

Probabilistic analysis of satisfiability

Next:

- ▶ What is quantitative relationship between satisfiable and unsatisfiable problems? In other words if we pick a set of clauses at **random** with what probability it will be satisfiable?
- ▶ How can we **randomly generate hard problems**?
- ▶ **Randomized algorithms** for showing satisfiability.

SAT and k -SAT

SAT is the problem of satisfiability checking for sets of clauses.

A **k -clause** is a clause with k literals. **k -SAT** is the problem of satisfiability checking for sets of **k -clauses**.

- SAT is NP-complete;
- 3-SAT is NP-complete.
- 2-SAT is decidable in linear time;

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There is a simple **reduction of SAT to 3-SAT** based on the same ideas as used for generating short clausal forms (naming). Take a clause having more than 3 literals:

$$L_1 \vee L_2 \vee L_3 \vee L_4 \dots$$

And replace it by two clauses:

$$\begin{aligned} L_1 \vee L_2 \vee n \\ \neg n \vee L_3 \vee L_4 \dots \end{aligned}$$

where n is a new variable.

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where n is a new variable.

We will consider k -SAT for a fixed k .

Random Clause Generation

How can one generate a random k -clause?

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- ▶ **random k -clause**: generate k random literals

Suppose we generate random clauses one after one. **How does the set of models of this set change?**

Example (obtained by a program) for $n = 5$ and $k = 2$

p_1	p_2	p_3	p_4	p_5
0	0	0	0	0
0	0	0	0	1
0	0	0	1	0
0	0	0	1	1
0	0	1	0	0
0	0	1	0	1
0	0	1	1	0
0	0	1	1	1
0	1	0	0	0
0	1	0	0	1
0	1	0	1	0
0	1	0	1	1
0	1	1	0	0
0	1	1	0	1
0	1	1	1	0
0	1	1	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1
1	1	1	0	0
1	1	1	0	1
1	1	1	1	0
1	1	1	1	1

Number of models: 32

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0		1	0	0	0	0
	0	0	0	0	1		1	0	0	0	1
	0	0	0	1	0		1	0	0	1	0
	0	0	0	1	1		1	0	0	1	1
	0	0	1	0	0		1	0	1	0	0
	0	0	1	0	1		1	0	1	0	1
	0	0	1	1	0		1	0	1	1	0
	0	0	1	1	1		1	0	1	1	1
	0	1	0	0	0		1	1	0	0	0
	0	1	0	0	1		1	1	0	0	1
	0	1	0	1	0		1	1	0	1	0
	0	1	0	1	1		1	1	0	1	1
	0	1	1	0	0		1	1	1	0	0
	0	1	1	0	1		1	1	1	0	1
	0	1	1	1	0		1	1	1	1	0
	0	1	1	1	1		1	1	1	1	1

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	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0
	0	0	0	0	1
	0	0	0	1	0
	0	0	0	1	1
	0	0	1	0	0
	0	0	1	0	1
	0	0	1	1	0
	0	0	1	1	1
	0	1	0	0	0
	0	1	0	0	1
	0	1	0	1	0
	0	1	0	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

Number of models: 24

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0		1	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1		1	0	0	0	1
	0	0	0	1	0		1	0	0	1	0
	0	0	0	1	1		1	0	0	1	1
	0	0	1	0	0		1	0	1	0	0
	0	0	1	0	1		1	0	1	0	1
	0	0	1	1	0		1	0	1	1	0
	0	0	1	1	1		1	0	1	1	1
	0	1	0	0	0		1	1	0	0	0
	0	1	0	0	1		1	1	0	0	1
	0	1	0	1	0		1	1	0	1	0
	0	1	0	1	1		1	1	0	1	1

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	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1
	0	0	0	1	0
	0	0	0	1	1
	0	0	1	0	0
	0	0	1	0	1
	0	0	1	1	0
	0	0	1	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

Number of models: 20

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1
$\neg p_2 \vee p_2$	0	0	0	1	0
	0	0	0	1	1
	0	0	1	0	0
	0	0	1	0	1
	0	0	1	1	0
	0	0	1	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
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1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

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	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1
$\neg p_2 \vee p_2$	0	0	0	1	0
$\neg p_2 \vee p_2$	0	0	0	1	1
$p_1 \vee p_1$	0	0	1	0	0
	0	0	1	0	1
	0	0	1	1	0
	0	0	1	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
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1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
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$\neg p_2 \vee \neg p_3$					
$\neg p_2 \vee p_1$					
$\neg p_2 \vee p_2$					
$p_1 \vee p_1$					

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

Number of models: 12

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$					
$\neg p_2 \vee p_1$					
$\neg p_2 \vee p_2$					
$p_1 \vee p_1$					
$\neg p_5 \vee p_5$					

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
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1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

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Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$					
$\neg p_2 \vee p_1$					
$\neg p_2 \vee p_2$					
$p_1 \vee p_1$					
$\neg p_5 \vee p_5$					
$p_4 \vee p_5$					

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
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1	1	0	0	1
1	1	0	1	0
1	1	0	1	1

