K and Matching Logic

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Question

... could it be that, after 40 years of program verification, we still lack the right semantically grounded program verification foundation?

Hoare pgic
$$\{\pi_{\mathrm{pre}}\} \ \mathrm{code} \ \{\pi_{\mathrm{post}}\}$$

Current State-of-the-Art in Program Analysis and Verification

Consider some programming language, L

- Formal semantics of L?
 - Typically skipped: considered expensive and useless
- Model checkers for L
 - Based on some adhoc encodings/models of L
- Program verifiers for L
 - Based on some other adhoc encodings/models of L
- Runtime verifiers for L
 - Based on yet another adhoc encodings/models of L

• ...

Example of C Program

What should the following program evaluate to?

```
int main(void) {
  int x = 0;
  return (x = 1) + (x = 2);
}
```

- According to the C "standard", it is undefined
- GCC4, MSVC: it returns 4
 GCC3, ICC, Clang: it returns 3
 By April 2011, both Frama-C (with its Jessie verification plugin) and Havoc "prove" it returns 4

A Formal Semantics Manifesto

- Programming languages must have formal semantics! (period)
 - And analysis/verification tools should build on them at best, or should formally relate to them at worst
 - Otherwise they are adhoc and likely wrong
- Informal manuals are not sufficient
 - Manuals typically have a formal syntax of the language (in an appendix)
 - Why not a formal semantics appendix as well?

Motivation and Goal

- We are facing a semantic chaos
 - Axiomatic, denotational, operational, etc.
- Why so many semantic styles?
 - Since none of them is ideal, they have limitations

- We want a powerful, unified foundation for language design, semantics and verification
 - One semantic approach to serve all the purposes!
 - To work with realistic languages (C, Java, etc.)

Minimal Requirements for an Ideal Language Semantic Framework

- Should be expressive
 - Substitution or environment-based definitions,
 abrupt control changes (callcc), concurrency, etc.
- Should be (efficiently) executable
 - So we can test it and use it in tools (symb. exec.)
- Should be modular (thus scale)
 - So each feature is defined once and for all
- Should serve as a basis for program reasoning
 - So we can also prove programs correct with it

Conventional Semantic Approaches Advantages and Limitations –

Chronologically

- 1969: Floyd-Hoare Logic -

- Basis for program verification
- Not easily executable, and thus, hard to test
 - Semantic errors found by proving wrong properties
 - Soundness rarely or never proved in practice
- Not very expressive
 - Often requires heavy program transformations (e.g., to eliminate side effects, pointers, exceptions, etc.), to reduce languages to cores which can be given an axiomatic semantics
 - Structural program properties (e.g., about heap, stacks, input/output, etc.) hard to state; need special logic support
 - Structural framing (e.g., heap framing) hard to deal with
- Implementations of Floyd-Hoare verifiers for real languages still an art, who few master

- 1971: Denotational Semantics -

Reasonable trade-offs. "Compiles" programs into mathematical objects, so it can be *in principle*:

- Expressive, provided enough/appropriate mathematical domains available
- Executable: we can execute/approximate fixed-points
 - Although factorial(5) crashes Papaspyrou's C semantics
- Modular, provided one uses advanced features
 - Monads, continuations, resumptions
- Basis for program verification
 - Program = least fixed point, so we can use induction
- Hard to use and understand; requires expert knowledge; no overwhelming evidence it is practical for verification

- 1981: Operational Semantics -

Quite intuitive, easy to understand and define. Requires minimal training and it scales.

- Executable, by its very nature
 - Although Norish's C semantics not executable, evaluation contexts are inefficient, CHAM has no machine support, etc.
- Expressive ... in principle
 - Although hard to use both evaluation contexts (for call/CC, longjumps,...) and environment-store (for pointers, threads,...)
- Modular, when one uses
 - MSOS ideas for dealing with configuration changes, evaluation contexts ideas for control, CHAM ideas for concurrency, etc.
- Considered "too low level", inappropriate for verification...

