

Greedy algorithmsSAT is P-complete

Formula - conjunction of disjunctions  
(and - of - ors)

Special subcase: Horn formulae - at most one pos literal per clause

$$(x \vee \bar{y} \vee \bar{z} \vee \bar{w}) \wedge (\bar{x} \vee \bar{y} \vee \bar{w}) \wedge (\bar{x} \vee \bar{z} \vee w) \\ \wedge (\bar{x} \vee y) \wedge (x) \wedge (\bar{z}) \wedge (\bar{x} \vee \bar{y} \vee w)$$

split into 1) pure negative clauses - no negative literal

2) one positive literal - implications

$$(\bar{x} \vee y) : x \rightarrow y$$

(\*) y

x	y	exp
T	T	✓
T	F	✗
F	F	✓
F	T	✓

$$(\bar{x} \vee \bar{y} \vee w) \\ (x \wedge y \rightarrow w)$$

x	y	w	exp
T	T	T	✓
T	T	F	✗
T	F	T	✓
T	F	F	✓
F	T	T	✓
F	T	F	✓
F	F	T	✓
F	F	F	✓

$$(\bar{x} \vee \bar{y} \vee w) : x \wedge y \rightarrow w$$

$$(x) : \rightarrow x$$

My Greedy: start with all false

while  $\exists$  an implication that is not satisfied  
set right hand side to true

$$\begin{aligned} & (x \vee \bar{y} \vee \bar{z} \vee \bar{w}) \quad (y \wedge z \wedge w \rightarrow x) \\ & \wedge (\bar{x} \vee \bar{y} \vee \bar{w}) \quad \wedge \text{pure negative} \quad \vee) \text{ unsatisfiable} \\ & \wedge (\bar{x} \vee \bar{z} \vee w) \quad \wedge (x \wedge z \rightarrow w) \\ & \wedge (\bar{x} \vee y) \quad \wedge (x \rightarrow y) \quad 2) y = T \\ & \wedge (x) \quad \wedge (\rightarrow x) \quad 1) x = T \\ & \wedge (\bar{z}) \quad \wedge \text{pure negative} \\ & \wedge (\bar{x} \vee \bar{y} \vee w) \quad \wedge (x \wedge y \rightarrow w) \quad 3) w = T \end{aligned}$$

greedy:  
only make  
changes when  
have to

check all pure negative clauses

if all satisfied - done

if not - unsatisfiable

Correctness

can be satisfied: each step only makes a clause satisfied, never unsatisfied, it stays satisfied

can be unsatisfiable: only set literals to T, because there way no other way



e.g.

$(\bar{x} \vee \bar{y} \vee w)$

$(x \wedge y \rightarrow w)$

$\begin{matrix} T & T & F \end{matrix}$

All started with F previously  
had to be set to T as the  
only way to satisfy  
some other clauses

must be  
set to T  
to satisfy  
this clause

only assignment  
of an unsatisfied  
Horn clause

if algorithm return unsatisfiable  
no assignment that can  
satisfy all clauses

induction on variables set to T

set its variable to T because previous  $i-1$  variables  
had to be set to T and setting its variable to T  
is the only way to satisfy the clause

