

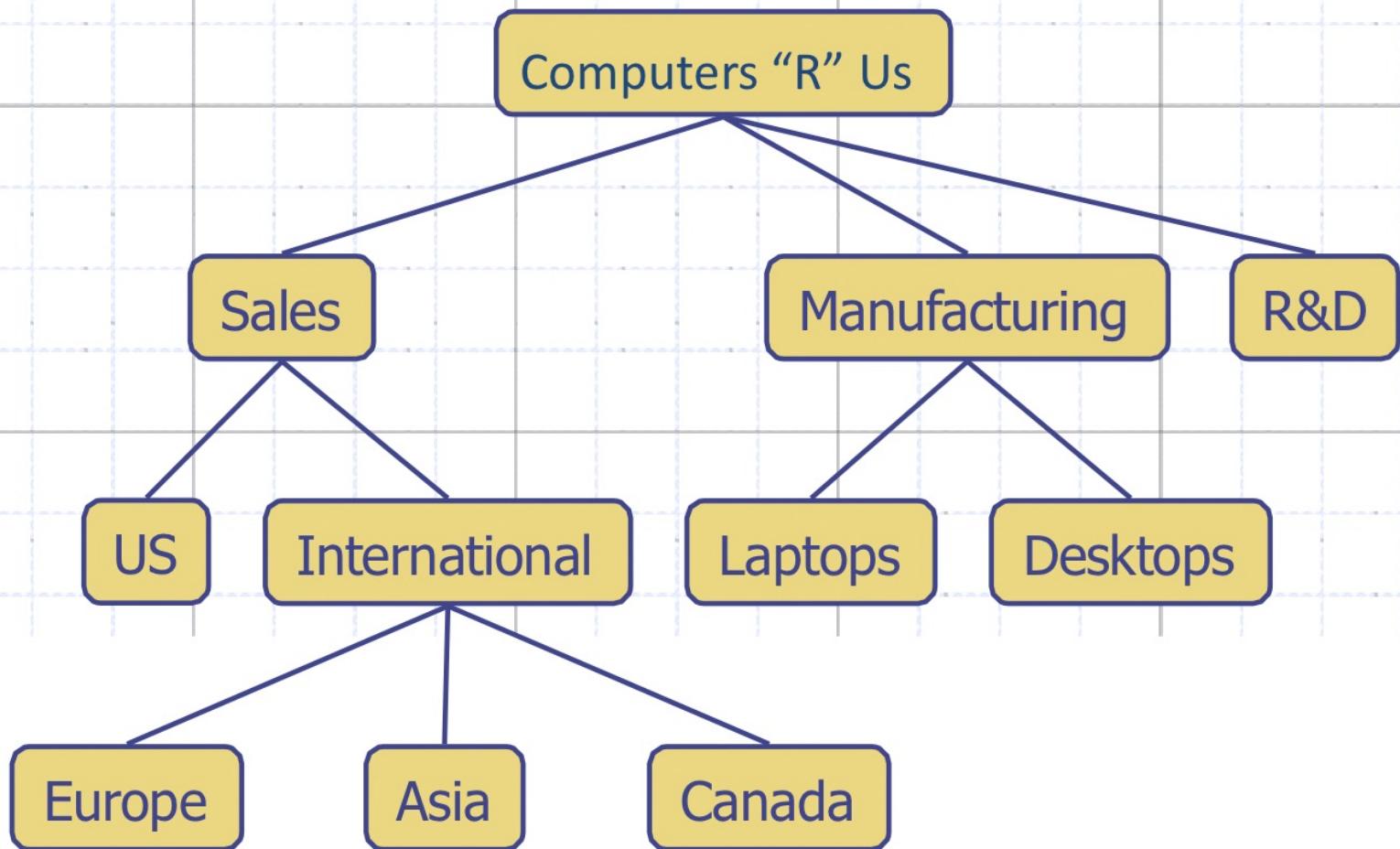
# TREES

# What is a Tree?

A tree is an abstract model of a hierarchical structure

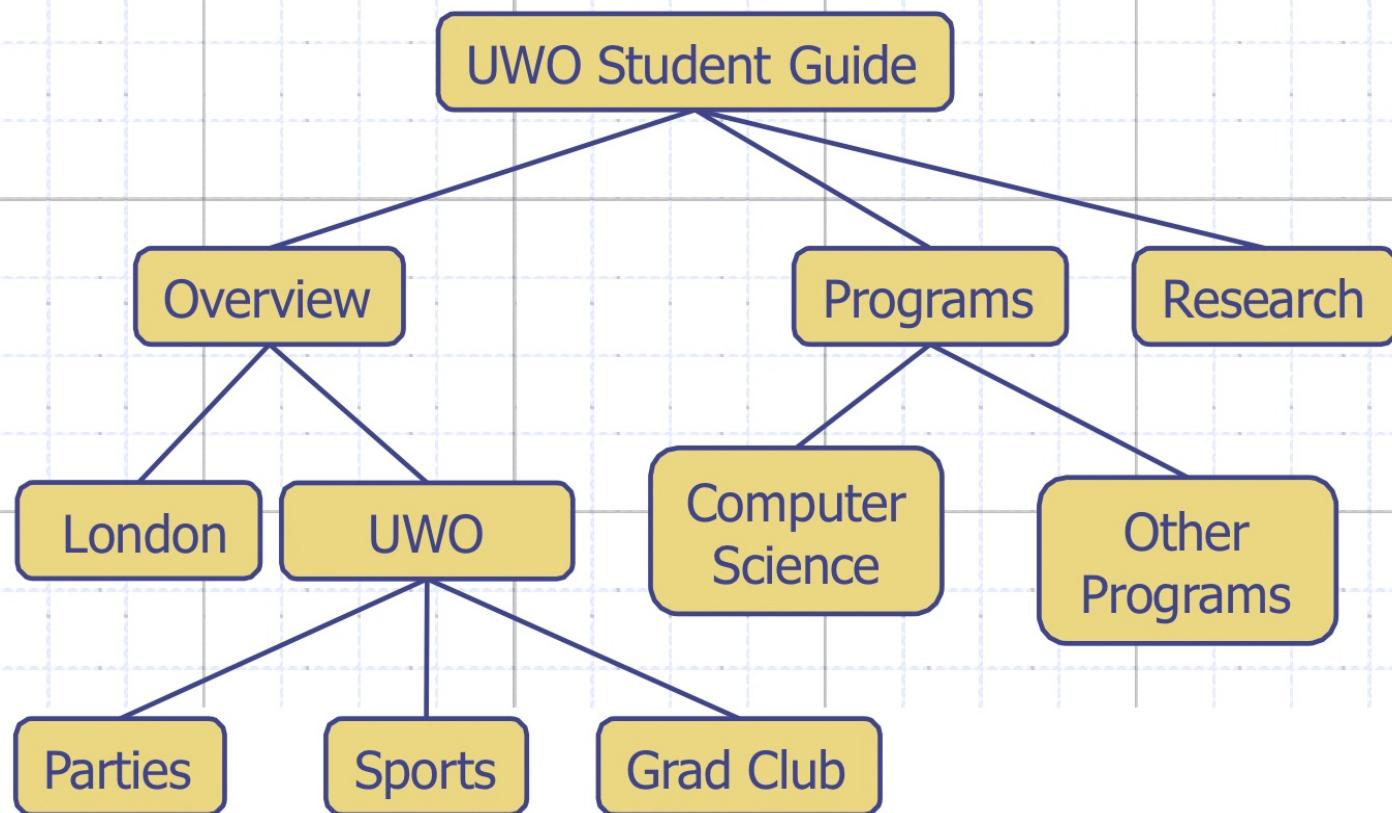
## Applications

Organization of a company



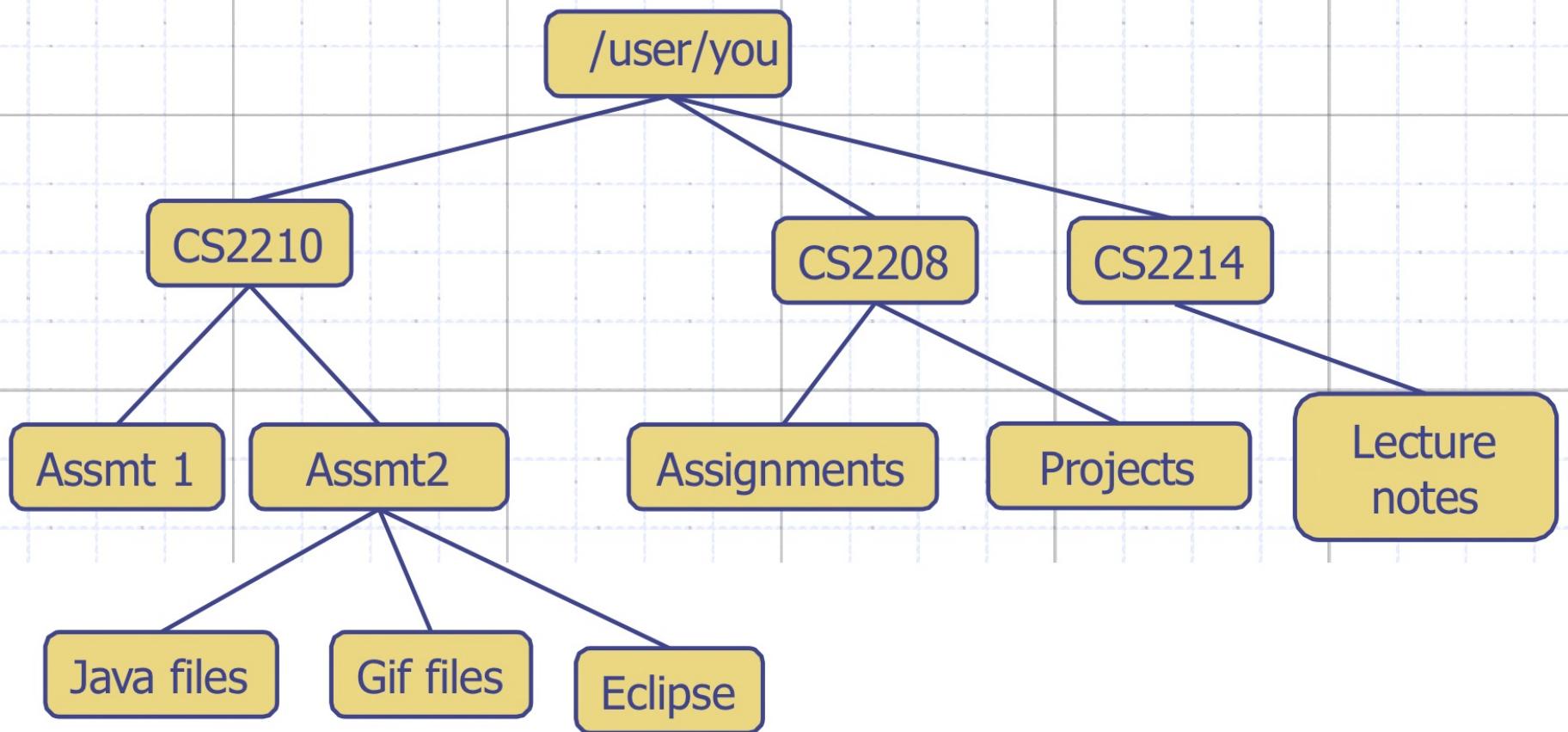
# Applications

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# Applications