What We Want

- First, we want a semantic framework which, at the same time and uniformly well, is
 - Expressive, Executable, Modular, and
 - Suitable for program reasoning
- Second, we want to develop supporting tools for
 - Defining formal language semantics, and
 - Using the semantics for program verification
 - Put an end to having both operational and axiomatic or other semantics to languages. No more semantics equivalence proofs to be done and maintained!

Our quest

Next I will tell a story about our quest for such a unified and practical semantic framework

Take our approach as a possibility ... not as ultimate answer

Message to take home:

This is not a dream!

Starting Point: Rewriting Logic

Meseguer (late 80s, early 90s)

- Expressive
 - Any logic can be represented in RL (it is reflective)
- Executable
 - Quite efficiently; Maude often outperforms SML
- Modular
 - Allows rules to only "match" what they need
- Can serve as a basis for program reasoning
 - Admits initial model semantics, so it is amenable for inductive or fixed-point proofs

The K Framework k-framework.org

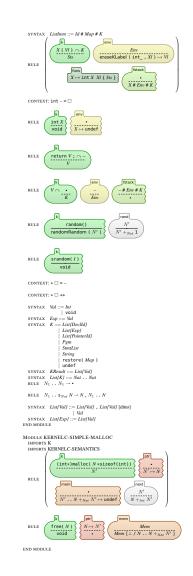
- A tool-supported rewrite-based framework for defining programming language semantics
- Main ideas
 - Represent program configurations as potentially nested structures of cells (like in the CHAM)
 - Flatten syntax into special computational structures (like in refocusing for evaluation contexts)
 - Define the semantics of each language construct by semantic rules (a small number, typically 1 or 2)

