

# Broadcast Trees for Heterogeneous Platforms

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## Abstract

*In this paper, we deal with broadcasting on heterogeneous platforms. Typically, the message to be broadcast is split into several slices, which are sent by the source processor in a pipeline fashion. A spanning tree is used to implement this operation, and the objective is to find the tree which maximizes the throughput, i.e. the average number of slices sent by the source processor every time-unit. We introduce several heuristics to solve this problem. The good news is that the best heuristics perform quite efficiently, reaching more than 70% of the absolute optimal throughput, thereby providing a simple yet efficient approach to achieve very good performance for broadcasting on heterogeneous platforms.*

## 1. Introduction

Broadcasting in computer networks is the focus of a vast literature. The one-to-all broadcast, or single-node broadcast [19], is the most primary collective communication pattern: initially, only the source processor has the data that needs to be broadcast; at the end, there is a copy of the original data residing at each processor. Parallel applications and algorithms often require to send identical data to all other processors, in order to disseminate global information (typically, input data such as the problem size or application parameters). Numerous broadcast algorithms have been designed for parallel machines such as meshes, hypercubes, and variants (see among others [14, 29, 27, 18]). The *MPI\_Bcast* routine [25] is widely used, and particular care has been given to its efficient implementation on a large variety of platforms [13].

For short-size broadcasts, a single message is sent by the source processor, and forwarded across the network. A spanning tree is used to implement this opera-

tion. However, finding the best spanning tree, i.e. the tree which minimizes the total execution time of the broadcast, is a difficult problem; it turns out NP-complete even for the uttermost basic telephone model (problem ND49 in [12]).

For broadcasting larger messages, pipelining strategies are mandatory to optimize the total execution time. At the application level, the source message is split into a number of slices, which are routed in a pipelined fashion from the source processor to all other nodes<sup>1</sup>. There is more freedom here: either we decide to route all the slices along the same spanning tree, or we use several spanning trees simultaneously. In the latter case, each tree is used to broadcast a distinct fraction of the total message. Each message fraction will itself be divided into slices, to be sent in a pipeline fashion along the corresponding tree. Of course the implementation becomes more complex: the communications along the different trees must be orchestrated so as to take resource conflicts into account (e.g., if two trees share a physical link, the slices from both trees have to share the available bandwidth).

We summarize the three previous approaches, labeled STA, STP and MTP, in the following table.

<b>STA</b>	Single Tree, Atomic	Only for small messages
<b>STP</b>	Single Tree, Pipelined	Allows pipelining along the tree
<b>MTP</b>	Multiple Tree, Pipelined	Powerful, but difficult to design/implement

Numerous heuristics are available in the literature for the STA problem, such as *Fastest Node First* [1] and *Fastest Edge First* [8]. For the STA problem, there is

<sup>1</sup> Note that a message slice can itself be further divided into packets by the system or network layer, but (i) this is transparent to the user and (ii) this is accounted for by using an affine cost model for communications, see Section 2.

a single message to broadcast, and the objective is to find a tree that minimizes the total execution time (the makespan). For the STP problem, there is a large number of message slices to broadcast, and the objective is to find a tree that maximizes the throughput, i.e. the average number of slices sent by the source processor every time-unit. The problem of throughput maximization can be viewed as a relaxation of the problem of makespan minimization, because the initialization and clean-up phases are ignored. Still, the problem of throughput maximization remains NP-hard (see problem ND1 in [12] and the reduction in [6]). To the best of our knowledge, little work has been conducted on the design and experimental evaluation of polynomial heuristics for the STP problem. One major goal of this paper is to fill the void.

At first sight, the MTP problem looks more complicated than the STP problem: finding a set of trees that can be used simultaneously, without link conflict, to maximize the total throughput that can be achieved, seems more difficult than finding a single tree. Surprisingly, the optimal solution to the MTP problem can be computed in polynomial time [5, 6]. However, the latter result is mostly of theoretical interest, because it requires a very complicated algorithm to extract the set of spanning trees that achieves the optimal throughput. Even after deriving the set of trees, it would be quite time-consuming to implement the fractioning of the original message, and the reconstruction of the solution at every node. However, the first step of the approach is both simple and fast: it consists in computing the optimal throughput that can be achieved (only the value of the throughput, not the trees needed to achieve it) by solving a linear program over the rationals, using standard tools such as Maple [9]. This is of great practical interest, because the knowledge of the optimal throughput enables to quantify the absolute performance of the STP heuristics, and to assess how far they are from the optimal<sup>2</sup>. The good news is that the best STP heuristics reach around 70% of the optimal, thereby providing a simple yet efficient way to achieve very good performance for broadcasting on heterogeneous platforms.

