REPLACE

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[†]Last updated: November 8, 2019

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I. REPLACE

1. For $a, b, k \in \mathbb{N}$,

$$\binom{a+b}{k} = \sum_{j=1}^{k} \binom{a}{j} \cdot \binom{b}{k-j}$$
 (0.1)

We prove this with a bijection:

$$\mathcal{B}(a+b,k) \leftrightharpoons \bigcup_{j=0}^{k} \mathcal{B}(a,j) \times \mathcal{B}(b,k-j)$$

given by $S \mapsto (S \cap \{1, ..., a\}, (S \cap \{a+1, ..., a+b\})^{(-a)})$ and $(P, Q) \mapsto P \cup Q^{(a)}$, where $\mathcal{B}(n, i)$ is the set of i-element subsets of $\{1, 2, ..., n\}$ and for $C \subseteq \mathbb{Z}$ and $q \in \mathbb{Z}$, $C^{(q)} = \{c+q : c \in C\}$. Note that the equation in fact gives the polynomial identity

$$\binom{x+y}{k} = \sum_{j=0}^{k} \binom{x}{j} \binom{y}{k-j}$$

in $\mathbb{Q}[x,y]$. We denote the falling factorial $(x)_i = x(x-1)(x-2)\cdots(x-i+1)$, which has degree i for each $i \in \mathbb{N}$. In particular, $(x)_i = i!\binom{x}{i}$, so multiplying our identity by k!, we get

$$(x+y)_k = \sum_{j=0}^k {k \choose j} (x)_j (y)_{k-j}$$

Compare this with the standard binomial theorem

$$(x+y)^k = \sum_{j=0}^k \binom{k}{j} x^j y^{k-j}$$

These are called sequences of binomial type.

2. Here's another identity. For $n \ge 0$ and $s, t \ge 1$,

$$\binom{n+s+t-1}{s+t-1} = \sum_{k=0}^{n} \binom{k+s-1}{s-1} \binom{n-k+t-1}{t-1}$$

Let $\mathcal{M}(m,r)$ denote a multiset of size m with elements of r types, so that $|\mathcal{M}(m,r)| = {m+r-1 \choose r-1}$. Let's define a bijection

$$\mathcal{M}(n,s+t) \rightleftharpoons \bigcup_{k=1}^{n} \mathcal{M}(k,s) \times \mathcal{M}(n-k,t)$$
 (0.2)

 $\mu = (m_1, \dots, m_{s+t}) \mapsto ((m_1, \dots, m_s), (m_{s+1}, \dots, m_{s+t}))$ and $(\nu, \theta) \mapsto \nu\theta$. Note that if f, g are polynomials of degree d and e respectively, then $\sum_{k=0}^{n} f(k)g(n-k)$ is a polynomial in n of degree d+e-1.

Is there some way to understand (0.2)? It is unclear, with our known techniques, that this corresponds to a polynomial identity since there is a variable n in the exponent. However, we can use generating functions. Define

$$\sum_{n=0}^{\infty} {n+s+t-1 \choose s+t-1} z^n = \sum_{n=0}^{\infty} |\mathcal{M}(n,s+t)| z^n = \sum_{(m_1,\dots,m_{s+t})} z^{m_1+\dots+m_{s+t}}$$

$$= \left(\sum_{m=0}^{\infty} z^m\right)^{s+t}$$

$$= \frac{1}{(1-z)^{s+t}} = \frac{1}{(1-z)^s} \frac{1}{(1-z)^t}$$

$$= \sum_{k=0}^{\infty} {k+s-1 \choose s-1} z^k \sum_{\ell=0}^{\infty} {\ell+t-1 \choose t-1} z^{\ell}$$

$$= \sum_{n=0}^{\infty} z^n \left(\sum_{k=0}^{n} {k+s-1 \choose s-1} {n-k+t-1 \choose t-1}\right)$$

Similarly, (0.1) is equivalent to saying $(1+z)^{a+b} = (1+z)^a (1+z)^b$. Note that $(1+z)^n = \sum_{k=0}^n \binom{n}{k} z^k = \sum_{k=0}^{\infty} \binom{n}{k} z^k$ for $n \in \mathbb{N}$.