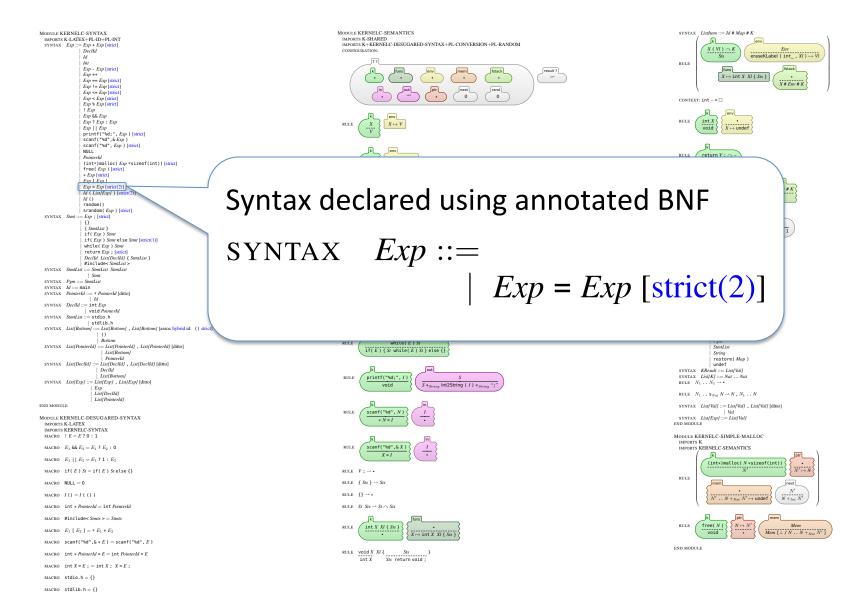
```
MODULE KERNELC-SYNTAX
 IMPORTS K-LATEX+PL-ID+PL-INT
 SYNTAX Exp ::= Exp + Exp [strict]
| DeclId
                   Exp - Exp [strict]
Exp ++
Exp == Exp [strict]
                   Exp \le Exp [strict]
                   Exp < Exp [strict]

Exp % Exp [strict]
                   ! Exp
Exp && Exp
                   | Exp ? Exp : Exp
| Exp | | Exp
                   printf("%d;", Exp) [strict]
scanf("%d", & Exp)
                   scanf("%d", Exp) [strict]
                   PointerId
                    (int*)malloc( Exp *sizeof(int)) [strict]
                   free ( Exp ) [strict]
                   * Exp [strict]
Exp [ Exp ]
                   Exp = Exp [strict(2)]
                   Id ( List[Exp] ) [strict(2)]
                   srandom( Exp ) [strict]
  SYNTAX Stmt ::= Exp ; [strict]
                   { StmtList }
if (Exp ) Stmt
                    if (Exp ) Stmt else Stmt [strict(1)]
                    while (Exp) Stmt
                    DeclId List[DeclId] { StmtList }
                    #includes Startliet
 SYNTAX StmtList ::= StmtList StmtList
 SYNTAX Pgm ::= StmtList
 SYNTAY Ld .-- main
 SYNTAX PointerId ::= * PointerId [ditto]
  SYNTAX DeclId ::= int Exp
                   | void PointerId
 SYNTAX StmtList ::= stdio.h
 | stdlib.h

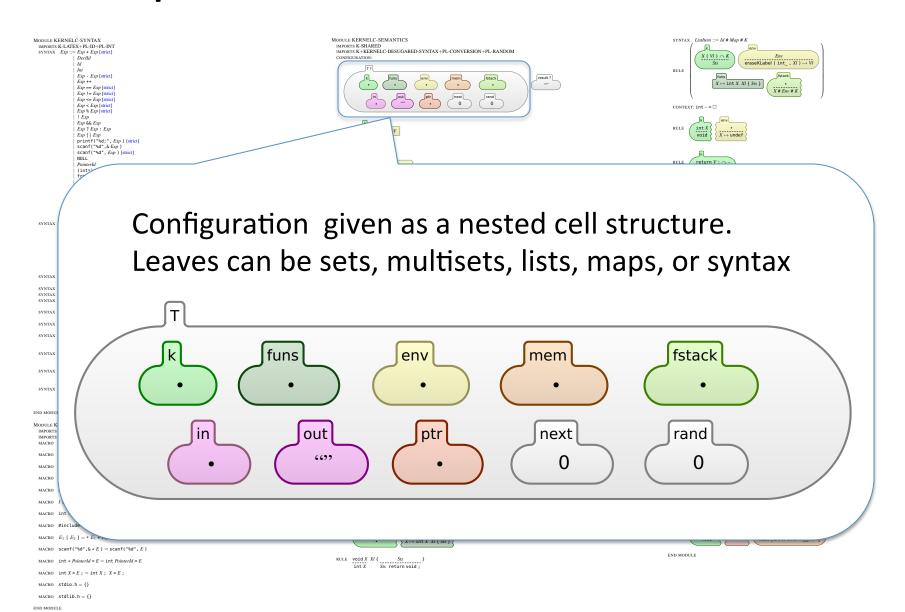
SYNTAX List(Bottom) ::= List(Bottom) , List(Bottom) [assoc hybrid id: () strict]
                         ()
 SYNTAX List(PointerId) ::= List(PointerId) , List(PointerId) [ditto]
                           List/Bottom
                             PointerId
 SYNTAX List[DeclId] ::= List[DeclId] , List[DeclId] [ditto]
                          List(Bottom)
 SYNTAX List(Exp) ::= List(Exp), List(Exp) [ditto]
                         Exp
List[DeclId]
END MODULE
MODULE KERNELC-DESUGARED-SYNTAX
 IMPORTS K-LATEX
 IMPORTS KERNELC-SYNTAX
 MACRO ! E = E ? 0 : 1
 MACRO E_1 && E_2 = E_1 ? E_2 : 0
 MACRO E_1 | | E_2 = E_1 ? 1 : E_2
 MACRO if(E) St = if(E) St else {}
