

SH01

Return of allotment of shares



You can use the WebFiling service to file this form online
Please go to www.companieshouse.gov.uk

☒ **What this form is for**
You may use this form to give
notice of shares allotted following
incorporation

☐ **What this form is NOT for**
You cannot use this form to give
notice of shares taken by a company
on formation of the company
for an allotment of a new
share by an unlimited company

FRIDAY



A23 11/10/2013 #315
COMPANIES HOUSE

1 Company details

Company number 3 8 8 8 7 9 2

Company name in full GlaxoSmithKline plc

→ **Filing in this form**
Please complete in typescript or in
bold black capitals

All fields are mandatory unless
specified or indicated by *

2 Allotment dates ¹

From Date d 1 d 6 m 0 m 9 y 2 y 0 y 1 y 3

To Date d 3 d 0 m 0 m 9 y 2 y 0 y 1 y 3

1 Allotment date
If all shares were allotted on the
same day enter that date in the
'from date' box. If shares were
allotted over a period of time,
complete both 'from date' and 'to
date' boxes

3 Shares allotted

Please give details of the shares allotted, including bonus shares
(Please use a continuation page if necessary)

2 Currency
If currency details are not
completed we will assume currency
is in pound sterling

Class of shares (E.g. Ordinary/Preference etc.)	Currency ²	Number of shares allotted	Nominal value of each share	Amount paid (including share premium) on each share	Amount (if any) unpaid (including share premium) on each share
Ordinary	GBP	933	£0 25	£9 72	
Ordinary	GBP	1740	£0 25	£10 260976	
Ordinary	GBP	1020	£0 25	£10 308452	

If the allotted shares are fully or partly paid up otherwise than in cash, please
state the consideration for which the shares were allotted

Continuation page
Please use a continuation page if
necessary

Details of non-cash
consideration

If a PLC, please attach
valuation report (if
appropriate)

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3 Shares allotted

Please give details of the shares allotted, including bonus shares

② Currency

If currency details are not
completed we will assume currency
is in pound sterling

Class of shares (E.g. Ordinary/Preference etc.)	Currency ②	Number of shares allotted	Nominal value of each share	Amount paid (including share premium) on each share	Amount (if any) unpaid (including share premium) on each share
Ordinary	GBP	2040	£0 25	£10 332035	
Ordinary	GBP	7980	£0 25	£10 367291	
Ordinary	GBP	7640	£0 25	£10 394377	
Ordinary	GBP	2500	£0 25	£10 760000	
Ordinary	GBP	10959	£0 25	£11 230000	
Ordinary	GBP	400	£0 25	£11 246546	
Ordinary	GBP	6220	£0 25	£11 298581	
Ordinary	GBP	1280	£0 25	£11 316733	
Ordinary	GBP	640	£0 25	£11 324430	
Ordinary	GBP	600	£0 25	£11 363072	
Ordinary	GBP	2562	£0 25	£11 392759	
Ordinary	GBP	17242	£0 25	£11 458397	
Ordinary	GBP	11345	£0 25	£11 470000	
Ordinary	GBP	4100	£0 25	£11 511413	
Ordinary	GBP	1740	£0 25	£11 529906	
Ordinary	GBP	3940	£0 25	£11 537748	
Ordinary	GBP	31460	£0 25	£11 577119	
Ordinary	GBP	215	£0 25	£11 590000	
Ordinary	GBP	360	£0 25	£11 600000	
Ordinary	GBP	14520	£0 25	£11 607365	
Ordinary	GBP	240	£0 25	£11 710163	
Ordinary	GBP	560	£0 25	£11 764343	
Ordinary	GBP	23395	£0 25	£11 770000	
Ordinary	GBP	320	£0 25	£11 783243	
Ordinary	GBP	960	£0 25	£11 862404	
Ordinary	GBP	82103	£0 25	£12 035000	
Ordinary	GBP	2000	£0 25	£12 544725	
Ordinary	GBP	1200	£0 25	£12 564879	
Ordinary	GBP	1200	£0 25	£12 573425	
Ordinary	GBP	1600	£0 25	£12 649291	
Ordinary	GBP	359303	£0 25	£12 680000	



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3 Shares allotted

Please give details of the shares allotted, including bonus shares

② Currency

If currency details are not
completed we will assume currency
is in pound sterling

