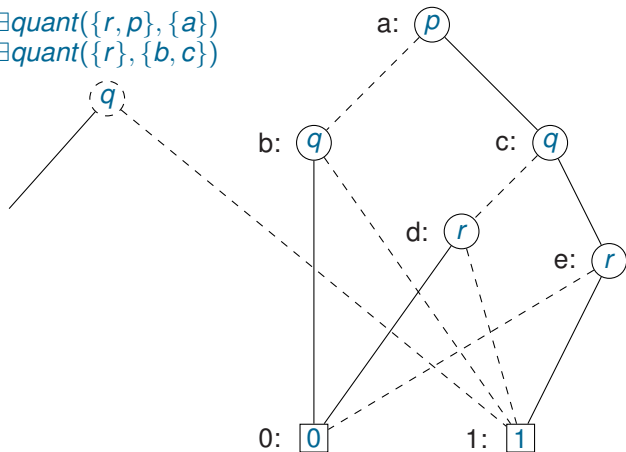
$q$

$\exists quant(\{r\}, \{1, d\})$

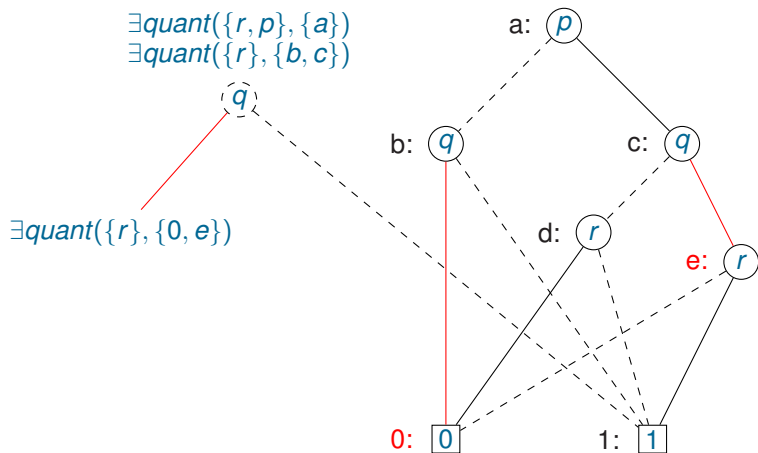


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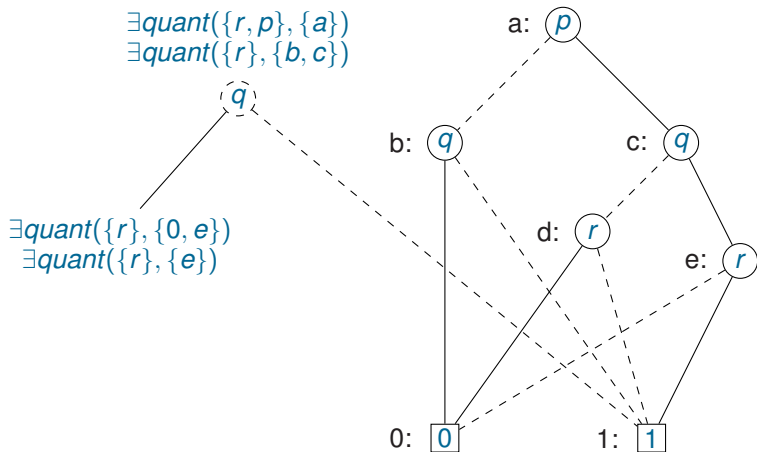
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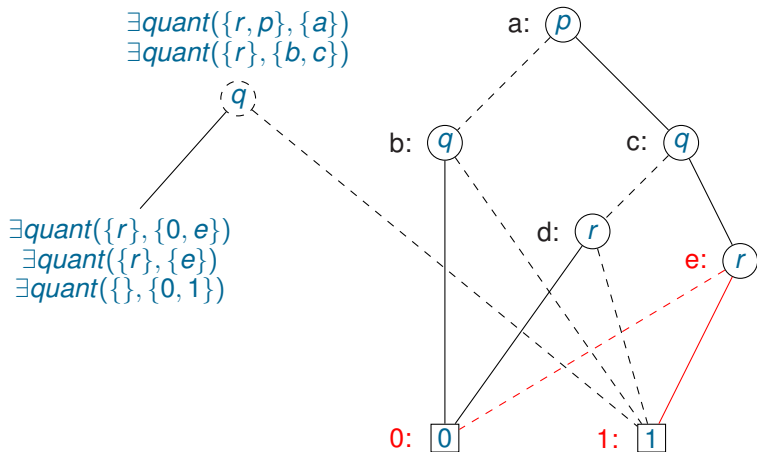
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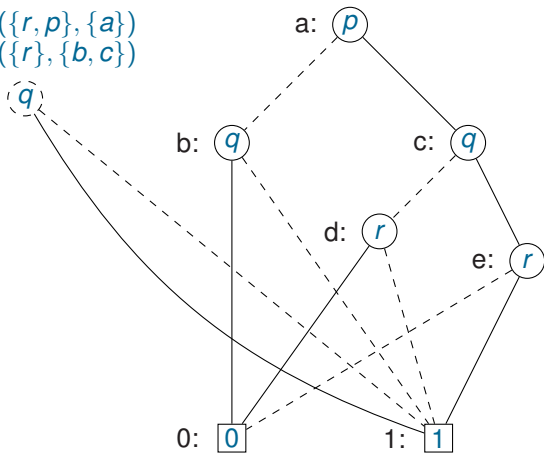