The rest of the paper is organized as follows. First, Section 2 reviews several platform models, and provides the framework that will be used throughout the paper. In Section 3, we introduce a first set of heuristics for

the STP problem (i.e. using a single spanning tree for pipelining the broadcast message). These heuristics are derived from classical graph algorithms. Next, in Section 4, we briefly recall the linear program computing the optimal solution for the MTP problem. We use the solution of this linear program to construct a second set of heuristics for the STP problem. We report some experimental data to compare all these heuristics in Section 5. We briefly survey related work in Section 6 (an extended version of related work can be found in [7]). Finally, we state some concluding remarks in Section 7.

## 2. Models and framework

### 2.1. Models

The target architectural platform is represented by a directed graph  $\mathcal{P} = (V, E)$ . Note that this graph may well include cycles and multiple paths. For the sake of generality, we assume that the graph is directed, so that all links are unidirectional (but using two opposite edges would model a bidirectional link).

Consider two adjacent processors  $P_u$  and  $P_v$  in the graph (hence the link  $e_{u,v} : P_u \rightarrow P_v$  belongs to the set of physical links  $E$ ). Assume that  $P_u$  sends a message of size  $L$  to  $P_v$ . There are several models in the literature, which we summarize through the general scenario depicted in Figure 1.

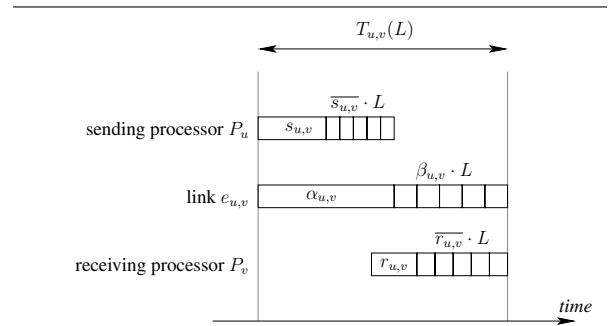


Figure 1: Sending a message of size  $L$

Here are some notations to analyze the communication from  $P_u$  to  $P_v$ :

- The time where  $P_u$  is busy sending the message is expressed as an affine function  $send_{u,v}(L) = s_{u,v} + L \cdot \overline{s}_{u,v}$ . The start-up time  $s_{u,v}$  corresponds to the software and hardware overhead paid to initiate the communication. The link capacity  $\overline{s}_{u,v}$  cor-

<sup>2</sup> Recall that computing the optimal solution for the STP problem requires exponential time, unless P=NP. This explains the detour via MTP.

responds to the inverse of the transfer rate which can be achieved (say, from main memory of  $P_u$  to a network card able to buffer the message).

- Similarly, the time where  $P_v$  is busy receiving the message is expressed as an affine function of the message length  $L$ , namely  $recv_{u,v}(L) = r_{u,v} + L \cdot \overline{r_{u,v}}$ .
- The total time for the communication, which corresponds to the total occupation time of the link  $e_{u,v} : P_u \rightarrow P_v$ , is also expressed as an affine function  $T_{u,v}(L) = \alpha_{u,v} + L \cdot \beta_{u,v}$ . The parameters  $\alpha_{u,v}$  and  $\beta_{u,v}$  correspond respectively to the start-up cost and to the inverse of the link bandwidth.

Simpler models do not make the distinction between the three occupation times  $send_{u,v}(L)$  (emission by  $P_u$ ),  $T_{u,v}(L)$  (occupation of  $e_{u,v} : P_u \rightarrow P_v$ ) and  $recv_{u,v}(L)$  (reception by  $P_v$ ). Such models use  $s_{u,v} = r_{u,v} = \alpha_{u,v}$  and  $\overline{s_{u,v}} = \overline{r_{u,v}} = \beta_{u,v}$ . This amounts to assume that the sender  $P_u$  and the receiver  $P_v$  are blocked throughout the communication. In particular,  $P_u$  cannot send any message to another neighbor  $P_w$  during  $T_{u,v}(L)$  time-steps. However, some system/platform combinations may allow  $P_u$  to proceed to another send operation before the entire message has been received by  $P_v$ . To account for this situation, more complex models would use different functions for  $send_{u,v}(L)$  and  $T_{u,v}(L)$ , with the obvious condition that  $send_{u,v}(L) \leq T_{u,v}(L)$  for all message sizes  $L$  (this implies  $s_{u,v} \leq \alpha_{u,v}$  and  $\overline{s_{u,v}} \leq \beta_{u,v}$ ). Similarly,  $P_v$  may be involved only at the end of the communication, during a time period  $recv_{u,v}(L)$  smaller than  $T_{u,v}(L)$ .