## File system



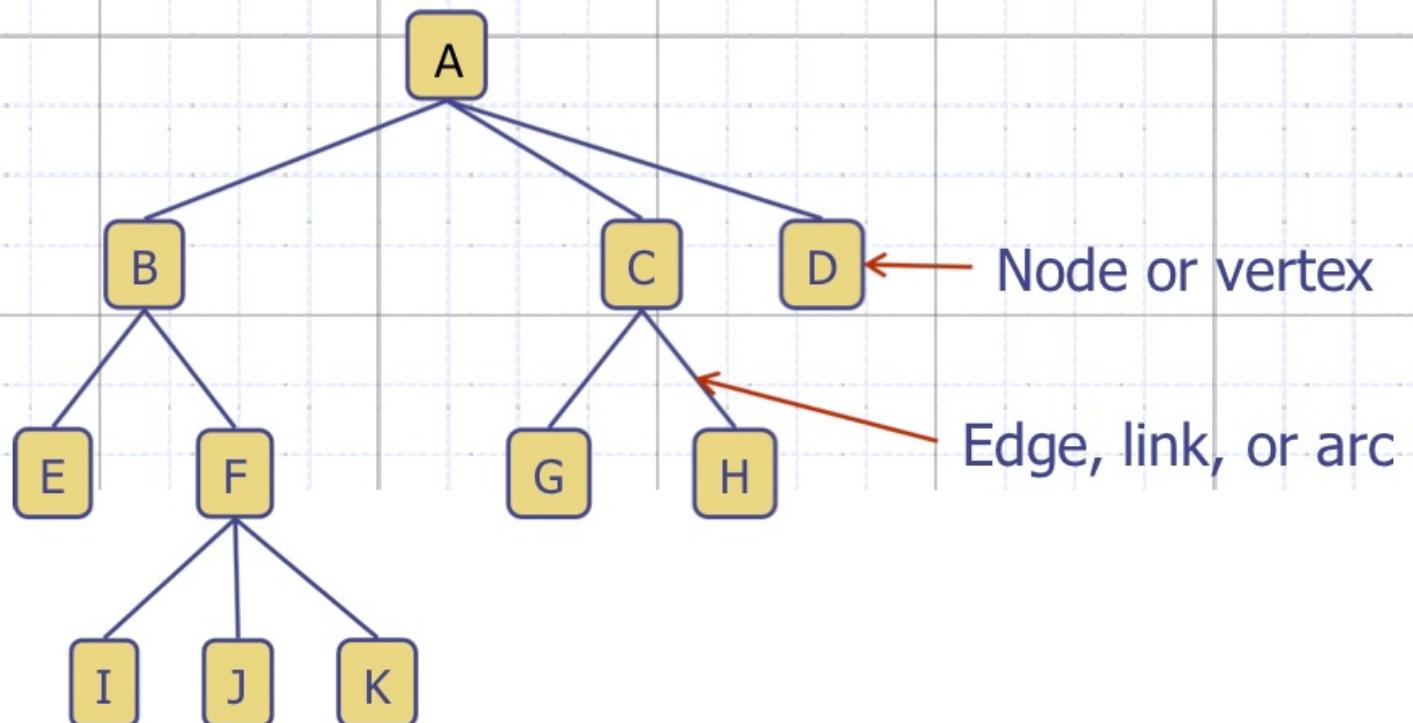
# Tree Terminology

A is the root node

B is the parent of E and F

C is the sibling of B and D

B, C, and D are children of A



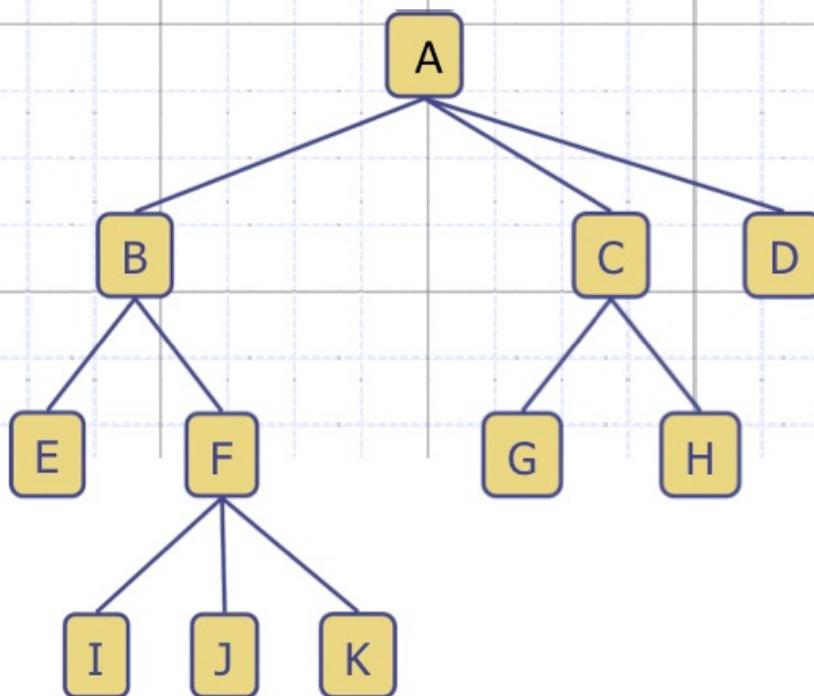
# Tree Terminology

E, F, I, J, K are descendants of B

All nodes, except A, are descendants of A

