



# Ray-Tracing

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## Course News



### Assignment 3 (project)

- Due April 1

### Reading

- Chapter 10 (ray tracing), except 10.8-10.10
- Chapter 14 (global illumination)

### Friday Lecture

- Out of town for program committee meeting
- Anika will continue discussion of ray-tracing

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## Overview

### So far

- Real-time/HW rendering w/ Rendering Pipeline
- Rendering algorithms using the Rendering Pipeline

### Now

- Ray-Tracing
  - *Simple algorithm for software rendering*
    - Usually offline (e.g. movies etc.)
    - But: research on making this method real-time
  - *Extremely flexible (new effects can easily be incorporated)*

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## Ray-Tracing



### Basic Algorithm (Whithead):

```
for every pixel pi {  
    Generate ray r from camera position through pixel pi  
    pi= background color  
    for every object o in scene {  
        if( r intersects o && intersection is closer than previously  
            found intersections )  
            Compute lighting at intersection point, using local  
            normal and material properties; store result in pi  
    }  
}
```

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## Ray-Tracing

### Issues:

- Generation of rays
- Intersection of rays with geometric primitives
- Geometric transformations
- Lighting and shading
- Efficient data structures so we don't have to test intersection with every object

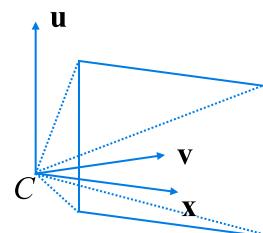
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## Ray-Tracing – Generation of Rays



### Camera Coordinate System

- Origin: C (camera position)
- Viewing direction:  $\mathbf{v}$
- Up vector:  $\mathbf{u}$
- $\mathbf{x}$  direction:  $\mathbf{x} = \mathbf{v} \times \mathbf{u}$



### Note:

- Corresponds to viewing transformation in rendering pipeline!
- See gluLookAt...

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## Ray-Tracing – Generation of Rays

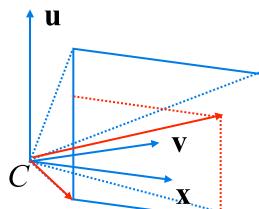
### Other parameters:

- Distance of Camera from image plane:  $d$
- Image resolution (in pixels):  $w, h$
- Left, right, top, bottom boundaries in image plane:  $l, r, t, b$

### Then:

- Lower left corner of image:  $O = C + d \cdot \mathbf{v} + l \cdot \mathbf{x} + b \cdot \mathbf{u}$
- Pixel at position  $i, j$  ( $i=0..w-1, j=0..h-1$ ):

$$\begin{aligned} P_{i,j} &= O + i \cdot \frac{r-l}{w-1} \cdot \mathbf{x} - j \cdot \frac{t-b}{h-1} \cdot \mathbf{u} \\ &= O + i \cdot \Delta x \cdot \mathbf{x} - j \cdot \Delta y \cdot \mathbf{y} \end{aligned}$$



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## Ray-Tracing – Generation of Rays

### Ray in 3D Space:

$$\mathbf{R}_{i,j}(t) = C + t \cdot (P_{i,j} - C) = C + t \cdot \mathbf{v}_{i,j}$$

where  $t = 0 \dots \infty$



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## Ray-Tracing

### Issues:

- Generation of rays
- **Intersection of rays with geometric primitives**
- Geometric transformations
- Lighting and shading
- Efficient data structures so we don't have to test intersection with every object

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## Ray Intersections

### Task:

- Given an object  $o$ , find ray parameter  $t$ , such that  $\mathbf{R}_{i,j}(t)$  is a point on the object
  - Such a value for  $t$  may not exist
- Intersection test depends on geometric primitive

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## Ray Intersections

### Spheres at origin:

- Implicit function:

$$S(x, y, z) : x^2 + y^2 + z^2 = r^2$$

- Ray equation:

$$\mathbf{R}_{i,j}(t) = \mathbf{C} + t \cdot \mathbf{v}_{i,j} = \begin{pmatrix} c_x \\ c_y \\ c_z \end{pmatrix} + t \cdot \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} = \begin{pmatrix} c_x + t \cdot v_x \\ c_y + t \cdot v_y \\ c_z + t \cdot v_z \end{pmatrix}$$

