

CSC301 HW10

Alex Zhang

April 2023

Question 1

Assume there is an *independent set* S which in G . For any edge $e = (u, v)$. Only one of u, v can be in S . This means at least one of u, v will be in $V \setminus S$ which means any e is adjacent to some vertex in vertex cover C . This indicates that for given S in G . $V \setminus S$ is the vertex cover C .

Assume there is a *vertex cover* C that is $V \setminus S$ for a set of vertices S . All vertices in S will not have an edge connect with each other or it will be in $V \setminus S$. Therefore, this means set S is an *independent set* by definition.

This indicates that the sum of the number of vertices in *vertex cover* and *independent set* will be the total number of vertices.

(a)

With given instance of given G and k , we define f to change k be $n - k$, where n is the total number of vertices.

Suppose we have already had an efficient algorithm to check whether G has a *vertex cover* with size $\leq n - k$. Based on the relation of S and $V \setminus S$ we showed at the beginning, h is now just doing calculation of $n - (n - k)$. Both f and h are in polynomial time because all about is counting the number of vertices in G .

Based on the efficient algorithm, if there exists a *vertex cover* with size $\leq n - k$, this implies that there is an *independent set* that has size $\geq k$, since the size of *independent set* add size of *vertex cover* is the number of vertices in G .

If there does not exist a *vertex cover* with size $\leq n - k$, then there is no *independent set* with size greater than k .

This indicates that *vertex cover* problem can be reduced into *independent set* problem. ■

(b)

This time we define f to change l to $n - l$.

Assume we have an efficient algorithm that check whether *independent set* has size $\geq n - l$. We can also define h be calculating $n - (n - l)$. both f and h are in polynomial time because counting the number of vertices will not cost so much time.

Based on the algorithm, if it is true, then there exists an *independent set* with size $\geq n - l$. This means that there exists a *vertex cover* with size $\leq l$ based on h . If it is false, then there is no *independent set* with size $\geq n - l$. This also means there is no *vertex cover* with size $\leq l$ because if S is an *independent set*, $V \setminus S$ is a *vertex cover*.

This shows that *independent set* problem can be reduced into *vertex cover* problem. ■

Overall *independent set* problem can be reduced to *vertex cover* problem and vise versa. If we just know one efficient algorithm, we can use it to solve two questions at the same time.

Question 2

Since we proved that $\text{SAT} \rightarrow 3\text{SAT}$ in class, both of them are NP-complete. If we can prove that $3\text{SAT} \rightarrow \text{EXACT } 4\text{SAT}$, then EXACT 4SAT is also NP-complete.

Define f

Case 1 Clause length 1

For the clause of length 1 a_1 , we need to add three new "auxiliary" variables. For clause with length 1, we define f to be:

$$a_1 = (a_1 \vee y_1 \vee y_2 \vee y_3) \wedge (a_1 \vee \bar{y}_1 \vee y_2 \vee y_3) \wedge (a_1 \vee y_1 \vee \bar{y}_2 \vee y_3) \wedge (a_1 \vee y_1 \vee y_2 \vee \bar{y}_3) \\ \wedge (a_1 \vee \bar{y}_1 \vee \bar{y}_2 \vee y_3) \wedge (a_1 \vee \bar{y}_1 \vee y_2 \vee \bar{y}_3) \wedge (a_1 \vee y_1 \vee \bar{y}_2 \vee \bar{y}_3) \wedge (a_1 \vee \bar{y}_1 \vee \bar{y}_2 \vee \bar{y}_3)$$

Case 2 Clause length 2

In this case we need to add two more "auxiliary" variables, and we define f as:

$$(a_1 \vee a_2) = (a_1 \vee a_2 \vee y_1 \vee y_2) \wedge (a_1 \vee a_2 \vee y_1 \vee \bar{y}_2) \wedge (a_1 \vee a_2 \vee \bar{y}_1 \vee y_2) \wedge (a_1 \vee a_2 \vee \bar{y}_1 \vee \bar{y}_2)$$

Case 3 Clause length 3

We just need one more "auxiliary" variable. The f now will be:

$$(a_1 \vee a_2 \vee a_3) = (a_1 \vee a_2 \vee a_3 \vee y_1) \wedge (a_1 \vee a_2 \vee a_3 \vee \bar{y}_1)$$

Above all, f will be in polynomial time since creating new auxiliary variables takes $O(n)$.