## Huffman coding

compression scheme

DNA example

A, C, G, T

ex 1 2 bits A=00, C=01, G=11, T=10

ex 2 A - 70 million

C - 3 million

G - 20 million

T - 37 million

baseline 2 bits  $\rightarrow$  260 million bits

1	20
011	9
010	60
00	44
	213 million

self description  
of dictionary

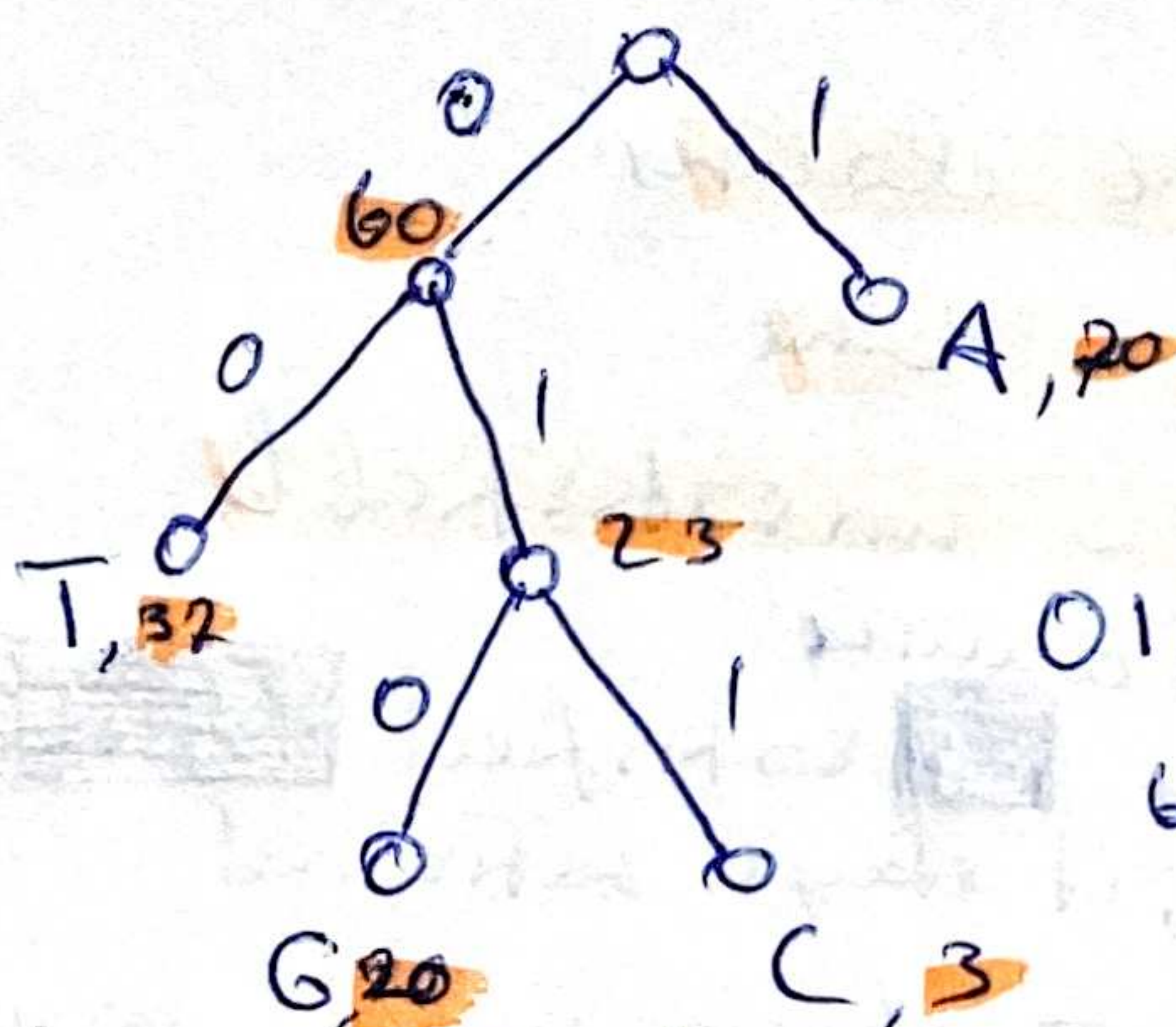
213 million (20% saved)

prefix  
free property  
no string is prefix  
of another

does not take  
context into account

e.g. AAA... AA CCC... CC

GGG... TTT...  
could be compressed  
in  $< 213$  by taking  
context information  
into account