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## Ray Intersections

### To determine intersection:

- Insert ray  $\mathbf{R}_{i,j}(t)$  into  $S(x, y, z)$ :

$$(c_x + t \cdot v_x)^2 + (c_y + t \cdot v_y)^2 + (c_z + t \cdot v_z)^2 = r^2$$

- Solve for  $t$  (find roots)
  - Simple quadratic equation

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## Ray Intersections

### Other Primitives:

- Implicit functions:
  - *Spheres at arbitrary positions*
    - Same thing
  - *Conic sections (hyperboloids, ellipsoids, paraboloids, cones, cylinders)*
    - Same thing (all are quadratic functions!)
  - *Higher order functions (e.g. tori and other quartic functions)*
    - In principle the same
    - But root-finding difficult
    - Need to resort to numerical methods

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## Ray Intersections

### Other Primitives (cont)

- Polygons:
  - *First intersect ray with plane*
    - linear implicit function
  - *Then test whether point is inside or outside of polygon (2D test)*
  - *For convex polygons*
    - Suffices to test whether point is on the right side of every boundary edge
    - Similar to computation of outcodes in line clipping

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## Ray-Tracing

### Issues:

- Generation of rays
- Intersection of rays with geometric primitives
- **Geometric transformations**
- Lighting and shading
- Efficient data structures so we don't have to test intersection with every object

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## Ray-Tracing – Geometric Transformations



### Geometric Transformations:

- Similar goal as in rendering pipeline:
  - *Modeling scenes convenient using different coordinate systems for individual objects*
- Problem:
  - *Not all object representations are easy to transform*
    - This problem is fixed in rendering pipeline by restriction to polygons (affine invariance!)

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# Ray-Tracing – Geometric Transformations



## Geometric Transformations:

- Similar goal as in rendering pipeline:
  - Modeling scenes convenient using different coordinate systems for individual objects
- Problem:
  - Not all object representations are easy to transform
    - This problem is fixed in rendering pipeline by restriction to polygons (affine invariance!)
  - Ray-Tracing has different solution:
    - The ray itself is always affine invariant!
    - Thus: transform ray into object coordinates!

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# Ray-Tracing – Geometric Transformations



## Ray Transformation:

- For intersection test, it is only important that ray is in same coordinate system as object representation
- Transform all rays into object coordinates
  - Transform camera point and ray direction by inverse of model/view matrix
- Shading has to be done in world coordinates (where light sources are given)
  - Transform object space intersection point to world coordinates
  - Thus have to keep both world and object-space ray

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## Ray-Tracing

### Issues:

- Generation of rays
- Intersection of rays with geometric primitives
- Geometric transformations
- **Lighting and shading**
- Efficient data structures so we don't have to test intersection with every object

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## Ray-Tracing

## Lighting and Shading



### Local Effects:

- Local Lighting
  - Any reflection model possible
  - Have to talk about light sources, normals...
- Texture mapping
  - Color textures
  - Bump maps
  - Environment maps
  - Shadow maps

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## Ray-Tracing Local Lighting

### Light sources:

- For the moment: point and directional lights
- Later: are light sources
- More complex lights are possible
  - *Area lights*
  - *Global illumination*
    - Other objects in the scene reflect light
    - Everything is a light source!
    - Talk about this on Monday

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## Ray-Tracing Local Lighting



### Local surface information (normal...)

- For implicit surfaces  $F(x,y,z)=0$ : normal  $\mathbf{n}(x,y,z)$  can be easily computed at every intersection point using the gradient

$$\mathbf{n}(x, y, z) = \begin{pmatrix} \partial F(x, y, z) / \partial x \\ \partial F(x, y, z) / \partial y \\ \partial F(x, y, z) / \partial z \end{pmatrix}$$

