

Heuristics are approximation with unproven properties  
(cannot prove anything about)

### NP-Complete problem:

- provide approximation
  - develop heuristics
  - change problem, restrict inputs
- 3SAT  $\rightarrow$  2SAT

### Randomness?

P ? NP  
RP

randomized polynomial time (add coin flips)

RP seems close to P

$\rightarrow$  adding coin flips does not seem to solve NP-complete problems

### Heuristics - Local Search

#### 1) Solution space

- representation

#### 2) Locality between solutions

e.g. solution: truth assignment

move: flip 1 variable

solution space: graph  $G = (V, E)$

$V$  = possible solutions

$y \in N(x)$  if  $x \rightarrow y \in E$

each vertex will have neighbors, according to some rule

#### 3) cost function

- how "good" is a solution

Given ①, ②, ③, can setup a greedy alg.

#### Greedy alg.

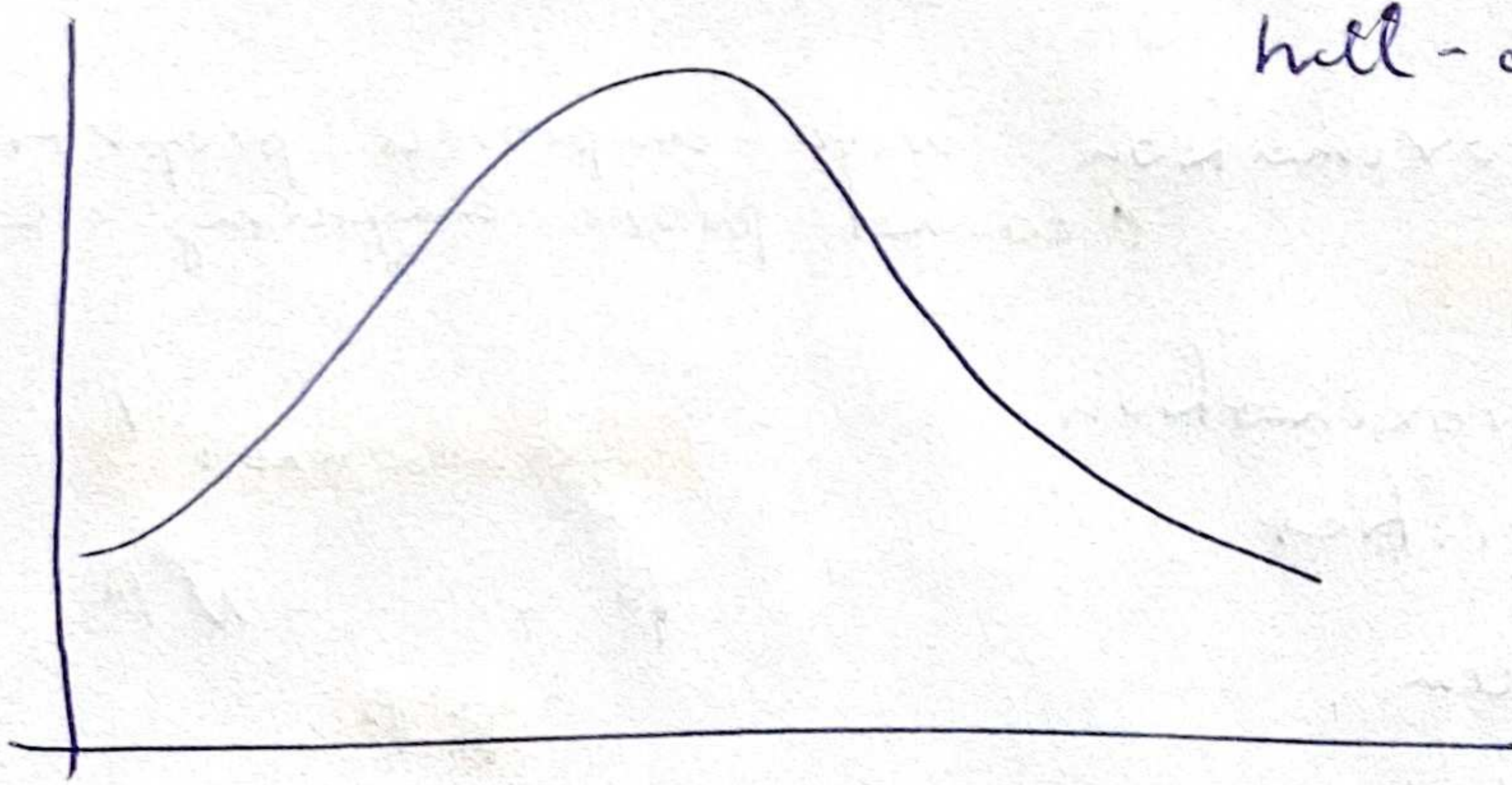
1. start at soln  $x$

2. if  $\exists$  a neighbor  $y$  with  $f(y) > f(x)$ ,  
move to such

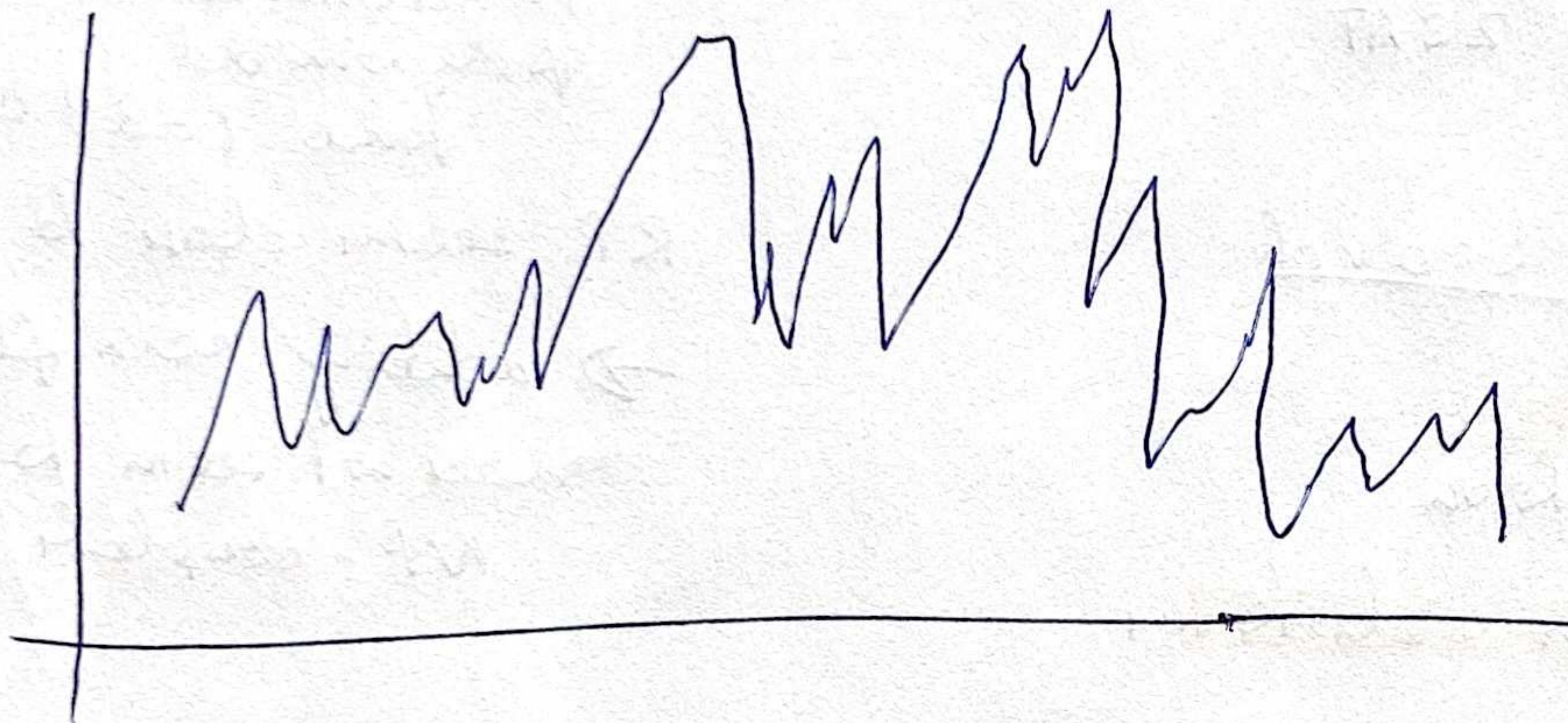
3. return soln.

