

Kruskal's alg. operations

MakeSet (x) - creates set {x}

Find (x) - return "name" of the set containing x

Union (x, y) - union sets containing x and y

Kruskal's alg.

Set $X = \{\}$

Set E of edges

Sort

assume ordered

$O(E \log E)$

$O(V \text{ makeSet})$

equivalence of data structure and no cycle checking

for $u \in V$ makeSet (u)

for $(u, v) \in E$ in increasing order, do

if find (u) \neq find (v)

$X = X \cup \{(u, v)\}$

union (u, v)

$O(E \cdot \text{find})$

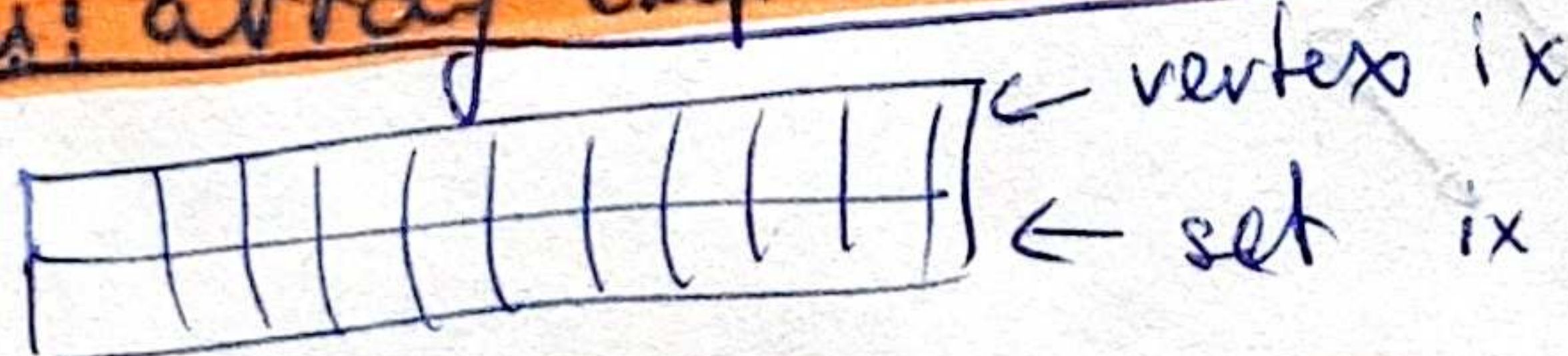