 MACRO int * PointerId = int PointerId
  MACRO #include< Stmts > = Stmt
 MACRO E_1 [E_2] = *E_1 + E_2
 MACRO scanf("%d", & \times E) = scanf("%d", E)
 MACRO int * PointerId = E = int PointerId = E
 MACRO int X = E; = int X; X = E;
 MACRO stdio.h = {}
 MACRO stdlib.h = {}
```

```
MODILE KERNELC-SEMANTICS
      IMPORTS K-SHARED
IMPORTS K+KERNELC-DESUGARED-SYNTAX+PL-CONVERSION+PL-RANDOM
      \text{RULE} \quad I_1 + I_2 \rightharpoonup I_1 +_{Int} I_2
     RULE I_1 - I_2 
ightharpoonup I_1 - I_{nt} I_2
      RULE I_1 \circledast I_2 \rightharpoonup I_1 \circledast_{Int} I_2 when I_2 !=_{Int} 0
      RULE I_1 \mathrel{<=} I_2 \rightharpoonup \mathsf{Bool2Int} ( I_1 \leq_{Int} I_2 )
      RILLE I_1 \le I_2 \rightarrow \text{Bool2Int} (I_1 \le i_{rel} I_2)
      RULE I_1 == I_2 \rightarrow \text{Bool2Int} ( I_1 ==_{Int} I_2 )
      RULE I_1 \mathrel{!=} I_2 	o \mathsf{Bool2Int} ( I_1 \mathrel{!=}_{Int} I_2 )
      RULE _?_:_ - if(_)_else_
      RULE if(I) - else St \rightarrow St when I ==_{Int} 0
      RULE if ( I ) Srelse - \rightarrow St when \neg_{Bool} I ==_{Int} 0
                                                                                          while (E) St
                                             if(E) { St while(E) St } else {}
                                               printf("%d;", I)
                                                                                                                                              S +_{String} Int2String ( I ) +_{String} ";
                                                 scanf("%d", N)
                                               scanf("%d",&X)
      RULE V; 
ightharpoonup 
ightharp
      RULE { Sts } - Sts
      RULE \{\} \rightarrow \bullet
      RULE St Sts - St - Sts
                                             int X XI { Sts }
                                                                                                                             X \mapsto \text{int } X X I \{ Sts \}
   RULE void X XI { Sts | Sts | return void ;
```





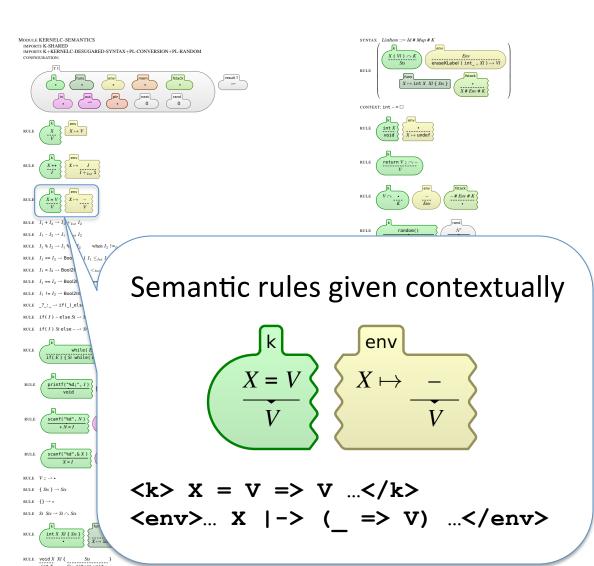
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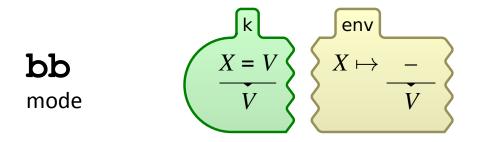
Exp % Exp [strict]
                   ! Exp
Exp && Exp
                   | Exp ? Exp : Exp
| Exp | | Exp
                   printf("%d;", Exp) [strict]
scanf("%d", & Exp)
                   scanf("%d", Exp) [strict]
                   PointerId
                    (int*)malloc( Exp *sizeof(int)) [strict]
                   free ( Exp ) [strict]
                   Exp [ Exp ]
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 MACRO E_1 && E_2 = E_1 ? E_2 : 0
 MACRO E_1 | | E_2 = E_1 ? 1 : E_2
 MACRO if(E) St = if(E) St else {}
 MACRO NULL = 0
  MACRO int * PointerId = int PointerId
  MACRO #include< Stmts > = Stmt
  MACRO E_1 [E_2] = *E_1 + E_2
 {\tt MACRO} \quad {\tt scanf("%d",\&*E)} = {\tt scanf("%d",E)}
 MACRO int * PointerId = E = int PointerId = E
 MACRO int X = E; = int X; X = E;
 MACRO stdio.h = {}
 MACRO stdlib.h = {}
END MODULE
```



Don't Like Bubbles?

 The KernelC definition above was generated by the K tool using the bubble mode (bb)



K tool also provides a mathematical mode (mm),
 which may be preferred in formal writing

What is K, after all ...?

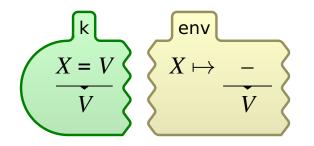
Except for its true concurrency semantics, based on graph rewriting, K is a technique and notation to define languages as rewrite systems with rules

$$l \Rightarrow r \text{ if } b$$
 (b is a side condition)

... and so are reduction semantics with evaluation contexts, (chemical) abstract machines, etc.

Translating K into rules $l \Rightarrow r$ if b

SYNTAX
$$Exp ::= Exp = Exp [strict(2)]$$



kompile into:

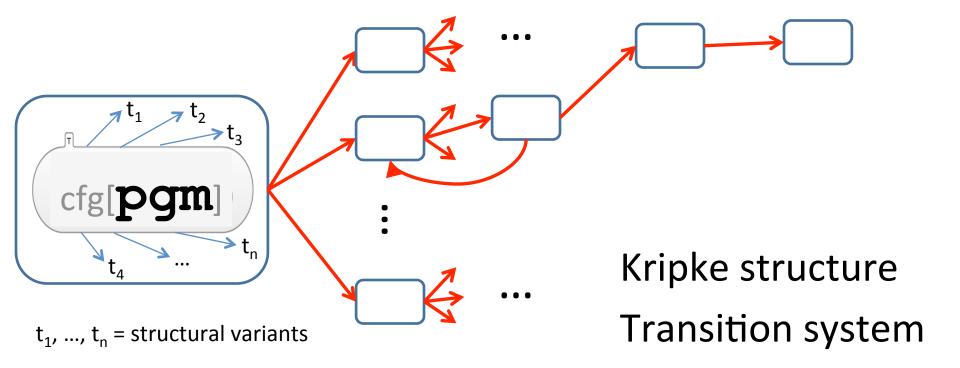
RULE
$$E_1 = E_2 \Rightarrow E_2 \curvearrowright E_1 = \square$$
 if $E_2 \notin Val$
RULE $E_2 \curvearrowright E_1 = \square \Rightarrow E_1 = E_2$ if $E_2 \in Val$
RULE $\mathsf{k}(X = V \curvearrowright K) \; \mathsf{env}(\rho_1, \; X \mapsto V', \; \rho_2)$
 $\Rightarrow \; \mathsf{k}(\; V \; \curvearrowright K) \; \mathsf{env}(\rho_1, \; X \mapsto V, \; \rho_2)$

What is the K Semantics of a Program?

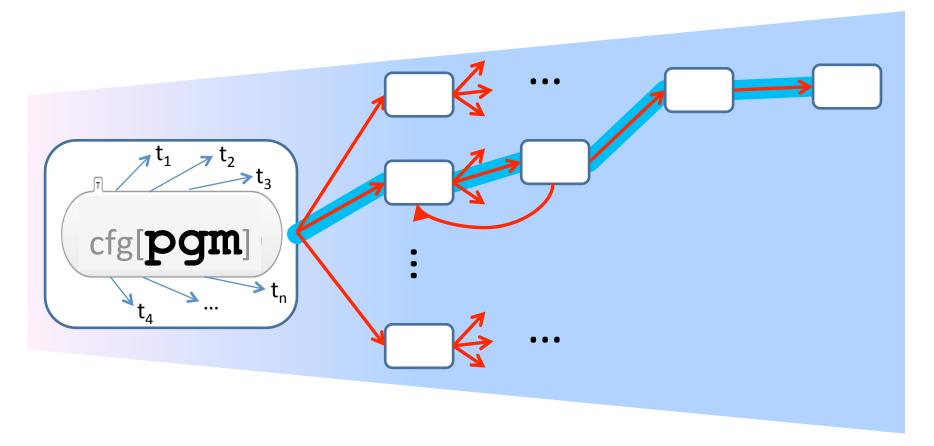
Two types of K rules:

Structural: rearrange configuration, unobservable

Computational: count as computational steps



What does the K Tool Offer?



Efficient and interactive execution (interpreters)
State-space exploration (search and model-checking)

K Semantics are Useful

- Executable, help language designers
- Make teaching PL concepts hands-on and fun
- Currently compiled into
 - Maude, for execution, debugging, model checking
 - Latex, for human inspection and understanding
- Plans to be compiled to
 - OCAML, for fast execution
 - COQ, for meta-property verification

Medium-Size K Definition

See the

- dynamic semantics or the
- type checker

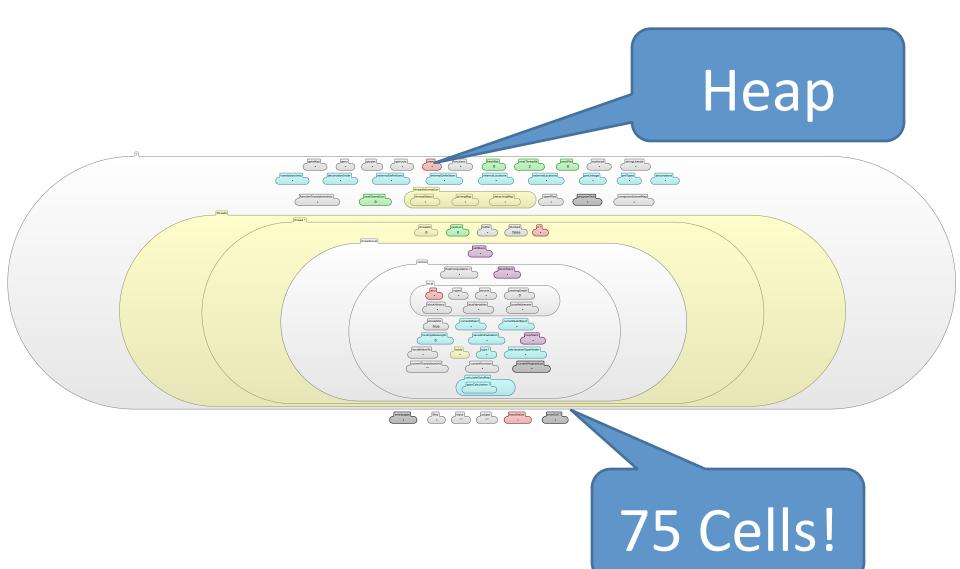
of SIMPLE

K Scales

Besides smaller and paradigmatic teaching languages, several larger languages were defined

- Scheme: by Pat Meredith
- Java 1.4: by Feng Chen
- Verilog: by Pat Meredith and Mike Katelman
- C : by Chucky Ellison etc.

The K Configuration of C



Statistics for the C definition

• Total number of rules: ~1200

- Has been tested on thousands of C programs (several benchmarks, including the gcc torture test, code from the obfuscated C competition, etc.)
 - Passed **99.2%** so far!
 - GCC 4.1.2 passes 99%, ICC 99.4%, Clang 98.3 (no opt.)
- The most complete formal C semantics
- Took more than 18 months to define ...
 - Wouldn't it be uneconomical to redefine it in each tool?

Matching Logic Verification

Rewriting (language semantics)

+

[FOL] (configuration reasoning)

+

Proof Rules (behavior reasoning)

Matching Logic

- A logic for reasoning about configurations
- Formulae
 - [FOL] over configurations, called patterns
 - Configurations are allowed to contain variables
- Models
 - Ground configurations
- Satisfaction
 - Matching for configurations, plus [FOL] for the rest

Examples of Patterns

 x points to sequence A with |A|>1, and the reversed sequence rev(A) has been output

untrusted() can only be called from trusted()

