

# SH01

## Return of allotment of shares

THURSDAY



A10 \*A236QQCO\* 28/02/2013 #334  
COMPANIES HOUSE

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☒ **What this form is for**  
You may use this form to give  
notice of shares allotted following  
incorporation

☐ **What this form is NOT for**  
You cannot use this form to give  
notice of shares taken by subscribers  
on formation of the company or  
for an allotment of a new class of  
shares by an unlimited company

For further information, please  
refer to our guidance at  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

### 1 Company details

Company number 3 8 8 8 7 9 2

Company name in full GlaxoSmithKline plc

→ **Filing in this form**  
Please complete in typescript or in  
bold black capitals

All fields are mandatory unless  
specified or indicated by \*

### 2 Allotment dates

From Date d 0 d 1 m 0 m 2 y 2 y 0 y 1 y 3

To Date d 1 d 5 m 0 m 2 y 2 y 0 y 1 y 3

**Allotment date**  
If all shares were allotted on the  
same day enter that date in the  
'from date' box. If shares were  
allotted over a period of time,  
complete both 'from date' and 'to  
date' boxes.

### 3 Shares allotted

Please give details of the shares allotted, including bonus shares  
(Please use a continuation page if necessary)

**Currency**  
If currency details are not  
completed we will assume currency  
is in pound sterling

Class of shares (E.g. Ordinary/Preference etc.)	Currency	Number of shares allotted	Nominal value of each share	Amount paid (including share premium) on each share	Amount (if any) unpaid (including share premium) on each share
Ordinary	GBP	31109	£0 25	£11 23	
Ordinary	GBP	58929	£0 25	£11 47	
Ordinary	GBP	9675	£0 25	£11 70	

If the allotted shares are fully or partly paid up otherwise than in cash, please  
state the consideration for which the shares were allotted

**Continuation page**  
Please use a continuation page if  
necessary

Details of non-cash  
consideration

If a PLC, please attach  
valuation report (if  
appropriate)

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### Shares allotted

Please give details of the shares allotted, including bonus shares

**② Currency**

If currency details are not  
completed we will assume currency  
is in pound sterling

Class of shares (E.g. Ordinary/Preference etc.)	Currency ②	Number of shares allotted	Nominal value of each share	Amount paid (including share premium) on each share	Amount (if any) unpaid (including share premium) on each share
Ordinary	GBP	155417	£0 25	£11 77	
Ordinary	GBP	32996	£0 25	£12 035	
Ordinary	GBP	199303	£0 25	£12 68	
Ordinary	GBP	3262	£0 25	£13 52	
Ordinary	GBP	1230	£0 25	£11 60	
Ordinary	GBP	27763	£0 25	£9 72	
Ordinary	GBP	5208	£0 25	£12 47	
Ordinary	GBP	4450	£0 25	£11 94	
Ordinary	GBP	425	£0 25	£12 205	
Ordinary	GBP	14648	£0 25	£10 625715	
Ordinary	GBP	3140	£0 25	£11 865701	
Ordinary	GBP	320	£0 25	£12 126406	
Ordinary	GBP	2920	£0 25	£13 903726	
Ordinary	GBP	19500	£0 25	£13 964136	
Ordinary	GBP	8320	£0 25	£14 228030	
Ordinary	GBP	29594	£0 25	£10 785517	
Ordinary	GBP	1120	£0 25	£12 308786	
Ordinary	GBP	2334	£0 25	£12 363646	
Ordinary	GBP	1600	£0 25	£13 125281	
Ordinary	GBP	12100	£0 25	£14 112825	
Ordinary	GBP	53724	£0 25	£14 174143	
Ordinary	GBP	16540	£0 25	£14 442006	
Ordinary	GBP	24680	£0 25	£10 785516	
Ordinary	GBP	9920	£0 25	£12 044149	
Ordinary	GBP	720	£0 25	£14 442000	
Ordinary	GBP	19260	£0 25	£10 667093	
Ordinary	GBP	560	£0 25	£12 173643	
Ordinary	GBP	4580	£0 25	£13 957867	
Ordinary	GBP	15000	£0 25	£14 018513	
Ordinary	GBP	1020	£0 25	£14 283431	
Ordinary	GBP	1020	£0 25	£11 911902	

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### Shares allotted

Please give details of the shares allotted, including bonus shares

#### ② Currency

If currency details are not  
completed we will assume currency  
is in pound sterling