C C T A A A G  
011 | 011 | 00 | 1 | 1 | 1 | 01  
 $60 + 70 + 37 + 23 + 20 + 3 = 213$  million

encoding: build tree } thinking  
decoding: read from tree } not implementing

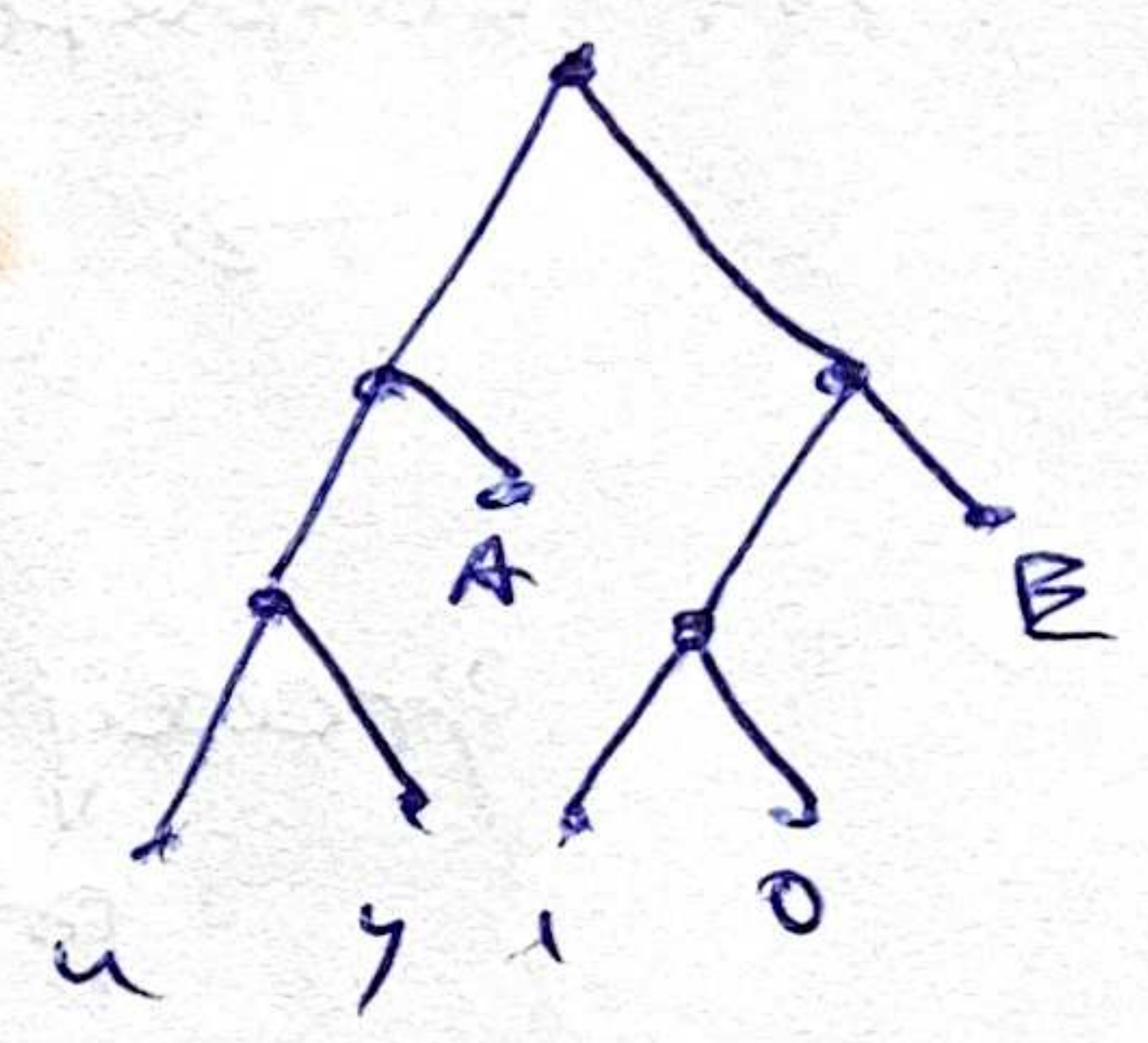
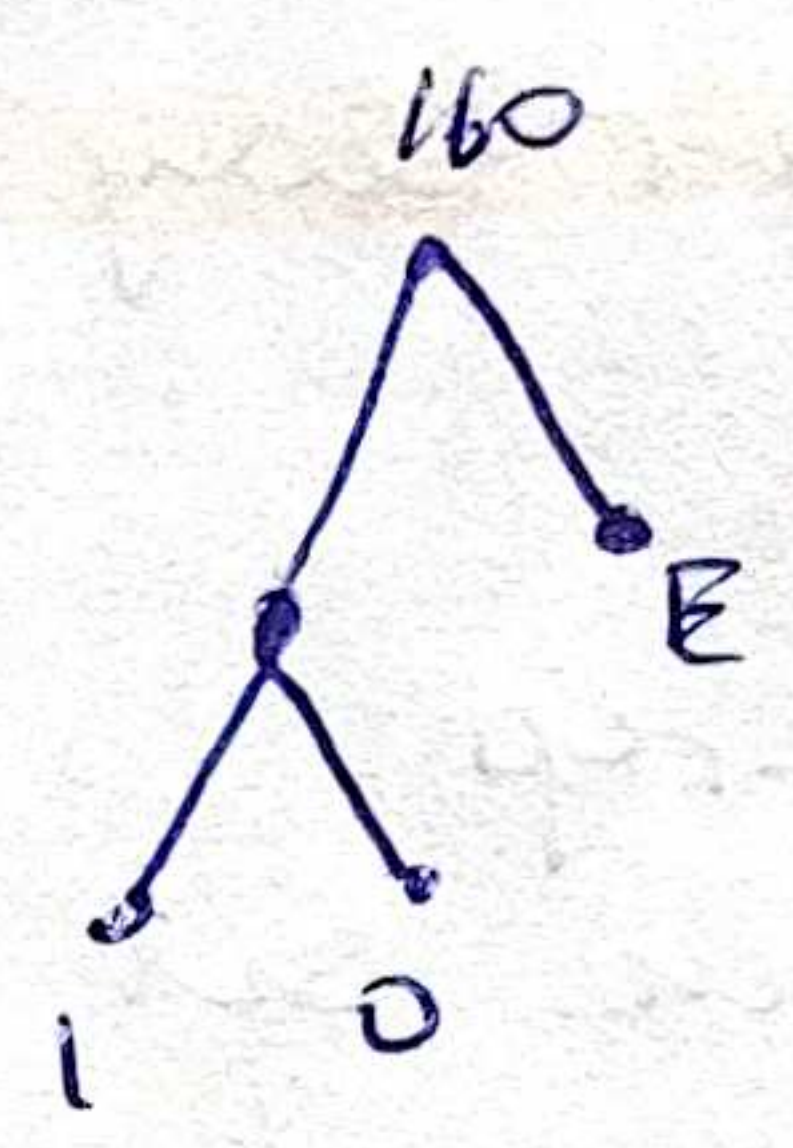
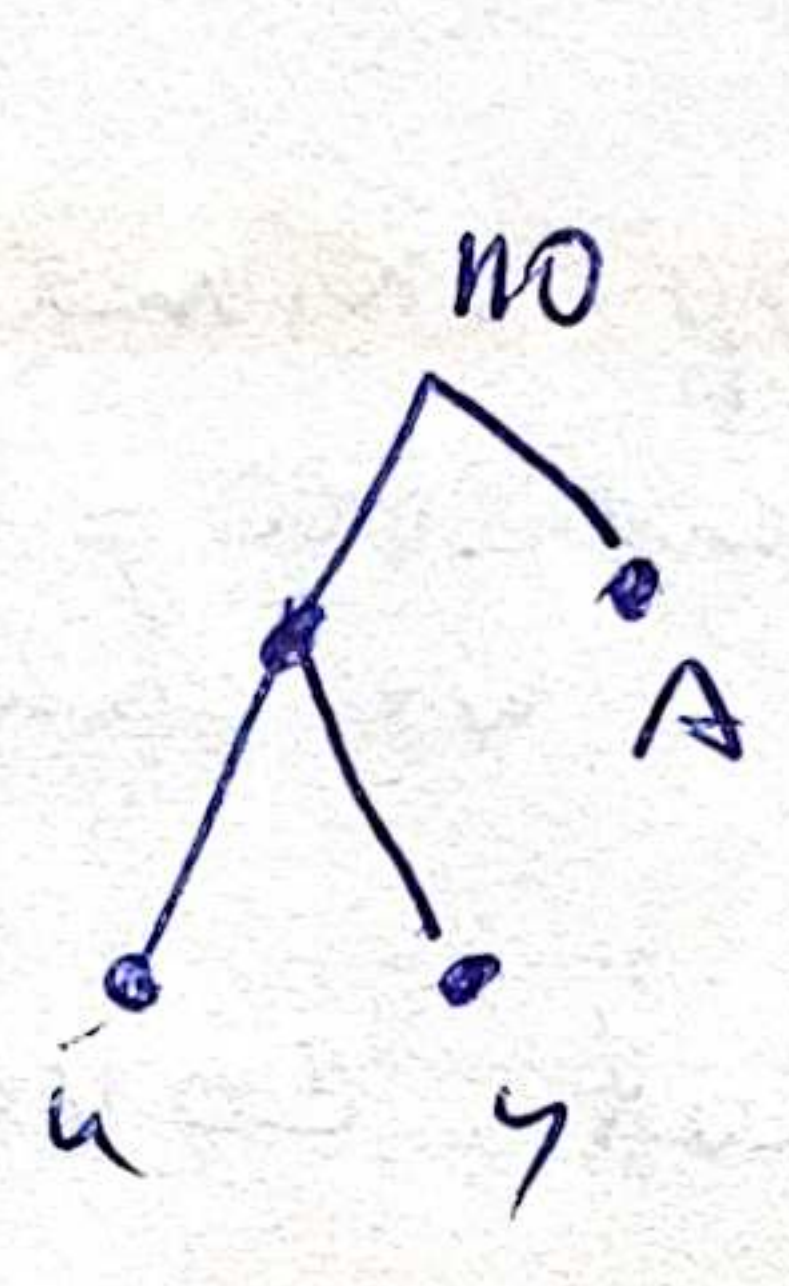