- Example:  $F(x, y, z) = x^2 + y^2 + z^2 - r^2$

$$\mathbf{n}(x, y, z) = \begin{pmatrix} 2x \\ 2y \\ 2z \end{pmatrix} \quad \text{Needs to be normalized!}$$

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## Ray-Tracing Local Lighting



### Local surface information

- Alternatively: can interpolate per-vertex information for triangles/meshes as in rendering pipeline
  - *Phong shading!*
  - *Same as discussed for rendering pipeline*
- Difference to rendering pipeline:
  - *Interpolation cannot be done incrementally*
  - *Have to compute Barycentric coordinates for every intersection point (e.g plane equation for triangles)*

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## Ray-Tracing Texture Mapping



### Approach:

- Works in principle like in rendering pipeline
  - *Given s, t parameter values, perform texture lookup*
  - *Magnification, minification just as discussed*
- Problem: how to get s, t
  - *Implicit surfaces often don't have parameterization*
  - *For special cases (spheres, other conic sections), can use parametric representation*
  - *Triangles/meshes: use interpolation from vertices*

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# Ray-Tracing Lighting and Shading



## Global Effects

- Shadows
- Reflections/refractions

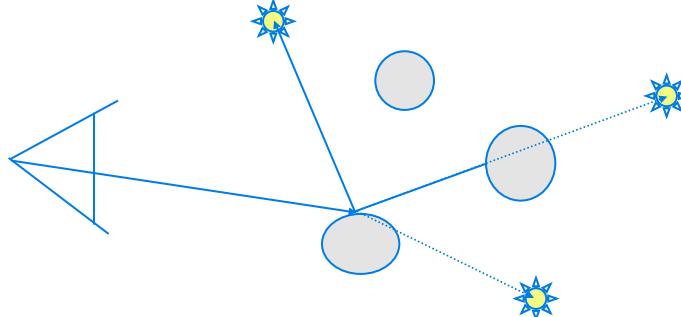
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# Ray-Tracing Shadows



## Approach:

- To test whether point is in shadow, send out shadow rays to all light sources
  - If ray hits another object, the point lies in shadow



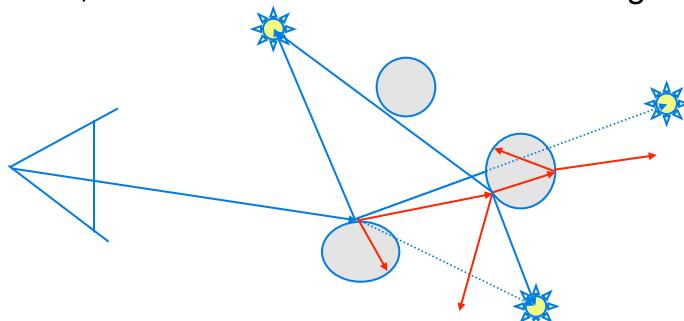
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## Ray-Tracing Reflections/Refractions

### Approach:

- Send rays out in reflected and refracted direction to gather incoming light
- That light is multiplied by local surface color and Fresnel term, and added to result of local shading



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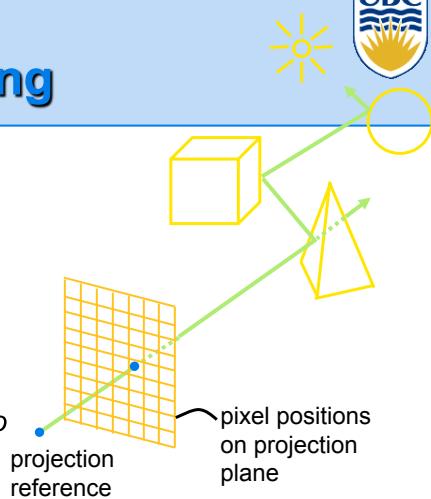
## Recursive Ray Tracing