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p_1 p_2 p_3 p_4 p_5

$$\neg p_2 \vee \neg p_3$$

$$\neg p_2 \vee p_1$$

$$\neg p_2 \vee p_2$$

$$p_1 \vee p_1$$

$$\neg p_5 \vee p_5$$

$$p_4 \vee p_5$$

p_1 p_2 p_3 p_4 p_5

1 0 0 0 1

1 0 0 1 0

1 0 0 1 1

1 0 1 0 1

1 0 1 1 0

1 0 1 1 1

1 1 0 0 1

1 1 0 1 0

1 1 0 1 1

Number of models: 9

Example (obtained by a program) for $n = 5$ and $k = 2$

p_1 p_2 p_3 p_4 p_5

$$\neg p_2 \vee \neg p_3$$

$$\neg p_2 \vee p_1$$

$$\neg p_2 \vee p_2$$

$$p_1 \vee p_1$$

$$\neg p_5 \vee p_5$$

$$p_4 \vee p_5$$

$$\neg p_5 \vee \neg p_3$$

p_1 p_2 p_3 p_4 p_5

1 0 0 0 1

1 0 0 1 0

1 0 0 1 1

1 0 1 0 1

1 0 1 1 0

1 0 1 1 1

1 1 0 0 1

1 1 0 1 0

1 1 0 1 1

Number of models: 9

Example (obtained by a program) for $n = 5$ and $k = 2$

p_1 p_2 p_3 p_4 p_5

$$\neg p_2 \vee \neg p_3$$

$$\neg p_2 \vee p_1$$

$$\neg p_2 \vee p_2$$

$$p_1 \vee p_1$$

$$\neg p_5 \vee p_5$$

$$p_4 \vee p_5$$

$$\neg p_5 \vee \neg p_3$$

p_1 p_2 p_3 p_4 p_5

1 0 0 0 1

1 0 0 1 0

1 0 0 1 1

1 0 1 1 0

1 1 0 0 1

1 1 0 1 0

1 1 0 1 1

Number of models: 7

Example (obtained by a program) for $n = 5$ and $k = 2$

p_1 p_2 p_3 p_4 p_5

$$\neg p_2 \vee \neg p_3$$

$$\neg p_2 \vee p_1$$

$$\neg p_2 \vee p_2$$

$$p_1 \vee p_1$$

$$\neg p_5 \vee p_5$$

$$p_4 \vee p_5$$

$$\neg p_5 \vee \neg p_3$$

$$p_2 \vee \neg p_4$$

p_1 p_2 p_3 p_4 p_5

1 0 0 0 1

1 0 0 1 0

1 0 0 1 1

1 0 1 1 0

1 1 0 0 1

1 1 0 1 0

1 1 0 1 1

Number of models: 7

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
							1	1	0	0	1
							1	1	0	1	0
							1	1	0	1	1

Number of models: 4

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
$p_5 \vee \neg p_2$							1	1	0	0	1
							1	1	0	1	0
							1	1	0	1	1

Number of models: 4

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$							1	1	0	0	1
$p_5 \vee \neg p_2$							1	1	0	1	1

Number of models: 3

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$							1	1	0	0	1
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$							1	1	0	1	1

Number of models: 3

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$											

Number of models: 1

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$											
$\neg p_1 \vee \neg p_4$											

Number of models: 1

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
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$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$											
$\neg p_1 \vee \neg p_4$											
$p_5 \vee p_2$											

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Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5		p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
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$p_5 \vee p_2$											
$\neg p_1 \vee \neg p_4$											
$p_5 \vee p_2$											
$\neg p_1 \vee \neg p_5$											

Number of models: 1

Example (obtained by a program) for $n = 5$ and $k = 2$

p_1 p_2 p_3 p_4 p_5

p_1 p_2 p_3 p_4 p_5

$$\neg p_2 \vee \neg p_3$$

$$\neg p_2 \vee p_1$$

$$\neg p_2 \vee p_2$$

$$p_1 \vee p_1$$

$$\neg p_5 \vee p_5$$

$$p_4 \vee p_5$$

$$\neg p_5 \vee \neg p_3$$

$$p_2 \vee \neg p_4$$

$$p_5 \vee \neg p_2$$

$$p_5 \vee p_2$$

$$\neg p_1 \vee \neg p_4$$

$$p_5 \vee p_2$$

$$\neg p_1 \vee \neg p_5$$

Number of models: 0

This set of 13 clauses is unsatisfiable.

Example (obtained by a program) for $n = 5$ and $k = 2$

$p_1 \quad p_2 \quad p_3 \quad p_4 \quad p_5$

$p_1 \quad p_2 \quad p_3 \quad p_4 \quad p_5$

$\neg p_2 \vee \neg p_3$

$\neg p_2 \vee p_1$

$\neg p_2 \vee p_2$

$p_1 \vee p_1$

$\neg p_5 \vee p_5$

$p_4 \vee p_5$

$\neg p_5 \vee \neg p_3$

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$\neg p_1 \vee \neg p_5$

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This set of 13 clauses is unsatisfiable.

Increasing number of generated clauses we can observe transition from satisfiable to unsatisfiable.

Random Clause Generation

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Note that the probability $\pi(r, n)$ is a monotone function: the more clauses we generate, the higher chance we have that the set is unsatisfiable.

Roulette

We will generate random instances of 3-SAT with 10-variables.



- 5 clauses?
- 30 clauses?
- 60 clauses?
- 100 clauses?
- 1000 clauses?

Roulette



We will generate random instances of 3-SAT with 10-variables.

You will bet on whether the resulting set of clauses is satisfiable or not.

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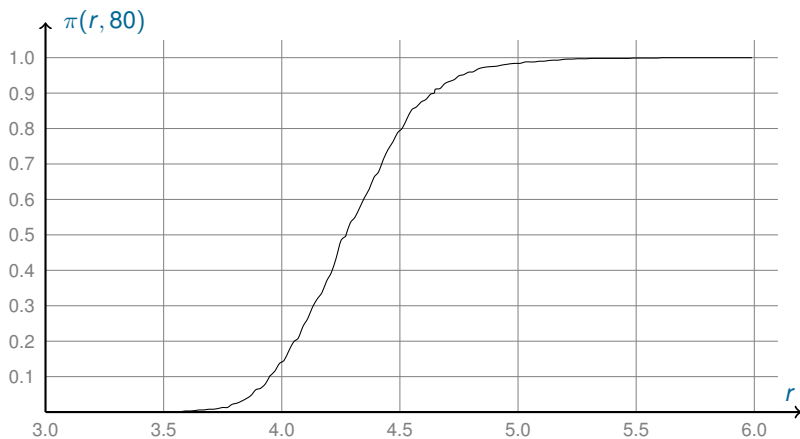
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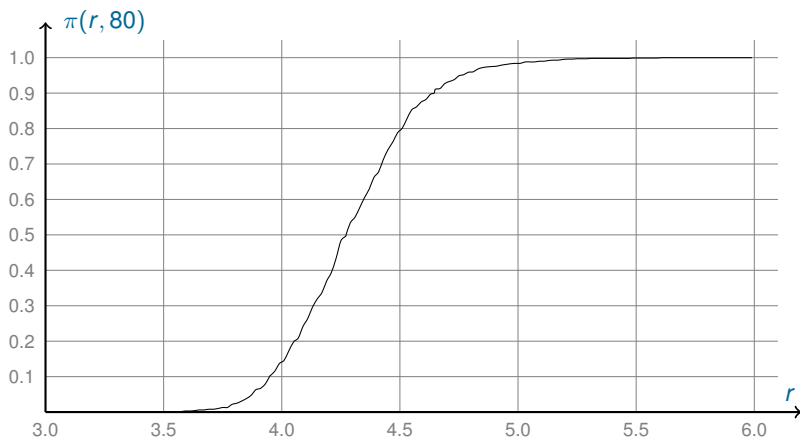
What would be your betting ratio?