$O(V \cdot \text{union})$

edge connects disconnected components \Leftrightarrow no cycle

take cut through disconnected components, take min edge

cut property

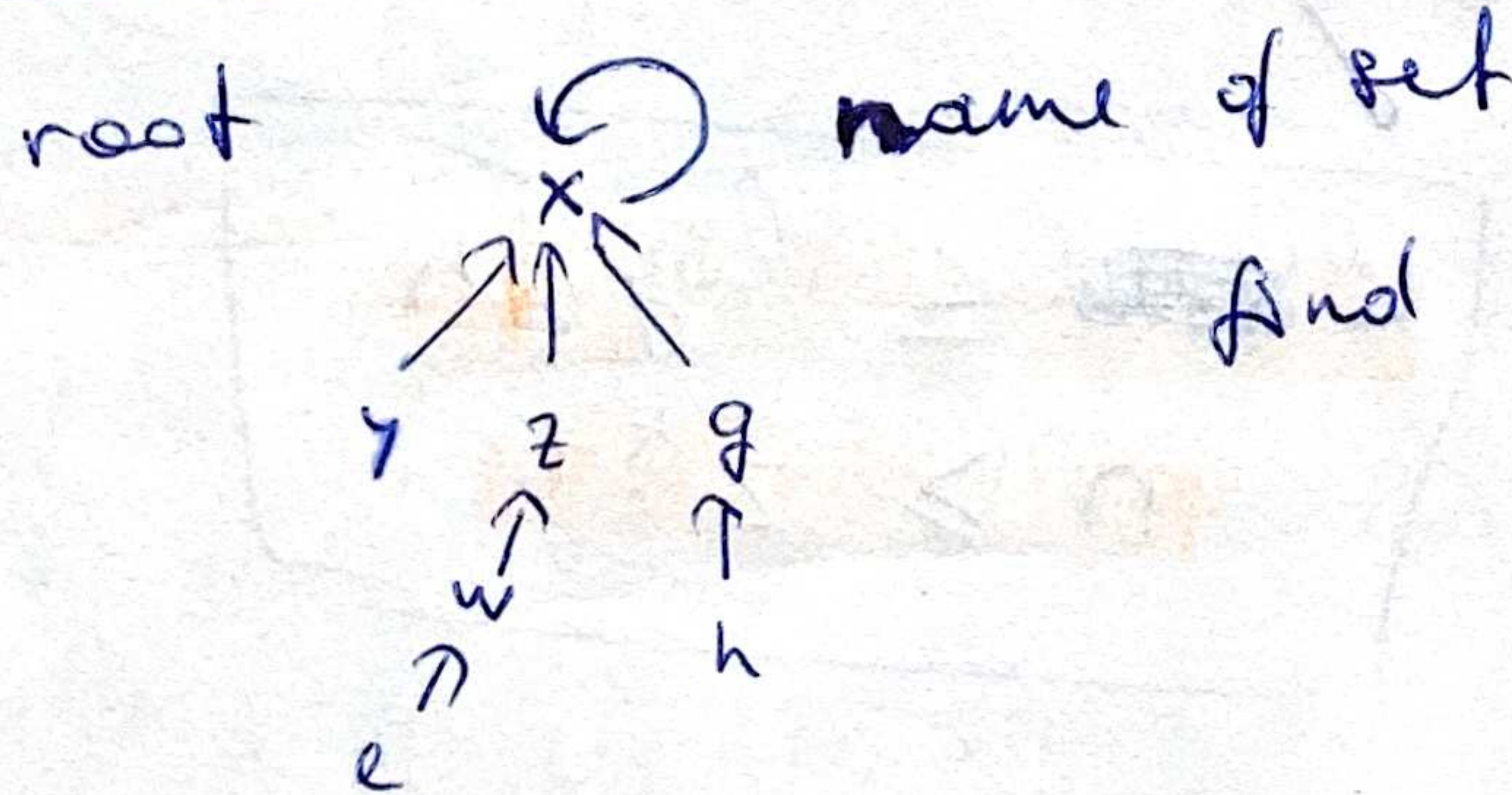
Baseline: array implementation



makeSet $O(1)$
find $O(1)$
union $O(V)$

$O(E + V^2)$

Represent set by a tree



MakeSet (x)

$p(x) := x$

Rank (x) := 0

Find (x)

if $x \neq p(x)$

return (find (p(x)))

else return (x)

Link (x, y)

if rank (x) > rank (y)

$x \leftarrow y$

if rank (x) = rank (y)