### Ray tracing can handle

- Reflection (chrome)
- Refraction (glass)
- Shadows

### Spawn secondary rays

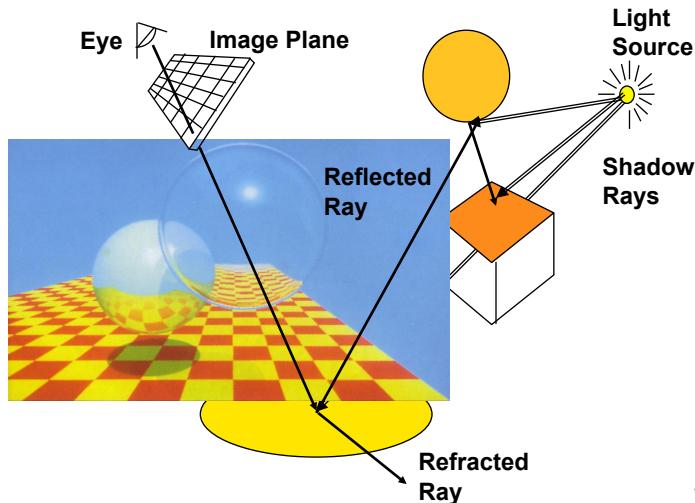
- Reflection, refraction
  - If another object is hit, recurse to find its color
- Shadow
  - Cast ray from intersection point to light source, check if intersects another object



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## Recursive Ray-Tracing



Whitted, 1980

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## Recursive Ray-Tracing Algorithm

```
RayTrace(r,scene)
obj := FirstIntersection(r,scene)
if (no obj) return BackgroundColor;
else begin
    if ( Reflect(obj) ) then
        reflect_color := RayTrace(ReflectRay(r,obj));
    else
        reflect_color := Black;
    if ( Transparent(obj) ) then
        refract_color := RayTrace(RefractRay(r,obj));
    else
        refract_color := Black;
    return Shade(reflect_color,refract_color,obj);
end;
```

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## Algorithm Termination Criteria

### Termination criteria

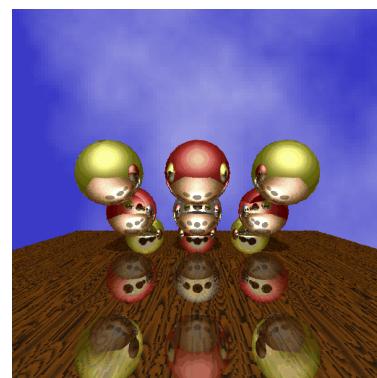
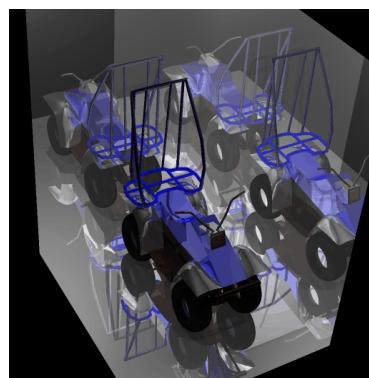
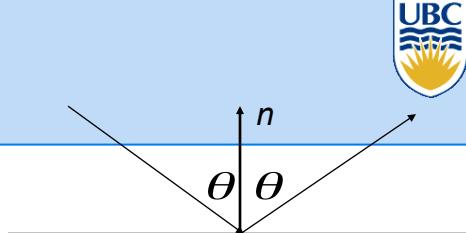
- No intersection
- Reach maximal depth
  - Number of bounces
- Contribution of secondary ray attenuated below threshold
  - Each reflection/refraction attenuates ray

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## Reflection

### Mirror effects

- Perfect specular reflection



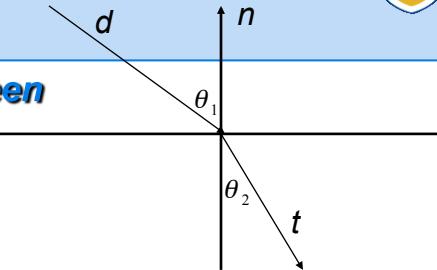
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## Refraction