Probability of obtaining an unsatisfiable set



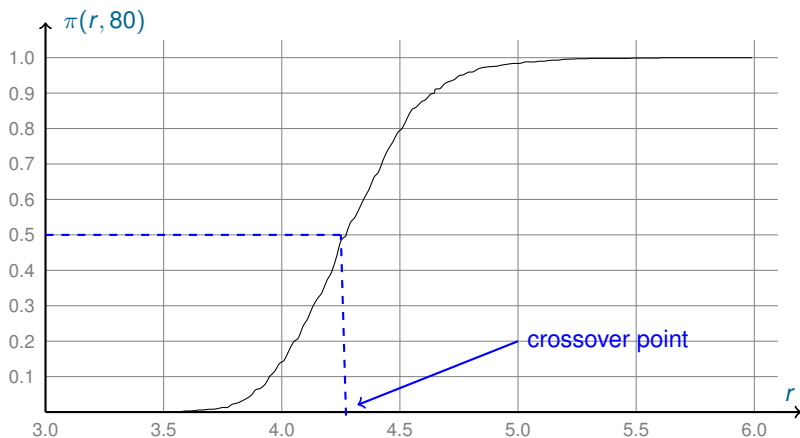
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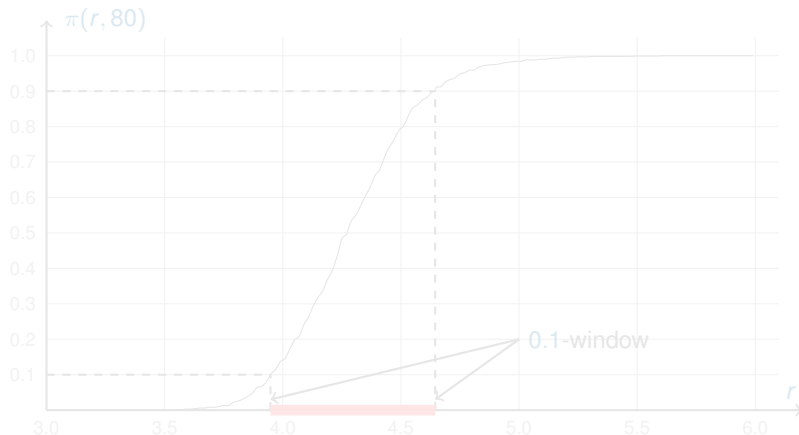


Experimentally: for large n **crossover point** is close to 4.25.

ϵ -window

Take a (small) number $\epsilon > 0$. ϵ -window is the interval of values of r where the probability is between ϵ and $1 - \epsilon$.