Can we substitute $\frac{1}{(1-q)^t} = (1+z)^n$ where z = -q and n = -t?

3. Consider

$$(x_1 + x_2)^n = \sum_{i=0}^n \binom{n}{i} x_1^i x_2^{n-i}$$

and

$$(x_1 + x_2)^n = \sum_{f:N_n \to \{1,2\}} \prod_{j=1}^n x_{f(j)}$$

More generally, we can consider

$$(x_1 + \dots + x_k)^n = \sum_{f:N_n \to N_k} \prod_{j \in N_n} x_{f(j)}$$

If we set all $x_1 = \cdots = x_k = 1$, then k^n gives the number of functions from N_n to N_k . If we set $x_i = q^i$ for all $i \in N_k$, then we get

$$\left(\frac{q - q^{k+1}}{1 - q}\right)^n = (q + q^2 + \dots + q^k)^n = \sum_{f: N_n \to N_k} q^{f(1) + \dots + f(k)}$$

Collect all the terms in $(x_1 + \cdots + x_k)^n$ that produce the same monomial. Given a multiset μ with $m_1 + \cdots + m_k = n$, write $x_1^{m_1} \cdots x_k^{m_k} = \underline{x}^{\mu}$. Then

$$(x_1 + \dots + x_k)^n = \frac{n!}{m_1! \cdots m_k!} \underline{x}^{\mu} = \sum_{\mu \in \mathcal{M}(n,t)} {n \choose \mu} \underline{x}^{\mu}$$

4. How can we interpret

$$P_n(q) = \prod_{i=1}^n (1 + q + q^2 + \dots + q^{i-1})$$

In general, if we set q=1, we see that $P_n(1)=n!$. We might hope that there is some weight function on permutations $w:\mathcal{S}_n\to\mathbb{N}$ such that $P_n(q)=\sum_{\sigma\in\mathcal{S}_n}q^{w(\sigma)}$. Recall the bijection $I_n:\mathcal{S}_n\to\mathcal{Q}_n$ from chapter 1. Let's find some weight function $v:\mathcal{Q}_n\to\mathbb{N}$ such that $\sum_{\rho\in\mathcal{Q}_n}x^{\nu(\rho)}=P_n(q)$, then "pull back" the definition of $v:\mathcal{Q}_n\to\mathbb{N}$ to get a definition for $\omega:\mathcal{S}_n\to\mathbb{N}$. Note that $\sum_{h\in\mathcal{N}_r}q^{h-1}=1+q+\cdots+q^{r-1}$. Thus

$$\sum_{\rho=(h_1,\dots,h_n)\in\mathcal{Q}_n} q^{(h_1-1)+(h_2-1)+\dots+(h_n-1)} = \prod_{i=1}^n (1+q+\dots+q^{i-1}) = P_n(q)$$

so we can define $\nu(\rho) = |\rho| - n$ and $\sum_{q \in Q_n} q^{|\rho| - n} = P_n(q)$. We also have

$$\sum_{\rho \in \mathcal{Q}_n} q^{(h_1 - 1) + \dots + (h_n - 1)} = (1 + q + \dots + q^{n-1})(1 + q + \dots + q^{n-2}) \dots (1 + q)(1)$$

For notation, define $[m]_q = 1 + q + \dots + q^{m-1} = \frac{1-q^m}{1-q}$. Then $[m]_q! = [m]_q[m-1]_q \dots [1]_q$.