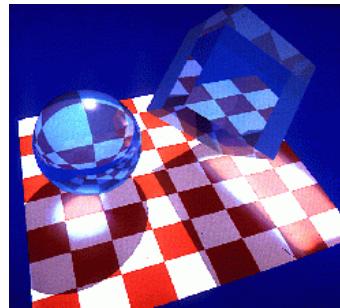
**Happens at interface between transparent object and surrounding medium**

- E.g. glass/air boundary



### Snell's Law

- $c_1 \sin \theta_1 = c_2 \sin \theta_2$
- Light ray bends based on refractive indices  $c_1, c_2$

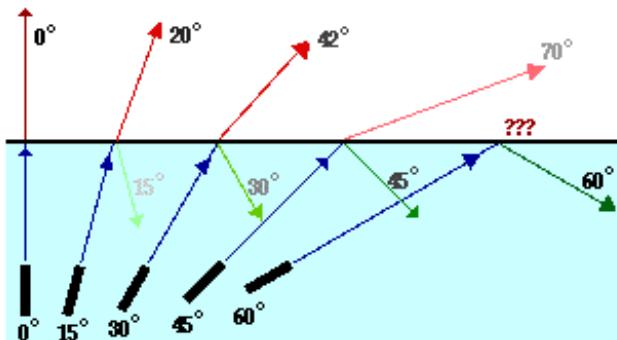


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## Total Internal Reflection

As the angle of incidence increases from 0 to greater angles ...

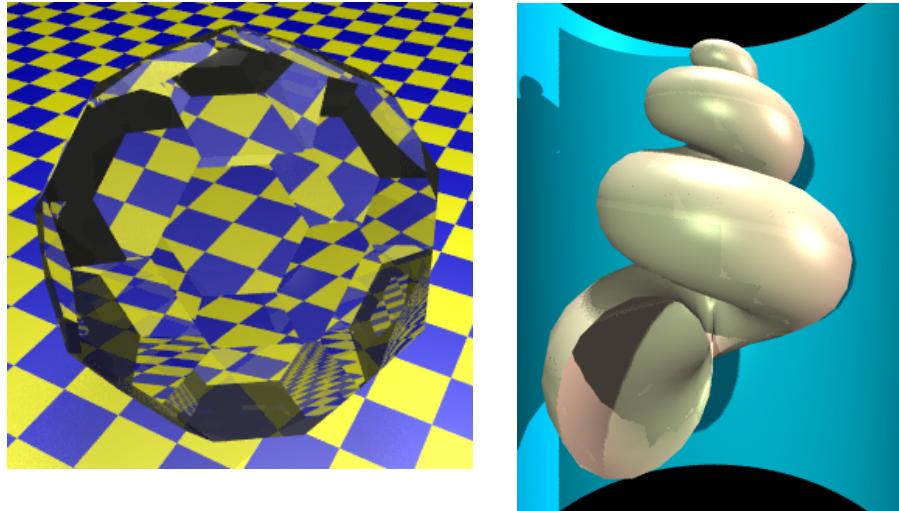


...the refracted ray becomes dimmer (there is less refraction)  
...the reflected ray becomes brighter (there is more reflection)  
...the angle of refraction approaches 90 degrees until finally  
a refracted ray can no longer be seen.

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## Ray-Tracing Example Images



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## Ray-Tracing Terminology

### **Terminology:**

- Primary ray: ray starting at camera
- Shadow ray
- Reflected/refracted ray
- Ray tree: all rays directly or indirectly spawned off by a single primary ray

### **Note:**

- Need to limit maximum depth of ray tree to ensure termination of ray-tracing process!

