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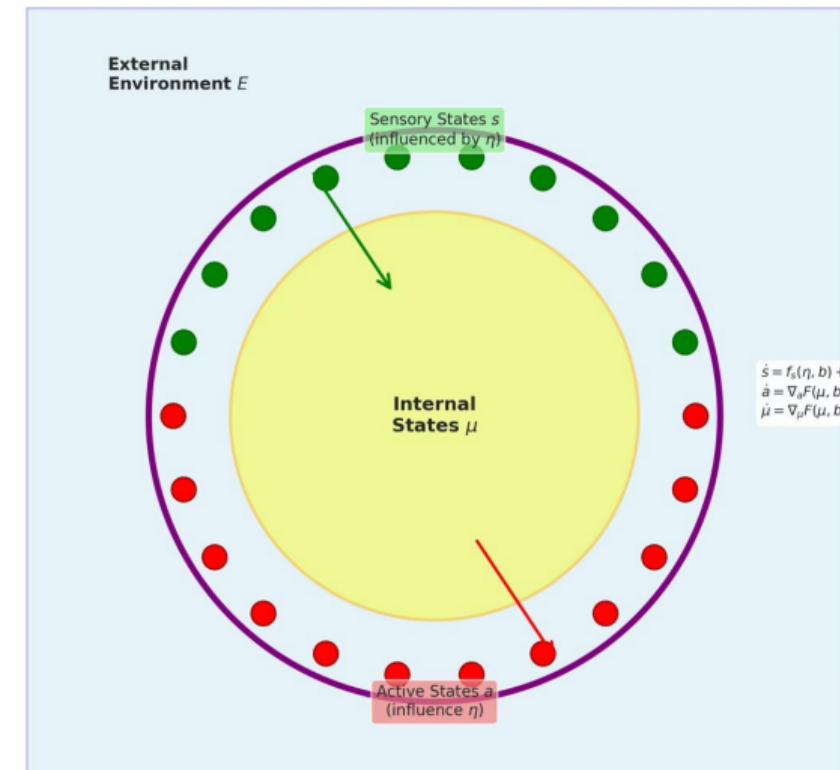
# The Challenge: Imaginative Experience in FEP

- **Core Problem:** If experience = what's encoded on informational boundaries (Markov blankets), how can systems have internally-generated imaginative experiences?
- **Apparent Restriction:** Naive FEP seems to restrict awareness to external environment interactions only
- **Key Question:** How can imagination employ same reference frames as perception whilst remaining surprising?
- **Stakes:** Any complete theory of consciousness must account for imagination, memory, planning, and inner speech

# Markov Blankets: The Foundational Architecture

- **Definition:** Partition of system into internal states  $\mu$ , sensory states  $s$ , active states  $a$ , external states  $\eta$
- **Conditional Independence:**  
 $P(\mu|s, a, \eta) = P(\mu|s, a)$
- **Information Localisation:** Classical info encoded on blanket separates system from environment
- **Scale-Free:** Applies from quantum particles to organisms to ecosystems

Markov Blanket Structure in Free Energy Principle



# Quantum Reference Frames (QRFs)

- **Physical Implementation:** QRFs are physically-implemented measurement standards (meter sticks, clocks extended to categories)
- **Generative Models:** Each QRF encodes expectations about aspects of environment's actions on boundary
- **Semantic Encoding:** QRFs detect “differences that make a difference” (Bateson)—they implement meaning
- **Example:** Recognising your laptop requires QRF encoding its distinguishing features (size, shape, colour)

Hierarchical Structure:  $\mathcal{Q} = \{\mathcal{C}, \{h_i\}, \{g_{ij}\}, \{\mathcal{A}_k\}\}$