A, B, F are ancestors of J

A has no ancestors



# Tree Terminology

Internal node:

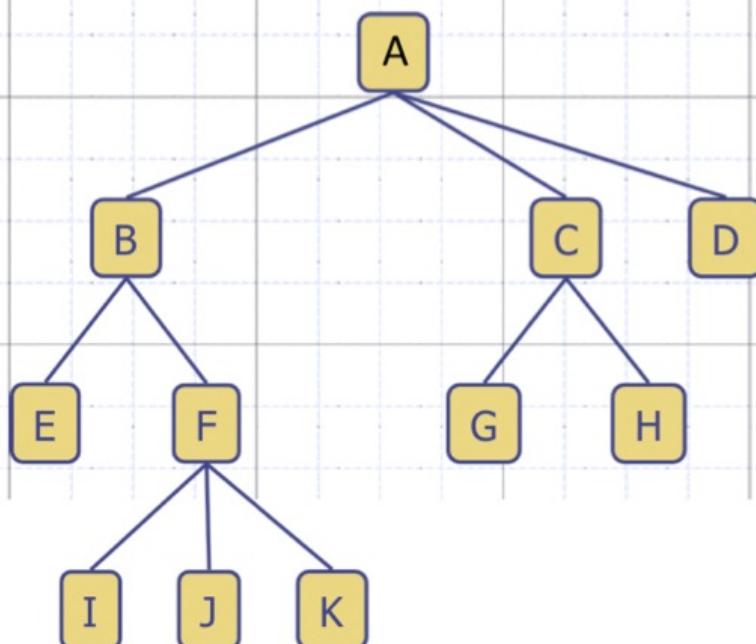
node with at least one child (A, B, C, F)

External node or leaf:

node without children (E, I, J, K, G, H, D)

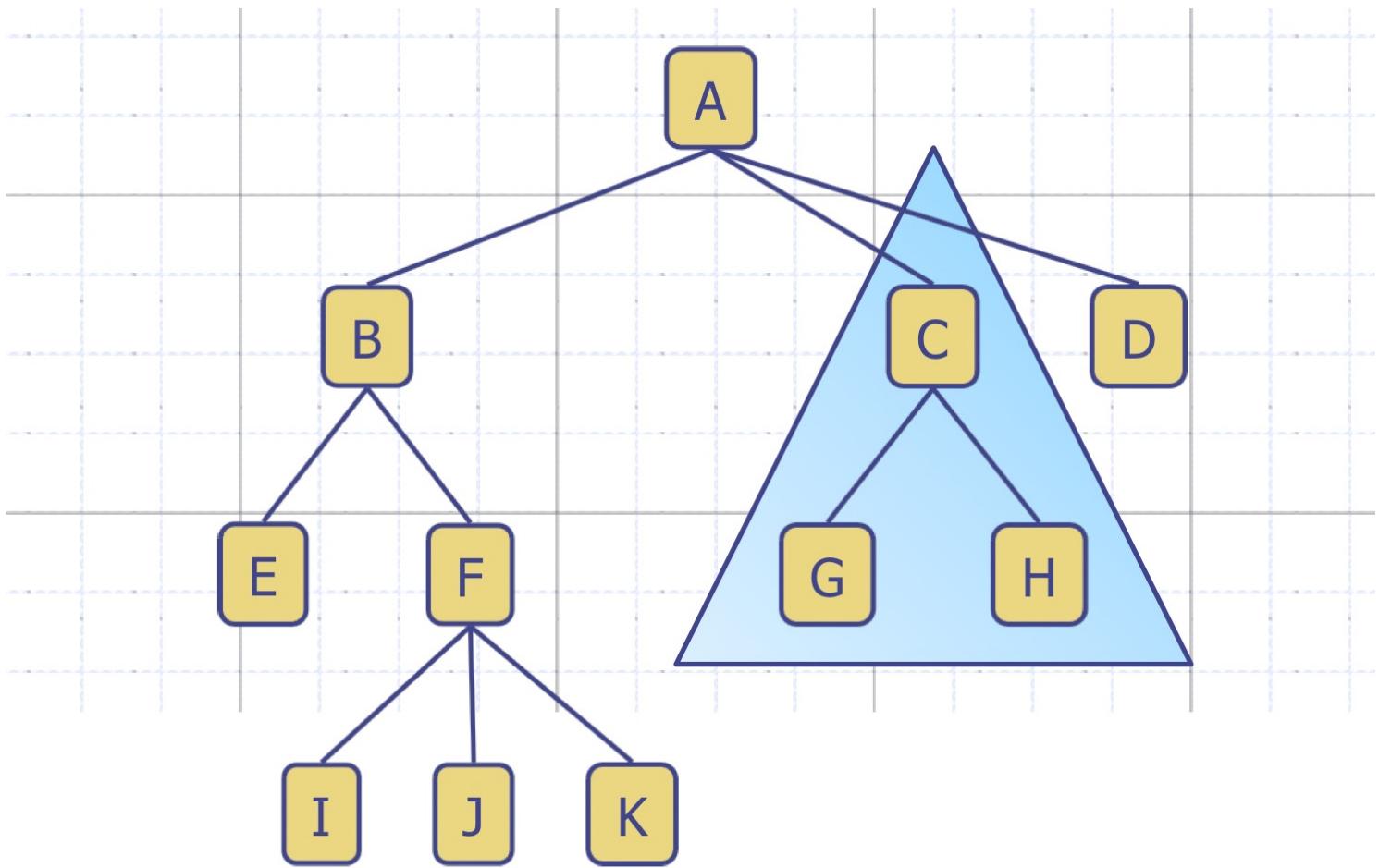
Degree of a node:

number of children. Degree of F is 3.



