CSC301 HW10

Alex Zhang

April 2023

Question 1

Assume there is an *independent set* S which in G. For any edge e = (u, v). Only one of u, v can be in S. This means at least one of u, v will be in $V \setminus S$ which means any e is adjacent to some vertex in vertex cover C. This indicates that for given S in G. $V \setminus S$ is the vertex cover C.

Assume there is a vertex cover C that is $V \setminus S$ for a set of vertices S. All vertices in S will not have an edge connect with each other or it will be in $V \setminus S$. Therefore, this means set S is an independent set by definition.

This indicates that the sum of the number of vertices in *vertex cover* and *independent set* will be the total number of vertices.

(a)

With given instance of given G and k, we define f to change k be n-k, where n is the total number of vertices.

Suppose we have already had an efficient algorithm to check whether G has a vertex cover with size $\leq n-k$. Based on the relation of S and $V \setminus S$ we showed at the beginning, h is now just doing calculation of n-(n-k). Both f and h are in polynomial time because all about is counting the number of vertices in G.

Based on the efficient algorithm, if there exists a vertex cover with size $\leq n - k$, this implies that there is an independent set that has size $\geq k$, since the size of independent set add size of vertex cover is the number of vertices in G.

If there does not exist a vertex cover with size $\leq n - k$, then there is no independent set with size greater than k.

This indicates that vertex cover problem can be reduced into independent set problem.

(b)

This time we define f to change l to n-l.

Assume we have an efficient algorithm that check whether independent set has size $\geq n-l$. We can also define h be calculating n-(n-l). both f and h are in polynomial time because counting the number of vertices will not cost so much time.

Based on the algorithm, if it is true, then there exists an independent set with size $\geq n-l$. This means that there exists a vertex cover with size $\leq l$ based on h. If it is false, then there is no independent set with size $\geq n-1$. This also means there is no vertex cover with size $\leq l$ because if S is an independent set, $V \setminus S$ is a vertex cover.

This shows that independent set problem can be reduced into vertex cover problem.

Overall *independent set* problem can be reduced to *vertex cover* problem and vise versa. If we just know one efficient algorithm, we can use it to solve two questions at the same time.

Question 2

Since we proved that SAT \rightarrow 3SAT in class, both of them are NP-complete. If we can prove that 3SAT \rightarrow EXACT 4SAT, then EXACT 4SAT is also NP-complete.

Define f

Case 1 Clause length 1

For the clause of length 1 a_1 , we need to add three new "auxiliary" variables. For clause with length 1, we define f to be:

$$a_1 = (a_1 \lor y_1 \lor y_2 \lor y_3) \land (a_1 \lor \bar{y_1} \lor y_2 \lor y_3) \land (a_1 \lor y_1 \lor \bar{y_2} \lor y_3) \land (a_1 \lor y_1 \lor y_2 \lor \bar{y_3})$$
$$\land (a_1 \lor \bar{y_1} \lor \bar{y_2} \lor y_3) \land (a_1 \lor \bar{y_1} \lor y_2 \lor \bar{y_3}) \land (a_1 \lor y_1 \lor \bar{y_2} \lor \bar{y_3}) \land (a_1 \lor \bar{y_1} \lor \bar{y_2} \lor \bar{y_3})$$

Case 2 Clause length 2

In this case we need to add two more "auxiliary" variables, and we define f as:

$$(a_1 \lor a_2) = (a_1 \lor a_2 \lor y_1 \lor y_2) \land (a_1 \lor a_2 \lor y_1 \lor \bar{y_2}) \land (a_1 \lor a_2 \lor \bar{y_1} \lor y_2) \land (a_1 \lor a_2 \lor \bar{y_1} \lor \bar{y_2})$$

Case 3 Clause length 3

We just need one more "auxiliary" variable. The f now will be:

$$(a_1 \lor a_2 \lor a_3) = (a_1 \lor a_2 \lor a_3 \lor y_1) \land (a_1 \lor a_2 \lor a_3 \lor \bar{y_1})$$

Above all, f will be in polynomial time since creating new auxiliary variables takes O(n).

Define h

h is the true assignment for EXACT 4SAT to solutions to 3SAT. Define h to ignore the truth assignment of auxiliary variables, keeping the truth assignment of the origonal variables. h is poly-time, since we are just chops off at most 3 bits vector.

h(S) satisfies I

Suppose not, then there are three cases.

Case 1 Clause with length 1 is false

Then the false cluase can be transformed into:

$$a_k = (y_1 \lor y_2 \lor y_3) \land (\bar{y_1} \lor y_2 \lor y_3) \land (y_1 \lor \bar{y_2} \lor y_3) \land (y_1 \lor y_2 \lor \bar{y_3})$$
$$\land (\bar{y_1} \lor \bar{y_2} \lor y_3) \land (\bar{y_1} \lor y_2 \lor \bar{y_3}) \land (y_1 \lor \bar{y_2} \lor \bar{y_3}) \land (\bar{y_1} \lor \bar{y_2} \lor \bar{y_3})$$

In this case, all three "auxiliary" variables need to be true. However, this will make the last clause to be false which leads to a contradiction.

Case 2 Clause with length 2 is false

Then the false cluase can be transformed into:

$$(a_k \lor a_{k+1}) = (y_1 \lor y_2) \land (y_1 \lor \bar{y_2}) \land (\bar{y_1} \lor y_2) \land (\bar{y_1} \lor \bar{y_2})$$

Based on this string, we have to make both y_1 and y_2 to be true but this will still make the last clause be false. A contradiction happens.

Case 3 Clause with length 3 is false

Then the false clause can be simplified into:

$$(a_k \lor a_{k+1} \lor a_{k+2}) = (y_1) \land (\bar{y_1})$$

and this implies that y_1 needs to be true, but this will lead a contradiction which $\bar{y_1}$ cannot.

I satisfies so that f(I)

Suppose the origonal string is satisfied, then every clause regradless of length need to be true.

Case 1 Clause with length 1

Based on the f in previous statement, it is clear that if a_k is true, f will also be true since a_k is in every clasue.

Case 2 Clause with length 2

Since $(a_k \lor a_{k+1})$ is true, adding two more auxiliary variables will also be true in each clause. Therefore length 2 will be true.

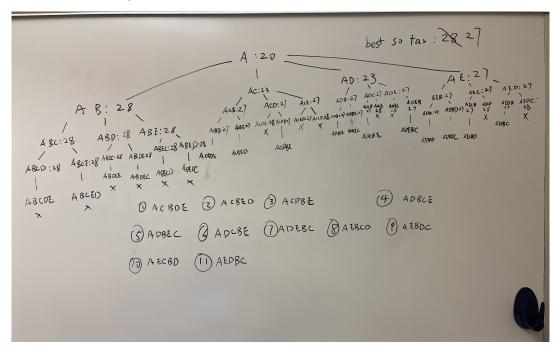
Case 3 Clause with length 3

Since $(a_k \lor a_{k+1} \lor a_{k+2})$ is true, adding an extra auxiliary variable will also be true without considering its boolean value. The transformation will be true is the original stirng is true.

We can conclude that 3SAT \to EXACT 4SAT. Based on the fact that 3SAT is NP-complete, so EXACT 4SAT is also NP-complete. \blacksquare

Question 3

The follow tree is what I got:



From my tree, the lower bound for each subproblem is labeled besides and I found the result for this TSP problem should be 27.

The process is basically first went trough subproblem AB and get current best which is 28. Then went through AC which I updated current best to 27 and stuck with it to the end. and currently I found there are 11 ways to get 27.