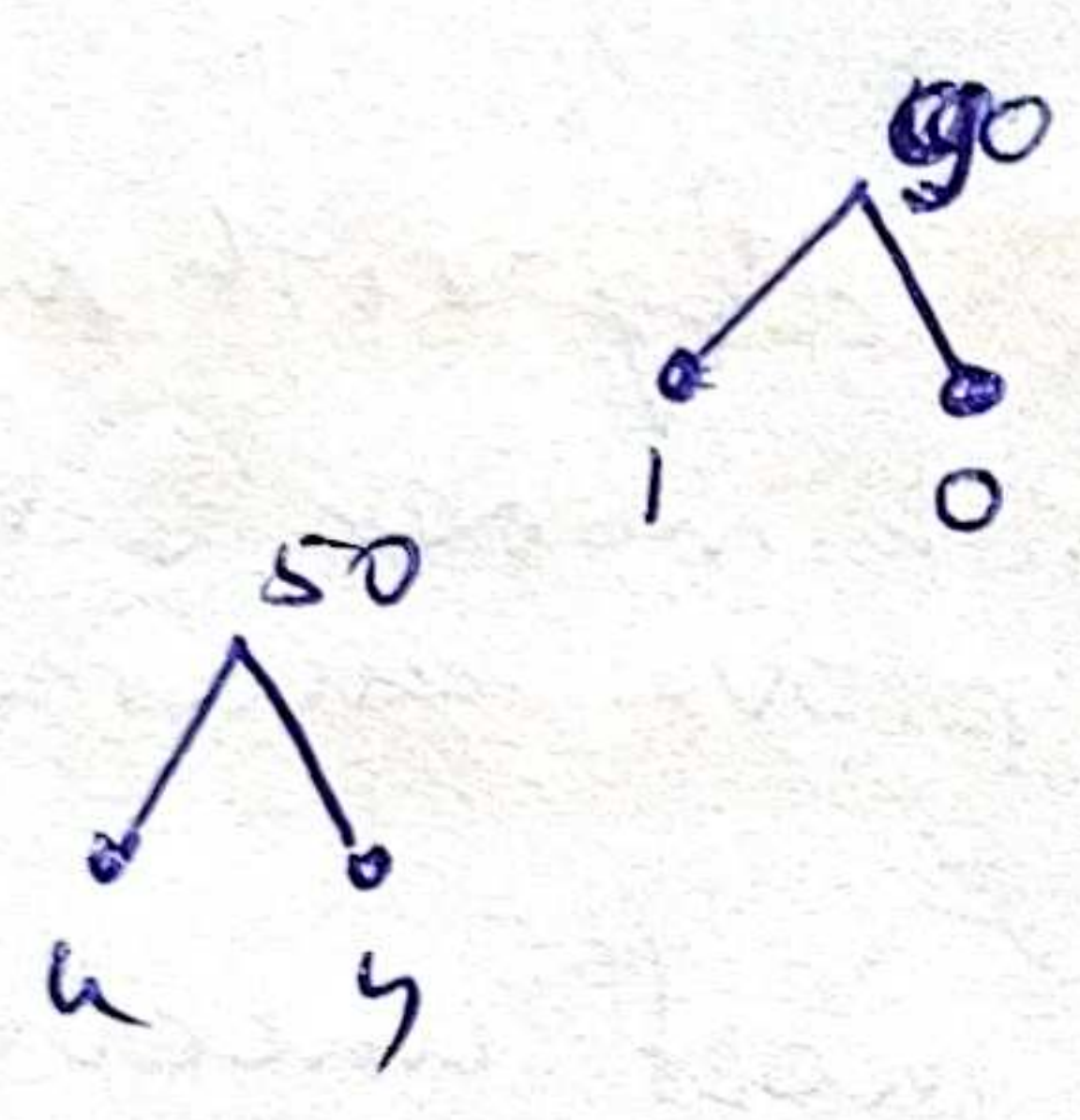
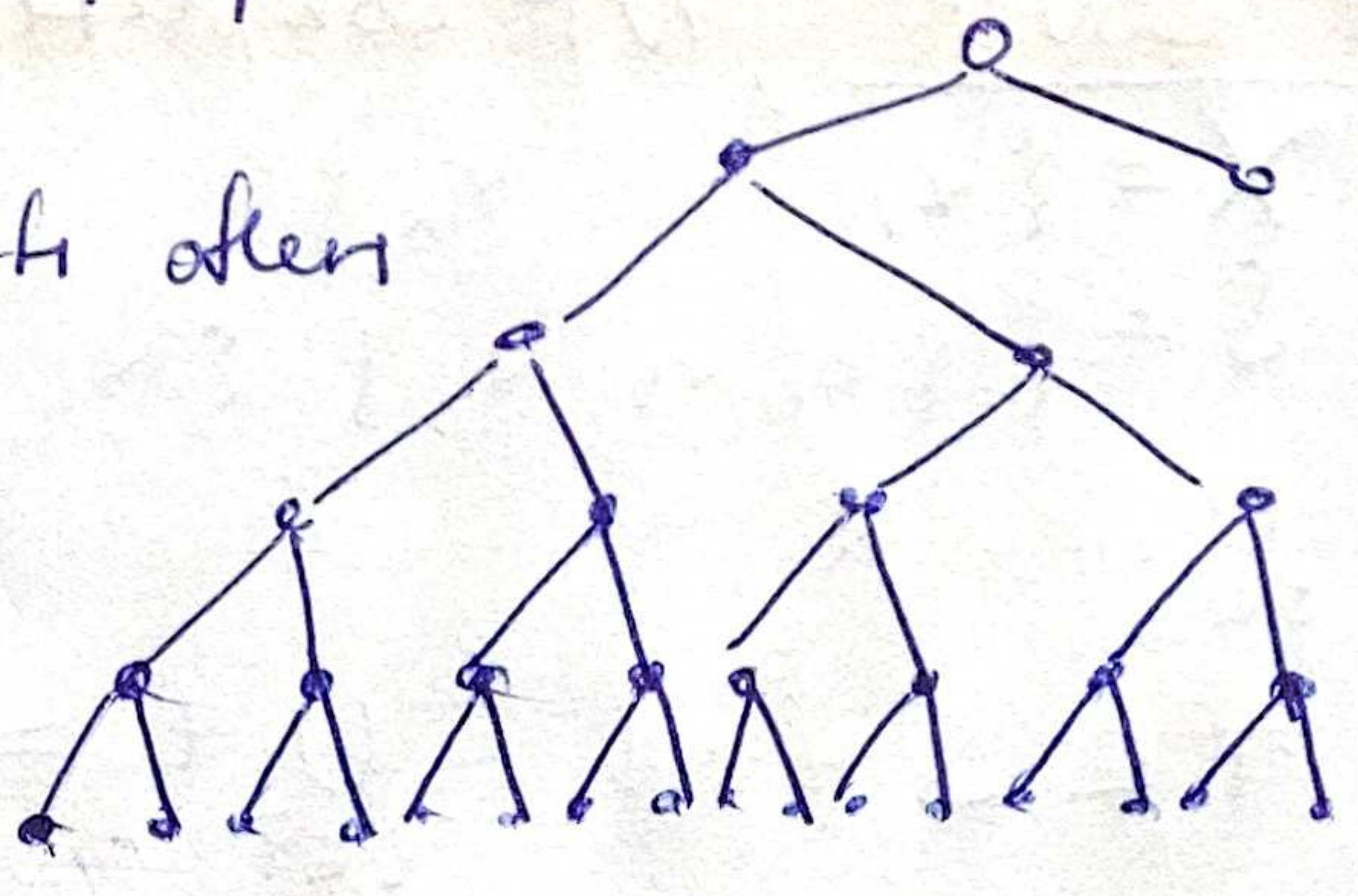