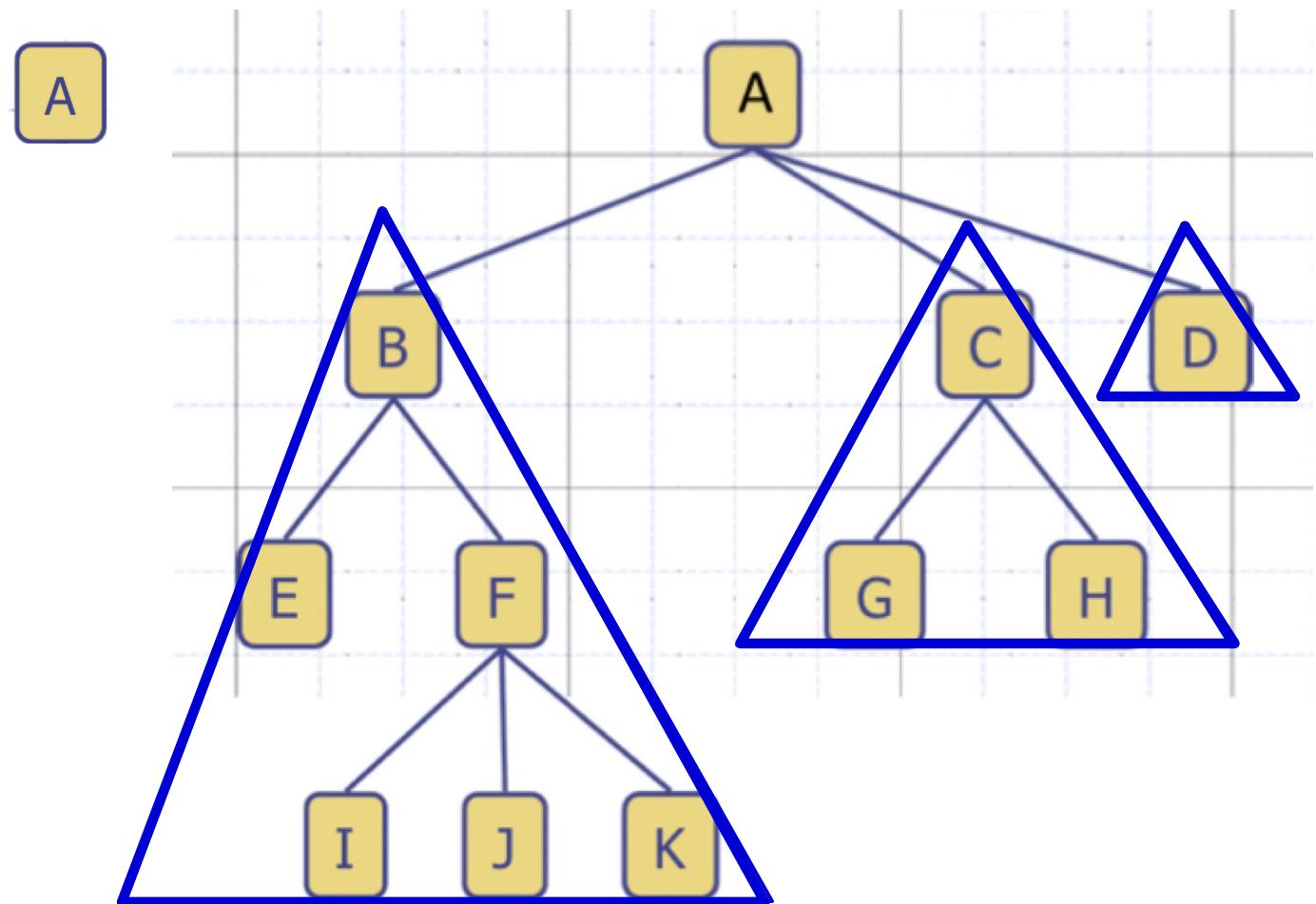
# Tree Terminology

A subtree is a tree consisting of a node and all its descendants



# Recursive Definition of a Tree

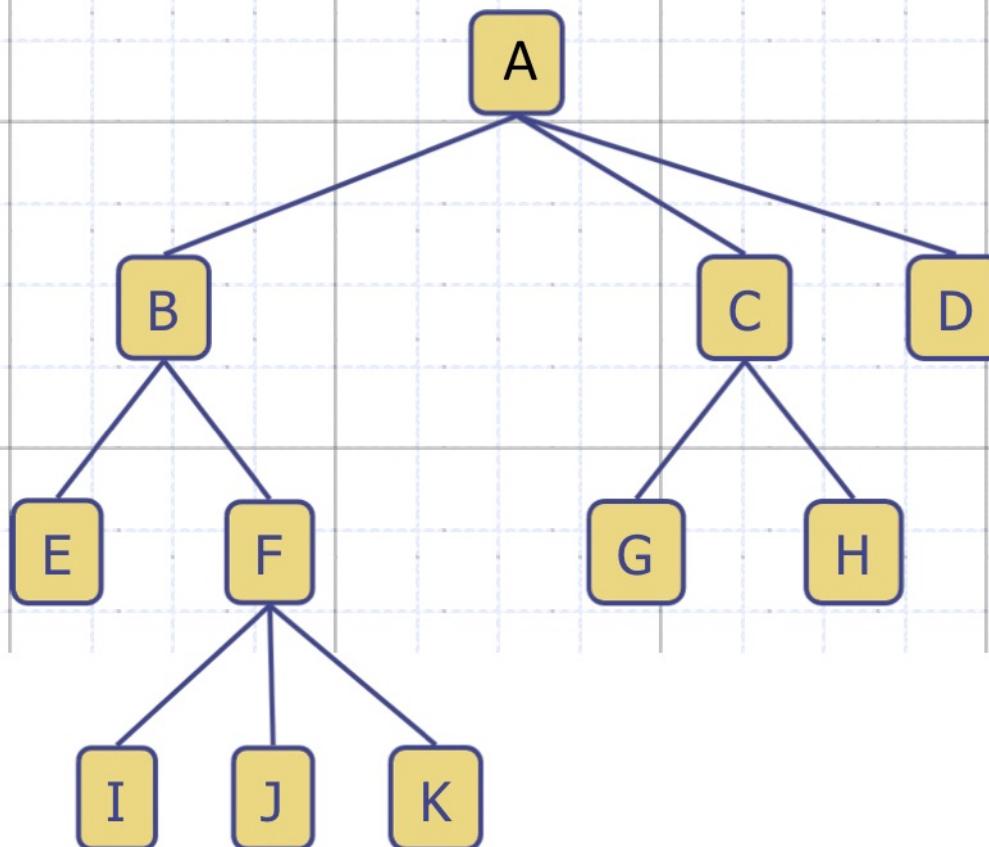
- A tree is a node
- A tree is a node whose children are the roots of subtrees



Since trees are recursive structures, recursive algorithms are efficient for manipulating them.

# Tree Terminology

Depth or level of a node:  
number of ancestors. Depth of E is 2.

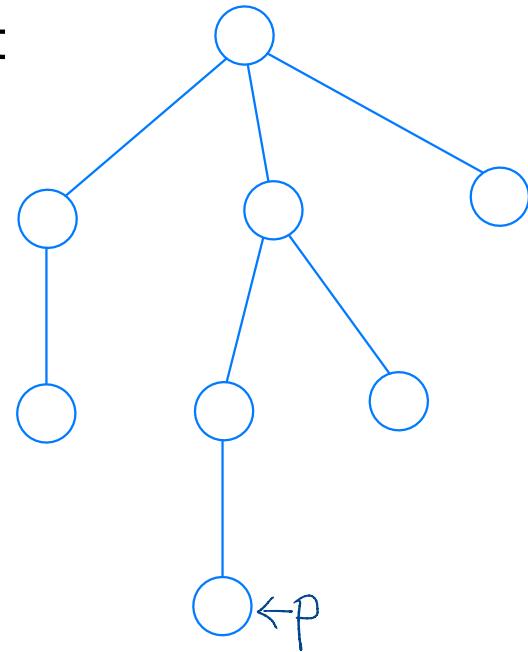


# Computing the Level of a Node

Definition of level:

$\text{level}(p) = 0$  if  $p$  is the root

$\text{level}(p) = 1 + \text{level}(p.\text{parent})$  if  $p$  is not the root



**Algorithm**  $\text{level}(p)$

**In:** Node  $p$  of a tree

**Out:** level of  $p$

```
if  $p.\text{parent} = \text{null}$  then return 0  
else return  $1 + \text{level}(p.\text{parent})$ 
```

Correctness of the algorithm follows from the recurrence equation defining the notion of level.