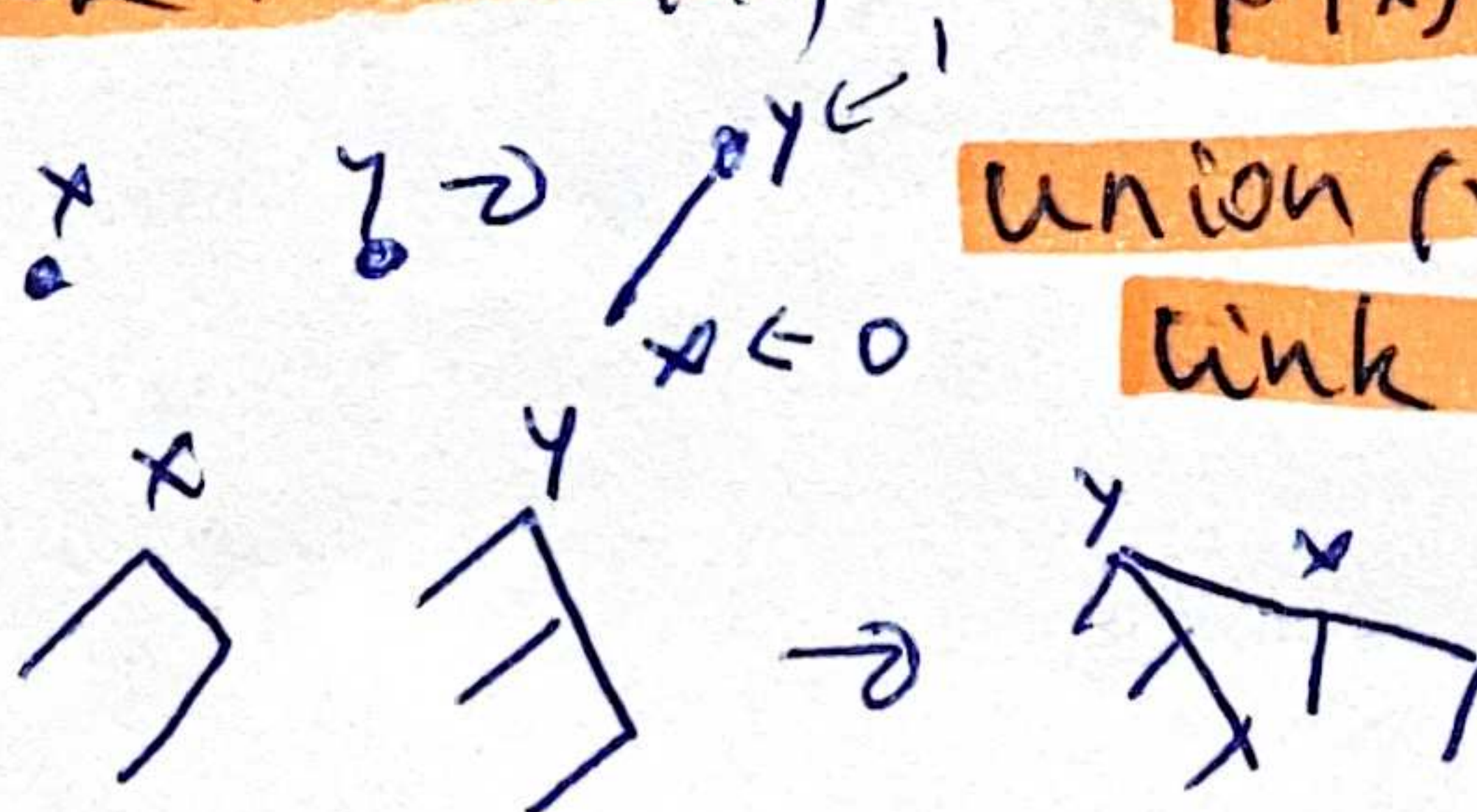
rank (y) := rank (y) + 1

$p(x) := y$

Union (x, y)

Link (Find (x), Find (y))