first,  
all-best,  
all-random





hill-climbing



↖ solution representation and locality choice

### MAX-3-SAT

SOLN - truth assignments

moves - flip 1 variable

flip  $k$  variables

flip  $n$  variables

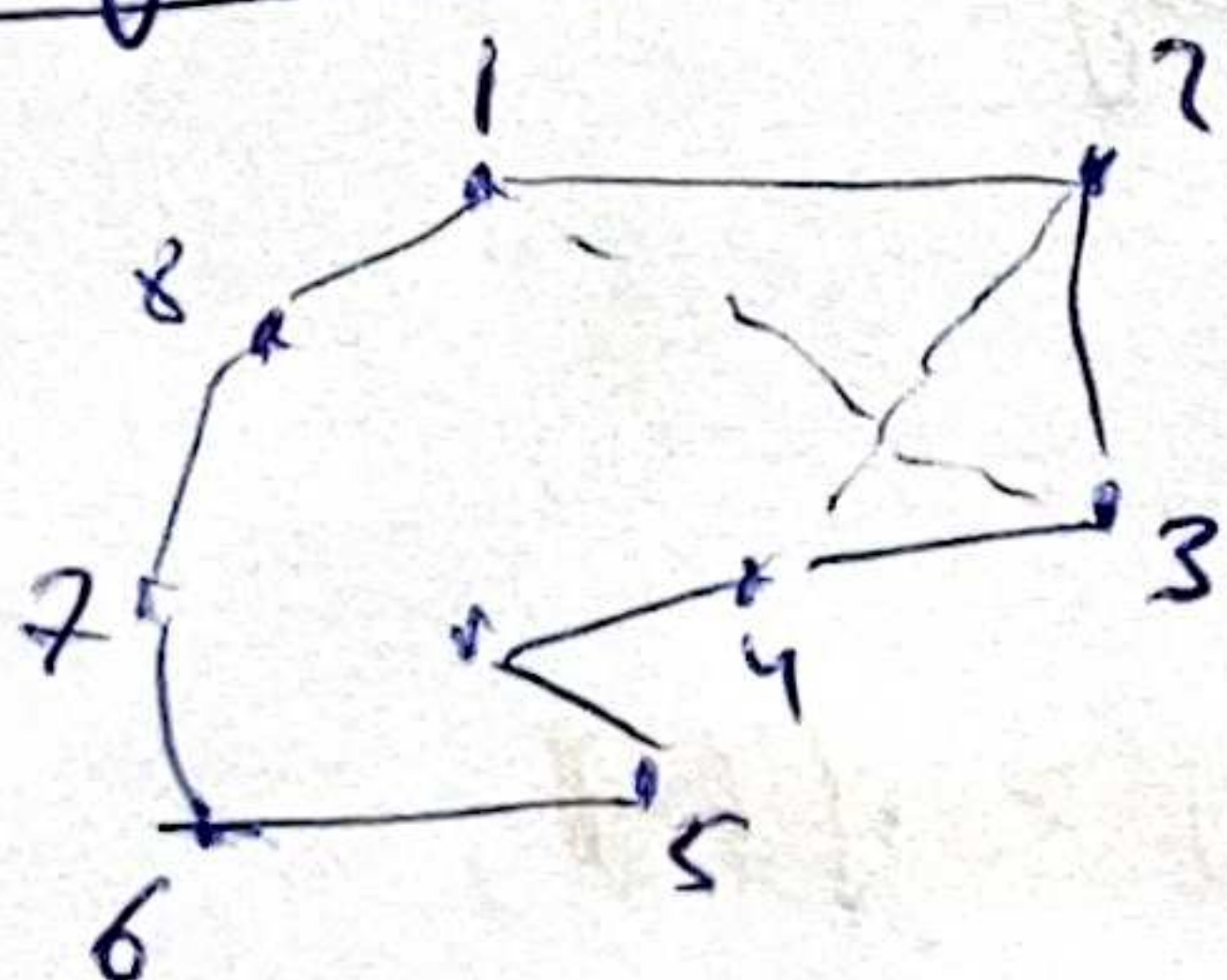
1 move to solution  
exponential time to find the move

graph more connected  
→ more neighbors

→ ⊕ jump over local max/min

→ ⊖ reduce locality,  
more time to pick neighbors

### Traveling Salesman Problem



1 2 3 4 5 6 7 8

↖  
1 3 2 4 5 6 7 8

2-opt heuristic

- throw out  $k$  edges

- optimize ~~graph~~

3-opt works well



(2)  
Hill-climbing (basic local search)

Metropolis's rule:

- pick a random neighbor
- if it's better, move
- if it's not, go there with some probability (depending on  $\Delta f$ )

Simulated annealing

like Metropolis's rule, but with a "cooling" schedule

→ less likely to make backwards moves over time.