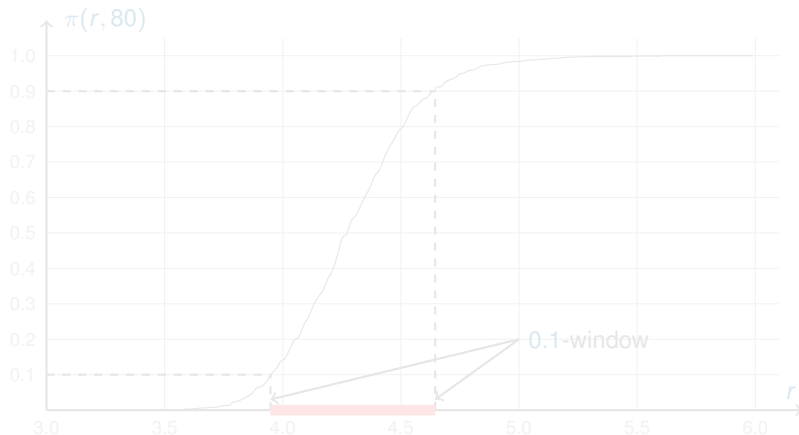
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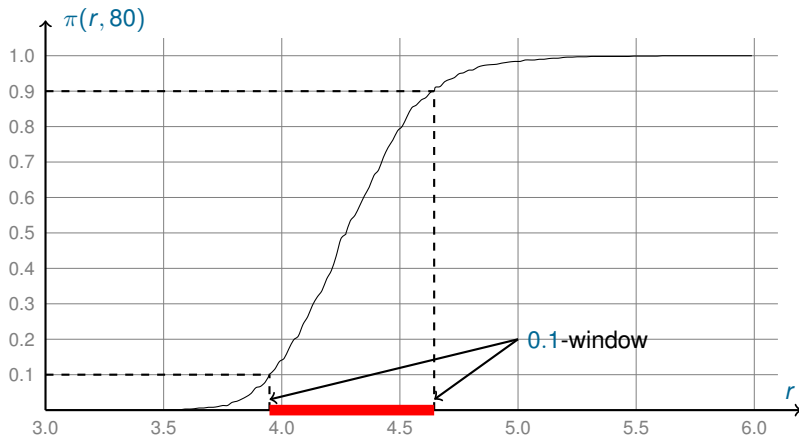
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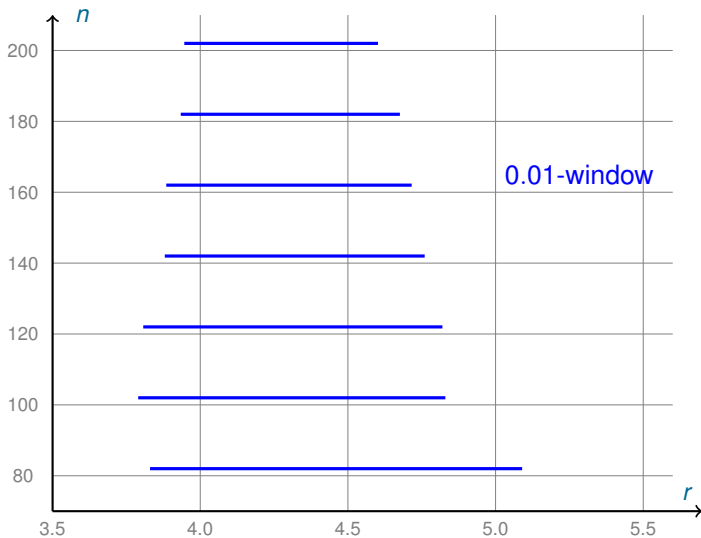
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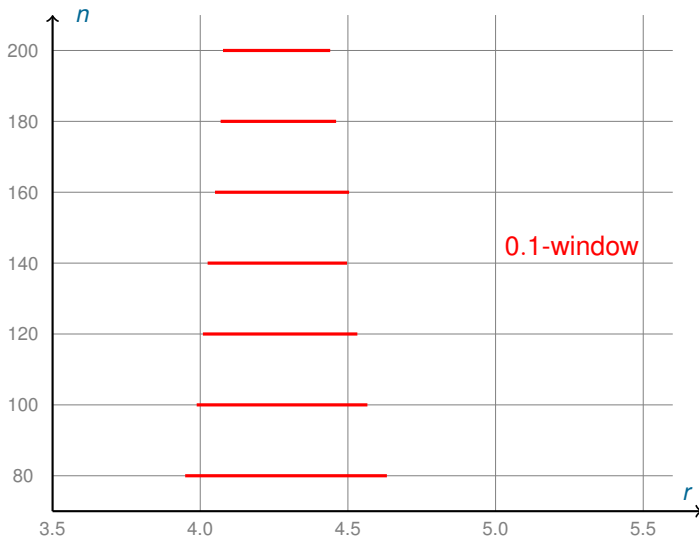
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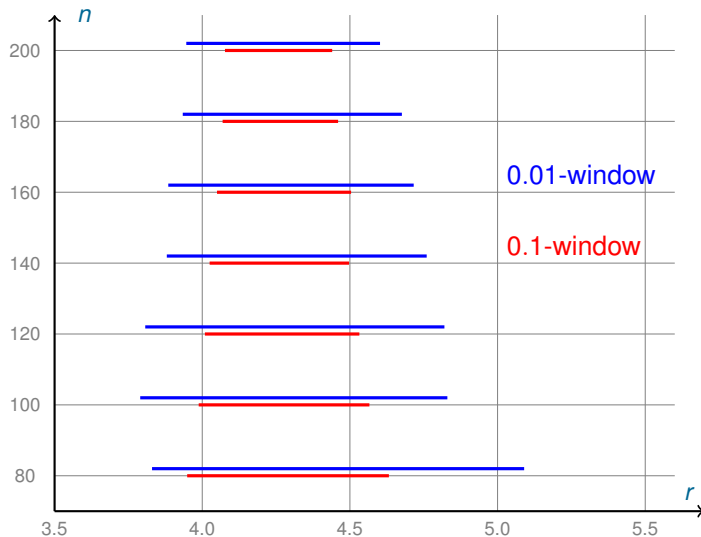
Scaling Window Effect



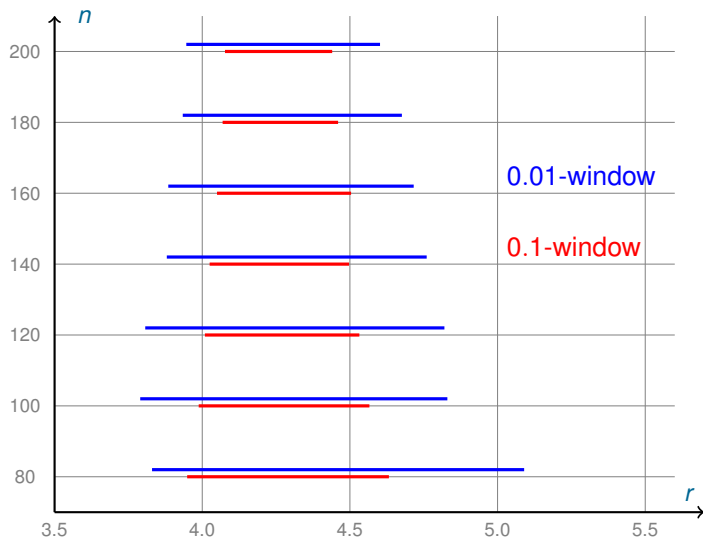
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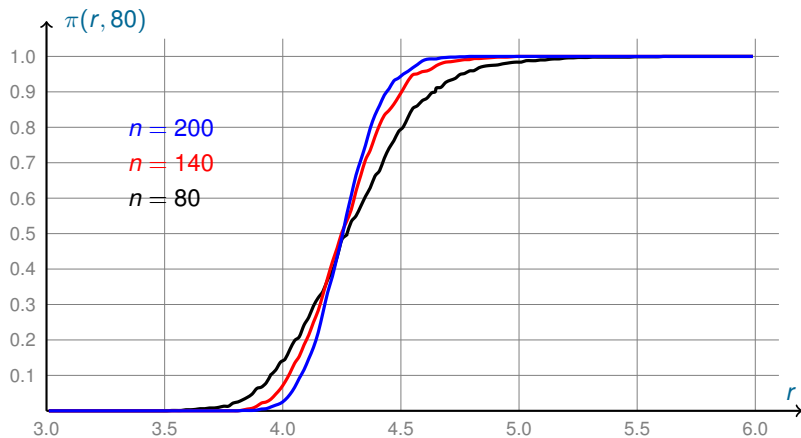


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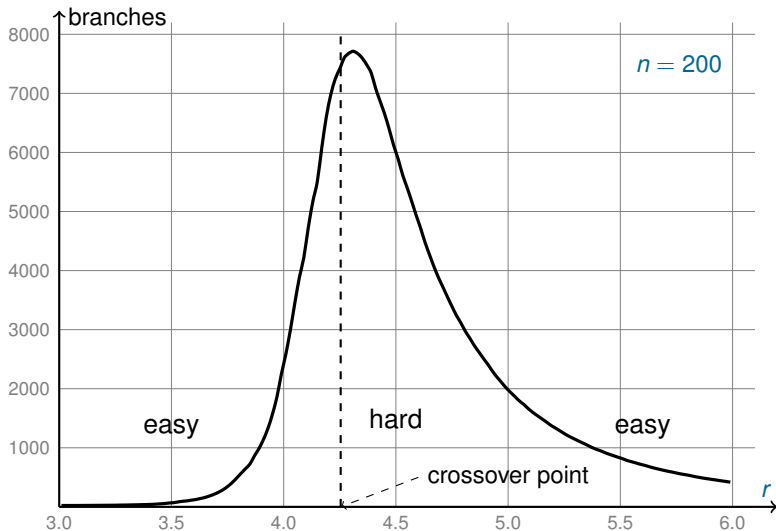


Conjecture: for $n \rightarrow \infty$ every ϵ -window “degenerates into a point”.