	1	q	q^2	q^3	q^4	
$q[3]_q$	0	1	1	1		
$[2]_{q}[3]_{q}$	1	2	2	1		
$-q[2]_{q}[3]_{q}$	0	-1	-2	-2	-1	
$ \begin{bmatrix} 2]_q[3]_q \\ -q[2]_q[3]_q \\ q^2[2]_q[3]_q $	0	0	1	2	2	1
$\overline{[6]_q}$	1	1	1	1	1	1

so that $[6]_q = (1 - q + q^2)[2]_q[3]_q$. An **inversion** in $\sigma = a_1 \dots a_n \in S_n$ is a pair (i, j) of indices $1 \le i < j \le n$ with $a_i > a$)j. Define $Inv(\sigma)$ as the set of inversions of σ , and $inv(\sigma) = |Inv(\sigma)|$. Notice that if $\sigma = a_1 \dots a_n \mapsto \rho = (h_1, \dots, h_n)$, then for each $1 \le i \le n$, $h_i - 1$ is the number of inversions of σ with i in the first coordinate. Recall

$$S_n \leftrightharpoons \mathcal{B}(n,k) \times S_k \times S_{n-k}$$

$$\sigma = a_1 \dots a_n \leftrightarrow (A, \beta, \gamma)$$

$$\operatorname{inv}(\sigma) = w(A) + \operatorname{inv}(\beta) + \operatorname{inv}(\gamma)$$

Assuming such a weight funtion w(A) exists, then

$$[n]!_q = \sum_{\sigma \in \mathcal{S}_n} q^{\text{inv}(\sigma)} = \sum_{(A,\beta,\gamma)} q^{w(A) + \text{inv}(\beta) + \text{inv}(\gamma)}$$
$$= [k]!_q \cdot [n-k]!_q \cdot \sum_{A \in \mathcal{B}(n,k)} q^{w(A)}$$

so that

$$\sum_{A \in \mathcal{B}(n,k)} q^{w(A)} = \frac{[n]!_q}{[k]!_q \cdot [n-k]!_q} = \begin{bmatrix} n \\ k \end{bmatrix}_q$$

$$\sum_{S \in \mathcal{B}(n,k)} q^{\text{sum}(S)} = q^{\binom{k+1}{2}} \begin{bmatrix} n \\ k \end{bmatrix}_q$$

0.1 Theorem. Let V be an n-dimensional vector space over a finite field \mathbb{F}_q . Then for $0 \le k \le n$, the number of k-dimensional subspaces of V is $\begin{bmatrix} n \\ k \end{bmatrix}_q$.

0.2 Lemma. Let $L: V \to W$ be a linear transformation that is surjective. Then $\dim V = \dim W + \dim(\ker L)$. So if this is over a finite field \mathbb{R}_q , every $w \in W$ is the image of exactly $a^{\dim(\ker(L))}$ vectors $v \in V$.

For every $w \in W$, is the image of exactly q^k vectors in V. The number of ordered bases of V is $q^{\binom{n}{2}}(q-1)^n[n]!_q$.

0.3 Theorem. Let V be an n-dimensional vector space over a finite field \mathbb{F}_q . For $0 \le k \le n$, the number of k-dimensional subspaces of V is $\begin{bmatrix} n \\ k \end{bmatrix}_q$.

PROOF Let OB(V) be the set of ordered bases of V, and let G(V,k) be the set of k-dimensional subspaces of V. Define a function

$$OB(V) \to \bigcup_{U \in G(V,k)} (\{U\} \times OB(U) \times OB(V/U))$$

as follows. Given (v_1,\ldots,v_n) an ordered basis of V, let $U=\operatorname{span}_{\mathbb{F}_q}\{v_1,\ldots,v_k\}$. Then $(v_1,\ldots,v_k)\in\operatorname{OB}(U)$ and $(v_{k+1}+U,\ldots,v_n+U)\in\operatorname{OB}(V/U)$. Consider the map $L:V\to V/U$ given by L(v)=v+U, so that every v+U in V/U is the image of q^k vectors in V. Thus $(v_{k-1}+U,\ldots,v_n+U)$ is the image of $q^{k(n-k)}$ sequences (z_{k+1},\ldots,z_n) of vectors in V. Thus the function $(v_1,\ldots,v_n)\mapsto (U,(v_1,\ldots,v_k),(v_{k+1}+U,\ldots,v_n+U))$ is surjective and hits everything on the RHS $q^{k(n-k)}$ times. But then counting both sides,