Greedy step, put most frequent at the top  
 WLOG of 16 characters, keeping 1 at depth 1, hurts others

(2)

- put lowest frequencies to the bottom

A	60
E	70
I	40
O	50
u	20
y	30



### Correctness proof

WLOG, the two least frequent nodes are siblings at the deepest level in opt. Huffman tree.

Base Case

Proof by contradiction:

if they are not at deepest level, swap with two at the deepest level and get a better tree  
 contradiction to optimality



### Induction

- 1) combine preceding into single node
- 2) two least frequent are siblings at the deepest level in opt Huffman tree same by contradiction
- 3) expand previously combined nodes



## Set Cover, NP complete

$$X = \{x_1, \dots, x_n\}$$

$$S = \{ \{x_1, x_2, x_3\} \{x_4, x_8, x_9, x_{10}\} \{x_{12}, x_{12}, x_{20}, \dots\} \}$$

$$\bigcup_{s \in S} s = X$$

$$T \subseteq S \text{ s.t. } \bigcup_{t \in T} t = X, |T| \text{ minimum}$$

NP complete

→ greedy approximation algorithm

(Heuristic = approximation alg. where we cannot prove guarantees)

greedy step:

pick set that covers most uncovered elts

		$S_5$		$S_4$		
$S_1$	1	2	3	4	5	6
$S_2$	7	8	9	10	11	12
$S_3$	13	14	15	16	17	18

optimal set cover:  $S_1, S_2, S_3$

greedy set cover:  $S_4, S_5, S_1, S_2, S_3$

$$|X| = n$$

claim: let  $k$  be the size of the smallest set cover, then the greedy alg. finds a cover of size  $\leq k \ln n$

Proof. let  $y_i \leq |X|$  be uncovered elts after  $i$  sets chosen

$$y_0 = |X| = n$$

at least 1 set must cover  $\geq \frac{n}{k}$  elts ← pigeonhole princ.

$$y_1 \leq n - \frac{n}{k} = n \left(1 - \frac{1}{k}\right) = y_0 \left(1 - \frac{1}{k}\right)$$

$$y_2 \leq y_1 \left(1 - \frac{1}{k}\right) \leq y_0 \left(1 - \frac{1}{k}\right)^2$$

$$j = \lceil k \ln n \rceil$$

$$y_j \leq n \left(1 - \frac{1}{k}\right)^{k \ln n}$$

$$\leq n(e^{-1/k})^{k \ln n}$$

$$< 1$$

✓

$y_j$  as integer, must be 0, all covered

$$\left(1 - \frac{1}{k}\right) \leq e^{-1/k} \text{ Taylor exp.}$$

$$\left(1 - \frac{1}{k}\right) < e^{-1/k}$$