Define h

h is the true assignment for EXACT 4SAT to solutions to 3SAT. Define h to ignore the truth assignment of auxiliary variables, keeping the truth assignment of the original variables. h is poly-time, since we are just chopping off at most 3 bits vector.

h(S) satisfies I

Suppose not, then there are three cases.

Case 1 Clause with length 1 is false

Then the false clause can be transformed into:

$$a_k = (y_1 \vee y_2 \vee y_3) \wedge (\bar{y}_1 \vee y_2 \vee y_3) \wedge (y_1 \vee \bar{y}_2 \vee y_3) \wedge (y_1 \vee y_2 \vee \bar{y}_3) \\ \wedge (\bar{y}_1 \vee \bar{y}_2 \vee y_3) \wedge (\bar{y}_1 \vee y_2 \vee \bar{y}_3) \wedge (y_1 \vee \bar{y}_2 \vee \bar{y}_3) \wedge (\bar{y}_1 \vee \bar{y}_2 \vee \bar{y}_3)$$

In this case, all three "auxiliary" variables need to be true. However, this will make the last clause to be false which leads to a contradiction.

Case 2 Clause with length 2 is false

Then the false clause can be transformed into:

$$(a_k \vee a_{k+1}) = (y_1 \vee y_2) \wedge (y_1 \vee \bar{y}_2) \wedge (\bar{y}_1 \vee y_2) \wedge (\bar{y}_1 \vee \bar{y}_2)$$

Based on this string, we have to make both y_1 and y_2 to be true but this will still make the last clause be false. A contradiction happens.

Case 3 Clause with length 3 is false
Then the false clause can be simplified into:

$$(a_k \vee a_{k+1} \vee a_{k+2}) = (y_1) \wedge (\bar{y}_1)$$

and this implies that y_1 needs to be true, but this will lead a contradiction which \bar{y}_1 cannot.

I satisfies so that $f(I)$

Suppose the original string is satisfied, then every clause regardless of length need to be true.

Case 1 Clause with length 1

Based on the f in previous statement, it is clear that if a_k is true, f will also be true since a_k is in every clause.

Case 2 Clause with length 2

Since $(a_k \vee a_{k+1})$ is true, adding two more auxiliary variables will also be true in each clause. Therefore length 2 will be true.