Tabu search

hill-climbing + memory

- don't go to a solution seen recently
- exploration vs. exploitation  
(new parts of search space) (keep climbing)

Parallel Algorithms

"go with the winner"

- run diff. algs, with diff. initializations in parallel
- at a stopping point, evaluate and choose winner,
- continue running winner only

Genetic Algorithms

- "population of solutions kept fresh"
- operators that cross-breed solutions

general observations:

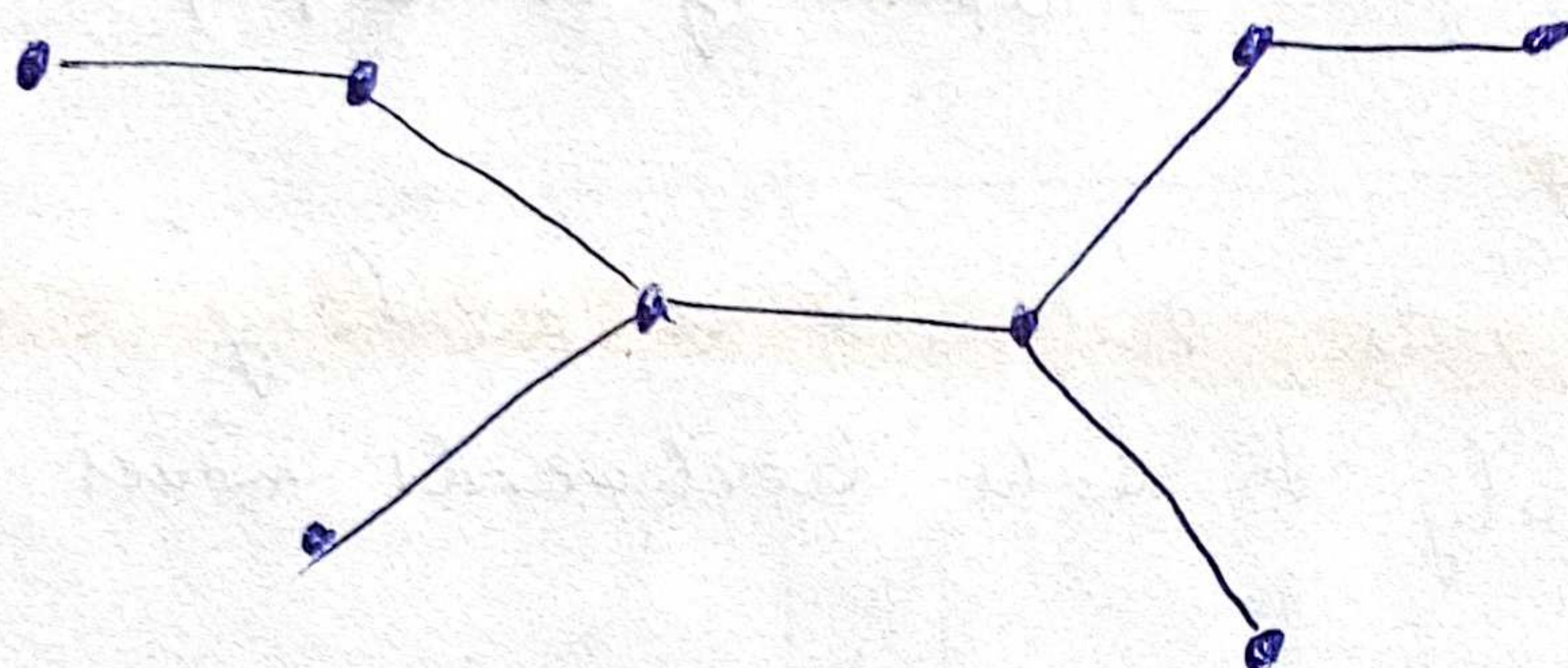
flavors are less important,  
search space representation  
and locality what matters



# Approximation

## Euclidean Traveling Salesman Problem

(2D used, but is more general)