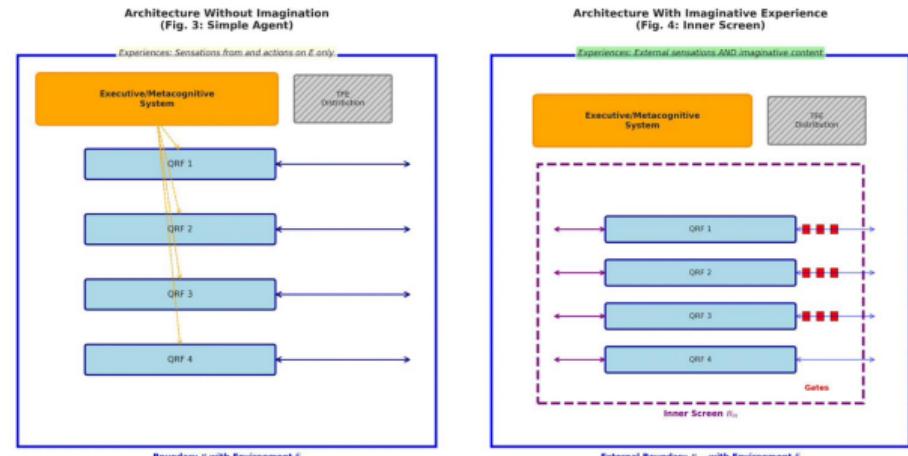
# Thermodynamic Free Energy (TFE) Constraints

- **Landauer's Principle:** Information processing requires energy:  
 $\Delta F_{thermo} \geq \beta k_B T \cdot \Delta I_{bits}$  where  $\beta \geq \ln 2$
- **Limited Resources:** Living systems operate on constrained TFE budgets, unlike artefacts with external power
- **Attention as Allocation:** Executive/metacognitive system distributes TFE to QRFs based on utility, priority, and availability
- **Compartmentalisation Requirement:** Non-commuting QRFs must communicate classically via boundaries, increasing TFE costs

**Key Insight:** TFE flow regulates *how* content is read, not the content itself. This distinction between content and regulation is central to understanding consciousness.

# Simple Agent Architecture (Without Imagination)

- **Multiple QRFs:** Read from and write to boundary  $\mathcal{B}$
- **Executive System:** Has own boundary  $\mathcal{B}_{MC}$  ("inner screen"), experiences QRF states
- **TFE Distribution:** Controls resource allocation to QRFs
- **No Imagination:** System experiences only external interactions, not internal simulations



# Precision, Attention, and Fisher Information

- **Precision in FEP:** Inverse variance of noise; determines confidence afforded to sensory content
- **Gauge Theory Connection:** Precision = Fisher information metric on statistical manifolds (Sengupta et al. 2016)
- **Thermodynamic Cost:** Via Jarzynski equality, work required for belief update  $W = k_B T \cdot d^2$  where  $d$  is information distance
- **Attentional Control:** Modulating precision = modulating TFE flow = controlling which QRFs are “turned on”

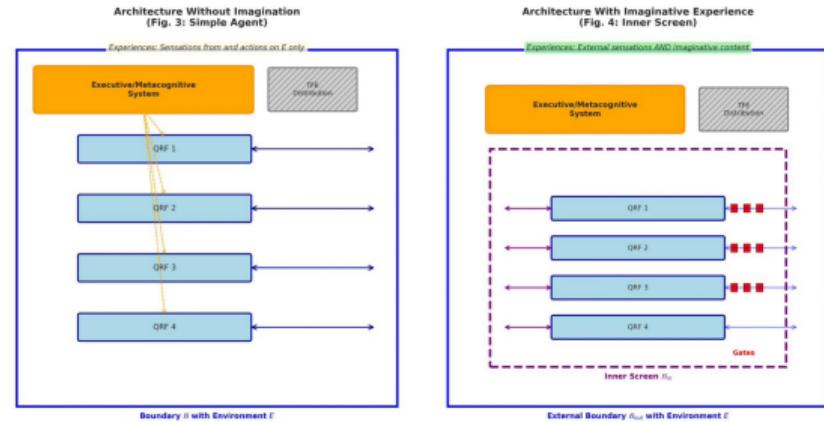
Information distance:  $d = \sqrt{g_{\mu\nu} \Delta\theta^\mu \Delta\theta^\nu}$  where  $g_{\mu\nu} = \mathbb{E} \left[ \frac{\partial \log p}{\partial \theta_\mu} \frac{\partial \log p}{\partial \theta_\nu} \right]$