Here is a summary of the general framework: assume that  $P_u$  initiates a communication of size  $L$  to  $P_v$  at time-step  $t = 0$ :

- Link  $e_{u,v} : P_u \rightarrow P_v$  is busy from  $t = 0$  to  $t = T_{u,v}(L) = \alpha_{u,v} + L \cdot \beta_{u,v}$
- Processor  $P_u$  is busy from  $t = 0$  to  $t = send_{u,v}(L) = s_{u,v} + L \cdot \overline{s_{u,v}}$ , where  $s_{u,v} \leq \alpha_{u,v}$  and  $\overline{s_{u,v}} \leq \beta_{u,v}$
- Processor  $P_v$  is busy from  $t = T_{u,v}(L) - recv_{u,v}(L)$  to  $t = T_{u,v}(L)$ , where  $recv_{u,v}(L) = r_{u,v} + L \cdot \overline{r_{u,v}}$ ,  $r_{u,v} \leq \alpha_{u,v}$  and  $\overline{r_{u,v}} \leq \beta_{u,v}$

In the following, we review some models that have been introduced in the literature. *Multi-port* models allow parallel sends (and parallel receive) while *One-*

*port* models assume that a sending processor is blocked throughout the communication.

## 2.2. Multi-port models

Banikazemi et al [2] propose a model which is very close to the general model presented above. They use affine functions to model the occupation time of the processors and of the communication link. The only minor difference is that they assume that the time intervals where  $P_u$  is busy sending (of duration  $send_{u,v}(L)$ ) and where  $P_v$  is busy receiving (of duration  $recv_{u,v}(L)$ ) do not overlap, so that they write

$$T_{u,v}(L) = send_{u,v}(L) + link_{u,v}(L) + recv_{u,v}(L).$$

In [2] a methodology is proposed to instantiate the six parameters of the affine functions  $send_{u,v}(L)$ ,  $link_{u,v}(L)$  and  $recv_{u,v}(L)$  on a heterogeneous platform. The authors point out that these parameters actually differ for each processor pair and depend upon the CPU speeds.

A simplified version of the general model has been proposed by Bar-Noy et al [3]. In this variant, the time during which an emitting processor  $P_u$  is blocked does not depend upon the receiver  $P_v$  (and similarly the blocking time in reception does not depend upon the sender. In addition, only fixed-size messages are considered in [3], so that this model writes

$$T_{u,v} = send_u + link_{u,v} + recv_v. \quad (1)$$

The models of Banikazemi et al [2] and Bar-Noy et al [3] are called *multi-port* because they allow a sending processor to initiate another communication while a previous one is still on-going on the network. However, both models insist that there is an overhead time to pay before being engaged in another operation, so there are not allowing for fully simultaneous communications.

## 2.3. One-port model

In the one-port model, a processor can send and receive in parallel, but at most to a given neighbor in each direction. If  $P_u$  sends a message to  $P_v$ , both  $P_u$  and  $P_v$  are blocked throughout the communication: with previous notations  $s_{u,v} = r_{u,v} = \alpha_{u,v}$  and  $\overline{s_{u,v}} = \overline{r_{u,v}} = \beta_{u,v}$ .

The one-port model is used by Bhat et al [8] for fixed-size messages. They advocate its use because “current hardware and software do not easily enable multiple messages to be transmitted simultaneously”. Even

if non-blocking multi-threaded communication libraries allow for initiating multiple send and receive operations, they claim that all these operations “are eventually serialized by the single hardware port to the network”. Experimental evidence of this fact has recently been reported by Saif and Parashar [24], who report that asynchronous MPI sends get serialized as soon as message sizes exceed a few megabytes. Their result hold for two popular MPI implementations, MPICH on Linux clusters and IBM MPI on the SP2.

## 2.4. Framework

Let  $\mathcal{P} = (V, E)$  be the platform graph, and  $p = |V|$  be the number of nodes. The source node  $P_s$  initially holds all the data to be broadcast. All the other nodes  $P_u$ ,  $1 \leq u \leq p$ ,  $u \neq s$ , are destination nodes which must receive all the data sent by  $P_s$ . We assume that the total size of the data to be broadcast is large, say from a few megabytes to larger values.

As discussed in Section 1, a natural strategy is to pipeline the broadcast along a single spanning tree. At the application level, the large message will be split into several slices which will be broadcast consecutively, in a pipeline fashion, so that first slices will reach the leaves of the tree while the source node is still emitting the last slices. The usefulness of pipelining a large number of slices has been demonstrated by van de Geijn et al. [28, 4] when communicating over LANs et WANs. Including such pipelining strategies in MPICH-G2 if the focus of on-going work [16].

