## Questions for Flipped Classroom Session of COMS 4705 Week 3, Fall 2014. (Michael Collins)

**Question 1** Consider a trigram HMM tagger with:

- The set K of possible tags equal to  $\{D, N, V\}$
- The set V of possible words equal to {the, dog, barks}
- The following parameters:

$$\begin{array}{rcl} q({\rm D}|^*, ^*) & = & 1 \\ q({\rm N}|^*, {\rm D}) & = & 1 \\ q({\rm V}|{\rm D}, {\rm N}) & = & 1 \\ q({\rm STOP}|{\rm N}, {\rm V}) & = & 1 \\ e({\rm the}|{\rm D}) & = & 1 \\ e({\rm dog}|{\rm N}) & = & 0.4 \\ e({\rm barks}|{\rm N}) & = & 0.6 \\ e({\rm dog}|{\rm V}) & = & 0.1 \\ e({\rm barks}|{\rm V}) & = & 0.9 \end{array}$$

with all other parameter values equal to 0.

**Question:** Write down the set of all pairs of sequences  $x_1 \dots x_n, y_1 \dots y_{n+1}$  such that the following properties hold:

- $p(x_1 \dots x_n, y_1 \dots y_{n+1}) > 0$  唯一可能的 tag seq : D N V STOP
- $x_i \in \mathcal{V}$  for all  $i \in 1 \dots n$
- $y_i \in \mathcal{K}$  for all  $i \in 1 \dots n$ , and  $y_{n+1} = \text{STOP}$

**Input:** a sentence  $x_1 
ldots x_n$ , parameters q(s|u,v) and e(x|s). **Definitions:** Define  $\mathcal{K}$  to be the set of possible tags. Define  $\mathcal{K}_{-1} = \mathcal{K}_0 = \{*\}$ , and  $\mathcal{K}_k = \mathcal{K}$  for  $k = 1 \dots n$ .

**Initialization:** Set  $\pi(0, *, *) = 1$ .

Algorithm:

• For  $k = 1 \dots n$ ,

- For 
$$u \in \mathcal{K}_{k-1}$$
,  $v \in \mathcal{K}_k$ ,

$$\pi(k, u, v) = \max_{w \in \mathcal{K}_{k-2}} \left( \pi(k-1, w, u) \times q(v|w, u) \times e(x_k|v) \right)$$

• Return  $\max_{u \in \mathcal{K}_{n-1}, v \in \mathcal{K}_n} (\pi(n, u, v) \times q(\text{STOP}|u, v))$ 

Figure 1: The basic Viterbi Algorithm.

**Question 2** Consider a trigram HMM, as introduced in class. We saw that the Viterbi algorithm could be used to find

$$\max_{y_1\dots y_{n+1}} p(x_1\dots x_n, y_1\dots y_{n+1})$$

where the max is taken over all sequences  $y_1 \dots y_{n+1}$  such that  $y_i \in \mathcal{K}$  for  $i = 1 \dots n$ , and  $y_{n+1} = \text{STOP}$ . (Recall that  $\mathcal{K}$  is the set of possible tags in the HMM.) In a trigram tagger we assume that p takes the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-2}, y_{i-1}) \prod_{i=1}^n e(x_i | y_i)$$
 (1)

Recall that we have assumed in this definition that  $y_0 = y_{-1} = *$ , and  $y_{n+1} =$ STOP. The Viterbi algorithm is shown in figure 1.

Now consider a four-gram tagger, where p takes the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-3}, y_{i-2}, y_{i-1}) \prod_{i=1}^{n} e(x_i | y_i)$$
 (2)

We have assumed in this definition that  $y_0 = y_{-1} = y_{-2} = *$ , and  $y_{n+1} = \text{STOP}$ .

**Question:** In the box below, give a version of the Viterbi algorithm that takes as input a sentence  $x_1 \dots x_n$ , and finds

$$\max_{y_1...y_{n+1}} p(x_1...x_n, y_1...y_{n+1})$$

for a four-gram tagger, as defined in Eq. 4.