# The Problem Imagination Poses for FEP

- **Internal Generation:** Imaginative content is generated internally, not from environment
- **Reference Frame Reuse:** Imagination must use same QRFs as perception to employ familiar concepts ("imagining your laptop")
- **Surprise Mechanism:** Despite being self-generated, imaginations can be genuinely surprising ("aha!" moments)
- **Boundary Encoding Puzzle:** If experience = boundary encoding, where is imaginative content encoded?

**Challenge:** Standard FEP architecture (Figure 3) cannot produce imaginative experiences without solving these puzzles simultaneously.

- **Additional Boundary:** Insert internal screen  $\mathcal{B}_{in}$  between QRFs and external boundary  $\mathcal{B}_{out}$
- **Gating Mechanism:** Control layer gates information flow between inner and outer screens
- **Same QRFs:** Perception and imagination use identical reference frames
- **Transparent Mode:** Gates open → external experience; gates closed → imagination



# Gating Dynamics and Experiential Control

- **Sector-Specific Gating:** Individual QRFs can be gated independently (e.g. inner speech whilst driving)
- **Modality Mixing:** Some gates open, some closed → combination of external and imaginative content
- **Refractory Inputs:** Homeostatic interoception may override executive control (cannot “turn off” hunger signals)
- **TFE Economy:** Single thermodynamic sector supplies both perception and imagination—no separate energetic requirement

**Elegant Design:** Architecture solves imagination problem whilst remaining thermodynamically parsimonious and avoiding homunculus regress.

# Why Imagination Can Be Surprising

- **Executive's Coarse Model:** Metacognitive system has coarse-grained model of its own QRFs
- **Hidden Complexity:** Full QRF processing capacity exceeds executive's predictive model
- **Emergent Patterns:** Combination of QRF activations produces unexpected outputs
- **Genuine Novelty:** System cannot fully predict its own imaginative productions ⇒ surprises itself

**Mathematical Consequence:**  $\tilde{P}_{\text{exec}}(\text{output}|\text{input}) \neq P_{\text{actual}}(\text{output}|\text{input})$

Executive's predictions  $\neq$  actual QRF outputs

# Experience Localisation at the Periphery

- **Peripheral Encoding:** Whole-system experience encoded at outermost boundary (not centrally)
- **Executive Not Experienced:** Executive/metacognitive computations not directly experienced unless encoded as content
- **Inner Speech Exception:** Metacognitive thoughts become experienceable when vocalised (internally or externally)
- **“Flat Mind” Consistency:** Aligns with Chater’s (2018) proposal that consciousness lacks deep hierarchical structure

This architecture explains why we don’t experience “thinking about thinking about thinking...”—only peripheral encodings are experienced.

# Quantum Formulation: Separability Conditions

- **Composite System:** Total Hilbert space  $\mathcal{H}_U = \mathcal{H}_S \otimes \mathcal{H}_E$
- **Separability Requirement:**  $|U\rangle \approx |S\rangle|E\rangle$  enables classical information localisation on boundary
- **Sparse Coupling:**  $2^N \ll \dim(\mathcal{H}_S), \dim(\mathcal{H}_E)$  where  $N$  = bits on boundary
- **Scale-Free Formulation:** No spacetime background required; consistent with quantum gravity approaches