$$\begin{split} q^{\binom{n}{2}}(q-1)^n[n]!_q &= \sum_{U \in G(V,k)} 1 \cdot q^{\binom{k}{2}}(q-1)^k[k]!_q \cdot q^{\binom{n-k}{2}}(q-1)^{n-k}[n-k]!_q \cdot q^{k(n-k)} \\ q^{\binom{n}{2}}[n]!_q &= |G(V,k)| \cdot [k]!_q \cdot [n-k]!_q q^{\binom{k}{2} + \binom{n-k}{2} + k(n-k)} \\ [n]!_q &= |G(V,k)| \cdot [k]!_q \cdot [n-k]!_q \end{split}$$

giving our desired result.

A **set partition** π of a set V is a collection of subsets $\pi = \{B_1, \dots, B_k\}$ of V such that

- Each B_i is not empty
- $B_i \cap B_j = \emptyset$ if $i \neq j$
- $B_1 \cup \cdots \cup B_k = V$

Let $\Pi(n,k)$ be the set of set partitions of N_n with k blocks, and set $S(n,k) = |\Pi(n,k)|$. Certainly S(0,0) = 1 for the empty set partition. If $n \ge 1$, then S(n,0) = 0, S(n,n) = 1, and S(n,1) = 1. We can also define a recurrence relation. Let $\Pi'(n,k)$ be those $\pi \in \Pi(n,k)$ in which $\{n\}$ is a block, and $\Pi''(n,k)$ is the set of π in which n is in a block of size at least 2. Note that $\Pi'(n,k) \leftrightharpoons \Pi(n-1,k-1)$ by removing or adding the independent element. Furthemore, the function which removes the element n from a block in $\Pi''(n,k)$ is a surjective function onto $\Pi(n-1,k)$ which hits every element of $\Pi(n-1,k)$ k times. Thus combing these observations, $S(n,k) = S(n-1,k-1) + k \cdot S(n-1,k)$. Thus we can compute

S(n,k)	0	1	2	3	4	5	6
0	1	X	X	X	X	X	X
1	0	1	X	X	X	X	X
2	0	1	1	X	X	X	X
3	0	1	3	1	X	X	X
4	0	1	7	6	1	X	X
5	0	1	15	25	10	1	X
6	0	1	31		1		

From homework 2, we have that

$$x^{n} = \sum_{k=0}^{n} k! S(n,k) \binom{n}{k}$$

Invert this using Binomial Inversion.

0.4 Theorem. (Binomial Inversion) Let $a_0, a_1, ...$ be a sequence.

Proof For $h \in \mathbb{N}$, let $b_h = \sum_{i=0}^h \binom{h}{i} a_i$. Let $A(t) = \sum_{i=0}^\infty a_i t^i$ and $B(t) = \sum_{h=0}^\infty b_h t^h$. Then

$$B(t) = \sum_{h=0}^{\infty} t^h \sum_{i=0}^{h} \binom{h}{i} a_i$$

$$= \sum_{i=0}^{\infty} a_i t^i \sum_{h=i}^{\infty} \binom{h}{h-i} t^{h-i}$$

$$= \sum_{i=0}^{\infty} a_i t^i \sum_{j=0}^{\infty} \binom{i+j}{j} t^j = \sum_{i=0}^{\infty} \frac{a_i t^i}{(1-t)^{i+1}}$$

$$= \frac{1}{1-t} \sum_{i=0}^{\infty} a_i \left(\frac{t}{1-t}\right)^i = \frac{1}{1-t} A\left(\frac{t}{1-t}\right)$$

Let z = t/(1-t), so that t = z/(1+z). Thus

$$B\left(\frac{z}{1+z}\right) = (1+z)A(z)$$

so that

$$\sum_{i=0}^{\infty} a_i z^i = \frac{1}{1+z} B\left(\frac{z}{1+z}\right) = \sum_{h=0}^{\infty} b_h \frac{z^h}{(1+z)^{h+1}}$$
$$= \sum_{h=0}^{\infty} b_h \sum_{j=0}^{\infty} {j+h \choose h} z^h (-z)^j$$
$$= \sum_{n=0}^{\infty} z^n \sum_{j=0}^{\infty} {n \choose j} (-1)^{n-j} b_j$$

Thus for all $m \in \mathbb{N}$, $a_m = \sum_{j=0}^m {m \choose j} (-1)^{m-j} b_j$.

