

Heuristics are approximation with unproven properties  
(cannot prove anything about)

### NP-Complete problem:

- provide approximation
  - develop heuristics
  - change problem, restrict inputs
- 3SAT  $\rightarrow$  2SAT

### Randomness?

P ? NP  
RP  
↑  
randomized polynomial time (add coin flips)

RP seems close to P

$\rightarrow$  adding coin flips does not seem to solve NP-complete problems

### Heuristics - Local Search

#### 1) Solution space

- representation

#### 2) Locality between solutions

e.g. solution: truth asst

move: flip 1 variable

solution space: graph  $G = (V, E)$

$V$  = possible solutions

$y \in N(x)$  if  $x \rightarrow y \in E$

each vertex will have neighbors, according to some rule

#### 3) cost function

- how "good" is a solution

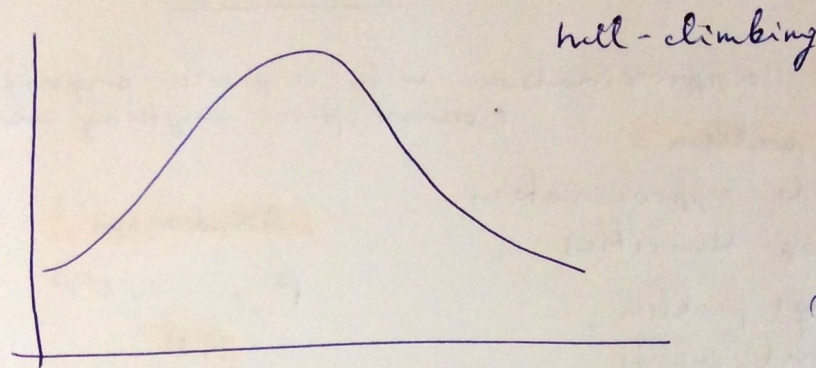
Given ①, ②, ③, can setup a greedy alg.

#### Greedy alg.

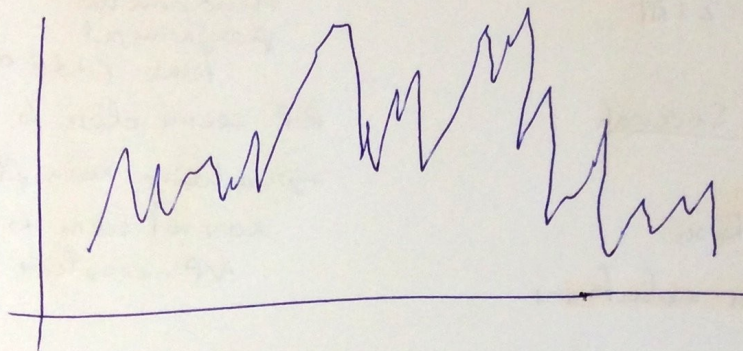
1. start at soln  $x$
2. if  $\exists$  a neighbor  $y$  with  $f(y) > f(x)$ , move to such
3. return soln.

↑  
first,  
all-Best,  
all-random





↖ solution representation and locality choice



### MAX-3-SAT

soln - truth assignment  
 move - flip 1 variable  
 flip  $k$  variables  
 flip  $n$  variables

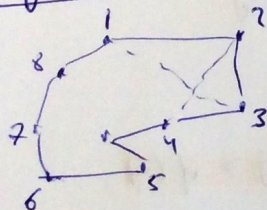
1 move to solution  
 exponential time to find the move

graph more connected  
 → more neighbors

→ ⊕ jump over local max/min

→ ⊖ reduce locality,  
 more time to pick neighbors

### Traveling Salesman Problem



1 2 3 4 5 6 7 8  
 ↖  
 1 3 2 4 5 6 7 8

2-opt heuristic  
 - throw out 2 edges  
 - optimize

3-opt works well



## Hill-climbing (basic local search)

### Metropolis's rule:

- pick a random neighbor
- if it's better, move
- if it's not, go there with some probability (depending on  $\Delta f$ )

### Simulated annealing

like Metropolis's rule, but with a "cooling" schedule

→ less likely to make backwards moves over time.

### Tabu search

#### hill-climbing + memory

- don't go to a solution seen recently
- exploration vs. exploitation  
(new parts of search space) (keep climbing)

### Parallel Algorithms

#### "go with the winners"

- run diff. alg., with diff. initializations in parallel
- at a stopping point, evaluate and choose winners,
- continue running winners only

### Genetic Algorithms

#### "population of solutions kept fresh"

- operators that cross-breed solutions

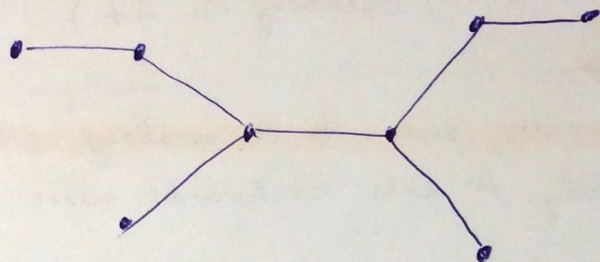
### general observations:

flavors are less important,  
search space representation  
and locality what matters



# Approximations

## Euclidian Traveling Salesman Problem (2D used, but is more general)

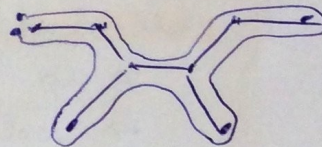


idea:

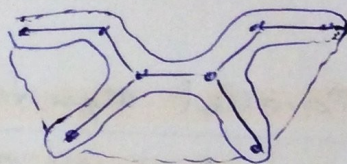
- ① what CAN be done efficiently  
→ MST
- ② what needs to be done to have a TSP tour

① Find an MST

② DFS to find a "pseudo-tour"  
vertices visited twice not once



③ short cut the vertices already visited



length Alg. Tour  $\leq$  length OPT Tour

e.g. 2-approximation alg.

within a factor of 2 from optimal

length of Alg. Tour  $\leq$  length of "pseudo-tour"

↳ true because of Euclidian space,  
direct & straight line has shorter distance

length of "pseudo-tour"  $\leq$  2 length of MST

length MST  $\leq$  length OPT Tour (is)

any tour contains a spanning tree

$\Rightarrow$  length of Alg. Tour  $\leq$  2 length OPT Tour

↓  
can get down to  $\frac{3}{2}$

nearly  $(1 + \epsilon)$  approx, but longer polytime



Mon s-t cut

→ poly time

Max cut

$$G = (V, E)$$

$$V = V_1 \cup V_2$$

$$V_1 \cap V_2 = \emptyset$$

cut: # edges  $V_1, V_2$

• weight edges  $V_1, V_2$   
crossing

→ need approximation

Both  
NP-hard