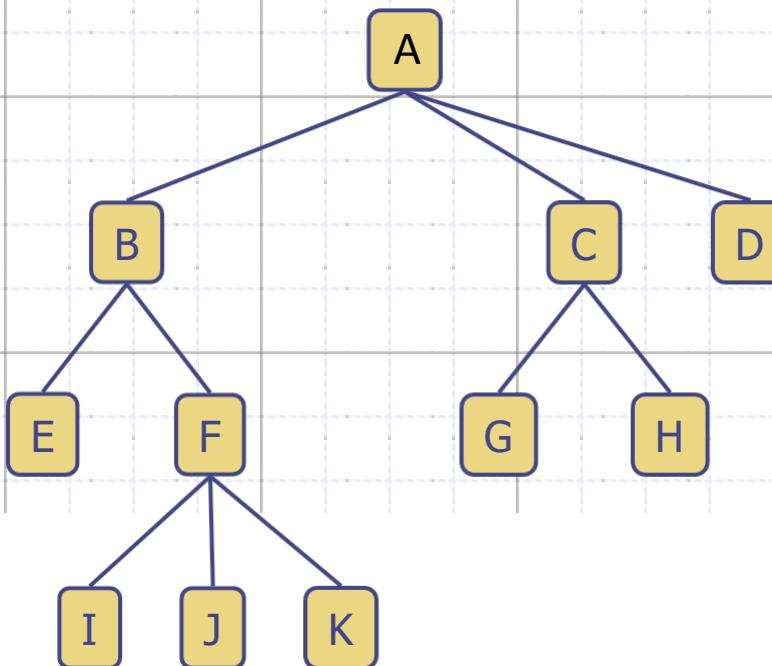
# Tree Terminology

Depth or level of a node:

number of ancestors. Depth of E is 2.

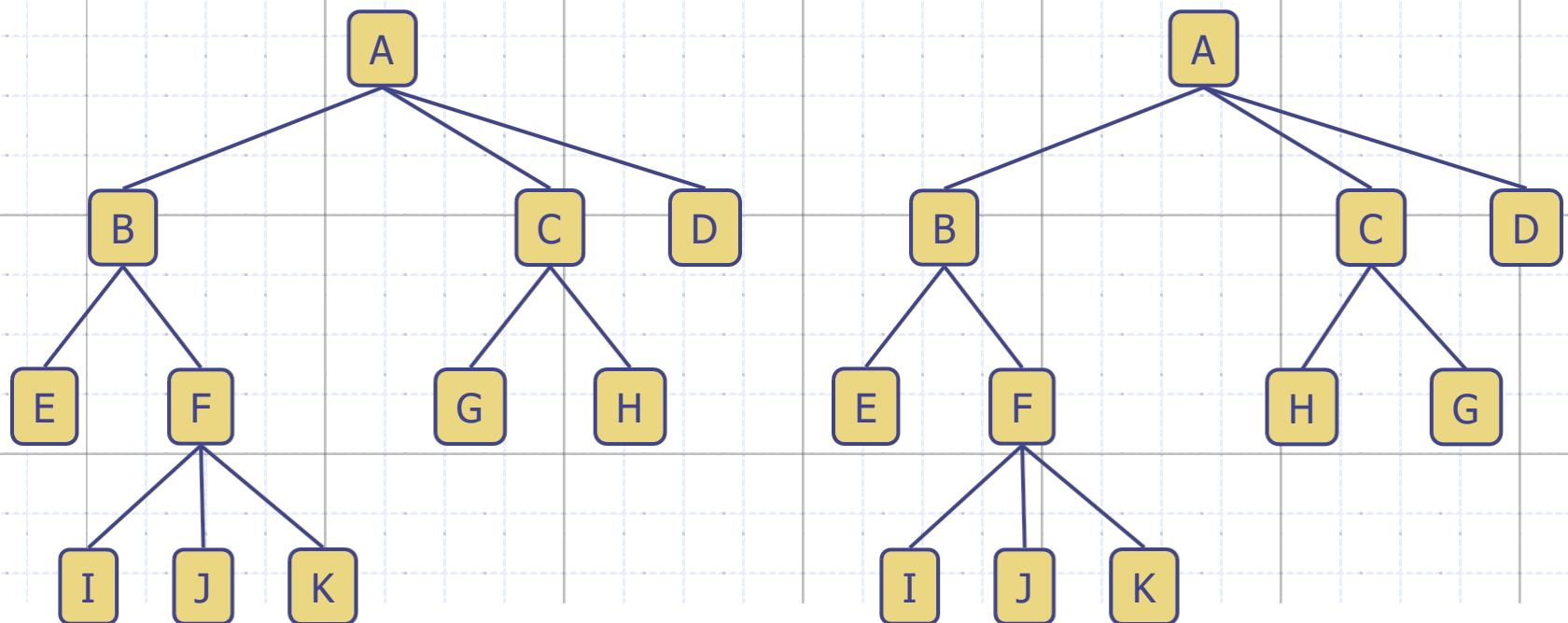
Height of tree

maximum depth of any node. Tree has height 3.



# Tree Terminology

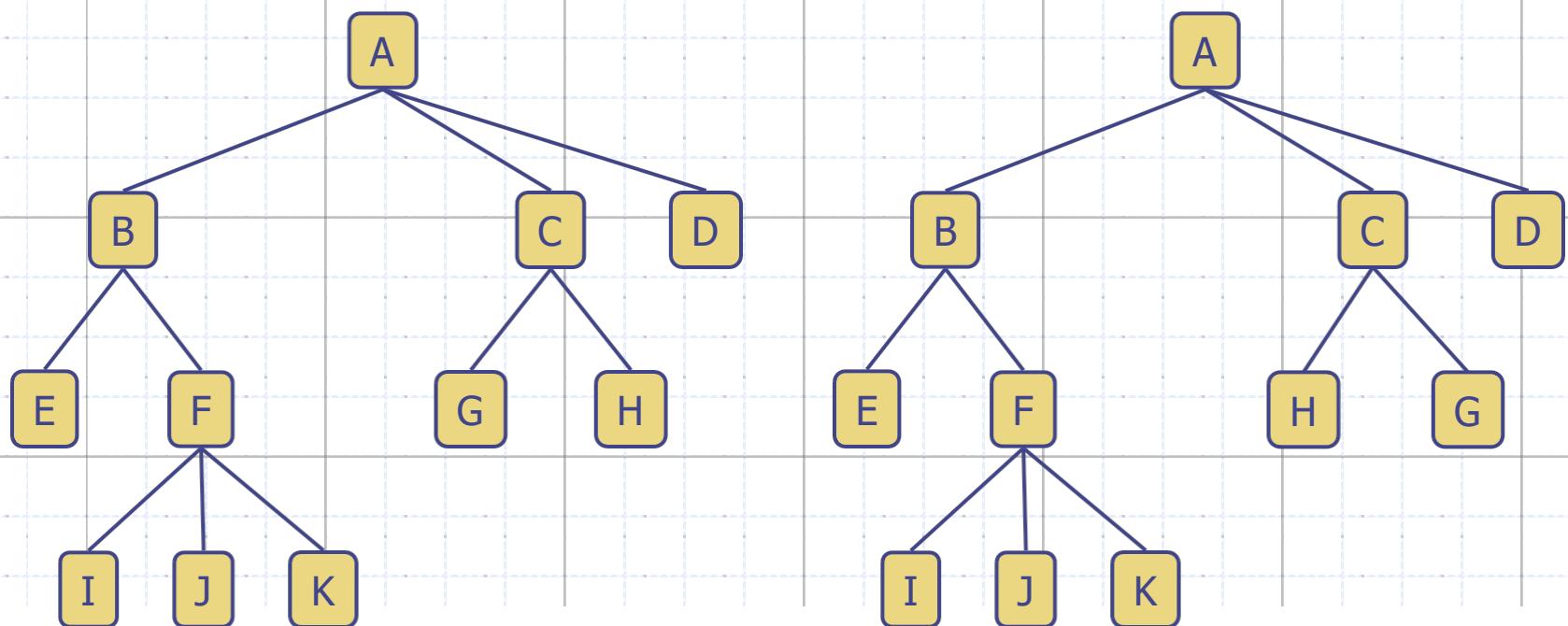
Ordered tree: The children of a node are ordered.