Sharp Phase Transition



Easy-Hard-Easy Pattern



Next

Next: Randomized satisfiability algorithms.

SAT as a Decision Problem

Decision problem: any collection of problems that have a **yes-no** answer. Each element of this collection is called an **instance** of this problem.

Example: solvability of systems of linear inequalities over integers.

- ▶ an **instance** in a system of linear inequalities;
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SAT is a decision problem:

- ▶ an **instance** is a finite set of clauses.
- ▶ it has a **yes-no** answer: **yes (satisfiable)** or **no (unsatisfiable)**

Witness for a instance I : any data D such that, given D , one can check in polynomial time (in D) that I has a yes-answer.

Satisfiability has **short witnesses**: interpretations.

Unsatisfiability has **no polynomial-size witnesses**, unless $NP = coNP$.

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input: set of clauses *S*

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Randomized satisfiability algorithms:

- ▶ random search for a satisfying assignment;
- ▶ cannot establish unsatisfiability;
- ▶ may return “don't know”

Randomised Algorithms for SAT

- ▶ Choose a **random interpretation**.
- ▶ If this interpretation is not a model, repeatedly choose a variable and **change its value in the interpretation** (**flip** the variable).

The flipped variables are chosen using heuristics or randomly, or both.

$$\text{flip}(I, p)(q) = \begin{cases} I(q), & \text{if } p \neq q; \\ 1, & \text{if } p = q \text{ and } I(p) = 0; \\ 0, & \text{if } p = q \text{ and } I(p) = 1. \end{cases}$$

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p := a variable such that *flip*(*I*, *p*) satisfies
the maximal number of clauses in *S*

I = *flip*(*I*, *p*)

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GSAT is a **local search algorithm**, it tries to maximise the number of satisfied clauses by local changes.

GSAT example

0		0		1
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
$\neg p_1$	\vee	p_2		
p_1	\vee	p_2		

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p_1	\vee	p_2		

flip no.	interpretation			satisfied clauses			candidates for flipping	flipped variable
	p_1	p_2	p_3	p_1	p_2	p_3		
1	0	0	1	4				

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p_1	\vee	$\neg p_2$	\vee	p_3
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	p_1	p_2	p_3		p_1	p_2	p_3		
1	0	0	1	4	3	4	4	p_2, p_3	p_2
2	0	1	1						

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1	0	0	1	4	3	4	4	p_2, p_3	p_2
2	0	1	1	4	3	4	4	p_2, p_3	p_3
3	0	1	0	4	5	4	4	p_1	p_1
	1	1	0						

GSAT example

1		1		0
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
$\neg p_1$	\vee	p_2		
p_1	\vee	p_2		

flip no.	interpretation			satisfied clauses				candidates for flipping	flipped variable
	p_1	p_2	p_3		p_1	p_2	p_3		
1	0	0	1	4	3	4	4	p_2, p_3	p_2
2	0	1	1	4	3	4	4	p_2, p_3	p_3
3	0	1	0	4	5	4	4	p_1	p_1
	1	1	0	5					

GSAT example

1		1		0
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
$\neg p_1$	\vee	p_2		
p_1	\vee	p_2		

flip no.	interpretation			satisfied clauses				candidates for flipping	flipped variable
	p_1	p_2	p_3		p_1	p_2	p_3		
1	0	0	1	4	3	4	4	p_2, p_3	p_2
2	0	1	1	4	3	4	4	p_2, p_3	p_3
3	0	1	0	4	5	4	4	p_1	p_1
	1	1	0	5					

Advantages: Can quickly find a **satisfying** assignment in large problems.

Issues: during the inner loop GSAT can get **stuck** in a "plateau" optimum point, where further flips do not change the number of satisfied clauses.

GSAT with random walks

procedure *GSATwithWalks*(S)

input: set of clauses S

output: interpretation I such that $I \models S$ or *don't know*

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parameters: integers *MAX-TRIES*, *MAX-FLIPS*

real number $0 \leq \pi \leq 1$ (probability of a sideways move),

begin

repeat *MAX-TRIES* times

I := random interpretation ;

if $I \models S$ **then return** *I*

end

GSAT with random walks

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input: set of clauses S

output: interpretation I such that $I \models S$ or *don't know*

parameters: integers *MAX-TRIES*, *MAX-FLIPS*

real number $0 \leq \pi \leq 1$ (probability of a sideways move),

begin

repeat *MAX-TRIES* times

$I :=$ random interpretation ;

if $I \models S$ **then return** I

repeat *MAX-FLIPS* times

with probability π

$p :=$ a variable such that $flip(I, p)$ satisfies
the maximal number of clauses in S

with probability $1 - \pi$

randomly select p among all variables occurring in clauses false in I

$I = flip(I, p)$;

if $I \models S$ **then return** I

return *don't know*

end

Walk SAT (WSAT)

procedure *WSAT*(*S*)

input: set of clauses *S*

output: interpretation *I* such that $I \models S$ or *don't know*

parameters: integers *MAX-TRIES*, *MAX-FLIPS*

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input: set of clauses *S*

output: interpretation *I* such that $I \models S$ or *don't know*

parameters: integers *MAX-TRIES*, *MAX-FLIPS*

begin

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procedure *WSAT*(*S*)