Think of rank as depth of the tree from the element to its compression



$O((E + V) \text{ find})$

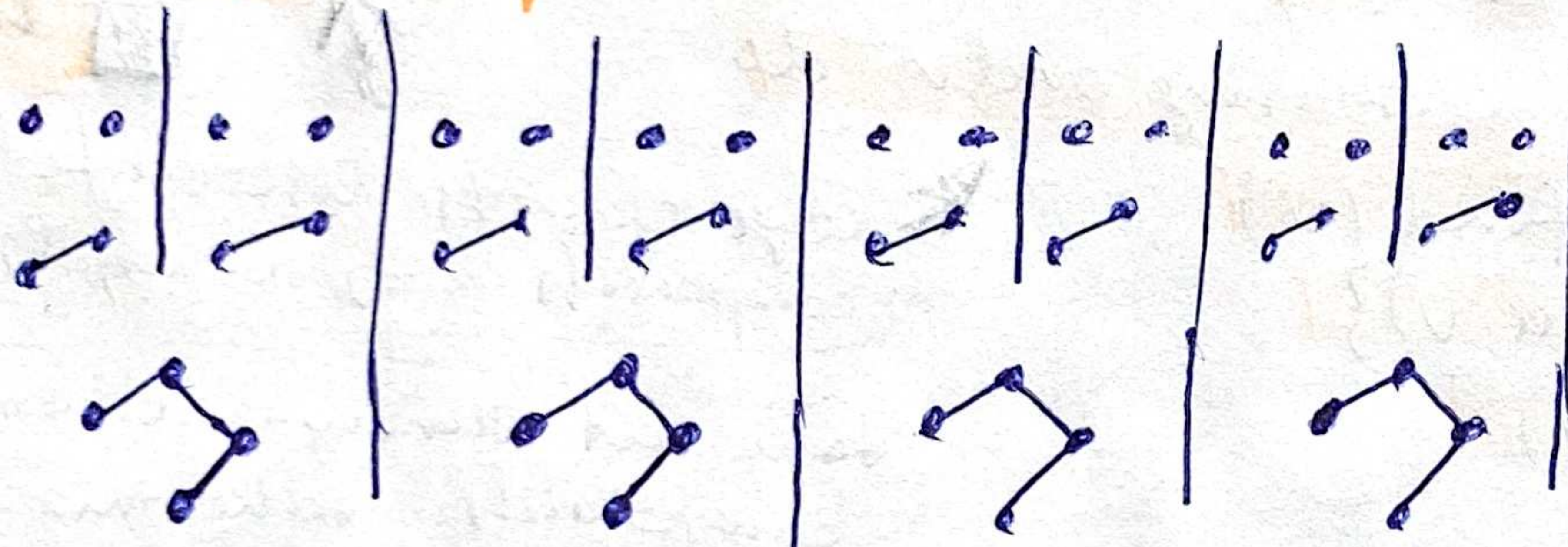
$O(E \log V)$

not binary trees, but **max depth is $\leq \log_2 n$** , where n is # of nodes in a tree

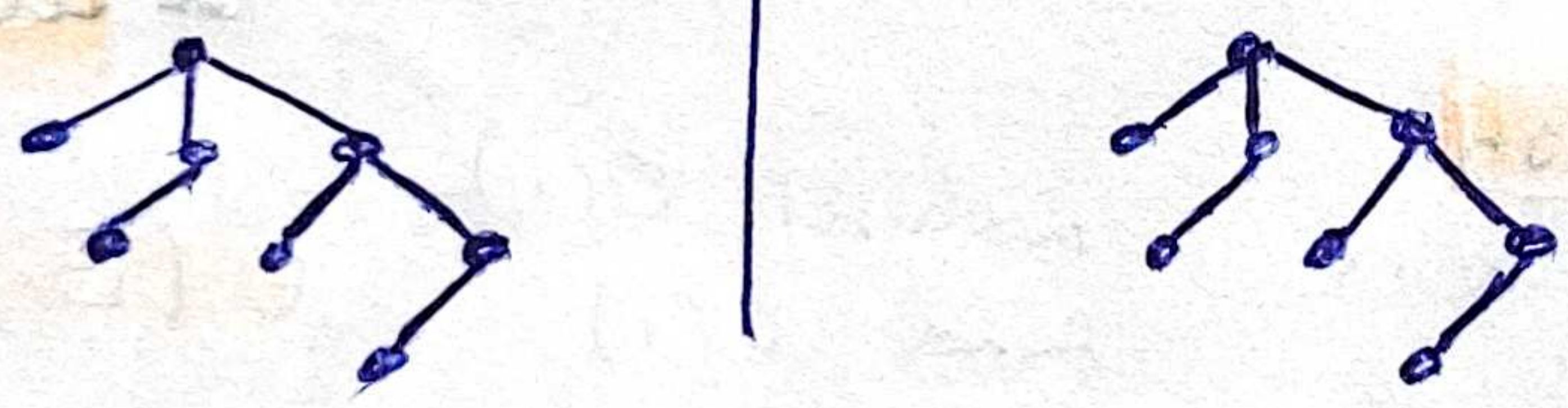
rank increases only if two trees have the same rank, otherwise rank stays.