Class of shares (E.g. Ordinary/Preference etc.)	Currency ②	Number of shares allotted	Nominal value of each share	Amount paid (including share premium) on each share	Amount (if any) unpaid (including share premium) on each share
Ordinary	GBP	2100	£0 25	£12 810000	
Ordinary	GBP	1740	£0 25	£13 426466	
Ordinary	GBP	30210	£0 25	£13 484802	
Ordinary	GBP	1020	£0 25	£13 488587	
Ordinary	GBP	1440	£0 25	£13 510257	
Ordinary	GBP	1740	£0 25	£13 519446	
Ordinary	GBP	210	£0 25	£13 520000	
Ordinary	GBP	114552	£0 25	£13 547193	
Ordinary	GBP	12804	£0 25	£13 565579	
Ordinary	GBP	51164	£0 25	£13 568957	
Ordinary	GBP	99244	£0 25	£13 578186	
Ordinary	GBP	4500	£0 25	£13 601020	
Ordinary	GBP	149040	£0 25	£13 624519	
Ordinary	GBP	208972	£0 25	£13 660114	
Ordinary	GBP	3140	£0 25	£13 739638	
Ordinary	GBP	3220	£0 25	£13 803208	
Ordinary	GBP	32080	£0 25	£13 825383	
Ordinary	GBP	2460	£0 25	£13 834786	
Ordinary	GBP	16352	£0 25	£13 881995	
Ordinary	GBP	11580	£0 25	£13 918263	
Ordinary	GBP	440	£0 25	£14 666419	
Ordinary	GBP	120	£0 25	£14 670000	
Ordinary	GBP	14347	£0 25	£14 680000	
Ordinary	GBP	440	£0 25	£14 716466	
Ordinary	GBP	640	£0 25	£14 754914	
Ordinary	GBP	18095	£0 25	£14 880000	
Ordinary	GBP	508	£0 25	£14 930000	
Ordinary	GBP	440	£0 25	£14 940129	
Ordinary	GBP	420	£0 25	£15 009254	
Ordinary	GBP	320	£0 25	£15 033366	
Ordinary	GBP	320	£0 25	£15 043591	

Shares allotted

2 Currency
If currency details are not completed we will assume currency is in pound sterling

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Statement of capital

Section 4 (also Section 5 and Section 6, if appropriate) should reflect the company's issued capital at the date of this return

4 Statement of capital (Share capital in pound sterling (£))

Please complete the table below to show each class of shares held in pound sterling. If all your issued capital is in sterling, only complete Section 4 and then go to Section 7

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ①	Amount (if any) unpaid on each share ①	Number of shares ②	Aggregate nominal value ③
ORDINARY 25P SHARES	Nil	£0.71	5,365,498,851	£ 1,341,374,712.75
				£
				£
				£
Totals			5,365,498,851	£ 1,341,374,712.75

5 Statement of capital (Share capital in other currencies)

Please complete the table below to show any class of shares held in other currencies.
Please complete a separate table for each currency.

Currency				
Class of shares (E g Ordinary / Preference etc)	Amount paid up on each share ❶	Amount (if any) unpaid on each share ❶	Number of shares ❷	Aggregate nominal value ❸
Totals				

Currency				
Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ❶	Amount (if any) unpaid on each share ❶	Number of shares ❷	Aggregate nominal value ❸
Totals				

6 Statement of capital (Totals)

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares

Total aggregate
nominal value ④

④ Total aggregate nominal value
Please list total aggregate values in
different currencies separately. For
example £100 + €100 + \$10 etc.

① Including both the nominal value and any
share premium

② E.g. Number of shares issued multiplied by
nominal value of each share

③ Total number of issued shares in this class

Continuation Pages

Please use a Statement of Capital continuation
page if necessary

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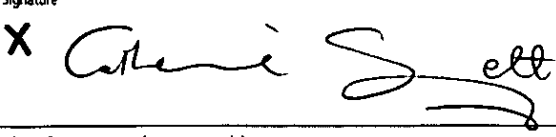
7

Statement of capital (Prescribed particulars of rights attached to shares)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Section 4 and Section 5		<p>❶ Prescribed particulars of rights attached to shares</p> <p>The particulars are</p> <ul style="list-style-type: none"> a particulars of any voting rights, including rights that arise only in certain circumstances, b particulars of any rights, as respects dividends, to participate in a distribution, c particulars of any rights, as respects capital, to participate in a distribution (including on winding up), and d whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares. <p>A separate table must be used for each class of share</p> <p>Continuation page Please use a Statement of Capital continuation page if necessary</p>
Class of share	Ordinary 25p Shares	
Prescribed particulars ❶	<p>Each share is entitled to one vote in any circumstances All Ordinary Shares rank pari-passu in respect of rights to dividends</p> <p>In the event of the winding up of the company, ordinary shareholders would be entitled to any remaining assets after all other creditors have been satisfied</p>	
Class of share		
Prescribed particulars ❶		
Class of share		
Prescribed particulars ❶		

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Signature

I am signing this form on behalf of the company		<p>❷ Societas Europaea If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership</p> <p>❸ Person authorised Under either section 270 or 274 of the Companies Act 2006</p>
Signature	<p>Signature</p> <p>X  X</p> <p>This form may be signed by Director ❶, Secretary, Person authorised ❷, Administrator, Administrative receiver, Receiver, Receiver manager, CIC manager</p>	

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Return of allotment of shares



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record

Contact name **Company Secretary**

Company name **GlaxoSmithKline plc**

Address **980 GREAT WEST ROAD,
BRENTFORD, MIDDLESEX**

Post town

County/Region

Postcode **T W 8 9 G S**

Country

DX

Telephone



Checklist

We may return the forms completed incorrectly or with information missing

Please make sure you have remembered the following

- ☐ The company name and number match the information held on the public Register
- ☐ You have shown the date(s) of allotment in section 2
- ☐ You have completed all appropriate share details in section 3
- ☐ You have completed the appropriate sections of the Statement of Capital
- ☐ You have signed the form



Important information

Please note that all information on this form will appear on the public record



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below

For companies registered in England and Wales
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland
The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG
DX 481 N R Belfast 1



Further information

For further information please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk