

# COL703: Logic for Computer Science (Aug-Nov 2022)

Lectures 15 & 16 (Predicate Logic – Semantics, Normal Forms)

Kumar Madhukar

[madhukar@cse.iitd.ac.in](mailto:madhukar@cse.iitd.ac.in)

October 6th and 10th, 2022

# Semantics

$$\forall x \forall y (P(x, y) \rightarrow \exists z (\neg(z = x) \wedge \neg(z = y) \wedge P(x, z) \wedge P(z, y)))$$

- suppose variables take values from **real numbers**
- $P(x, y)$  represents  **$x < y$**

# Semantics

$$\forall x \forall y (P(x, y) \rightarrow \exists z (\neg(z = x) \wedge \neg(z = y) \wedge P(x, z) \wedge P(z, y)))$$

- suppose variables take values from **real numbers**
- $P(x, y)$  represents  **$x \leq y$**

# Semantics

$$\forall x \forall y (P(x, y) \rightarrow \exists z (\neg(z = x) \wedge \neg(z = y) \wedge P(x, z) \wedge P(z, y)))$$

- suppose variables take values from **natural numbers**
- $P(x, y)$  represents  **$x < y$**

Reference material:

<https://www.cs.ox.ac.uk/people/james.worrell/lecture9-2015.pdf>

# $\sigma$ -structure

Given a signature  $\sigma$ , a  $\sigma$ -*structure* (or *assignment*)  $\mathcal{A}$  consists of:

- a non-empty set  $U_{\mathcal{A}}$  called the *universe* of the structure;
- for each  $k$ -ary predicate symbol  $P$  in  $\sigma$ , a  $k$ -ary relation  $P_{\mathcal{A}} \subseteq \underbrace{U_{\mathcal{A}} \times \cdots \times U_{\mathcal{A}}}_k$ ;
- for each  $k$ -ary function symbol  $f$  in  $\sigma$ , a  $k$ -ary function,  $f_{\mathcal{A}} : \underbrace{U_{\mathcal{A}} \times \cdots \times U_{\mathcal{A}}}_k \rightarrow U_{\mathcal{A}}$ ;
- for each constant symbol  $c$ , an element  $c_{\mathcal{A}}$  of  $U_{\mathcal{A}}$ ;
- for each variable  $x$  an element  $x_{\mathcal{A}}$  of  $U_{\mathcal{A}}$ .

# Evaluating terms

- For a constant symbol  $c$  we define  $\mathcal{A}[[c]] \stackrel{\text{def}}{=} c_{\mathcal{A}}$ .
- For a variable  $x$  we define  $\mathcal{A}[[x]] \stackrel{\text{def}}{=} x_{\mathcal{A}}$ .
- For a term  $f(t_1, \dots, t_k)$ , where  $f$  is a  $k$ -ary function symbol and  $t_1, \dots, t_k$  are terms, we define  $\mathcal{A}[[f(t_1, \dots, t_k)]] \stackrel{\text{def}}{=} f_{\mathcal{A}}(\mathcal{A}[[t_1]], \dots, \mathcal{A}[[t_k]])$ .

# Satisfaction relation

1.  $\mathcal{A} \models P(t_1, \dots, t_k)$  if and only if  $(\mathcal{A} \llbracket t_1 \rrbracket, \dots, \mathcal{A} \llbracket t_k \rrbracket) \in P_{\mathcal{A}}$ .
2.  $\mathcal{A} \models (F \wedge G)$  if and only if  $\mathcal{A} \models F$  and  $\mathcal{A} \models G$ .
3.  $\mathcal{A} \models (F \vee G)$  if and only if  $\mathcal{A} \models F$  or  $\mathcal{A} \models G$ .
4.  $\mathcal{A} \models \neg F$  if and only if  $\mathcal{A} \not\models F$ .
5.  $\mathcal{A} \models \exists x F$  if and only if there exists  $a \in U_{\mathcal{A}}$  such that  $\mathcal{A}_{[x \mapsto a]} \models F$ .
6.  $\mathcal{A} \models \forall x F$  if and only if  $\mathcal{A}_{[x \mapsto a]} \models F$  for all  $a \in U_{\mathcal{A}}$ .

If we are working in first-order logic with equality then we additionally have

7.  $\mathcal{A} \models t_1 = t_2$  if and only if  $\mathcal{A} \llbracket t_1 \rrbracket = \mathcal{A} \llbracket t_2 \rrbracket$ .



# Some definitions

- **quantifier-depth** of a formula

atomic formulas have 0 qd;  $\text{qd}(\neg\phi) = \text{qd}(\phi)$ ;  
 $\text{qd}(\phi \vee \psi) = \text{qd}(\phi \wedge \psi) = \max(\text{qd}(\phi), \text{qd}(\psi))$   
 $\text{qd}(\exists x \phi) = \text{qd}(\forall x \phi) = 1 + \text{qd}(\phi)$

# Some definitions

- **quantifier-depth** of a formula

atomic formulas have 0 qd;  $\text{qd}(\neg\phi) = \text{qd}(\phi)$ ;  
 $\text{qd}(\phi \vee \psi) = \text{qd}(\phi \wedge \psi) = \max(\text{qd}(\phi), \text{qd}(\psi))$   
 $\text{qd}(\exists x \phi) = \text{qd}(\forall x \phi) = 1 + \text{qd}(\phi)$

- **ground terms** – variable-free terms

# Some definitions

- **quantifier-depth** of a formula

atomic formulas have 0 qd;  $\text{qd}(\neg\phi) = \text{qd}(\phi)$ ;  
 $\text{qd}(\phi \vee \psi) = \text{qd}(\phi \wedge \psi) = \max(\text{qd}(\phi), \text{qd}(\psi))$   
 $\text{qd}(\exists x \phi) = \text{qd}(\forall x \phi) = 1 + \text{qd}(\phi)$

- **ground terms** – variable-free terms
- a **closed formula** or a **sentence** – formula with no free variables

e.g.  $\forall x \forall y \forall z (R(x, y) \wedge R(y, z) \rightarrow R(x, z))$  is closed  
 $\forall x (x < (y + 1))$  is not closed

# Examples

- undirected graph as a  $\sigma$ -structure ( $\sigma$  containing one binary relation  $E$ ), with the interpretation of  $E$  as the edge relation
- $\sigma$  with one binary relation  $<$ , interpreted in the usual way over integers, rationals, reals

# More definitions

- a first-order formula  $F$  over  $\sigma$  is **satisfiable** if there is a  $\sigma$ -structure  $\mathcal{A}$  such that  $\mathcal{A} \models F$
- if  $F$  is not satisfiable, it is called **unsatisfiable**
- $F$  is called **valid** if (and only if)  $\neg F$  is unsatisfiable
- for a set of formulas  $\mathcal{S}$ , we say  $\mathcal{S} \models F$  to mean that every  $\sigma$ -structure  $\mathcal{A}$  that satisfies  $\mathcal{S}$  also satisfies  $F$

# Exercise

Consider a signature  $\sigma$  with a constant symbol  $0$ , a unary function symbol  $s$ , and a unary predicate symbol  $P$ .

Is  $P(0) \wedge \forall x (P(x) \rightarrow P(s(x))) \wedge \exists x \neg P(x)$  satisfiable?

# Relevance Lemma

Suppose  $\mathcal{A}$  and  $\mathcal{A}'$  are  $\sigma$ -assignments with the same universe, and identical interpretation of the predicate, function, and constant symbols in  $\sigma$ .

If  $\mathcal{A}$  and  $\mathcal{A}'$  give the same interpretation to each variable occurring free in some  $\sigma$ -formula  $F$ , then  $\mathcal{A} \models F$  iff  $\mathcal{A}' \models F$ .

**Proof:** reading exercise (page 5 of <https://www.cs.ox.ac.uk/people/james.worrell/lecture9-2015.pdf>)

## Special case

If  $F$  is a closed formula (or a sentence), and  $\mathcal{A}$  and  $\mathcal{A}'$  are assignments that only differ in interpretation of variables,

then  $\mathcal{A} \models F$  iff  $\mathcal{A}' \models F$ .



# Logical equivalence

First-order formulas  $F$  and  $G$  are **logically equivalent**, denoted  $F \equiv G$ , if for all  $\sigma$ -assignments  $\mathcal{A}$ , we have  $\mathcal{A} \models F$  iff  $\mathcal{A} \models G$ .

# Example

$$\neg \forall x F \equiv \exists x \neg F$$

# Example

$$\neg \forall x F \equiv \exists x \neg F$$

$$\neg \exists x F \equiv \forall x \neg F$$

# Example

$$\neg \forall x F \equiv \exists x \neg F$$

$$\neg \exists x F \equiv \forall x \neg F$$

$$(\forall x F \wedge G) \equiv \forall x (F \wedge G) \quad (\text{if } x \text{ does not occur free in } G)$$

# Prenex Normal Form

A predicate logic formula is in prenex normal form if it is written as a string of quantifiers and bound variables, called the **prefix**, followed by a quantifier-free part, called the **matrix**.

$$Q_1 y_1 Q_2 y_2 \dots Q_n y_n F$$

where  $Q_i \in \{\forall, \exists\}$ ,  $n \geq 0$ , and  $F$  contains no quantifiers.

Every formula is equivalent to a formula in prenex normal form.

## Example

$$\neg(\exists x P(x, y) \vee \forall z Q(z)) \wedge \exists w Q(w)$$

# Translation Lemma

If  $t$  is a term and  $F$  is a formula such that no variable in  $t$  occurs bound in  $F$ ,

then  $\mathcal{A} \models F[t/x]$  iff  $\mathcal{A}_{[x \mapsto \mathcal{A}(t)]} \models F$ .

# Renaming bound variables

Let  $F$  denote the formula  $Qx \ G$  where  $Q$  is a quantifier. Let  $y$  be a variable that does not occur in  $G$ .

Then  $F \equiv Qy \ (G[y/x])$ .



# Rectified formulas

A formula is **rectified** if no variable occurs both bound and free and if all quantifiers in the formula refer to different variables.

You can rectify a formula by renaming bound variables.

Example:  $\forall x \exists y P(x, f(y)) \wedge \forall y (Q(x, y) \vee R(x))$

Every formula is equivalent to a rectified formula in prenex form.

# Skolem Form

We say that a rectified prenex formula is in **Skolem form** if it does not contain any occurrence of the existential quantifier.

A rectified prenex formula can be transformed to an **equisatisfiable** formula in Skolem form by using extra function symbols.

$\forall x \exists y P(x, y)$  and  $\forall x P(x, f(x))$  are equisatisfiable.

# Skolem Form

Let  $F = \forall y_1 \forall y_2 \dots \forall y_n \exists z G$  be a rectified formula. Given a function symbol  $f$  of arity  $n$  that does not appear in  $F$ , write

$$F' = \forall y_1 \forall y_2 \dots \forall y_n G[f(y_1, y_2, \dots, y_n)/z].$$

Then  $F$  and  $F'$  are equisatisfiable.

# Example

$$\forall x \exists y \forall z \exists w (\neg P(a, w) \vee Q(f(x), y))$$

## Next week

- Herbrand theorem, Ground resolution
- Undecidability result

Thank you!