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output: interpretation *I* such that $I \models S$ or *don't know*

parameters: integers *MAX-TRIES*, *MAX-FLIPS*

begin

repeat *MAX-TRIES* times

I := random interpretation

if $I \models S$ **then return** *I*

repeat *MAX-FLIPS* times

randomly select a clause $C \in S$ such that $I \not\models C$

randomly select a variable *p* in *C*

I = *flip*(*I*, *p*)

if $I \models S$ **then return** *I*

return *don't know*

end

Walk SAT example

0		0		1
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
$\neg p_1$	\vee	p_2		
p_1	\vee	p_2		

Walk SAT example

0		0		1
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
$\neg p_1$	\vee	p_2		
p_1	\vee	p_2		

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	p_1	p_2	p_3			
1	0	0	1			

Walk SAT example

0		0		1
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
$\neg p_1$	\vee	p_2		
p_1	\vee	p_2		

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	p_1	p_2	p_3			
1	0	0	1	$p_1 \vee p_2$	p_1, p_2	

Walk SAT example

1		0		1
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
$\neg p_1$	\vee	p_2		
p_1	\vee	p_2		

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	p_1	p_2	p_3			
1	0	0	1	$p_1 \vee p_2$	p_1, p_2	p_1
2	1	0	1			

Walk SAT example

1		0		1
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
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p_1	\vee	p_2		

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
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1	0	0	1	$p_1 \vee p_2$	p_1, p_2	p_1
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	p_1, p_2, p_3	

Walk SAT example

$$\begin{array}{ccccccc}
 & 1 & & & 1 & & & 1 \\
 \hline
 & p_1 & \vee & \neg p_2 & \vee & p_3 \\
 & & & \neg p_2 & \vee & \neg p_3 \\
 & \neg p_1 & & & \vee & \neg p_3 \\
 & \neg p_1 & \vee & p_2 & & & \\
 & p_1 & \vee & p_2 & & &
 \end{array}$$

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	p_1	p_2	p_3			
1	0	0	1	$p_1 \vee p_2$	p_1, p_2	p_1
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	p_1, p_2, p_3	p_2
3	1	1	1			

Walk SAT example

$$\begin{array}{rcl}
 & 1 & 1 & 1 \\
 \hline
 p_1 & \vee & \neg p_2 & \vee & p_3 \\
 & & \neg p_2 & \vee & \neg p_3 \\
 & & & & \vee & \neg p_3 \\
 \neg p_1 & & & & & \\
 \neg p_1 & \vee & p_2 & \\
 p_1 & \vee & p_2 &
 \end{array}$$

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	p_1	p_2	p_3			
1	0	0	1	$p_1 \vee p_2$	p_1, p_2	p_1
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3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	p_1, p_2, p_3	

Walk SAT example

1		1		0
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
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p_1	\vee	p_2		

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1	0	0	1	$p_1 \vee p_2$	p_1, p_2	p_1
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3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	p_1, p_2, p_3	p_3
	1	1	0			

Walk SAT example

1		1		0
p_1	\vee	$\neg p_2$	\vee	p_3
		$\neg p_2$	\vee	$\neg p_3$
$\neg p_1$			\vee	$\neg p_3$
$\neg p_1$	\vee	p_2		
p_1	\vee	p_2		

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	p_1	p_2	p_3			
1	0	0	1	$p_1 \vee p_2$	p_1, p_2	p_1
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	p_1, p_2, p_3	p_2
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	p_1, p_2, p_3	p_3
	1	1	0			

Satisfiability of formulas: Semantic Tableaux

Next: Satisfiability of general (signed) formulas.

Algorithm: **Semantic tableaux**

Signed Formula

- ▶ **Signed formula**: an expression $A = b$, where A is a formula and b a boolean value.
- ▶ A signed formula $A = b$ is **true** in an interpretation I , denoted by $I \models A = b$, if $I(A) = b$.
- ▶ If $A = b$ is true in I , we also say that I **is a model of** $A = b$, or that I **satisfies** $A = b$.
- ▶ A signed formula is **satisfiable** if it has a model.

Note:

1. For every formula A and interpretation I **exactly one** of the signed formulas $A = 1$ and $A = 0$ is true in I .
2. A formula A is **satisfiable** if and only if so is the signed formula $A = 1$.

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How to find a model of a signed formula?

Operation table for \rightarrow :

\rightarrow	$B = 1$	$B = 0$
$A = 1$	1	0
$A = 0$	1	1

\rightarrow	$B = 1$	$B = 0$
$A = 1$	1	0
$A = 0$	1	1

Example: $(A \rightarrow B) = 1$.

So $(A \rightarrow B) = 1$ if and only if
 $A = 0$ OR $B = 1$.

Likewise, $(A \rightarrow B) = 0$ if and only
if $A = 1$ AND $B = 0$.

So we can use AND-OR trees to
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Tableau

Tableau: a tree having signed formulas at nodes.

Tableau for a signed formula $A = b$ has $A = b$ as a root.

Alternatively, we can regard a tableau as a collection of **branches**; each branch is a set of signed formulas.

Notation for branches: $A_1 = b_1 \mid \dots \mid A_n = b_n$.

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Branch Expansion Rules

$$(A_1 \wedge \dots \wedge A_n) = 0 \rightsquigarrow A_1 = 0 \mid \dots \mid A_n = 0$$

$$(A_1 \wedge \dots \wedge A_n) = 1 \rightsquigarrow A_1 = 1, \dots, A_n = 1$$

$$(A_1 \vee \dots \vee A_n) = 0 \rightsquigarrow A_1 = 0, \dots, A_n = 0$$

$$(A_1 \vee \dots \vee A_n) = 1 \rightsquigarrow A_1 = 1 \mid \dots \mid A_n = 1$$

$$(A_1 \rightarrow A_2) = 0 \rightsquigarrow A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \rightsquigarrow A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 0 \rightsquigarrow A_1 = 1$$

$$(\neg A_1) = 1 \rightsquigarrow A_1 = 0$$

$$(A_1 \leftrightarrow A_2) = 0 \rightsquigarrow A_1 = 0, A_2 = 1 \mid A_1 = 1, A_2 = 0$$

$$(A_1 \leftrightarrow A_2) = 1 \rightsquigarrow A_1 = 0, A_2 = 0 \mid A_1 = 1, A_2 = 1$$

Branch Closure Rules

These rules are introduced to mark when the set of signed formulas on a branch is unsatisfiable.