The above two ordered trees are not identical.

# Tree Terminology

Un-Ordered tree: The children of a node are not ordered.

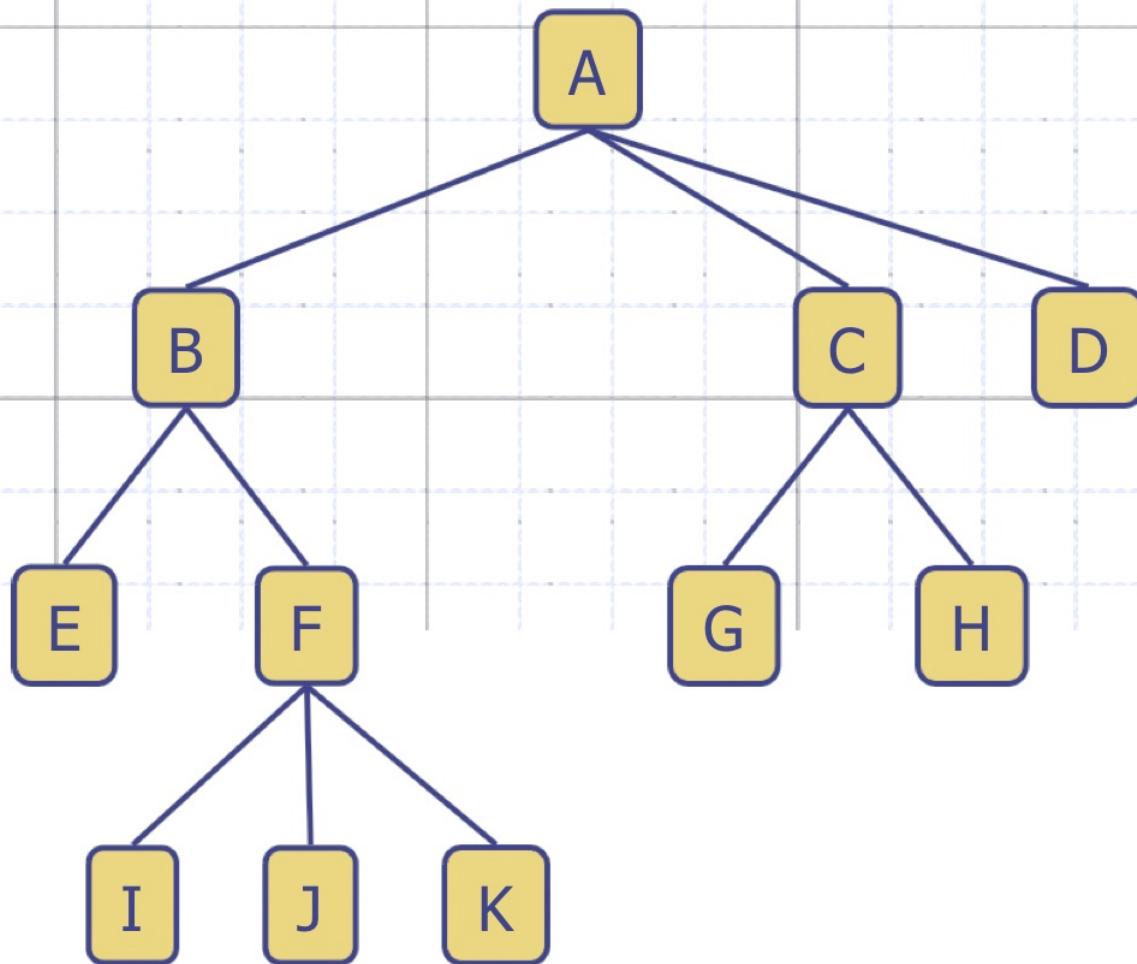


The two above unordered trees are identical.