In particular, applying this to Stirling numbers of the second kind, for all $n \in \mathbb{N}$ in $\mathbb{R}[x]$, we have

$$x^{n} = \sum_{k=0}^{n} S(n,k) {x \choose k} k!$$

Let $b_i = i^n$ for i = 0, 1, 2, ... If k > n or k > i, then $S(n, k)\binom{i}{k} = 0$; thus,

$$i^{n} = \sum_{k=0}^{n} S(n, k) \binom{i}{k} k! = \sum_{k=0}^{\min(n, i)} S(n, k) \binom{i}{k} k! = \sum_{k=0}^{i} S(n, k) \binom{i}{k} k!$$
$$= \sum_{k=0}^{i} \binom{i}{k} a_{k}$$

where $a_k = k! S(n, k)$ for all $k \in \mathbb{N}$. But then apply binomial inversion to get

$$a_k = \sum_{j=0}^k \binom{k}{j} (-1)^{k-j} b_j$$

Suppose $m^n = \sum_{k=0}^n S(n,k)\binom{m}{k}k!$. Then $[m]_q^n = \sum_{k=0}^\infty S[n,k]_q \begin{bmatrix} m \\ k \end{bmatrix}_q [k]!_q$, where $S[n,k]_q = \sum_{\pi \in \Pi(n,k)} q^{w(\pi)}$. Is there some function $w: \Pi(n,k) \to \mathbb{N}$ that makes this work?

B.5 For S a set of BTs, let R be the trees in S with a red root and B be the trees in S with a blue root, so $S = R \cup B$ disjointly. Let r(T) count the number of red notes, and b(T) count the number of blue nodes, and let $S(x,y) = \sum_{T \in S} x^{r(T)} y^{b(T)}$. In particular, $S(t,t) = \sum_{T \in S} t^{n(T)}$ where n(T) = r(T) + b(T) is the total number of notes.

We have bijections

$$\mathcal{R} \leftrightharpoons \{\bullet\} \times \bigcup_{k=0}^{\infty} \mathcal{S}^{k}$$

$$\mathcal{B} \leftrightharpoons \{\bullet\} \times \left((\epsilon \cup \mathcal{R})(\mathcal{B}\mathcal{R})^{*} (\epsilon \cup \mathcal{B}) \right)$$

$$\mathcal{S} \leftrightharpoons \mathcal{R} \cup \mathcal{B}$$

so that

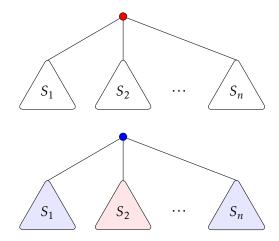
$$S = R + B$$

$$R = \frac{x}{1 - S}$$

$$B = y(1 + R)\frac{1}{1 - BR}(1 + B)$$

Substituting R and B using the first two equations, we get

$$S - \frac{x}{1 - S} = \frac{y\left(1 + \frac{x}{1 - S}\right)\left(1 + S - \frac{x}{1 - S}\right)}{1 - \frac{x}{1 - S}\left(S - \frac{x}{1 - S}\right)}$$



II. Power Series Identities

(i)
$$\frac{1}{(1-z)^h} = \sum_{k=0}^{\infty} {k+h-1 \choose h-1} z^k$$

- (ii) Let a_0, a_1, \ldots be a sequence, and $b_h = \sum_{i=0}^h \binom{h}{i} a_i$. Then $a_m = \sum_{i=0}^m \binom{m}{i} (-1)^{m-i} b_i$. (iii) General Binomial Series. For $k \in \mathbb{N}$, let $\binom{y}{k} = \frac{y(y-1)\cdots(y-k+1)}{k!} \in \mathbb{Q}[y]$. Then we define