Interaction Hamiltonian:  $H_{SE} = \sum_{k \in \{S,E\}} \beta_k k_B T_k \sum_{i=1}^N M_i^k$

where  $M_i^k$  are single-qubit operators and  $\beta_k \geq \ln 2$

# Co-Deployment and Compartmentalisation

- **Commuting QRFs:** Can be deployed simultaneously, forming larger composite QRFs for complex recognition
- **Energy-Induced Non-Commutativity:** Limited TFE makes theoretically commutative QRFs effectively non-commutative
- **Classical Communication Requirement:** Non-commuting QRFs need boundaries between them for causal information exchange
- **Cascading TFE Costs:** Compartmentalisation requires writing classical bits internally, increasing overall energy requirements

**Constraint:** Limited TFE forces architectural trade-offs between QRF integration and computational efficiency.

# Metacognitive Monitoring and Stress Signalling

- **TFE Usage Monitors:** Each QRF incorporates metabolic/allostatic stress signalling
- **Adaptive Allocation:** Executive system adjusts TFE distribution based on usage, priority, and total availability
- **Stress Response:** Under insufficient TFE, differential QRF activation or complete shutdown of non-essential processes
- **Evolutionary Conserved:** Metabolic stress signalling present even in bacteria (Peters et al. 2017); brainstem arousal systems in vertebrates

**Mammalian Implementation:** Brainstem systems (emphasised by Solms 2021) regulate arousal and TFE distribution to cortex

# Summary: Fields et al.'s Contribution

- **Architectural Elegance:** Inner screen  $\mathcal{B}_{in}$  + gating solves imagination problem whilst respecting FEP constraints
- **QRF Reuse:** Same reference frames for perception and imagination explains conceptual consistency
- **Surprise Mechanism:** Coarse executive model explains genuine novelty in self-generated content
- **What's Missing:** Mechanism for *how* Markov blanket thickness varies dynamically; account of extreme states where boundaries dissolve

This sets the stage for CRR extension...

# The CRR Triple: Process Structure

- **Coherence:**

$$C(x, t) = \int_0^t L(x, \tau) d\tau$$

Accumulates when patterns work

- **Rupture:**  $\delta(t - t_{now})$

Scale-invariant ontological present

- **Regeneration:**  $R(x, t) =$

$$\int_0^t \varphi(\tau) e^{C(\tau)/\Omega} \Theta(t - \tau) d\tau$$

Memory-weighted reconstruction

## Coherence-Rupture-Regeneration (CRR) Triple

**Coherence:**  $C(x, t) = \int_{-\infty}^t \mathcal{L}(x, \tau) d\tau$

where  $\mathcal{L}$  is a Lagrangian-like functional encoding "fitting" or coherence accumulation  
Successful prediction (low VFE)  $\rightarrow$  High coherence accumulation

**Rupture:**  $\delta(t - t_{now})$

Dirac delta marking discontinuous choice-moment  
Scale-invariant ontological present where agents metabolize past into future