↳ **worst case**

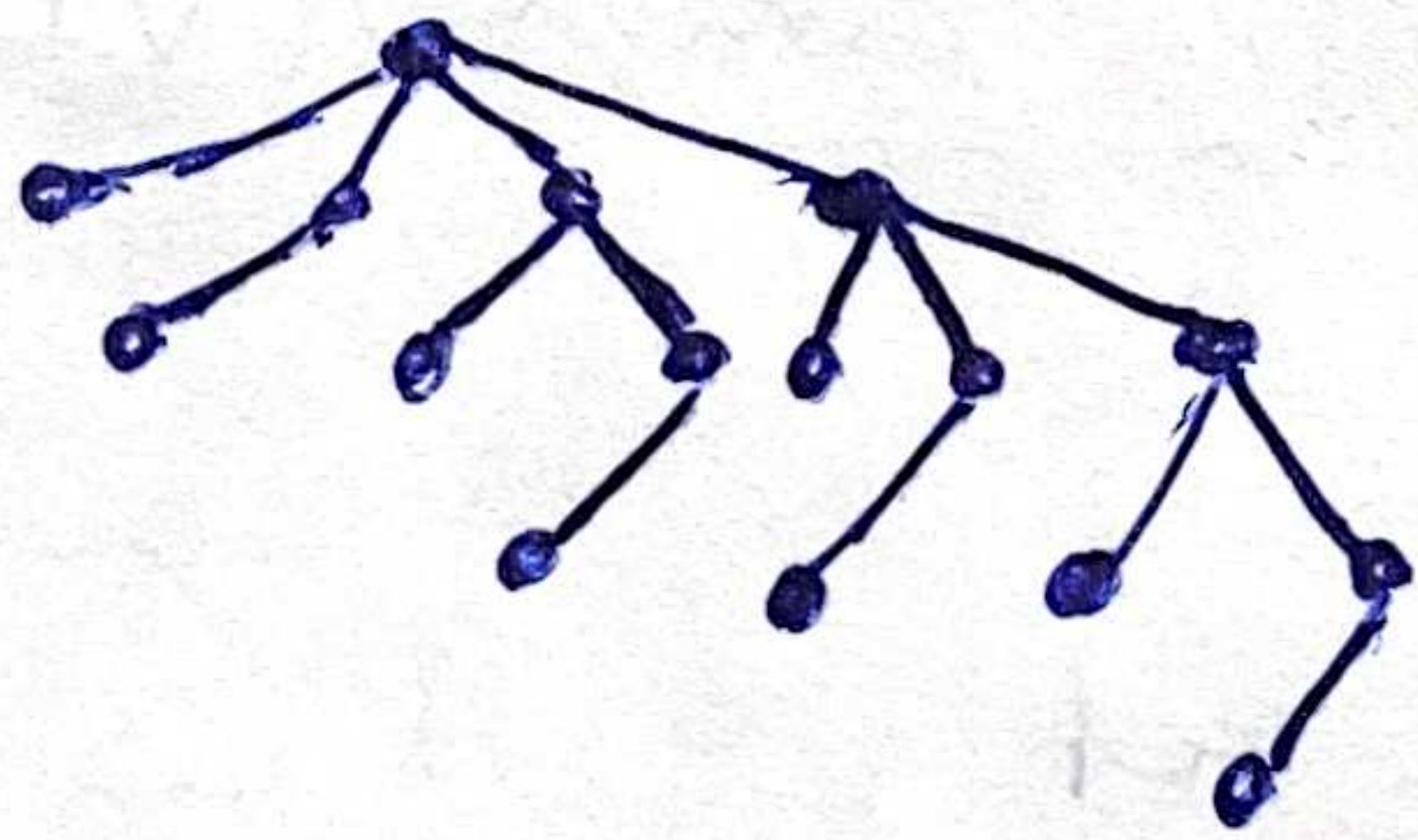
get highest ranks from n nodes → **increase rank each time two trees are joined**