A branch is marked **closed** in any of the following cases:

- ▶ it contains both $p = 0$ and $p = 1$ for some atom p
- ▶ it contains $\top = 0$;
- ▶ it contains $\perp = 1$.

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- ▶ it contains $\top = 0$;
- ▶ it contains $\perp = 1$.

A Semantic Tableau

(a) $(\neg(q \vee p \rightarrow p \vee q)) = 1$

(a) |

(b) $(q \vee p \rightarrow p \vee q) = 0$

(b) |

(c) $(q \vee p) = 1$

(d) $(p \vee q) = 0$

(d) |

$$p = 0$$

$$q = 0$$

(c) /

$$q = 1$$

closed

(c) \

$$p = 1$$

closed

$$(A_1 \vee A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0, A_2 = 0$$

$$(A_1 \vee A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1 \mid A_2 = 1$$

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(d) |

$$p = 0$$

$$q = 0$$

(c) /

$$q = 1$$

closed

(c) \

$$p = 1$$

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$$p = 0$$

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(a) |

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(d) |

$$p = 0$$

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(c) /

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closed

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(d) |

$p = 0$

$q = 0$

(c)

$q = 1$

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(a) |

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(b) |

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(d) |

$p = 0$

$q = 0$

(c)

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closed

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(d) |

$$p = 0$$

$$q = 0$$

(c)

$$q = 1$$

closed

(c)

$$p = 1$$

closed

$$(A_1 \vee A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0, A_2 = 0$$

$$(A_1 \vee A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1 \mid A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

A Semantic Tableau

(a) $(\neg(q \vee p \rightarrow p \vee q)) = 1$

(a) |

(b) $(q \vee p \rightarrow p \vee q) = 0$

(b) |

(c) $(q \vee p) = 1$

(d) $(p \vee q) = 0$

(d) |

$$p = 0$$

$$q = 0$$

(c)

$$q = 1$$

closed

(c)

$$p = 1$$

closed

$$(A_1 \vee A_2) = 0 \rightsquigarrow A_1 = 0, A_2 = 0$$

$$(A_1 \vee A_2) = 1 \rightsquigarrow A_1 = 1 \mid A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \rightsquigarrow A_1 = 1, A_2 = 0$$

$$(\neg A_1) = 1 \rightsquigarrow A_1 = 0$$

A Semantic Tableau

(a) $(\neg(q \vee p \rightarrow p \vee q)) = 1$

(a) |

(b) $(q \vee p \rightarrow p \vee q) = 0$

(b) |

(c) $(q \vee p) = 1$

(d) $(p \vee q) = 0$

(d) |

$$p = 0$$

$$q = 0$$

(c) /

$$q = 1$$

closed

(c) \

$$p = 1$$

closed

$$(A_1 \vee A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0, A_2 = 0$$

$$(A_1 \vee A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1 \mid A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

A Semantic Tableau

(a) $(\neg(q \vee p \rightarrow p \vee q)) = 1$

(a) |

(b) $(q \vee p \rightarrow p \vee q) = 0$

(b) |

(c) $(q \vee p) = 1$

(d) $(p \vee q) = 0$

(d) |

$p = 0$

$q = 0$

(c) /

$q = 1$
closed

(c) \

$p = 1$
closed

$(A_1 \vee A_2) = 0 \rightsquigarrow A_1 = 0, A_2 = 0$

$(A_1 \vee A_2) = 1 \rightsquigarrow A_1 = 1 \mid A_2 = 1$

$(A_1 \rightarrow A_2) = 0 \rightsquigarrow A_1 = 1, A_2 = 0$

$(\neg A_1) = 1 \rightsquigarrow A_1 = 0$

A Semantic Tableau

(a) $(\neg(q \vee p \rightarrow p \vee q)) = 1$

(a) |

(b) $(q \vee p \rightarrow p \vee q) = 0$

(b) |

(c) $(q \vee p) = 1$

(d) $(p \vee q) = 0$

(d) |

$p = 0$

$q = 0$

(c)

$q = 1$

closed

(c)

$p = 1$

closed

$$(A_1 \vee A_2) = 0 \rightsquigarrow A_1 = 0, A_2 = 0$$

$$(A_1 \vee A_2) = 1 \rightsquigarrow A_1 = 1 \mid A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \rightsquigarrow A_1 = 1, A_2 = 0$$

$$(\neg A_1) = 1 \rightsquigarrow A_1 = 0$$

Example 2

(a) $(\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

(a) $(\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(\neg A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad \neg A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad \begin{matrix} (\neg p) = 1 \\ r = 0 \end{matrix}$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

(e) /

$$p = 0$$

(e) \

$$q = 1$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

(e) /

$$p = 0$$

(e) \

$$q = 1$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

(e) /

$$p = 0$$

(e) \

$$q = 1$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

(g) |

$$p = 0$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

(e) /

$$p = 0$$

(e) \

$$q = 1$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

(g) |

$$p = 0$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

(e) /

$$p = 0$$

(e) \

$$q = 1$$

(g) |

$$p = 0$$

(f) /

$$(f) \quad (p \wedge q) = 0$$

(f) \

$$r = 1$$

$$(h) \quad (p \wedge q) = 0$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

(e) /

$$p = 0$$

(e) \

$$q = 1$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

(g) |

$$p = 0$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

(f) /

$$(p \wedge q) = 0$$

(f) \

$$r = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

(h)

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

(e) /

$$p = 0$$

(e) \

$$q = 1$$

$$(A_1 \wedge A_2) = 0$$

\rightsquigarrow

$$A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1$$

\rightsquigarrow

$$A_1 = 1, A_2 = 1$$

(g) |

$$p = 0$$

$$(A_1 \rightarrow A_2) = 0$$

\rightsquigarrow

$$A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1$$

\rightsquigarrow

$$A_1 = 0 \mid A_2 = 1$$

(f) /

$$(h) \quad (p \wedge q) = 0$$

(f) \

$$r = 1$$

$$(\neg A_1) = 1$$

\rightsquigarrow

$$A_1 = 0$$

(h) /

$$p = 0$$

(h) \

$$q = 0$$

Example 2

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

(e) /

$$p = 0$$

(e) \

$$q = 1$$

(g) |

$$p = 0$$

(f) /

$$(h) \quad (p \wedge q) = 0$$

(f) \

$$r = 1$$

(h) /

$$p = 0$$

(h) \

$$q = 0$$

$$(A_1 \wedge A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 0$$

$$(A_1 \wedge A_2) = 1 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 1$$

$$(A_1 \rightarrow A_2) = 0 \quad \rightsquigarrow \quad A_1 = 1, A_2 = 0$$

$$(A_1 \rightarrow A_2) = 1 \quad \rightsquigarrow \quad A_1 = 0 \mid A_2 = 1$$

$$(\neg A_1) = 1 \quad \rightsquigarrow \quad A_1 = 0$$

All rules on this branch have been applied, so the formula is **satisfiable**.