**Regeneration:**  $R(x, t) = \int \varphi(x, \tau) \exp\left(\frac{C(x, \tau)}{\Omega}\right) \Theta(\dots) d\tau$

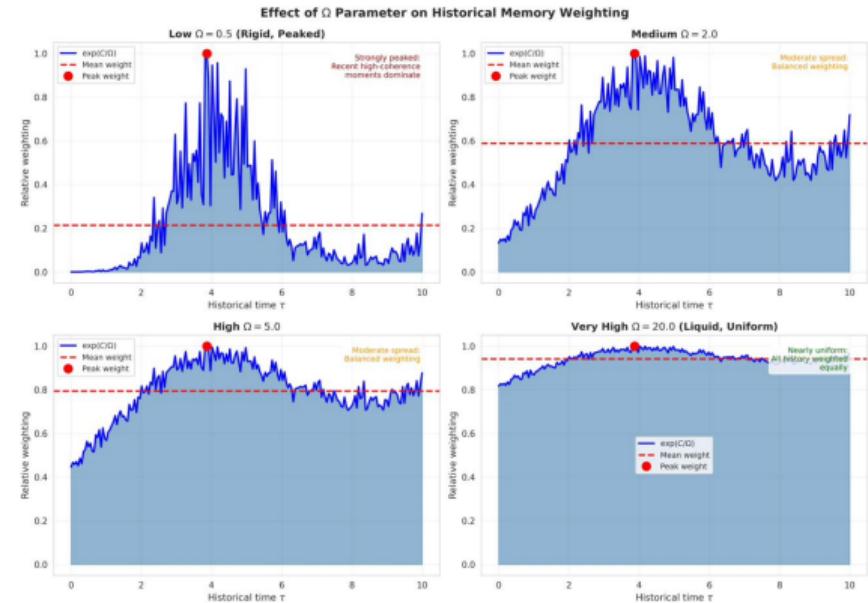
where  $\varphi(x, \tau)$  represents phase space of possible regeneration patterns  
Parameter controls rigidity-liquidity trade-off:  
High  $\Omega \rightarrow$  Liquid, non-dual (thin Markov blanket, uniform history weighting)  
Low  $\Omega \rightarrow$  Rigid, dualistic (thick Markov blanket, peaked at high coherence)  
Memory amplification:  $\exp(C/\Omega)$  weights historical patterns

Process Structure:  $C \rightarrow \delta \rightarrow R$

**Universal Process:**  $C \rightarrow \delta \rightarrow R$  appears across scales from protein folding to insight to social transformation

# The Omega Parameter: Rigidity-Liquidity Trade-Off

- **Control Parameter:**  $\Omega$  governs memory amplification:  $\exp(C/\Omega)$
- **Low  $\Omega$  (Rigid):** Exponentially privileges recent high-coherence states
- **High  $\Omega$  (Liquid):** Uniform access to entire history, including deep-time patterns
- **Four-Part Principle:** Lower  $\Omega$  risks rigidity but enables stability; higher  $\Omega$  risks liquidity but enables creativity



# Coherence-Free Energy Correspondence

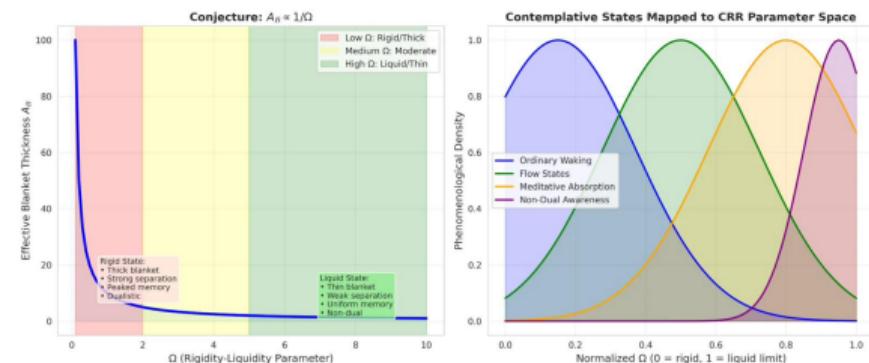
- **Mathematical Link:**  $L(x, \tau) = -F(x, \tau)$  where  $F$  is variational free energy
- **Interpretation:** Successful inference (low VFE)  $\Rightarrow$  high coherence accumulation
- **Unified Dynamics:** Systems minimising VFE simultaneously maximise coherence:  
$$\frac{dC}{dt} = -F$$
- **Phenomenology:** Coherence = “things working”; dissipation = “falling apart”

$$F = D_{KL}[q(\eta|\mu)||p(\eta|b)] - \log p(b)$$

$L = -F$  (negative free energy builds coherence)

# Omega-Markov Blanket Correspondence

- **Primary Correspondence:** Blanket thickness inversely proportional to  $\Omega$
- **Mathematical Form:**  $A_B = \frac{\kappa}{\Omega} + A_{min}$
- **Low  $\Omega$ :** Thick blanket, rigid isolation, strong boundaries
- **High  $\Omega$ :** Thin blanket, permeable integration, fluid dynamics