# Tree Properties

**Number of nodes = Number of edges + 1**

**Proof.** Glue every node to the edge connecting it to its parent. The root is not glued to any edges.

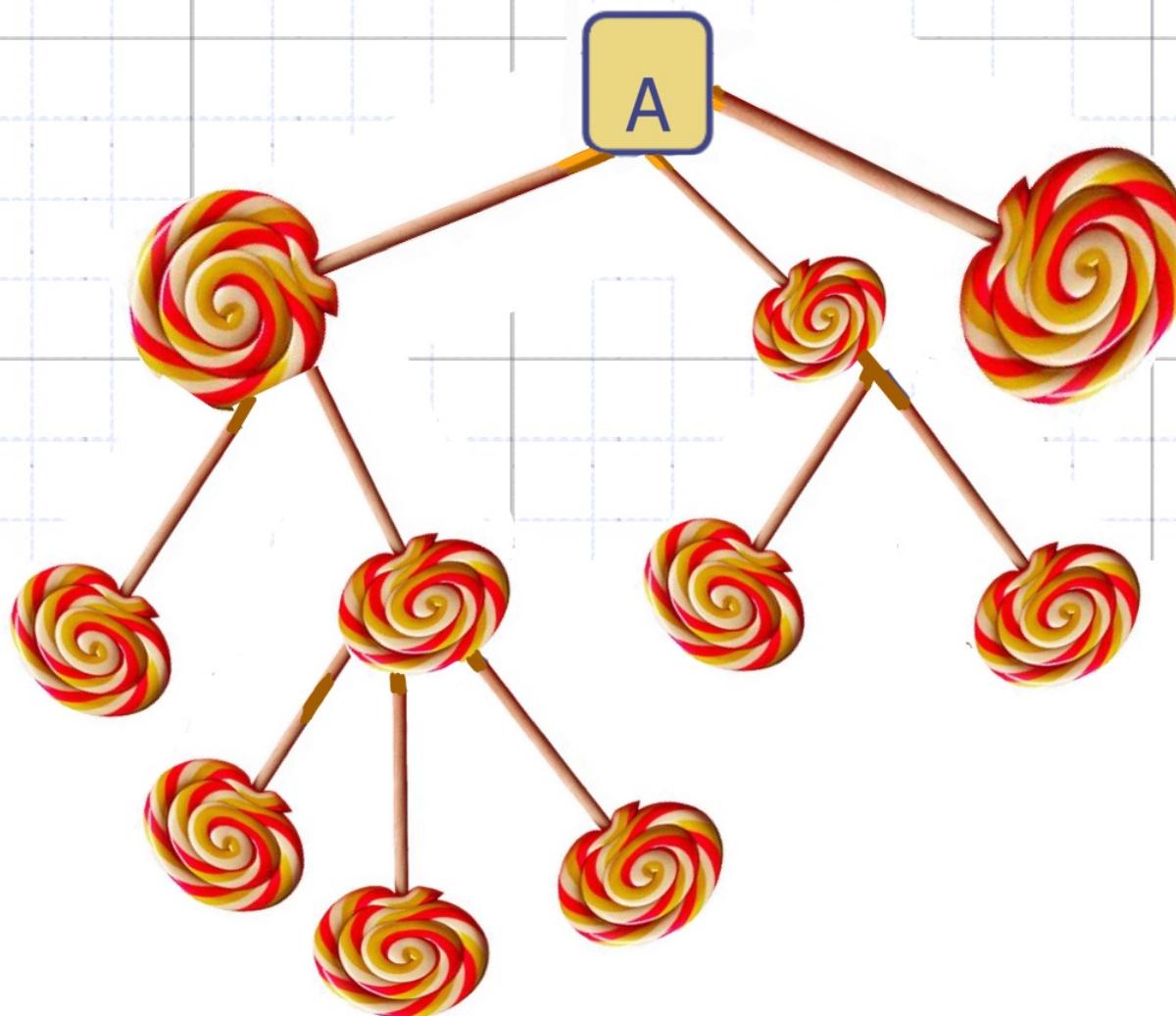


# Tree Properties

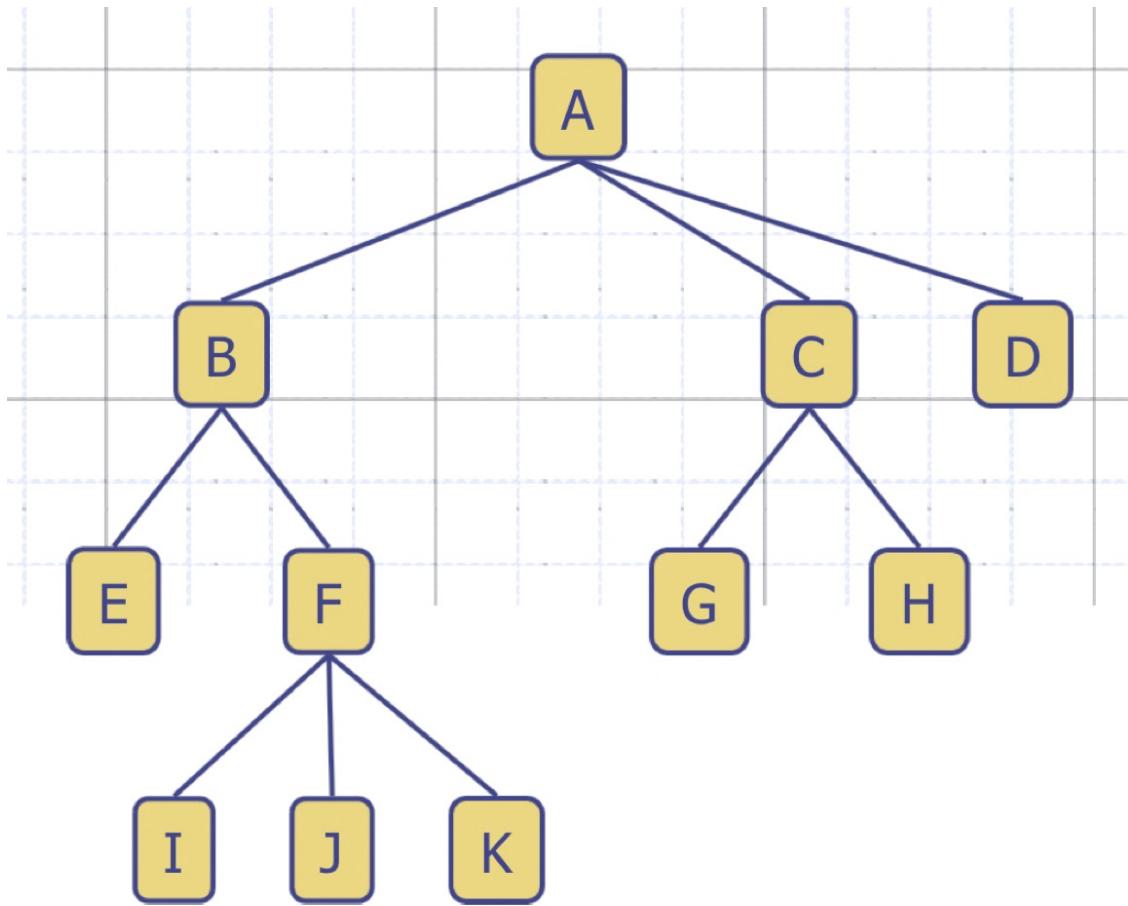
$n$  = Number of nodes

**Number of nodes = Number of edges + 1**

**Proof.** Glue every node to the edge connecting it to its parent. The root is not glued to any edges.



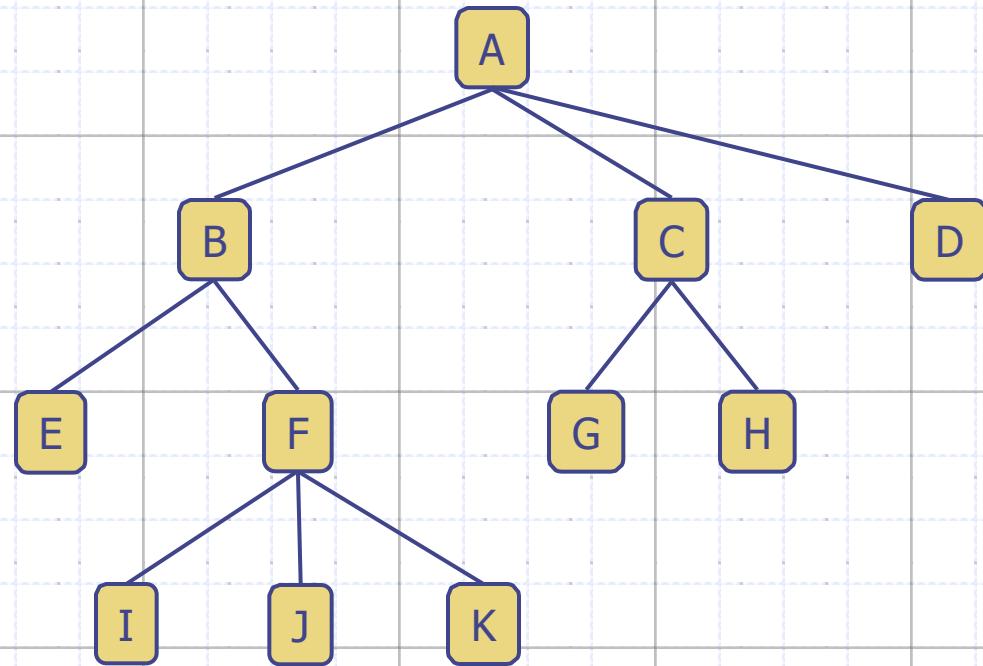
# Tree Properties



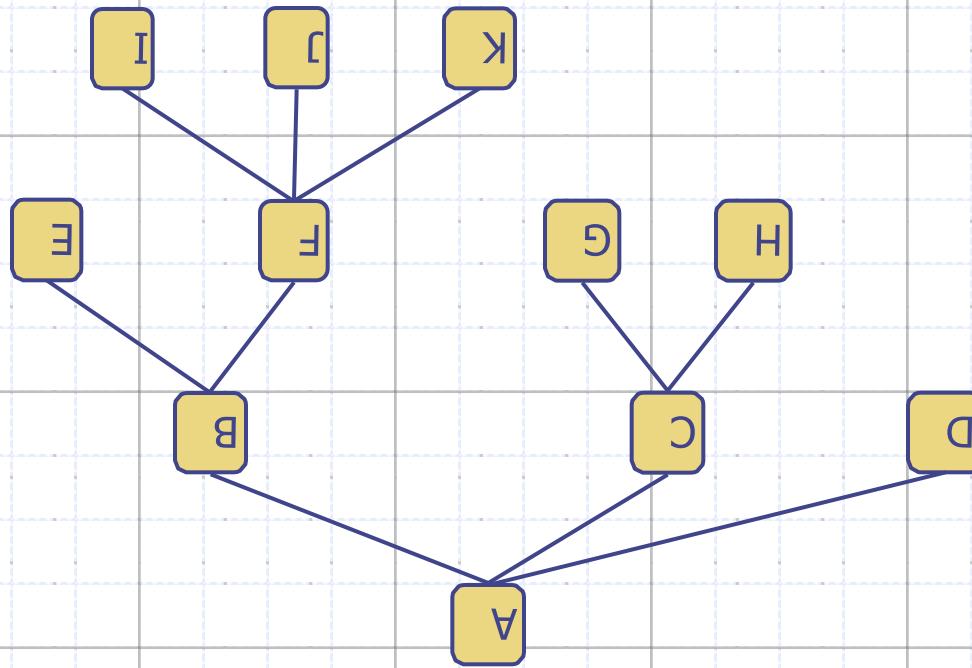
$$\sum_{\substack{\text{Nodes} \\ u}} \text{degree}(u) = \# \text{edges} = n - 1$$

$n$  = number of nodes

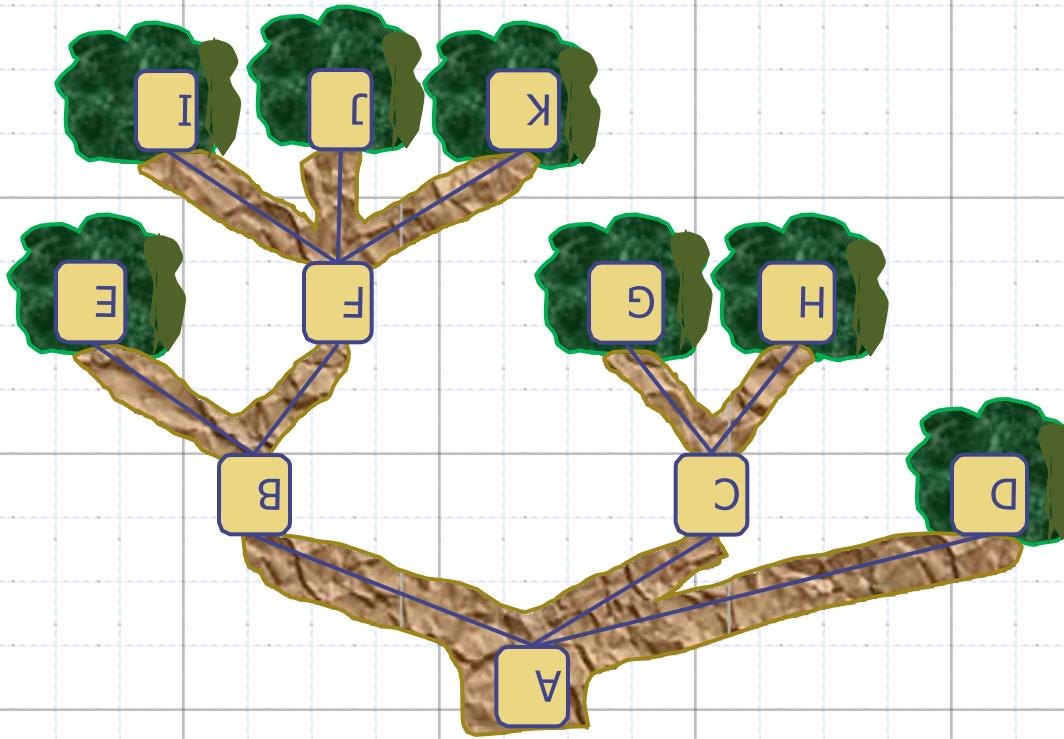
# Why is this a Tree?



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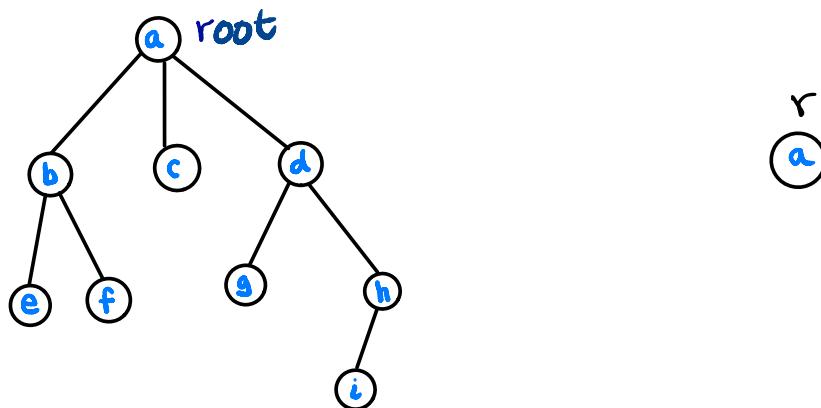


# Computing the Height of a Tree

Recursive definition of the height of a tree with root r:

$\text{height}(r) = 0$  if r is a leaf

$\text{height}(r) = 1 + \max \{\text{height}(c) \mid c \text{ is a child of } r\}$  if r is  
not a leaf



**Algorithm**  $\text{height}(r)$

**In:** root r of a tree

**Out:** height of the tree

```
if r is a leaf then return 0
else {
    max <- 0
    for each child c of r do {
        h <- height(c)
        if h > max then max <- h
    }
    return max + 1
}
```