Finding Models Using Tableaux

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

$$(c) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1$$

$$(d) \quad (\neg p \rightarrow r) = 0$$

(c) |

$$(e) \quad (p \rightarrow q) = 1$$

$$(f) \quad (p \wedge q \rightarrow r) = 1$$

(d) |

$$(g) \quad (\neg p) = 1$$

$$r = 0$$

(e) /

$$p = 0$$

(e) \

$$q = 1$$

(g) |

$$p = 0$$

(f) /

$$(h) \quad (p \wedge q) = 0$$

(f) \

$$r = 1$$

(h) /

$$p = 0$$

(h) \

$$q = 0$$

Build an **open branch** on which all rules have been applied: a **complete open branch**

Select **signed atoms** on this branch

This gives us a partial assignment any extension of which is a **model**

$$\{r \mapsto 0, p \mapsto 0, q \mapsto \dots\}$$

Finding Models Using Tableaux

$$(a) \quad (\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1$$

(a) |

$$(b) \quad ((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0$$

(b) |

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(d) |

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$$r = 0$$

(e) /

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(e) \

$$q = 1$$

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Checking Other Properties with Tableaux

A formula A is **satisfiable** iff a tableau for $A = 1$ contains a complete open branch (and iff every tableau for $A = 1$ contains a complete open branch).

A formula A is **valid** iff there is a closed a tableau for $A = 0$ (and iff every tableau for $A = 0$ is closed).

Formulas A and B are **equivalent** iff there is a closed tableau for $(A \leftrightarrow B) = 0$ (and iff every tableau for $(A \leftrightarrow B) = 0$ is closed).

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Extras: Flat View of Tableaux

We will make the following changes:

1. show a tableau using the $B_1 \mid \dots \mid B_n$ notation;
2. remove closed branches;
3. if we apply a table expansion rule to a signed formula on a branch, we will remove the formula from the branch.

$$(A_1 \vee A_2) = 0 \quad \rightsquigarrow \quad A_1 = 0, A_2 = 0$$

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All branches are closed, so the signed formula

$(\neg(q \vee p \rightarrow p \vee q)) = 1$ is unsatisfiable.

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$$(p \rightarrow q) = 1, (p \wedge q \rightarrow r) = 1, p = 0, r = 0 \rightsquigarrow$$

$$p = 0, (p \wedge q \rightarrow r) = 1, r = 0 \mid$$

$$q = 1, (p \wedge q \rightarrow r) = 1, p = 0, r = 0$$

Extras: Flat View of Tableaux: Example 2

$$(\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1 \rightsquigarrow$$

$$((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0 \rightsquigarrow$$

$$((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1, (\neg p \rightarrow r) = 0 \rightsquigarrow$$

$$((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1, (\neg p) = 1, r = 0 \rightsquigarrow$$

$$((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1, p = 0, r = 0 \rightsquigarrow$$

$$(p \rightarrow q) = 1, (p \wedge q \rightarrow r) = 1, p = 0, r = 0 \rightsquigarrow$$

$$p = 0, (p \wedge q \rightarrow r) = 1, r = 0 \mid$$

$$q = 1, (p \wedge q \rightarrow r) = 1, p = 0, r = 0 \rightsquigarrow$$

$$p = 0, (p \wedge q) = 0, r = 0 \mid$$

$$p = 0, r = 1, r = 0 \mid$$

$$q = 1, (p \wedge q \rightarrow r) = 1, p = 0, r = 0$$

Extras: Flat View of Tableaux: Example 2

$$(\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1 \rightsquigarrow$$

$$((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0 \rightsquigarrow$$

$$((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1, (\neg p \rightarrow r) = 0 \rightsquigarrow$$

$$((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1, (\neg p) = 1, r = 0 \rightsquigarrow$$

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Extras: Flat View of Tableaux: Example 2

$(\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))) = 1 \rightsquigarrow$
 $((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) = 0 \rightsquigarrow$
 $((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1, (\neg p \rightarrow r) = 0 \rightsquigarrow$
 $((p \rightarrow q) \wedge (p \wedge q \rightarrow r)) = 1, (\neg p) = 1, r = 0 \rightsquigarrow$
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 $(p \rightarrow q) = 1, (p \wedge q \rightarrow r) = 1, p = 0, r = 0 \rightsquigarrow$
 $p = 0, (p \wedge q \rightarrow r) = 1, r = 0 \mid$
 $q = 1, (p \wedge q \rightarrow r) = 1, p = 0, r = 0 \rightsquigarrow$
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 $p = 0, r = 1, r = 0 \mid$
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 $q = 1, (p \wedge q \rightarrow r) = 1, p = 0, r = 0 \rightsquigarrow$
 $p = 0, r = 0 \mid$
 $p = 0, q = 0, r = 0 \mid$
 $p = 0, r = 1, r = 0 \mid$
 $q = 1, (p \wedge q \rightarrow r) = 1, p = 0, r = 0$

The branch containing $p = 0, r = 0$ can no more be expanded or closed so it gives us a model (in fact, two models)

Summary

We were studying various algorithms for **satisfiability**:

- ▶ for general formulas:
 - ▶ Splitting algorithm
 - ▶ Semantic Tableaux algorithm
- ▶ for sets of clauses:
 - ▶ DPLL
 - ▶ Randomized algorithms