n	k ← rank
1 node	0 depth
2 nodes	1 depth
4 nodes	2 depth



8 nodes 3 depth



16 nodes 4 depth

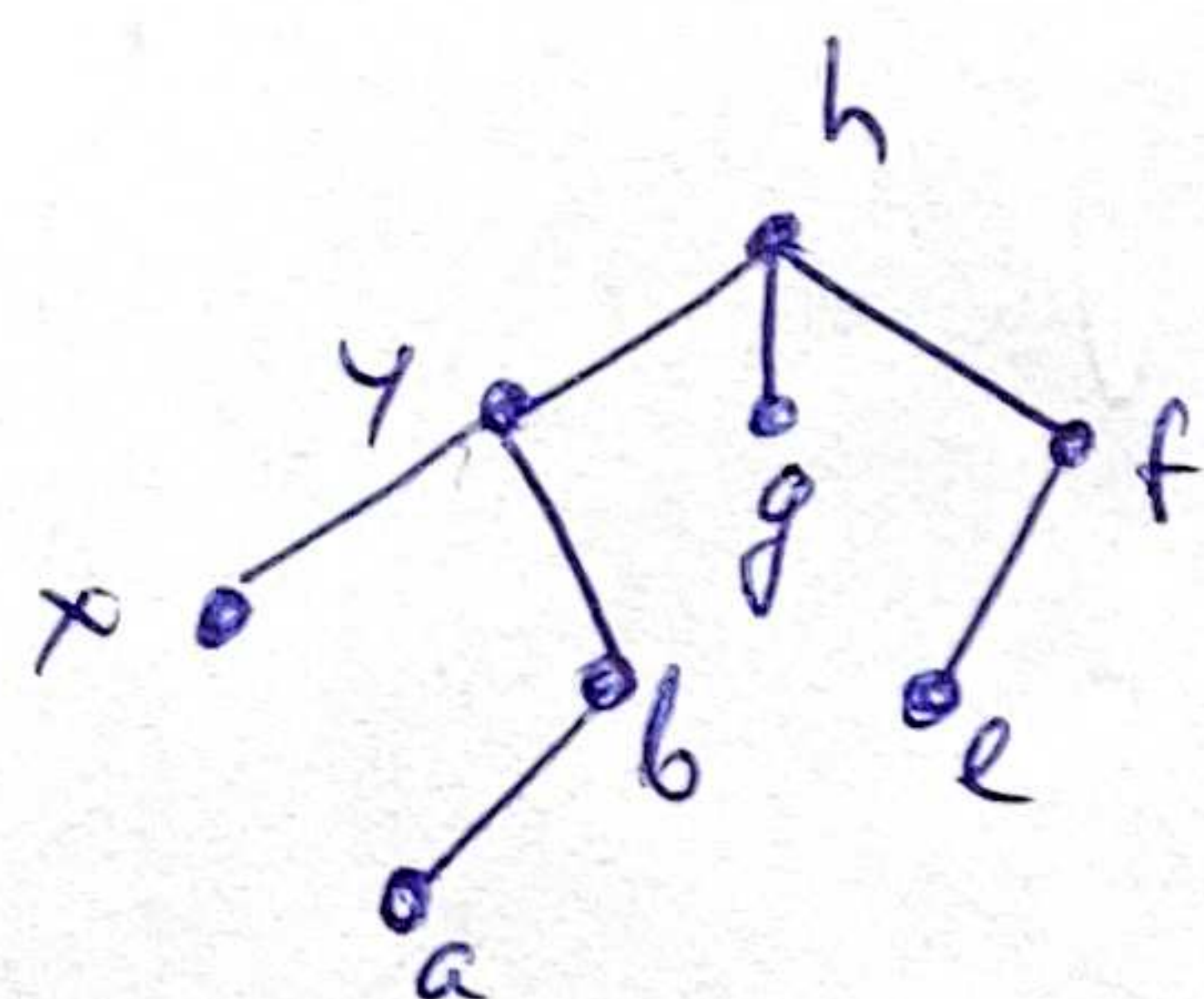
induction

Worst case
 $k = \log_2 n$
 $n = 2^k$
 other cases $k < \log_2 n$
 $n > 2^k$

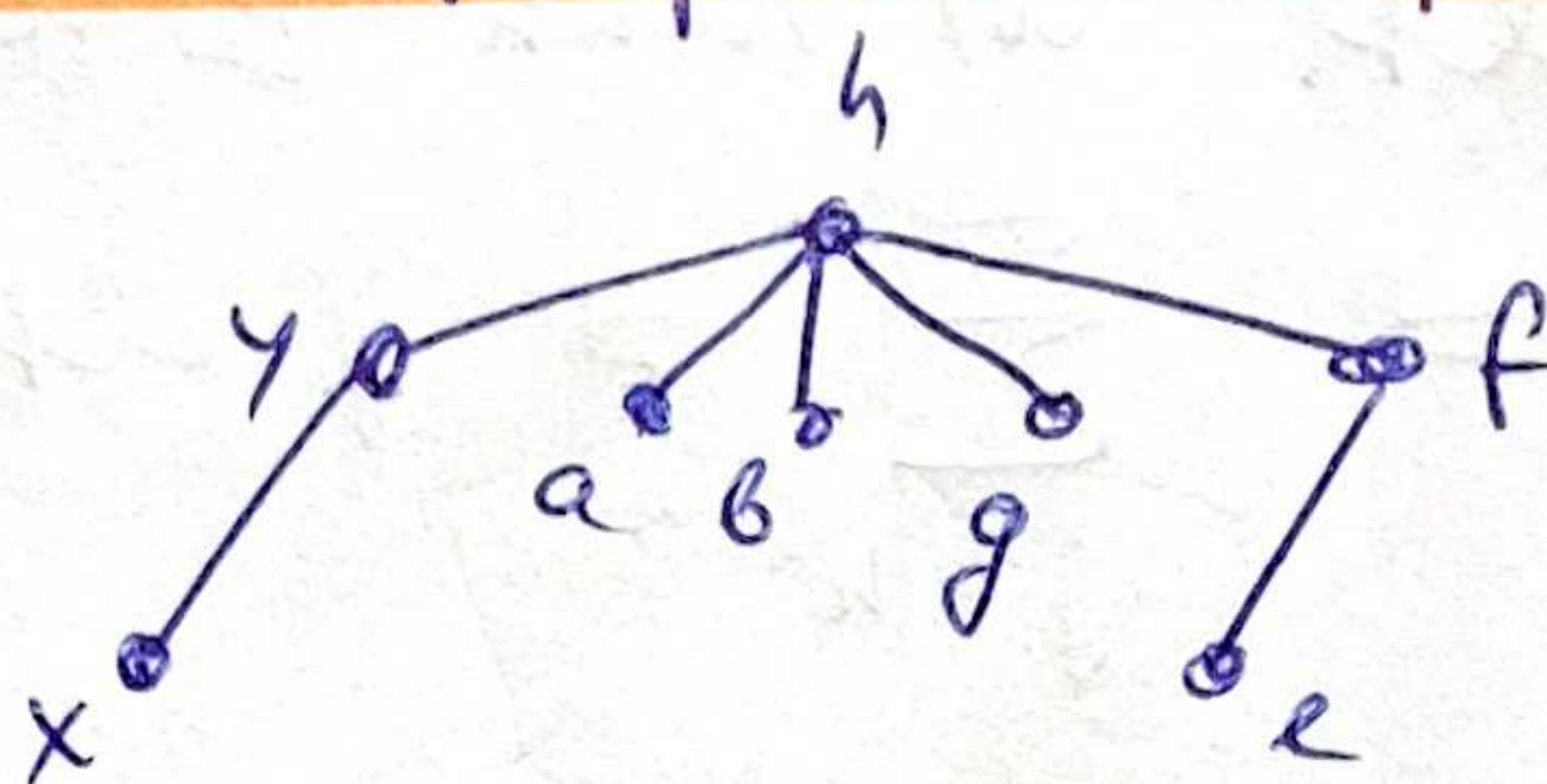
$k < \log_2 n$
 $n > 2^k$

improve data structure with path compression

(2)



find(a)



path compression:

$\log n \rightarrow 2 \log n$
constant factor cost

break even point after repeating find(a)

$$O((E+V) \log^* n)$$

of times to take \log_2 to get ≤ 1

invariant: not doing anything that is unnecessary

idea

→ add a constant factor to what is necessary anyway

amortized analysis

\log^*

$$\log^* 2 = 1$$

$$\log^* 4 = 2 \quad (\log_2(\log_2 4)) = 1$$

$$\log^* 16 = 3$$

$$\log^* 65536 = 4$$

$$\log^* 2^{65536} = 5$$

maybe have to do a $\log n$ operation once but not again

→ amortized not just worst case but consider cost over a sequence of operations

Prove $O((E+V) \log^* n)$

(lemma):

