

# *First Order Logic*

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References: Artificial Intelligence: A Modern Approach, 2<sup>nd</sup> Ed., Russel & Norvig



# Overview

- Why First-Order Logic (FOL)?
- Syntax and Semantics of FOL,
- Fun with sentences,
- Wumpus world in FOL



# Pros and Cons of FOL

- 😊 Propositional logic is **declarative**: pieces of syntax correspond to facts
- 😊 Propositional logic allows partial/disjunctive/negated information (unlike most data structures and databases)
- 😊 Propositional logic is **compositional**:  
meaning of  $B_{1,1} \wedge P_{1,2}$  is derived from meaning of  $B_{1,1}$  and of  $P_{1,2}$
- 😊 Meaning in propositional logic is **context-independent** (unlike natural language, where meaning depends on context)
- 😞 Propositional logic has very limited expressive power (unlike natural language)  
E.g., cannot say “pits cause breezes in adjacent squares”  
except by writing one sentence for each square



# First Order Logic

Whereas propositional logic assumes world contains **facts**,  
first-order logic (like natural language) assumes the world contains

- **Objects**: people, houses, numbers, theories, Ronald McDonald, colors, baseball games, wars, centuries . . .
- **Relations**: red, round, bogus, prime, multistoried . . . ,  
brother of, bigger than, inside, part of, has color, occurred after, owns,  
comes between, . . .
- **Functions**: father of, best friend, third inning of, one more than, end of  
. . .



# Logics in General

Language	Ontological Commitment	Epistemological Commitment
Propositional logic	facts	true/false/unknown
First-order logic	facts, objects, relations	true/false/unknown
Temporal logic	facts, objects, relations, times	true/false/unknown
Probability theory	facts	degree of belief
Fuzzy logic	facts + degree of truth	known interval value



# Syntax of FOL: Basic Elements

Constants	<i>KingJohn, 2, UCB, ...</i>
Predicates	<i>Brother, &gt;, ...</i>
Functions	<i>Sqrt, LeftLegOf, ...</i>
Variables	<i>x, y, a, b, ...</i>
Connectives	$\wedge \vee \neg \Rightarrow \Leftrightarrow$
Equality	$=$
Quantifiers	$\forall \exists$



# Atomic Sentences

Atomic sentence = *predicate*(*term*<sub>1</sub>, ..., *term*<sub>*n*</sub>)  
or *term*<sub>1</sub> = *term*<sub>2</sub>

Term = *function*(*term*<sub>1</sub>, ..., *term*<sub>*n*</sub>)  
or *constant* or *variable*

E.g., *Brother*(*KingJohn*, *RichardTheLionheart*)  
> (*Length*(*LeftLegOf*(*Richard*)), *Length*(*LeftLegOf*(*KingJohn*)))



# Complex Sentences

Complex sentences are made from atomic sentences using connectives

$$\neg S, \quad S_1 \wedge S_2, \quad S_1 \vee S_2, \quad S_1 \Rightarrow S_2, \quad S_1 \Leftrightarrow S_2$$

E.g.  $Sibling(KingJohn, Richard) \Rightarrow Sibling(Richard, KingJohn)$   
 $>(1, 2) \vee \leq(1, 2)$   
 $>(1, 2) \wedge \neg >(1, 2)$





# Truth in First Order Logic

- Sentences are true with respect to a **model** and an **interpretation**
- Model contains  $\geq 1$  objects (**domain elements**) and relations among them

Interpretation specifies referents for

**constant symbols**  $\rightarrow$  **objects**

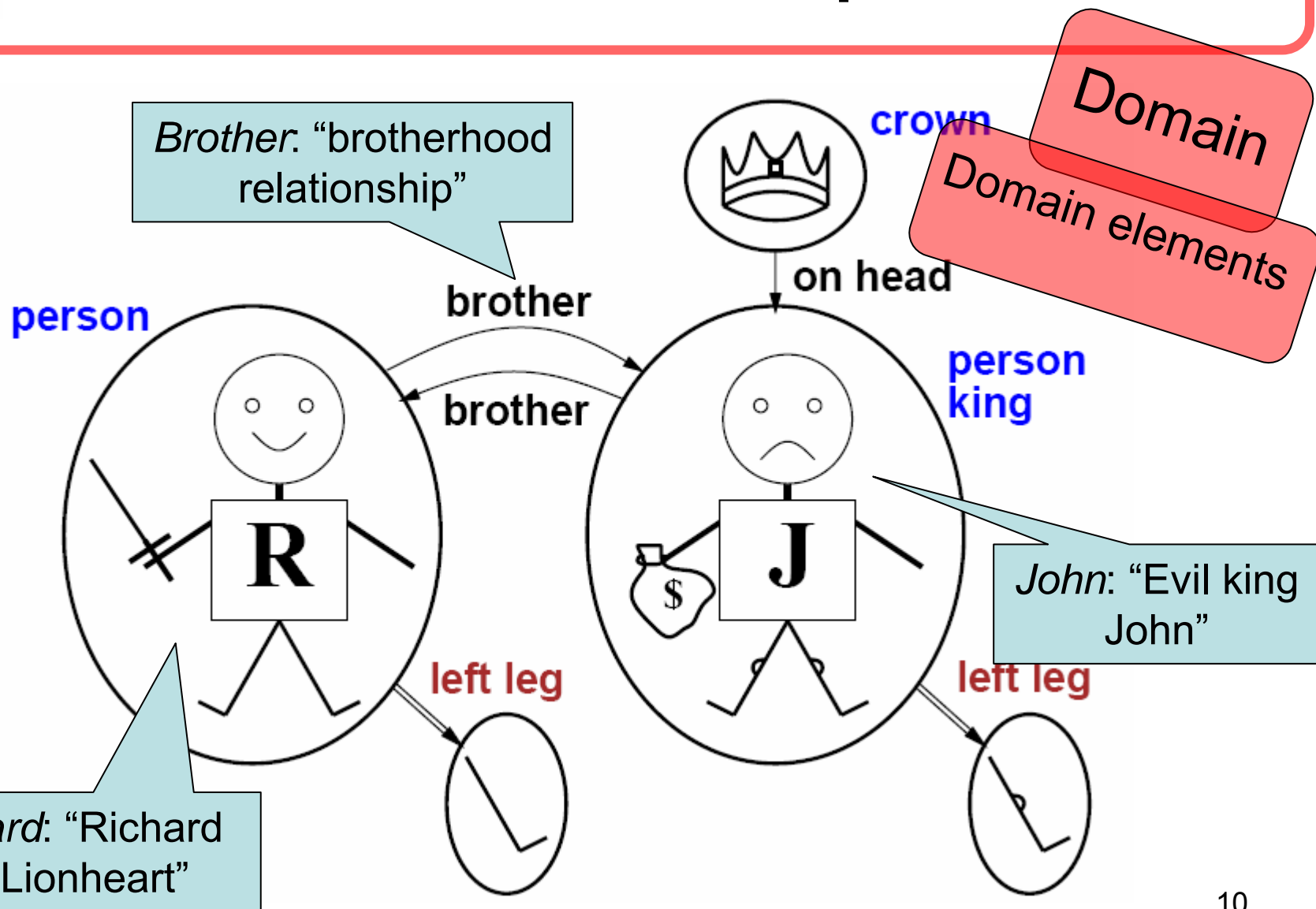
**predicate symbols**  $\rightarrow$  **relations**

**function symbols**  $\rightarrow$  **functional relations**

- An atomic sentence  $\textit{predicate}(\textit{term}_1, \dots, \textit{term}_n)$  is true iff the **objects** referred to by  $\textit{term}_1, \dots, \textit{term}_n$  are in the **relation** referred to by  $\textit{predicate}$



# Models in FOL: An example





## Truth Example

- Consider the interpretation in which  
*Richard* → Richard the Lionheart  
*John* → the evil King John  
*Brother* → the brotherhood relation
- Under this interpretation, *Brother(Richard, John)* is true just in case Richard the Lionheart and the evil King John are in the brotherhood relation in the model



# “Possible Models” for FOL: Lots!!

- Entailment in propositional logic can be computed by enumerating models
- We **can** enumerate the FOL models for a given KB vocabulary:
- For each number of domain elements  $n$  from 1 to  $\infty$ 
  - For each  $k$ -ary predicate  $P_k$  in the vocabulary
    - For each possible  $k$ -ary relation on  $n$  objects
      - For each constant symbol  $C$  in the vocabulary
        - For each choice of referent for  $C$  from  $n$  objects ...
- Computing entailment by enumerating FOL models is not easy!



# Properties of Sets of Objects

- Now we can represent objects...
- What if we want to express properties of entire sets of objects?
- Or... some of them?
- Does FOL allow that?

Yes!

Universal Quantification

Existential Quantification



# Universal Quantification

- $\forall \langle \text{variables} \rangle \langle \text{sentence} \rangle$
- Everyone at Berkeley is smart:  
 $\forall x \text{ At}(x, \text{Berkeley}) \Rightarrow \text{Smart}(x)$



# Universal Quantification

- $\forall \langle \text{variables} \rangle \langle \text{sentence} \rangle$
- Everyone at Berkeley is smart:  
 $\forall x \text{ At}(x, \text{Berkeley}) \Rightarrow \text{Smart}(x)$
- $\forall x P$  is true in a model  $m$  iff  $P$  is true with  $x$  being **each** possible object in the model
- **Roughly** speaking, equivalent to the conjunction of instantiations of  $P$

$$\begin{aligned} & (\text{At}(\text{KingJohn}, \text{Berkeley}) \Rightarrow \text{Smart}(\text{KingJohn})) \\ & \wedge (\text{At}(\text{Richard}, \text{Berkeley}) \Rightarrow \text{Smart}(\text{Richard})) \\ & \wedge (\text{At}(\text{Berkeley}, \text{Berkeley}) \Rightarrow \text{Smart}(\text{Berkeley})) \\ & \wedge \dots \end{aligned}$$



## A Common Mistake to Avoid

- Typically,  $\Rightarrow$  is the main connective with  $\forall$
- Common mistake: using  $\wedge$  as the main connective with  $\forall$ :

$$\forall x \text{ } At(x, Berkeley) \wedge Smart(x)$$

- What does it mean?





## A Common Mistake to Avoid

- Typically,  $\Rightarrow$  is the main connective with  $\forall$
- Common mistake: using  $\wedge$  as the main connective with  $\forall$ :

$$\forall x \text{ } At(x, Berkeley) \wedge Smart(x)$$

- What does it mean?
- Means: “*Everyone is at Berkeley and everyone is smart*”.
- Is NOT what we wanted to say!



# Existential Quantification

- $\exists \langle variables \rangle \langle sentence \rangle$
- Someone at Stanford is smart:  
 $\exists x \text{ At}(x, Stanford) \wedge Smart(x)$



# Existential Quantification

- $\exists \langle \textit{variables} \rangle \langle \textit{sentence} \rangle$
- Someone at Stanford is smart:  
 $\exists x \textit{At}(x, \textit{Stanford}) \wedge \textit{Smart}(x)$
- $\exists x P$  is true in a model  $m$  iff  $P$  is true with  $x$  being **some** possible object in the model
- **Roughly** speaking, equivalent to the **disjunction** of instantiations of  $P$   
$$\begin{aligned} & (\textit{At}(\textit{KingJohn}, \textit{Stanford}) \wedge \textit{Smart}(\textit{KingJohn})) \\ \vee & (\textit{At}(\textit{Richard}, \textit{Stanford}) \wedge \textit{Smart}(\textit{Richard})) \\ \vee & (\textit{At}(\textit{Stanford}, \textit{Stanford}) \wedge \textit{Smart}(\textit{Stanford})) \\ \vee & \dots \end{aligned}$$



## Another Common Mistake to Avoid

- Typically,  $\wedge$  is the main connective with  $\exists$
- Common mistake: using  $\Rightarrow$  as the main connective with  $\exists$ :

$$\exists x \text{ At}(x, \text{Stanford}) \Rightarrow \text{Smart}(x)$$

$x$	$y$	$x \rightarrow y$
0	0	1
0	1	1
1	0	0
1	1	1

- is true if there is anyone who is not at Stanford!



# Properties of Quantifiers

- $\forall x \forall y$  is the same as  $\forall y \forall x$  (why??)
- $\exists x \exists y$  is the same as  $\exists y \exists x$  (why??)
- $\exists x \forall y$  is **not** the same as  $\forall y \exists x$
- $\exists x \forall y \text{ Loves}(x, y)$   
“There is a person who loves everyone in the world”
- $\forall y \exists x \text{ Loves}(x, y)$   
“Everyone in the world is loved by at least one person”



# Properties of Quantifiers

- Quantifier duality: each can be expressed using the other

$$\forall x \text{ Likes}(x, \text{IceCream}) \quad \neg \exists x \neg \text{Likes}(x, \text{IceCream})$$

$$\exists x \text{ Likes}(x, \text{Broccoli}) \quad \neg \forall x \neg \text{Likes}(x, \text{Broccoli})$$

- De Morgan rule applies to quantifiers



# Fun with Sentences

- Brothers are siblings

$$\forall x, y \text{ Brother}(x, y) \Rightarrow \text{Sibling}(x, y).$$

- “Sibling” is symmetric

$$\forall x, y \text{ Sibling}(x, y) \Leftrightarrow \text{Sibling}(y, x).$$

- One’s mother is one’s female parent

$$\forall x, y \text{ Mother}(x, y) \Leftrightarrow (\text{Female}(x) \wedge \text{Parent}(x, y)).$$

- A first cousin is a child of a parent’s sibling

- $\forall x, y \text{ FirstCousin}(x, y) \Leftrightarrow \exists p, ps \text{ Parent}(p, x) \wedge \text{Sibling}(ps, p) \wedge \text{Parent}(ps, y)$



# Equality

- Equality sign means two terms refer to the same object
- E.g.,  $Father(John) = Henry$  says that...
- The object referred to by  $Father(John)$  and the object referred to by  $Henry$  are the same.
- It can also be used with negation:
- E.g., Richard has at least two brothers  
$$\exists x,y \text{ Brother}(x, Richard) \wedge \text{ Brother}(y, Richard) \wedge \neg(x=y)$$





## Interacting with FOL KBs

- *Assertions and Queries* in FOL.
- Suppose a wumpus-world agent is using an FOL KB and perceives a|smell and a breeze (but no glitter) at  $t = 5$ :

*Tell(KB, Percept([Smell, Breeze, None], 5))*

*Ask(KB,  $\exists a$  Action( $a, 5$ ))*

- I.e., does *KB* entail any particular actions at  $t = 5$ ?
- Answer: *Yes, {a/Shoot}*  $\leftarrow$  substitution (binding list)



## Interacting with FOL KBs

- Given a sentence  $S$  and a substitution  $\sigma$ ,  
 $S\sigma$  denotes the result of plugging  $\sigma$  into  $S$ ; e.g.,  
 $S = \text{Smarter}(x, y)$   
 $\sigma = \{x/\text{Hillary}, y/\text{Bill}\}$   
 $S\sigma = \text{Smarter}(\text{Hillary}, \text{Bill})$
- $\text{Ask}(KB, S)$  returns some/all  $\sigma$  such that  $KB \models S\sigma$



# KB for the Wumpus World

- “Perception”

$$\forall b, g, t \text{ Percept}([Smell, b, g], t) \Rightarrow Smelt(t)$$

$$\forall s, b, t \text{ Percept}([s, b, Glitter], t) \Rightarrow AtGold(t)$$

- Reflex:  $\forall t \text{ AtGold}(t) \Rightarrow \text{Action}(\text{Grab}, t)$

- Reflex with internal state: do we have the gold already?

$$\forall t \text{ AtGold}(t) \wedge \neg \text{Holding}(\text{Gold}, t) \Rightarrow \text{Action}(\text{Grab}, t)$$

$\text{Holding}(\text{Gold}, t)$  cannot be observed

$\Rightarrow$  keeping track of change is essential



# Deducing Hidden Properties

- Properties of locations:

$$\forall x, t \text{ } At(Agent, x, t) \wedge Smelt(t) \Rightarrow Smelly(x)$$

$$\forall x, t \text{ } At(Agent, x, t) \wedge Breeze(t) \Rightarrow Breezy(x)$$

- Squares are breezy near a pit:

Diagnostic rule—infer cause from effect

$$\forall y \text{ } Breezy(y) \Rightarrow \exists x \text{ } Pit(x) \wedge Adjacent(x, y)$$

Causal rule—infer effect from cause

$$\forall x, y \text{ } Pit(x) \wedge Adjacent(x, y) \Rightarrow Breezy(y)$$

Neither of these is complete—e.g., the causal rule doesn't say whether squares far away from pits can be breezy

Definition for the *Breezy* predicate:

$$\forall y \text{ } Breezy(y) \Leftrightarrow [\exists x \text{ } Pit(x) \wedge Adjacent(x, y)]$$



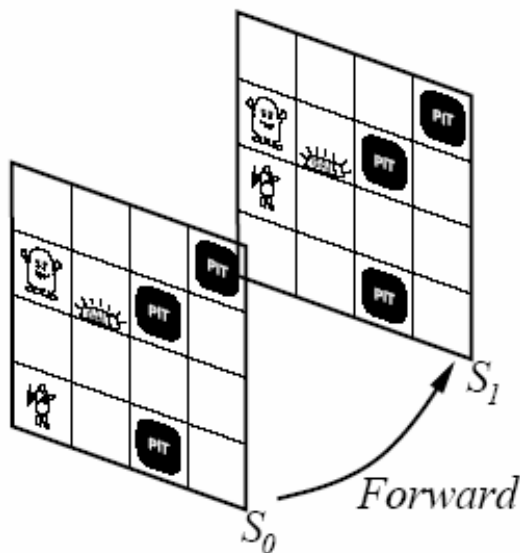
## Overview of other Knowledge Representation Issues

- We need to deal with time, change.
- Actions and their effects
- Sequence of actions? → Making plans
- *Should you panic? No.*



# Keeping track of Change

- Facts hold in *situations*, rather than eternally  
E.g.,  $Holding(Gold, Now)$  rather than just  $Holding(Gold)$
- *Situation calculus* is one way to represent change in FOL:  
Adds a situation argument to each non-eternal predicate  
E.g.,  $Now$  in  $Holding(Gold, Now)$  denotes a situation
- Situations are connected by the *Result* function  
 $Result(a, s)$  is the situation that results from doing  $a$  in  $s$





# Describing Actions I

- “Effect” axiom—describe changes due to action  
 $\forall s \text{ } AtGold(s) \Rightarrow Holding(Gold, Result(Grab, s))$
- “Frame” axiom—describe **non-changes** due to action  
 $\forall s \text{ } HaveArrow(s) \Rightarrow HaveArrow(Result(Grab, s))$
- **Frame problem**: find an elegant way to handle non-change
  - (a) representation—avoid frame axioms
  - (b) inference—avoid repeated “copy-overs” to keep track of state
- **Qualification problem**: true descriptions of real actions require endless caveats—what if gold is slippery or nailed down or ...
- **Ramification problem**: real actions have many secondary consequences—what about the dust on the gold, wear and tear on gloves, ...



## Describing Actions II

- Successor-state axioms solve the representational frame problem
- Each axiom is “about” a **predicate** (not an action per se):

$$\begin{aligned} P \text{ true afterwards} \quad \Leftrightarrow \quad & [\text{an action made } P \text{ true} \\ & \vee \quad P \text{ true already and no action made } P \text{ false}] \end{aligned}$$

- For holding the gold:

$$\begin{aligned} \forall a, s \quad \text{Holding}(\text{Gold}, \text{Result}(a, s)) \quad \Leftrightarrow \\ & [(a = \text{Grab} \wedge \text{AtGold}(s)) \\ & \vee (\text{Holding}(\text{Gold}, s) \wedge a \neq \text{Release})] \end{aligned}$$





# Making Plans

- Initial condition in KB:  
 $At(Agent, [1, 1], S_0)$   
 $At(Gold, [1, 2], S_0)$
- Query:  $Ask(KB, \exists s \text{ Holding}(Gold, s))$   
i.e., in what situation will I be holding the gold?
- Answer:  $\{s / Result(Grab, Result(Forward, S_0))\}$   
i.e., go forward and then grab the gold
- This assumes that the agent is interested in plans starting at  $S_0$  and that  $S_0$  is the only situation described in the KB



## Making Plans: A better way

- Represent **plans** as action sequences  $[a_1, a_2, \dots, a_n]$

$PlanResult(p, s)$  is the result of executing  $p$  in  $s$

- Then the query  $Ask(KB, \exists p \text{ Holding}(Gold, PlanResult(p, S_0)))$  has the solution  $\{p/[Forward, Grab]\}$
- Definition of  $PlanResult$  in terms of  $Result$ :  
$$\forall s \text{ } PlanResult([], s) = s$$
$$\forall a, p, s \text{ } PlanResult([a|p], s) = PlanResult(p, Result(a, s))$$
- **Planning systems** are special-purpose reasoners designed to do this type of inference more efficiently than a general-purpose reasoner



# Summary

- First-order logic:
  - objects and relations are semantic primitives
  - syntax: constants, functions, predicates, equality, quantifiers
- Increased expressive power: sufficient to define wumpus world
- Situation calculus:
  - conventions for describing actions and change in FOL
  - can formulate planning as inference on a situation calculus KB



# Reading Assignment

- Study Russel & Norvig Chapter10: “Knowledge Representation”
- Take notes and bring them to class!!