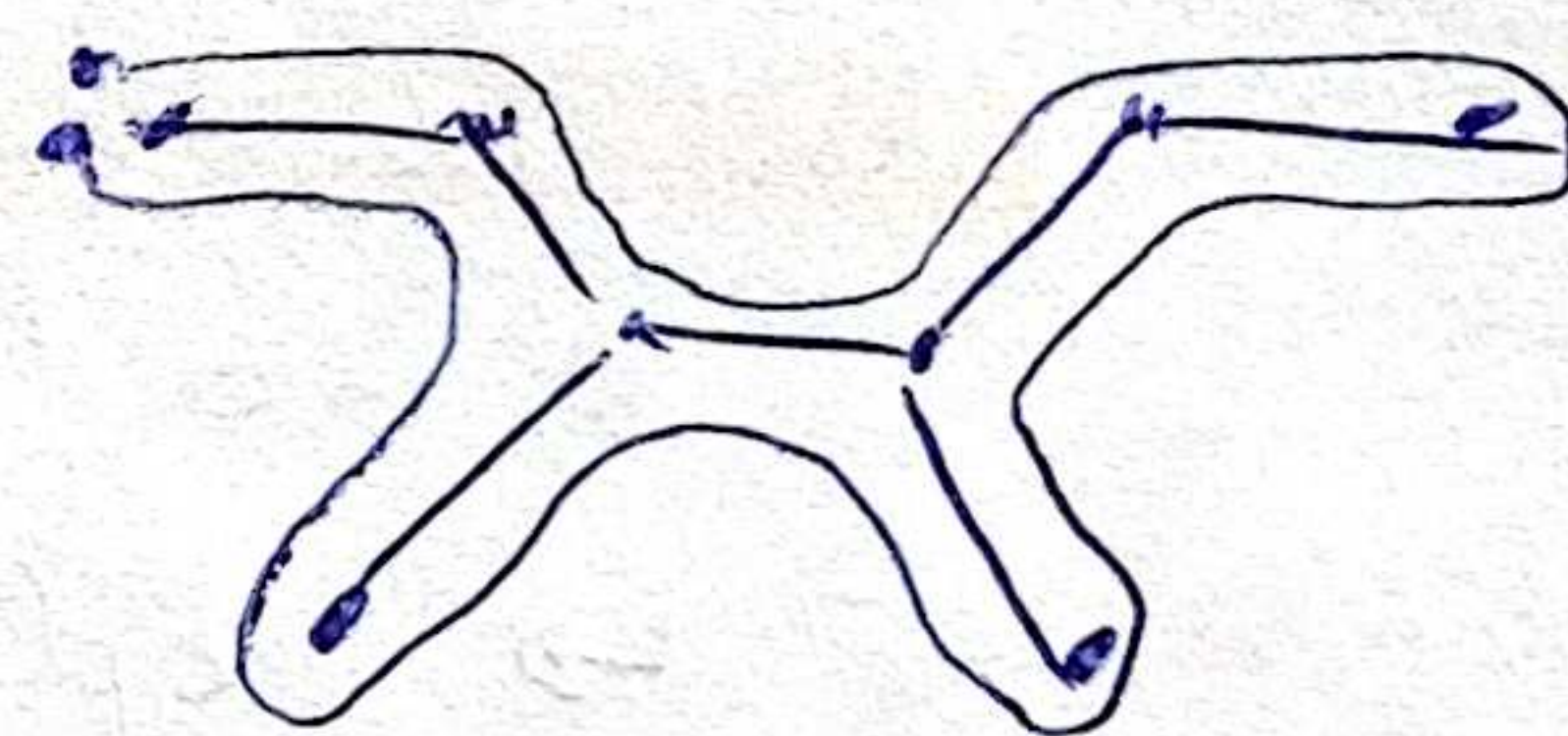


idea:

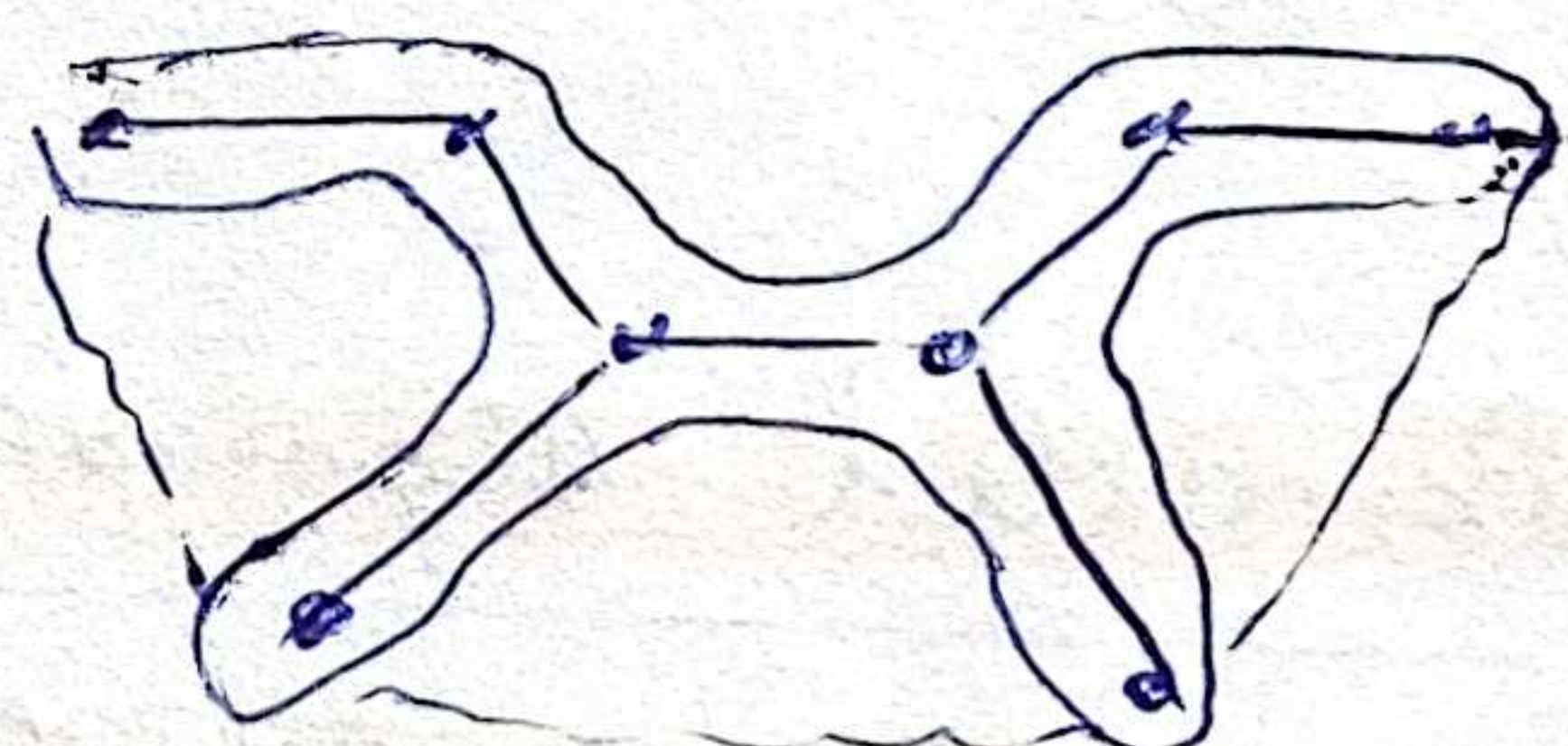
- ① what CAN be done efficiently  
→ MST
- ② what needs to be done to have a TSP tour

① Find an MST

② DFS to find a "pseudo-tour"  
vertices visited twice not once



③ short cut the vertices already visited



length Alg. tour  $\leq$  length OPT tour

e.g. 2-approximation alg.

within a factor of 2 from optimal

length of Alg. tour  $\leq$  length of "pseudo-tour"

↳ true because of Euclidean space,  
direct & straight line has shorter  
distance

length of "pseudo-tour"  $\leq$  2 length of MST

length MST  $\leq$  length OPT tour (is)  
any tour contains a spanning tree

$\Rightarrow$  length of Alg. tour  $\leq$  2 length OPT tour

↓  
can get down to  $\frac{3}{2}$

recently  $(1+\epsilon)$  approx, but large  
polynomial



Mon s-t cut

→ poly time

Max cut

both  
NP-hard

$$G = (V, E)$$

$$V = V_1 \cup V_2$$

$$V_1 \cap V_2 = \emptyset$$

cut: # edges  $V_1, V_2$

• weight edges  $V_1, V_2$   
crossing

→ need approximation