```
trusted()
```

More Formally: Configurations

 For concreteness, assume configurations having the following syntax:

$$\langle\langle ... \rangle_k \langle ... \rangle_{env} \langle ... \rangle_{heap} \langle ... \rangle_{in} \langle ... \rangle_{out} ... \rangle_{cfg}$$

(matching logic works with any configurations)

• Examples of concrete (ground) configurations:

$$\begin{split} &\langle\langle x\text{=*y; y=x; ...}\rangle_{k}\,\langle x\mapsto 7,\ y\mapsto 3,\ ...\rangle_{\text{env}}\,\langle 3\mapsto 5\rangle_{\text{heap}}\ ...\rangle_{\text{cfg}} \\ &\langle\langle x\mapsto 3\rangle_{\text{env}}\,\langle 3\mapsto 5,\ 2\mapsto 7\rangle_{\text{heap}}\,\langle 1,2,3,...\rangle_{\text{in}}\,\langle ...,7,8,9\rangle_{\text{out}}\ ...\rangle_{\text{cfg}} \end{split}$$

More Formally: Patterns

- Concrete configurations are already patterns, but very simple ones, ground
- Example of more complex pattern

```
\exists c : Cells, \ e : Env, \ p : Nat, \ i : Int, \ \sigma : Heap
\langle \langle \mathbf{x} \mapsto p, \ e \rangle_{\mathsf{env}} \ \langle p \mapsto i, \ \sigma \rangle_{\mathsf{heap}} \ c \rangle_{\mathsf{cfg}} \ \land \ i > 0 \ \land \ p \neq i
```

- Thus, patterns generalize both terms and [FOL]
- Models: concrete configurations + valuations
- Satisfaction: matching for patterns, [FOL] for rest

More Formally: Reasoning

 We can now prove (using FOL reasoning) properties about configurations, such as

Matching Logic vs. Separation Logic

- Matching logic achieves separation through matching at the structural (term) level, not through special logical connectives (*)
- Matching logic realizes separation at all levels of the configuration, not only in the heap
 - the heap was only 1 out of the 75 cells in C's def.
- Matching logic can stay within FOL, while separation logic needs to extend FOL
 - Thus, we can use the existing SMT provers, etc.

Matching Logic Verification

Hoare style - not recommended

$$\{\pi_{\mathsf{pre}}\}\ \mathsf{code}\ \{\pi_{\mathsf{post}}\}$$

Need to redefine the PL semantics – impractical

Rewriting (or K) style – recommended

$$left[\mathtt{code}] \rightarrow right$$

Can reuse existing operational semantics – good

Example – Swapping Values