We let  $L$  denote the size of a slice, which should be set at the application level. When the value of  $L$  has been fixed, we have a series of same-size messages to be broadcast consecutively by  $P_s$ . The objective is to find a spanning tree with good *throughput*, where the throughput is defined as the average number of message slices sent by  $P_s$  every time-unit. Because the number of slices is assumed to be large, we can safely neglect the initialization and clean-up phases: as soon as the first slices are circulated along the tree, every node operates in steady-state.

## 3. Platform-based heuristics for STP

### 3.1. One-port model

In this section, we describe several heuristics for the STP problem: given a platform graph and a source processor, we aim at finding a “good” broadcast tree, that is

a tree where messages can be sent in a pipelined fashion with a good throughput. We consider the pipelined broadcast of a message divided into slices of same size  $L$ . For every link  $e_{u,v} : P_u \rightarrow P_v$ , we write:

$$send_{u,v}(L) = recv_{u,v}(L) = T_{u,v}(L) = T_{u,v}.$$

The edges of platform graph  $\mathcal{P} = (V, E)$  are weighted by the time needed to send a message of size  $L$ : the weight of  $e_{u,v} : P_u \rightarrow P_v$  is  $T_{u,v}$ . We design four heuristics. The first two heuristics start from the platform graph and delete edges until the resulting graph is a tree, while the third heuristic grows a spanning tree rooted at the source processor. The fourth one, based on MPI policy to broadcast a message, is included for sake of comparison with existing broadcast techniques.

**3.1.1. Simple Platform Pruning** The idea of this simple heuristic is to prune the platform graph, deleting edges with maximum weight, until we obtain a tree spanning all the nodes: see Algorithm 1.

```

SIMPLE-PLATFORM-PRUNING( $\mathcal{P}, P_s$ )
   $TreeEdges \leftarrow$  all edges of  $E$ 
  while  $|TreeEdges| > n - 1$  do
     $L \leftarrow$  edges of  $TreeEdges$  sorted by non-
      increasing weight  $T_{u,v}$ 
    for each edge  $e \in L$  do
      if the graph  $(V, TreeEdges \setminus \{e\})$  is still con-
        nected then
         $TreeEdges \leftarrow TreeEdges \setminus \{e\}$ 
  return  $(V, TreeEdges)$ 

```

Algorithm 1: The simple platform pruning algorithm

**3.1.2. Refined Platform Pruning** If we look carefully at the previous heuristic, we realize that there is no reason to discard all edges with large weight: if a node has a many children in the tree (say, 10), with all its outgoing edges of medium weight (e.g. 2), it will spend 20 time-units to broadcast each message slice. On the contrary, a node linked to a single child in a tree by an edge of larger weight (say 15) will only need 15 time-units broadcast each message slice. The throughput of each node is inversely proportional to its weighted outgoing degree, i.e. the sum of the weights of its outgoing edges in the tree. A more accurate metric in the heuristic would be the weighted out-degree of a node in the tree rather than the maximum weight of all edges. We can adapt the previous heuristic to this metric by maintaining the current weighted out-degree of each node  $u$ , denoted as  $OutDegree(u)$ , and trying to delete an edge

from the node which maximizes this metric. This is summarized in Algorithm 2.

```

REFINED-PLATFORM-PRUNING( $\mathcal{P}, P_s$ )
1:  $TreeEdges \leftarrow$  all edges of  $E$ 
2: for each  $u \in V$  do
3:    $OutDegree(u) \leftarrow \sum_{v, (u,v) \in E} T_{u,v}$ 
4: while  $|TreeEdges| > n - 1$  do
5:    $SortedNodes \leftarrow$  nodes sorted by non-increasing
     value of  $OutDegree(u)$ 
6:   for  $u \in SortedNodes$  do
7:      $L \leftarrow$  edges sorted by decreasing weight  $T_{u,v}$ 
8:     for each edge  $e = (u, v) \in L$  do
9:       if the graph  $(V, TreeEdges \setminus \{e\})$  is still con-
         nected then
10:         $TreeEdges \leftarrow TreeEdges \setminus \{e\}$ 
11:         $OutDegree(u) \leftarrow OutDegree(u) - T_{u,v}$ 
12:      goto 4
13: return  $(V, TreeEdges)$ 

```

Algorithm 2: The refined platform pruning algorithm.