<b>Input:</b> a sentence $x_1 \dots x_n$ , parameters $q(w t, u, v)$ and $e(x s)$ .
<b>Definitions:</b> Define $\mathcal{K}$ to be the set of possible tags. Define $\mathcal{K}_{-2} = \mathcal{K}_{-1} = \mathcal{K}_0 =$
$\{*\}$ , and $\mathcal{K}_k = \mathcal{K}$ for $k = 1 \dots n$ .
Initialization:
Set \pi(0,*,*,*) = 1
Algorithm:
Algorithm.
Return:
Return.

**Question:** In the box below, give a version of the Viterbi algorithm that takes as input an integer n, and finds

$$\max_{y_1\dots y_{n+1},x_1\dots x_n} p(x_1\dots x_n,y_1\dots y_{n+1})$$

for a trigram tagger, as defined in Eq. 3. Hence the input to the algorithm is an integer n, and the output from the algorithm is the highest scoring pair of sequences  $x_1 \dots x_n, y_1 \dots y_{n+1}$  under the model.

<b>Input:</b> an integer $n$ , parameters $q(w u,v)$ and $e(x s)$ .
<b>Definitions:</b> Define $\mathcal{K}$ to be the set of possible tags. Define $\mathcal{K}_{-1} = \mathcal{K}_0 = \{*\}$ , and
$\mathcal{K}_k = \mathcal{K}$ for $k = 1 \dots n$ . Define $\mathcal{V}$ to be the set of possible words.
Initialization:
Algorithm:
Return:
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**Question 3** Consider a trigram HMM, as introduced in class. We saw that the Viterbi algorithm could be used to find

$$\max_{y_1...y_{n+1}} p(x_1...x_n, y_1...y_{n+1})$$

where the max is taken over all sequences  $y_1 \dots y_{n+1}$  such that  $y_i \in \mathcal{K}$  for  $i = 1 \dots n$ , and  $y_{n+1} = \text{STOP}$ . (Recall that  $\mathcal{K}$  is the set of possible tags in the HMM.) In a trigram tagger we assume that p takes the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-2}, y_{i-1}) \prod_{i=1}^{n} e(x_i | y_i)$$
 (3)

Recall that we have assumed in this definition that  $y_0 = y_{-1} = *$ , and  $y_{n+1} =$ STOP. The Viterbi algorithm is shown in figure 1.

Now consider a "skip" tagger, where p takes the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-2}) \prod_{i=1}^n e(x_i | y_i)$$
 (4)

We have assumed in this definition that  $y_0=y_{-1}=*$ , and  $y_{n+1}=$  STOP. Note that a "skip" tagger replaces the term  $q(y_i|y_{i-2},y_{i-1})$  in a regular trigram tagger with

$$q(y_i|y_{i-2})$$

We call it a skip tagger because  $y_{i-1}$  is now omitted from the conditioning information.

**Question:** In the box below, give a version of the Viterbi algorithm that takes as input a sentence  $x_1 \dots x_n$ , and finds

$$\max_{y_1...y_{n+1}} p(x_1...x_n, y_1...y_{n+1})$$

for a skip tagger, as defined in Eq. 4. (Note: it is fine if the runtime of your algorithm is  $O(n|\mathcal{K}|^3)$ .)

<b>Input:</b> a sentence $x_1 \dots x_n$ , parameters $q(w v)$ and $e(x s)$ .
<b>Definitions:</b> Define $K$ to be the set of possible tags. Define $K_{-1} = K_0 = \{*\}$ , and
$\mathcal{K}_k = \mathcal{K} \text{ for } k = 1 \dots n.$
Initialization:
Alcouithm
Algorithm:
Return:

**Question 4** Say we have a training set consisting of two tagged sentences:

the/DT can/NN is/VB in/IN the/DT shed/NN

the/DT dog/NN can/VB see/VB the/DT cat/NN

We train a bigram tagger of the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i|y_{i-1}) \prod_{i=1}^n e(x_i|y_i)$$

using simple maximum-likelihood estimates for the q and e parameters.

If we then use the Viterbi algorithm to find the maximum probability tag sequence for each of the training sentences, show that the tagger tags both sentences correctly.

**Question 5** Now come up with a training set such that when we train a bigram tagger using maximum likelihood estimates, the resulting model makes at least one mistake on the training set.