$$(1+x)^{y} = \sum_{k=0}^{\infty} {y \choose k} x^{k}$$

which is a power series in x. Each coefficient of $[x^n]$ is in $\mathbb{Q}[y]$. Then by Vandermone convolution,

$$(1+x)^{y}(1+x)^{z} = \sum_{i=0}^{\infty} {y \choose i} x^{i} \sum_{j=0}^{\infty} {z \choose j} x^{j}$$
$$= \sum_{n=0}^{\infty} x^{n} \left(\sum_{i=0}^{n} {y \choose i} {z \choose n-i} \right)$$
$$= \sum_{n=0}^{\infty} {y+z \choose n} x^{n} = (1+x)^{y+z}$$

Furthermore, if y = -p < 0 is an integer, then

$$(1+x)^{-p} = \sum_{k=0}^{\infty} {\binom{-p}{k}} x^k$$
$$= \sum_{k=0}^{\infty} {\binom{k+p-1}{p-1}}$$

For $\alpha \in \mathbb{C}$, $f(x) = (1+x)^{\alpha}$ is analytic for |x| < 1. In particular, by Taylor's theorem,

$$(1+x)^{\alpha} = \sum_{k=0}^{\infty} c_k x^k$$

where $c_k = \frac{1}{k!} \frac{d^k}{dx^k} (1+x)^{\alpha}|_{x=0}$. Consider the class $\mathcal Q$ of (unrooted) tres in which every vertex has odd degree. We identify $Q^{\bullet} \equiv \chi * \xi_{\text{odd}}[\mathcal{N}]$ for some class \mathcal{N} describing the components of $T \setminus \{v\}$. A structure in $\mathcal N$ is a rooted tree in which every vertex has an even number of children. Moreover, $\mathcal{N} \equiv \chi * \xi_{\text{even}}[\mathcal{N}]$. Note that the exponential generating function for ξ_{odd} is $\sum_{j=0}^{\infty} \frac{e^{2j+1}}{(2j+1)!}$, and similarly for the even components. This give

$$Q^{\bullet} = x \cdot E_{\text{odd}}(N(x)) = x \cdot \sinh(N(x))$$
$$N(x) = x \cdot E_{\text{even}}(N(x)) = x \cdot \cosh(N(x))$$

Now apply LIFT with $K = \mathbb{Q}$, $G(u) = \cosh(u)$, and $F(u) = \sinh(u)$, so $F'(u) = \cosh(u)$. Now for $n \ge 2$,

$$\begin{aligned} |Q_n| &= \frac{1}{n} |Q_n^{\bullet}| = \frac{1}{n} \cdot n! [x^n] Q^{\bullet}(x) \\ &= (n-1)! [x^n] x \sinh(N(x)) \\ &= (n-1)! [x^{n-1}] \sinh(N(x)) \\ &= (n-1)! \cdot \frac{1}{n-1} [u^{n-2}] F'(u) G(u)^{n-1} \\ &= (n-2)! \cdot [u^{n-2}] \cosh(u)^n \\ &= (n-2)! [u^{n-2}] \left(\frac{e^u + e^{-u}}{2}\right)^n \\ &= \frac{(n-2)!}{2^n} [u^n] \sum_{j=0}^n \binom{n}{j} (e^u)^j (e^{-u})^{n-j} \\ &= \frac{(n-2)!}{2^n} [u^{n-2}] \sum_{j=0}^n \binom{n}{j} e^{(2j-n)u} \\ &= \frac{(n-2)!}{2^n} \sum_{j=0}^n \binom{n}{j} [u^{n-2}] \sum_{i=0}^{\infty} \frac{((2j-n)u)^i}{i!} \\ &= \frac{(n-2)!}{2^n} \sum_{j=0}^n \binom{n}{j} \frac{(2j-n)^{n-2}}{(n-2)!} \\ &= \frac{1}{2^n} \sum_{j=0}^n \binom{n}{j} (2j-n)^{n-2} \end{aligned}$$

If *n* is odd, then this summation is zero, as expected.