Class of shares (E.g. Ordinary/Preference etc.)	Currency ②	Number of shares allotted	Nominal value of each share	Amount paid (including share premium) on each share	Amount (if any) unpaid (including share premium) on each share
Ordinary	GBP	240	£0 25	£12 173625	
Ordinary	GBP	1200	£0 25	£12 227900	
Ordinary	GBP	1620	£0 25	£14 283432	
Ordinary	GBP	600	£0 25	£11 821467	
Ordinary	GBP	3140	£0 25	£12 044150	
Ordinary	GBP	2004	£0 25	£11 969920	
Ordinary	GBP	240	£0 25	£12 308792	
Ordinary	GBP	2200	£0 25	£14 112827	
Ordinary	GBP	4246	£0 25	£11 969922	
Ordinary	GBP	1020	£0 25	£12 044147	
Ordinary	GBP	4240	£0 25	£12 308785	
Ordinary	GBP	47256	£0 25	£10 939444	
Ordinary	GBP	3320	£0 25	£12 216039	
Ordinary	GBP	144	£0 25	£12 484444	
Ordinary	GBP	1200	£0 25	£13 312600	
Ordinary	GBP	1740	£0 25	£14 314241	
Ordinary	GBP	19584	£0 25	£14 376432	
Ordinary	GBP	2920	£0 25	£14 648116	
Ordinary	GBP	17910	£0 25	£10 939443	
Ordinary	GBP	720	£0 25	£12 216042	
Ordinary	GBP	3520	£0 25	£12 484452	
Ordinary	GBP	3220	£0 25	£14 314239	
Ordinary	GBP	1020	£0 25	£14 648118	
Ordinary	GBP	2760	£0 25	£12 216040	
Ordinary	GBP	720	£0 25	£14 648111	

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**Statement of capital**

Section 4 (also Section 5 and Section 6, if appropriate) should reflect the company's issued capital at the date of this return

**4 Statement of capital (Share capital in pound sterling (£))**

Please complete the table below to show each class of shares held in pound sterling. If all your issued capital is in sterling, only complete Section 4 and then go to Section 7

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ①	Amount (if any) unpaid on each share ①	Number of shares ②	Aggregate nominal value ③
ORDINARY 25P SHARES	£0.63	NIL	5,399,626,299	£ 1,349,906,574.75
				£
				£
				£
Totals			5,399,626,299	£ 1,349,906,574.75

**5 Statement of capital (Share capital in other currencies)**

Please complete the table below to show any class of shares held in other currencies.  
Please complete a separate table for each currency.

Currency				
Class of shares (E g Ordinary / Preference etc )	Amount paid up on each share ❶	Amount (if any) unpaid on each share ❶	Number of shares ❷	Aggregate nominal value ❸
Totals				

Currency				
Class of shares (E g Ordinary/Preference etc )	Amount paid up on each share ❶	Amount (if any) unpaid on each share ❶	Number of shares ❷	Aggregate nominal value ❸
Totals				

**6 Statement of capital (Totals)**

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares

Total aggregate  
nominal value ④

④ Total aggregate nominal value  
Please list total aggregate values in  
different currencies separately. For  
example £100 + €100 + \$10 etc

① Including both the nominal value and any  
share premium

② E.g. Number of shares issued multiplied by  
nominal value of each share

**Continuation Pages**  
Please use a Statement of Capital continuation  
page if necessary

③ Total number of issued shares in this class

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**Statement of capital (Prescribed particulars of rights attached to shares)**

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in <b>Section 4</b> and <b>Section 5</b>		<p><b>① Prescribed particulars of rights attached to shares</b></p> <p>The particulars are</p> <ul style="list-style-type: none"> <li>a particulars of any voting rights, including rights that arise only in certain circumstances,</li> <li>b particulars of any rights, as respects dividends, to participate in a distribution,</li> <li>c particulars of any rights, as respects capital, to participate in a distribution (including on winding up), and</li> <li>d whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares.</li> </ul> <p>A separate table must be used for each class of share</p> <p><b>Continuation page</b> Please use a Statement of Capital continuation page if necessary</p>
Class of share	Ordinary 25p Shares	
Prescribed particulars ①	<p>Each share is entitled to one vote in any circumstances All Ordinary Shares rank pari-passu in respect of rights to dividends</p> <p>In the event of the winding up of the company, ordinary shareholders would be entitled to any remaining assets after all other creditors have been satisfied</p>	
Class of share		
Prescribed particulars ①		
Class of share		
Prescribed particulars ①		

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**Signature**

I am signing this form on behalf of the company		<p><b>② Societas Europaea</b> If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership</p> <p><b>③ Person authorised</b> Under either section 270 or 274 of the Companies Act 2006</p>
Signature	<p>Signature</p> <p>X <i>Colin S. J. J. J.</i> X</p> <p>This form may be signed by Director ②, Secretary, Person authorised ③, Administrator, Administrative receiver, Receiver, Receiver manager, CIC manager</p>	

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## Return of allotment of shares

**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Company Secretary**

Company name **GlaxoSmithKline plc**

Address **980 GREAT WEST ROAD,  
BRENTFORD, MIDDLESEX**

Post town

County/Region

Postcode **T W 8 9 G S**

Country

DX

Telephone

**Checklist**

We may return the forms completed incorrectly or with information missing

**Please make sure you have remembered the following**

- ☐ The company name and number match the information held on the public Register
- ☐ You have shown the date(s) of allotment in section 2
- ☐ You have completed all appropriate share details in section 3
- ☐ You have completed the appropriate sections of the Statement of Capital
- ☐ You have signed the form

**Important information**

Please note that all information on this form will appear on the public record

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below

**For companies registered in England and Wales**  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ  
DX 33050 Cardiff

**For companies registered in Scotland**  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

**For companies registered in Northern Ireland**  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG  
DX 481 N R Belfast 1

**Further information**

For further information please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

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