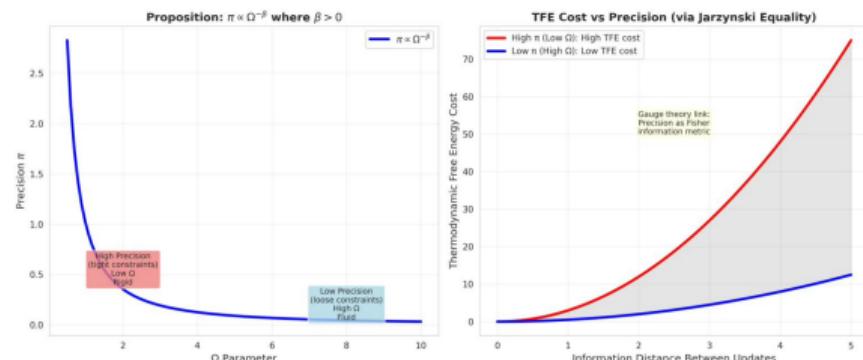


**Critical Threshold:**  $\Omega_{crit}$  where  $A_B \rightarrow A_{min}$  (separability breaks down)

# Omega-Precision Inverse Relationship

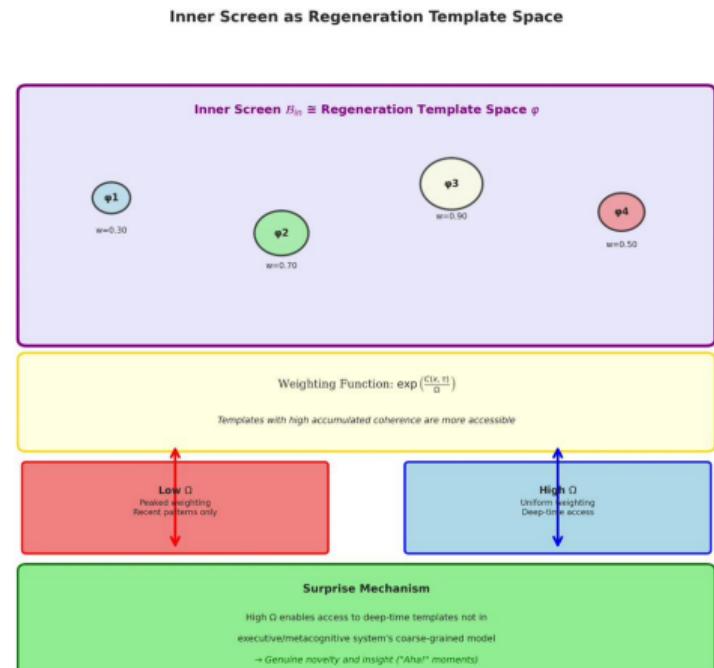
- **Precision Inverse Law:**  
 $\pi(\Omega) = \alpha\Omega^{-\beta}$  where  $\beta > 0$
- **High Precision:** Tight constraints, low  $\Omega$ , rigid state
- **Low Precision:** Loose constraints, high  $\Omega$ , fluid state
- **Metabolic Consequence:** High  $\Omega$  states require less TFE per update (“effortless”)

Via Jarzynski:  $W = k_B T \cdot d^2 \propto \pi \propto \Omega^{-\beta}$



# Inner Screen as Regeneration Template Space

- **Correspondence:** Fields'  $\mathcal{B}_{in} \cong \text{span}\{\varphi(x, \tau)\}$
- **Accessibility Weighting:** Templates weighted by  $\exp(C_i/\Omega)$
- **Deep-Time Access:** High  $\Omega$  reveals ancient patterns invisible to executive model
- **Surprise Mechanism:** Novel templates generate genuine insights ("aha!" moments)