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## Ray-Tracing

### Issues:

- Generation of rays
- Intersection of rays with geometric primitives
- Geometric transformations
- Lighting and shading
- **Efficient data structures so we don't have to test intersection with every object**

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## Ray Tracing

### Data Structures

- Goal: reduce number of intersection tests per ray
- Lots of different approaches:
  - *(Hierarchical) bounding volumes*
  - *Hierarchical space subdivision*
    - Oct-tree, k-D tree, BSP tree

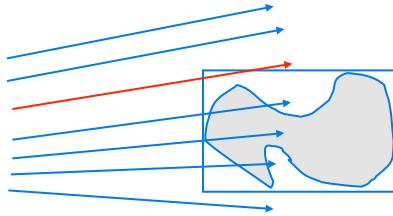
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## Bounding Volumes

### Idea:

- Rather than testing every ray against a potentially very complex object (e.g. triangle mesh), do a quick conservative test first which eliminates most rays
  - Surround complex object by simple, easy to test geometry (typically sphere or axis-aligned box)
  - Want to make bounding volume as tight as possible!



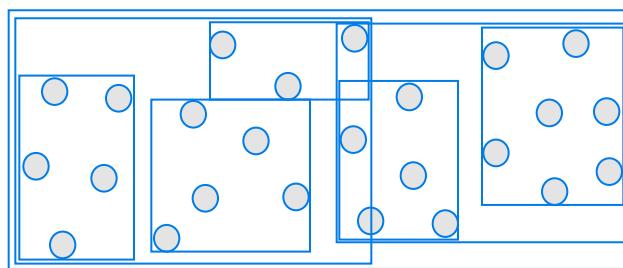
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## Hierarchical Bounding Volumes

### Extension of previous idea:

- Use bounding volumes for groups of objects



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## Spatial Subdivision Data Structures

### Bounding Volumes:

- Find simple object completely enclosing complicated objects
  - Boxes, spheres
- Hierarchically combine into larger bounding volumes

### Spatial subdivision data structure:

- Partition the whole space into cells
  - Grids, oct-trees, (BSP trees)
- Simplifies and accelerates traversal
- Performance less dependent on order in which objects are inserted

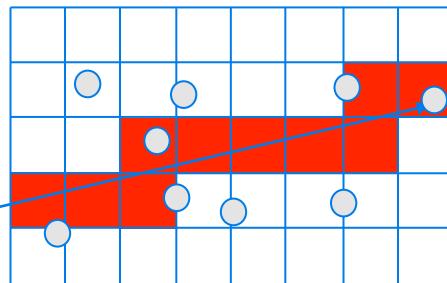
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## Regular Grid

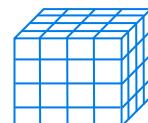


### Subdivide space into rectangular grid:

- Associate every object with the cell(s) that it overlaps with
- Find intersection: traverse grid



In 3D: regular grid of cubes (**voxels**):



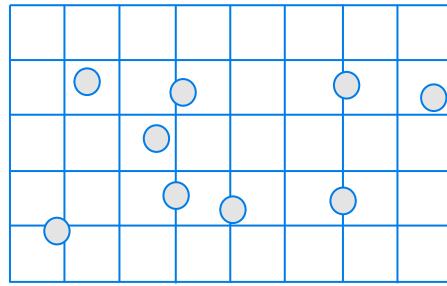
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## Creating a Regular Grid

### Steps:

- Find bounding box of scene
- Choose grid resolution in x, y, z
- Insert objects
- Objects that overlap multiple cells get referenced by all cells they overlap



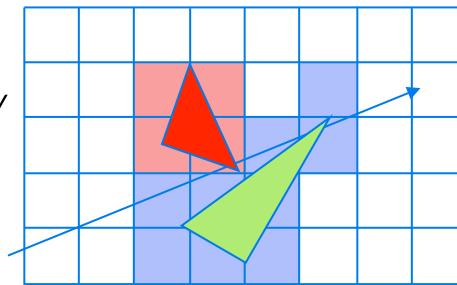
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## Grid Traversal

### Traversal:

- Start at ray origin
- While no intersection found
  - Go to next grid cell along ray
  - Compute intersection of ray with all objects in the cell
  - Determine closest such intersection
  - **Check if that intersection is inside the cell**
  - If so, terminate search