1) if $v \neq p(v)$, then $\text{rank}(p(v)) > \text{rank}(v)$

2) when v 's parent is updated, $\text{rank}(p(v))$ increases

3) # of elts w/ rank $k \leq n/2^k$

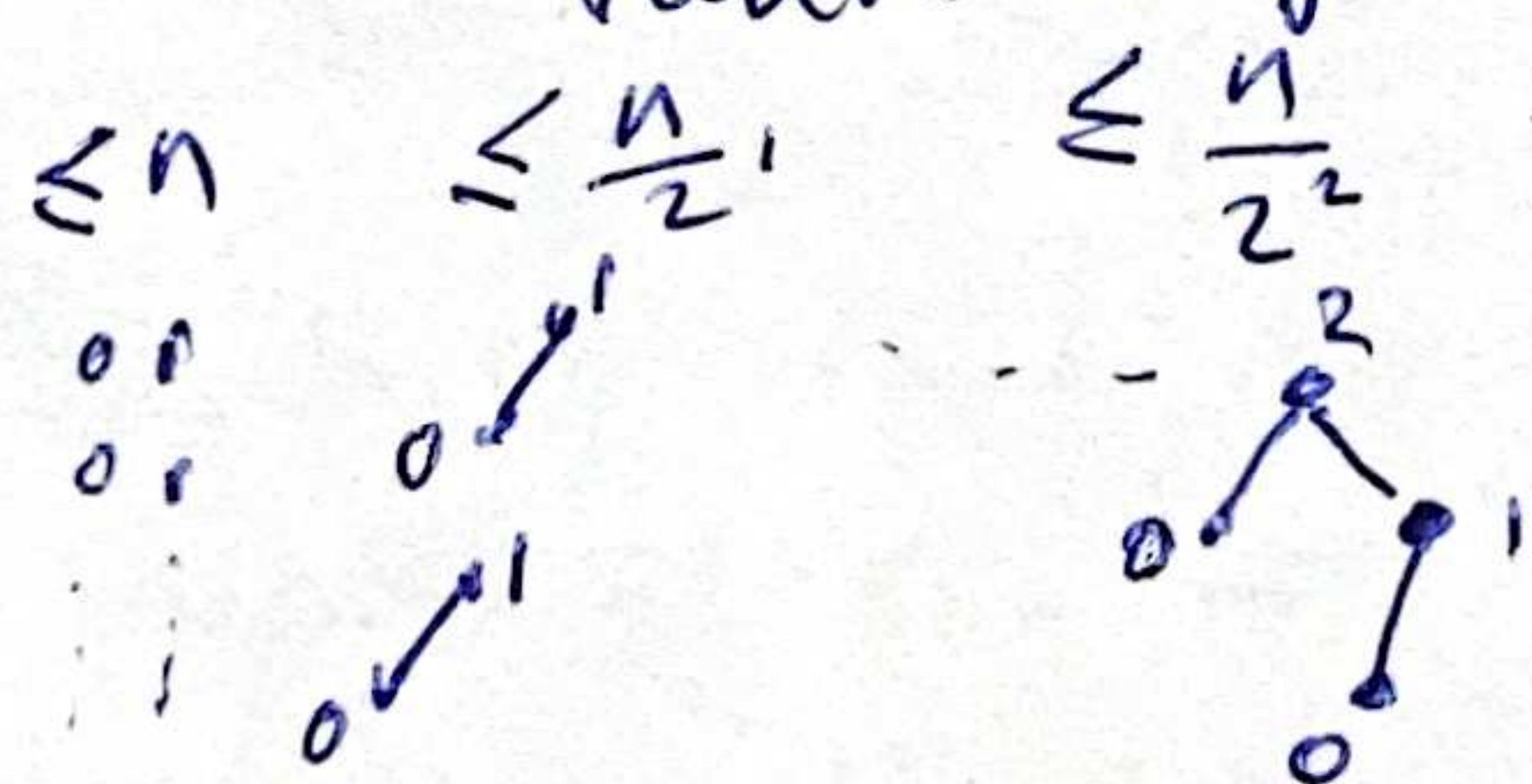
4) # of elts w/ rank $\geq k \leq n/2^{k-1}$

holds with path compression or not

linked with higher up the tree



3) by induction rank only changes, if root



4) # of elts w/ rank $\geq k$

$$= \sum_{j=k}^{\infty} \# \text{ of elts w/ rank } j$$

$$\leq \sum_{j=k}^{\infty} \frac{n}{2^j} = \frac{n}{2^{k-1}}$$

$$\sum_{j=0}^{\infty} \frac{n}{2^j} - \sum_{j=0}^{k-1} \frac{n}{2^j} = \frac{n}{1-\frac{1}{2}} - \frac{n(1-\frac{1}{2^k})}{\frac{1}{2}}$$

$$= 2n - 2n(1-\frac{1}{2^k}) = 2n(1-1+\frac{1}{2^k}) = \frac{n}{2^{k-1}} \checkmark$$

Group i = # of non-root elts
with rank r satisfying $\log^* r = i$
(group 3 = ranks $(4, 16]$)

\leq group $\log^* n$

① pointer to root (constant)

Type 1: follow a pointer from u to v \leftarrow find operation
 $O(E \log^* n)$
 u, v in different groups

Type 2: same group \leftarrow group pays for this

take u in group $(k, 2^k]$

assign u 2^k tokens to pay for type 2 links

group $(k, 2^k]$, has $\leq \frac{n}{2^k}$ elts. (lemma 4)

\rightarrow group $(k, 2^k] \leq n$ tokens

$\Rightarrow \leq n \log^* n$ tokens needed

total: $\leq O((E + V) \log^* V)$