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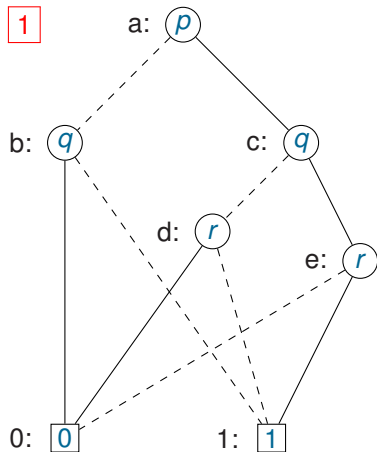
Example  $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

$\exists quant(\{r, p\}, \{a\})$   
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Example  $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

$$\exists quant(\{r, p\}, \{a\}) = \boxed{1}$$



QBF  $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$  is represented by the node  $\boxed{1}$ .  
This formula is **true**.

## $\exists$ -quantification algorithm for OBDDs

**procedure**  $\exists quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_m\})$

**parameters:** global dag  $D$

**input:** nodes  $n_1, \dots, n_m$  representing  $F_1, \dots, F_m$  in  $D$

**output:** a node  $n$  representing  $\exists p_1 \dots \exists p_k (F_1 \vee \dots \vee F_m)$  in (modified)  $D$

**begin**

**if**  $m = 0$  **then return**  $0$

**if** some  $n_i$  is  $1$  **then return**  $1$

**if** some  $n_i$  is  $0$  **then**

**return**  $\exists quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_{i-1}, n_{i+1}, \dots, n_m\})$

$p := \max\_atom(n_1, \dots, n_m)$

**forall**  $i = 1 \dots m$

**if**  $n_i$  is labelled by  $p$

**then**  $(l_i, r_i) := (neg(n_i), pos(n_i))$

**else**  $(l_i, r_i) := (n_i, n_i)$

**if**  $p \in \{p_1, \dots, p_k\}$

**then return**  $\exists quant(\{p_1, \dots, p_k\} - \{p\}, \{l_1, \dots, l_m, r_1, \dots, r_m\})$

**else**

$k_1 := \exists quant(\{p_1, \dots, p_k\}, \{l_1, \dots, l_m\})$

$k_2 := \exists quant(\{p_1, \dots, p_k\}, \{r_1, \dots, r_m\})$

**return**  $integrate(k_1, p, k_2, D)$

**end**



# $\forall$ -quantification algorithm for OBDDs

**procedure**  $\forall quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_m\})$

**parameters:** global dag  $D$

**input:** nodes  $n_1, \dots, n_m$  representing  $F_1, \dots, F_m$  in  $D$

**output:** a node  $n$  representing  $\forall p_1 \dots \forall p_k (F_1 \wedge \dots \wedge F_m)$  in (modified)  $D$

**begin**

**if**  $m = 0$  **then return**  $\boxed{1}$

**if** some  $n_i$  is  $\boxed{0}$  **then return**  $\boxed{0}$

**if** some  $n_i$  is  $\boxed{1}$  **then**

**return**  $\forall quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_{i-1}, n_{i+1}, \dots, n_m\})$

$p := \text{max\_atom}(n_1, \dots, n_m)$

**forall**  $i = 1 \dots m$

**if**  $n_i$  is labelled by  $p$

**then**  $(l_i, r_i) := (\text{neg}(n_i), \text{pos}(n_i))$

**else**  $(l_i, r_i) := (n_i, n_i)$

**if**  $p \in \{p_1, \dots, p_k\}$

**then return**  $\forall quant(\{p_1, \dots, p_k\} - \{p\}, \{l_1, \dots, l_m, r_1, \dots, r_m\})$

**else**

$k_1 := \forall quant(\{p_1, \dots, p_k\}, \{l_1, \dots, l_m\})$

$k_2 := \forall quant(\{p_1, \dots, p_k\}, \{r_1, \dots, r_m\})$

**return**  $\text{integrate}(k_1, p, k_2, D)$

**end**

# Quantifier elimination

**Lemma (quantifier elimination):** For any quantified Boolean formula  $F$  there is an equivalent quantifier-free formula  $Q$ , ( $F \equiv Q$ ).

## Remarks:

- ▶ We can **eliminate quantifiers** from formulas one by one from innermost to outermost using OBDDs.
- ▶ In particular, we can **evaluate/check satisfiability/validity** of QBFs using quantification algorithms on OBDDs.
- ▶ Evaluation/satisfiability/validity of QBF is **PSPACE-complete**.

# Summary

Quantified Boolean Formulas: boolean formulas + quantifiers  $\exists, \forall$ .

Any **closed** formula is either **true** or **false** (in all interpretations).

**Satisfiability/validity** of formulas with free variables can be reduced to checking **truth/falsity** of closed formulas.

**Prenex normal form**: rectification + prenexing rules + CNF rules.

Alg. for checking truth/falsity of closed formulas in prenex form:

- ▶ **Splitting**:  $\wedge, \vee$  nodes. Pure atom rule.
- ▶ **DLL**: splitting + unit propagation;  $\wedge, \vee$  nodes.  
Pure literal rule, Universal literal rule.

**QBF** with free variables represent boolean functions.

Quantification algorithms for building **OBDDs from QBFs**.

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**Next**: Modelling using Propositional Logic of Finite Domains.