# Non-Markovian Memory Structure

- **Standard FEP:** Markovian dynamics—present sufficient for prediction
- **CRR Extension:** Non-Markovian—entire history matters through coherence integral  $C(t) = \int_0^t L(\tau)d\tau$
- **Effective Memory Depth:**  $\tau_{\text{eff}} = \int_0^t e^{C(\tau)/\Omega} d\tau$  grows exponentially with accumulated coherence
- **Transition:** Low  $C \Rightarrow$  Markovian (memoryless); high  $C \Rightarrow$  deep non-Markovian memory

**Key Insight:** Systems transition from Markovian to non-Markovian as coherence accumulates over time.

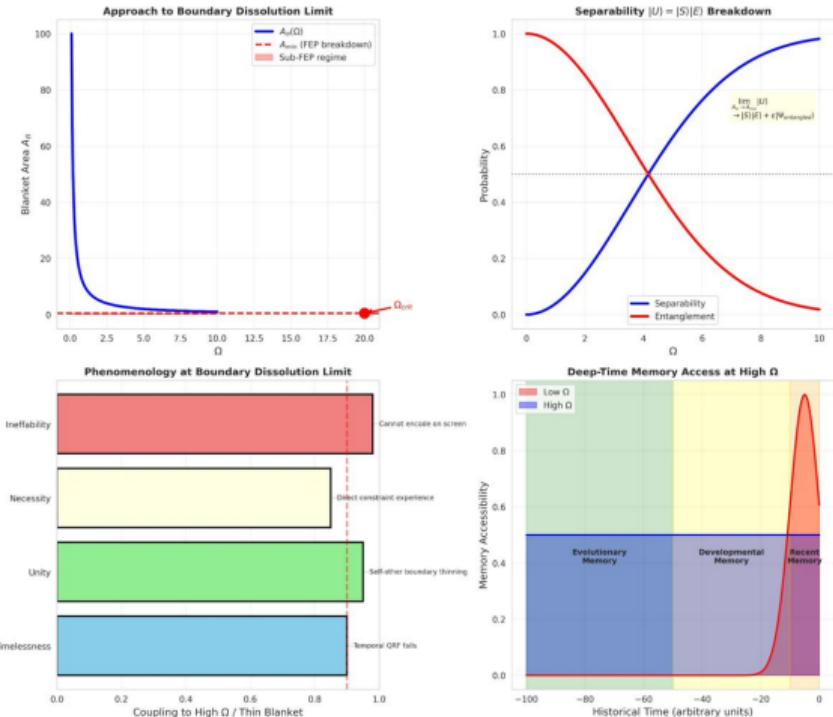
# Co-Constraining Systems and Temperature Increase

- **Co-Constraint:** System  $S$  and environment  $E$  mutually constrain each other through interaction
- **Omega as Temperature:**  $\Omega$  functions as epistemic temperature parameter controlling exploration-exploitation balance
- **Increasing Omega:** As agent increases  $\Omega$  (e.g. meditation, flow states), effective “temperature” rises
- **Approaching Limit:** At sufficiently high  $\Omega$ , sparse coupling condition  $2^N \ll \dim(\mathcal{H}_S), \dim(\mathcal{H}_E)$  weakens

Effective coupling strength:  $\lambda_{\text{eff}}(\Omega) = \lambda_0 \cdot \Omega^\gamma$  where  $\gamma > 0$   
As  $\Omega \rightarrow \infty$ ,  $\lambda_{\text{eff}} \rightarrow \infty$  (sparse coupling violated)

# Boundary Dissolution at $\Omega_{crit}$

- **Limit Conditions:**  $\Omega \rightarrow \Omega_{crit}$ ,  
 $A_B \rightarrow A_{min}$ , rupture  $\delta(t)$
- **Separability Breakdown:**  
 $|U\rangle \rightarrow |S\rangle|E\rangle + \epsilon|\Psi_{entangled}\rangle$
- **FEP III-Defined:** Classical info  
localisation fails; FEP constraints no  
longer apply
- **Phenomenological Consequence:**  
Agent no longer experiences *through*  
boundaries but experiences boundary  
structure itself