```
void swap(int *x, int *y)
{
    int t;
    t=*x;
    *x=*y;
    *y=t;
}
```

- What is the K semantics of the swap function?
- Let \$ be its body

```
\begin{array}{c|c}
 & \text{heap} \\
\hline
x \mapsto a
\end{array}

if x = y
```

Example – Reversing a list

```
$\text{struct listNode* reverse(struct listNode *x)}
{
    struct listNode *p;
    struct listNode *y;
    p = 0;
    while(x) {
        y = x->next;
        x->next = p;
        p = x;
        x = y;
    }
    return p;
}
```

- What is the K semantics of the reverse function?
- Let \$ be its body

```
$ list(x,A) list(p,rev(A))
```

```
rule <k> $ => return p; </k>
     <heap>... list(x,A) => list(p,rev(A)) ...</heap>
```

Partial Correctness

- We have two rewrite relations on configurations
 - given by the language K semantics; safe
 - given by specifications; unsafe, has to be proved
- Idea (simplified for deterministic languages):
 - Pick left → right. Show that always left → (→ ∪ →)* right modulo matching logic reasoning (between rewrite steps)
- Theorem (soundness):
 - If left → right and "config matches left" such that config has a normal form for →, then "nf(config) matches right"

More Formally: Matching Logic Rewriting

- Matching logic rewrite rules are rewrite rules over matching logic formulae: $\varphi \Rightarrow \varphi'$
- Since patterns generalize terms, matching logic rewriting captures term rewriting
- Moreover, deals naturally with side conditions: rewrite rules of the form

$$l \Rightarrow r \text{ if } b$$

are captured as matching logic rules of the form

$$l \wedge b \Rightarrow r$$

More Formally: Proof System I

Rules of operational nature

$$\frac{\cdot}{\mathcal{A} + \varphi \Rightarrow \varphi}$$

$$\frac{\varphi \Rightarrow \varphi' \in \mathcal{A}}{\mathcal{A} \vdash \varphi \Rightarrow \varphi'}$$

More Formally: Proof System II

Substitution

$$\frac{\mathcal{A} \vdash \varphi \Rightarrow \varphi' \quad \theta : Var \to \mathcal{T}_{\Sigma}(Var)}{\mathcal{A} \vdash \theta(\varphi) \Rightarrow \theta(\varphi')}$$

Transitivity

$$\frac{\mathcal{A} \vdash \varphi_1 \Rightarrow \varphi_2 \quad \mathcal{A} \vdash \varphi_2 \Rightarrow \varphi_3}{\mathcal{A} \vdash \varphi_1 \Rightarrow \varphi_3}$$

More Formally: Proof System III

Rules of deductive nature

Case analysis

$$\frac{\mathcal{A} \vdash \varphi_1 \Rightarrow \varphi \quad \mathcal{A} \vdash \varphi_2 \Rightarrow \varphi}{\mathcal{A} \vdash \varphi_1 \lor \varphi_2 \Rightarrow \varphi}$$

Logic framing

$$\frac{\mathcal{A} \vdash \varphi \Rightarrow \varphi' \quad \psi \text{ is a FOL}_{=} \text{ formula}}{\mathcal{A} \vdash \varphi \land \psi \Rightarrow \varphi' \land \psi}$$

More Formally: Proof System IV

Consequence

Abstraction

$$\frac{\mathcal{A} \vdash \varphi \Rightarrow \varphi' \quad X \cap FreeVars(\varphi') = \emptyset}{\mathcal{A} \vdash \exists X \varphi \Rightarrow \varphi'}$$

More Formally: Proof System V

Main proof rule of matching logic rewriting

Circularity

$$\frac{\mathcal{A} \vdash \varphi \Rightarrow^{+} \varphi'' \qquad \mathcal{A} \cup \{\varphi \Rightarrow \varphi'\} \vdash \varphi'' \Rightarrow \varphi'}{\mathcal{A} \vdash \varphi \Rightarrow \varphi'}$$

Fact

- Matching logic generalizes both operational semantics and axiomatic semantics
 - Operational semantics by means of capturing term rewriting as discussed above
 - Axiomatic semantics by noticing that Hoare triples are particular pattern rewrites:

$$HL2ML(\{\psi\} s \{\psi'\}) =$$

$$\langle s, \sigma_Z \rangle \wedge \sigma_Z(\psi) \Rightarrow \exists Z(\langle skip, \sigma_Z \rangle \wedge \sigma_Z(\psi'))$$

Theorems

- Any operational behavior can also be derived using matching logic reasoning
- For any Hoare triple $\{\psi\}$ s $\{\psi'\}$ derived with axiomatic semantics, the corresponding matching logic rule $HL2ML(\{\psi\}\ s\ \{\psi'\})$ can be derived with the matching logic proof system
 - Proof is constructive, not existential
- Partial correctness
 - Holds for ALL languages

<u>MatchC</u>

- A Matching Logic Verifier for (a fragment of) C
- Uses K semantics of C fragment unchanged
- Has verified a series of challenging programs
 - Undefiness, typical Hoare-like programs, heap programs (lists, trees, stacks, queues, graphs), sortings, AVL trees, Schorr-Waite graph marking

Conclusions

- K (semantics) and Matching Logic (verification)
- Formal semantics is useful and practical!
- One can use an executable semantics of a language as is also for program verification
 - As opposed to redefining it as a Hoare logic
- Giving a formal semantics is not necessarily painful, it can be fun if one uses the right tools