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## Traversal

### Note:

- This algorithm calls for computing the intersection points multiple times (once per grid cell)
- In practice: store intersections for a (ray, object) pair once computed, reuse for future cells

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## Regular Grid Discussion

### Advantages?

- Easy to construct
- Easy to traverse

### Disadvantages?

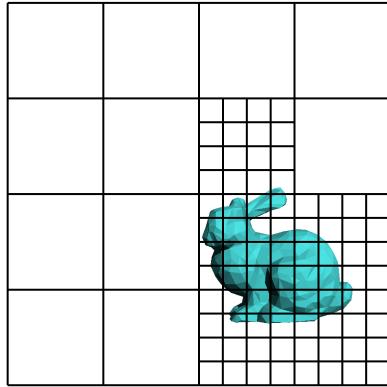
- May be only sparsely filled
- Geometry may still be clumped

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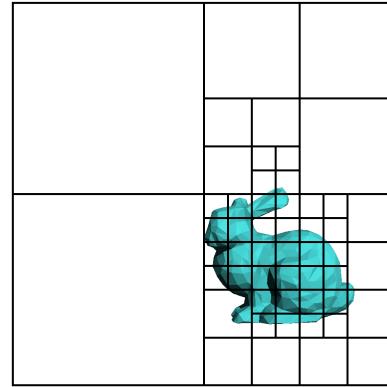


## Adaptive Grids

- Subdivide until each cell contains no more than  $n$  elements, or maximum depth  $d$  is reached



Nested Grids



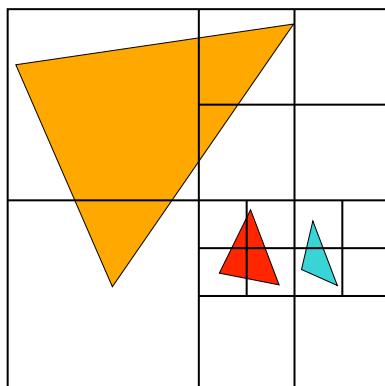
Octree/(Quadtree)

- This slide and the next are courtesy of Fredo Durand at MIT

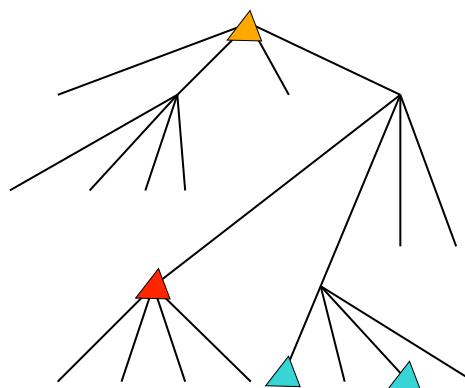
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## Primitives in an Adaptive Grid

- Can live at intermediate levels, or be pushed to lowest level of grid



Octree/(Quadtree)



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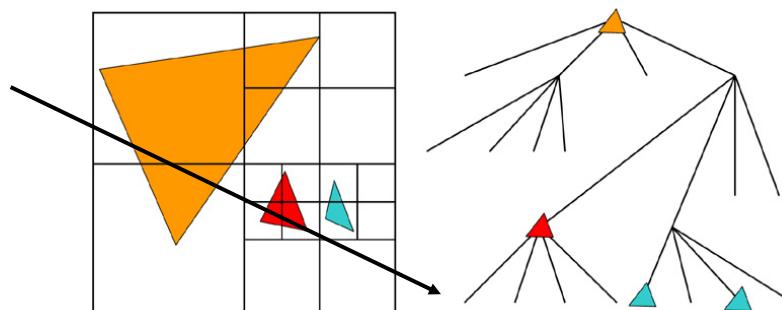
## Adaptive Grid Discussion

### Advantages

- Grid complexity matches geometric density

### Disadvantages

- More expensive to traverse than regular grid



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## Coming Up...



### Friday:

- More ray-tracing (Anika)

### Next Week:

- Global illumination

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