# Observing Deep-Time Constraints

- **Normal State:** Agent experiences  $x \xrightarrow{\mathcal{Q}} \mathcal{C} \xrightarrow{\text{inference}} \text{belief}$  (constraints mediated by QRFs)
- **Limit State:** Agent experiences  $x \rightarrow \mathcal{C}$  (direct access to constraint structure)
- **Deep-Time Access:** At high  $\Omega$ , regeneration integral  $R \approx \int_{-\infty}^t \varphi(\tau) d\tau$  weights all history equally
- **Stability Dominance:** Most stable patterns—persisting across evolutionary timescales—dominate; these are “eternal truths”

Agent doesn't experience constrained possibilities *within* space, but the constraint structure generating the space itself.

# Why This Cannot Be Computed

- **Inner Screen Requirement:** Any computation on  $\mathcal{B}_{in}$  presupposes boundary separation
- **Logical Impossibility:** Experience of boundary dissolution cannot be encoded on any boundary
- **Rigorous Ineffability:** Not metaphorical—mathematically necessary consequence of FEP formalism
- **Phenomenological Characteristics:** Timelessness (uniform temporal weighting), unity (maximal permeability), necessity (direct constraint access), ineffability (uncomputable)

**Proposition:**  $\forall \mathcal{B}_{in} : P(\text{boundary dissolution encodable on } \mathcal{B}_{in}) = 0$

# Non-Markovian State Space at the Limit

- **Standard Markovian:** Current state sufficient;  $P(x_{t+1}|x_t, x_{t-1}, \dots) = P(x_{t+1}|x_t)$
- **Non-Markovian Memory:** Full history accessible through coherence integral
- **At High  $\Omega$ :** Exponential weighting  $\exp(C/\Omega) \rightarrow 1$  (uniform access)
- **Observing Generators:** Agent experiences not just states but the generative constraints producing states across deep time

**Contemplative Traditions:** This formalises “experiencing eternal now”, “union with the absolute”, “groundless ground”—not supernatural, but physics at limits.

# Naturalising Transcendent Experience

- **Not Supernatural:** Boundary dissolution is physical limit condition where FEP formalism becomes ill-defined
- **Constraint Structure as “Absolute”:** What traditions call “eternal truths” = stable deep-time attractors
- **Process Philosophy Link:** Whitehead’s “eternal objects”—patterns persisting across creative advance
- **Quantum Consistency:** Compatible with entanglement, quantum information theory, thermodynamics at boundaries

**Radical Claim:** Mystical experiences = phenomenology at physical limits, not beyond physics.

# Testable Predictions

- **Neural:** Default Mode Network–Task Positive Network connectivity =  $f(\Omega)$  (decreased segregation at high  $\Omega$ )
- **Metabolic:** TFE cost  $\propto \Omega$  (PET/MRS should show increased activity in high  $\Omega$  states)
- **Temporal:** Temporal binding window  $T_{bind} = T_0 \cdot e^{\alpha\Omega}$  (expanded “now” at high  $\Omega$ )
- **Collective:** Inter-brain synchrony  $\propto \Omega_{group}$  (EEG/MEG during group meditation)

All measurable with current neuroscience technology

# Summary and Open Questions

## What CRR Adds to Fields et al.:

- Precise mechanism:  $\Omega$  controls blanket thickness
- Limit phenomenology: Naturalises boundary dissolution
- Non-Markovian memory: Deep-time constraint access
- Testable predictions across multiple domains

## Open Questions:

- Omega dynamics: How does  $d\Omega/dt$  evolve?
- Thermodynamic grounding: Precise TFE- $\Omega$  relationship?
- Multi-scale coherence: How does  $C$  propagate?
- Critical phenomena: Is  $\Omega_{crit}$  a phase transition?

**Thank you**

Questions? Collaboration welcome: [cohere.org.uk](http://cohere.org.uk)