# Time Complexity of Algorithm height

**Algorithm** height( $r$ )

**In:** root  $r$  of a tree

**Out:** height of the tree

```
c1 {if r is a leaf then return 0  
else {  
    max ← 0  
    for each child c of r do {  
        h ← height(c)  
        if h > max then max ← h  
    }  
    return max+1  
}
```

#iterations = #children of  $r$  =  $\text{degree}(r)$

$c_3$  (operations per iteration)

Since the algorithm has loops and recursive calls, to simplify the analysis we split it into 3 parts:

1. Compute the complexity ignoring recursive calls (complexity of one call)

- $c_1$  if  $r$  is a leaf
- $c_2 + c_3 \times \text{degree}(r)$  if  $r$  is not a leaf

$c_1$ ,  $c_2$ , and  $c_3$  are the constant number of operations performed in the parts of the algorithm marked above

2. Compute the number of recursive calls

One call per node (so the total is  $n$ )

3. Combine (1) and (2) to have the total number of operations

$$\begin{aligned} f(n) &= \sum_{\text{leaves}} c_1 + \sum_{\text{internal nodes } u} (c_2 + c_3 \times \text{degree}(u)) = c_1 \times \#\text{leaves} + \sum_{\text{internal nodes } u} c_2 + c_3 \sum_{\substack{\text{internal nodes } u \\ n-1}} \text{degree}(u) \\ &= \cancel{c_1 \times \#\text{leaves}} + \cancel{c_2 \times \#\text{internal}} + \cancel{c_3 (n-1)} \\ &\text{is } O(n) \end{aligned}$$