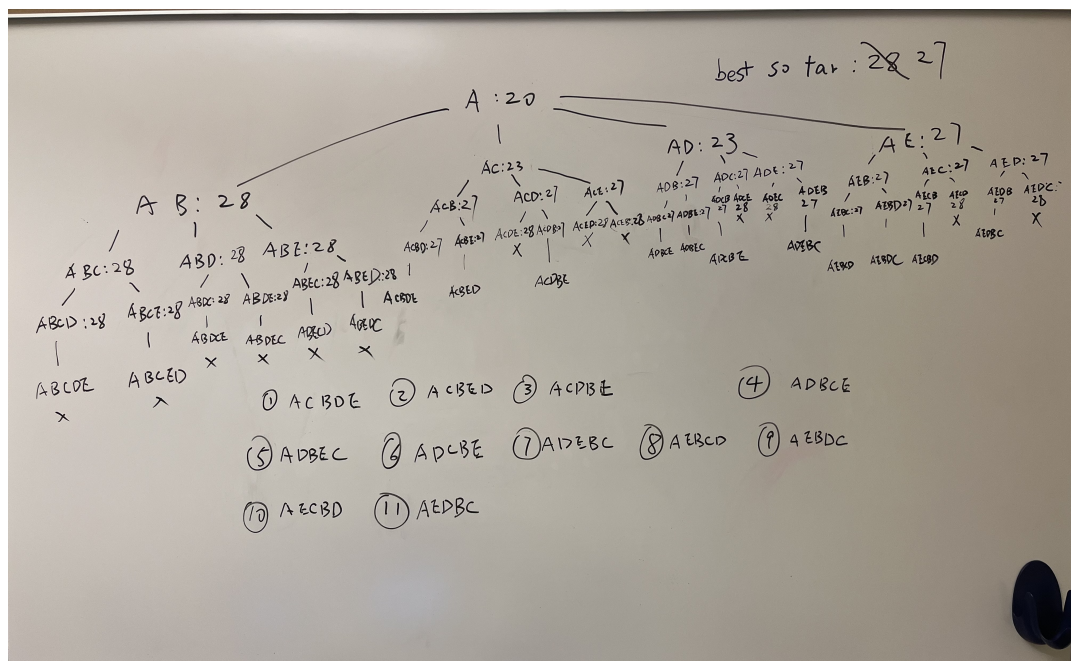
Case 3 Clause with length 3

Since $(a_k \vee a_{k+1} \vee a_{k+2})$ is true, adding an extra auxiliary variable will also be true without considering its boolean value. The transformation will be true if the original string is true.

We can conclude that $3SAT \rightarrow EXACT\ 4SAT$. Based on the fact that $3SAT$ is NP-complete, so $EXACT\ 4SAT$ is also NP-complete. ■

Question 3

The follow tree is what I got:



From my tree, the lower bound for each subproblem is labeled besides and I found the result for this TSP problem should be 27.

The process is basically first went through subproblem AB and get current best which is 28. Then went through AC which I updated current best to 27 and stuck with it to the end. and currently I found there are 11 ways to get 27.

```
function e = QR_unshift(A)
    A0 = hess(A0)
    for k = 1:n (until convergence)
        QkRk = qr(Ak-1)
        Ak = RkQk
    end
    e = diag(A_n)
end function
```

$$\begin{aligned}
 \mathbf{Q}_1 \mathbf{R}_1 &= \mathbf{A}_0 \\
 \mathbf{A}_1 &= \mathbf{R}_1 \mathbf{Q}_1 \\
 \mathbf{A}_1 &= \mathbf{Q}_1^{-1} \mathbf{Q}_1 \mathbf{R}_1 \mathbf{Q}_1 \\
 \mathbf{A}_1 &= \mathbf{Q}_1^{-1} \mathbf{A}_0 \mathbf{Q}_1
 \end{aligned} \tag{1}$$

$$\begin{aligned}
 \mathbf{A}_k &= \mathbf{R}_k \mathbf{Q}_k \\
 \mathbf{A}_k &= \mathbf{Q}_k^{-1} \mathbf{Q}_k \mathbf{R}_k \mathbf{Q}_k \\
 \mathbf{A}_k &= \mathbf{Q}_k^{-1} \mathbf{A}_{k-1} \mathbf{Q}_k \\
 \mathbf{A}_k &= \mathbf{Q}_k^{-1} \mathbf{R}_{k-1} \mathbf{Q}_{k-1} \mathbf{Q}_k \\
 \mathbf{A}_k &= \mathbf{Q}_k^{-1} \mathbf{Q}_{k-1}^{-1} \mathbf{Q}_{k-1} \mathbf{R}_{k-1} \mathbf{Q}_{k-1} \mathbf{Q}_k \\
 \mathbf{A}_k &= \mathbf{Q}_k^{-1} \mathbf{Q}_{k-1}^{-1} \mathbf{A}_{k-2} \mathbf{Q}_{k-1} \mathbf{Q}_k
 \end{aligned} \tag{2}$$