**3.1.3. Growing a Minimum Weighted Out-Degree Tree** This heuristic is derived from Prim's algorithm [10] for building a minimum cost spanning tree. The usual metric for the cost of the tree is the sum of all its edges. However, as discussed in the previous heuristic, this is not the good metric for our problem. Instead, we are interested in minimizing the maximum weighted out-degree of each node in the tree. We can adapt Prim's algorithm as shown in Algorithm 3.

When we add a new edge  $(u, v)$  in the tree, we update the cost of edges  $(u, w)$ , for all neighbors  $w$  of  $u$  not already in the tree. The cost of an edge  $(u, w)$  (with  $P_u$  in the tree and  $P_w$  not yet in the tree) is defined as the sum of the weights of the current tree edges outgoing from  $P_u$ . By selecting the edge with minimum cost, we add the edge which increases as little as possible the maximum weighted out-degree of any node in the tree.

**3.1.4. Binomial tree heuristic** For the sake of comparison with existing strategies for the STP problem, we introduce another heuristic using a binomial tree. This heuristic is based on the classical MPI implementation of the broadcast [25], which constructs a binomial spanning tree based on the index of each processor, without any topological information. We assume here that the source has index 0, and we compute a binomial tree for the first  $2^m$  nodes of the platform

```

GROWING-MIN-WEIGHTED-OUT-DEGREE-TREE( $\mathcal{P}, P_s$ )
 $TreeEdges \leftarrow \emptyset$ 
 $TreeVertices \leftarrow \{P_s\}$ 
for each edge  $e = (u, v)$  do
   $cost(u, v) \leftarrow T_{u,v}$ 
while  $TreeVertices \neq V$  do
  choose the link  $(u, v)$  such that  $u \in TreeVertices$ ,
   $v \notin TreeVertices$  and  $cost(u, v)$  is minimum
   $TreeVertices \leftarrow TreeVertices \cup \{v\}$ 
   $TreeEdges \leftarrow TreeEdges \cup \{(u, v)\}$ 
  for each edge  $(u, w) \notin TreeEdges$  do
     $cost(u, w) \leftarrow cost(u, w) + cost(u, v)$ 
return  $(TreeVertices, TreeEdges)$ 

```

Algorithm 3: The growing tree algorithm.

(where  $m = \lfloor \log_2 |V| \rfloor$ ). Each of the remaining nodes  $x$  receives the message from one of the previous nodes  $(x - 2^m)$  in the last stage of the tree construction. When adding a transfer from node  $u$  to node  $v$ , edge  $(u, v)$  may not exist; in this case, we schedule the transfer through the shortest path from  $u$  to  $v$ . This heuristic is described in Algorithm 4 (we suppose that  $SHORTEST-PATH(u, v)$  returns the edges of the shortest path from  $u$  to  $v$ ).

```

BINOMIAL-TREE( $\mathcal{P}, P_s$ )
 $TreeEdges \leftarrow \emptyset$ 
 $m = \lfloor \log_2 |V| \rfloor$ 
for  $p = 0 \dots m - 1$  do
  for  $X = 0 \dots 2^p - 1$  do
     $TreeEdges \leftarrow TreeEdges \cup$ 
       $SHORTEST-PATH(X \cdot 2^{m-p}, X \cdot 2^{m-p} + 2^{m-p-1})$ 
  for  $u = 2^m \dots |V| - 1$  do
     $TreeEdges \leftarrow TreeEdges \cup$ 
       $SHORTEST-PATH(u, u - 2^m)$ 
return  $(V, TreeEdges)$ 

```

Algorithm 4: The binomial tree algorithm.

## 3.2. Multi-port

The Growing-Minimum-Weighted-Out-degree-Tree heuristic can be adapted to the multi-port model. Recall that in this model, the occupation of the sending processor is less than the total occupation of the communication link. According to Equation 1, the time to transfer a message from  $P_u$  to  $P_v$  is  $T_{u,v} = send_u + link_{u,v} + recv_v$ . If  $P_u$  initiates several sends, the first and third term  $send_u$  and  $recv_v$  have to be serialized, while the second term  $link_{u,v}$  may be parallelized. In gen-



eral (see proof in [7]), the throughput that a node  $P_u$  can achieve is the inverse of  $T_{period}$ , where  $T_{period} = \max(\delta_{out}(P_u) \times send_u, \max_i(T_{u,v_i}))$  and  $\delta_{out}(P_u)$  is the out-degree of  $u$  (the number of its children in the tree). Therefore, adapting the previous heuristic to the multi-port model simply amounts to change the cost of adding a new edge. This is described in Algorithm 5.

```

MULTI-PORT-MIN-WEIGHTED-OUT-DEGREE-TREE( $\mathcal{P}, P_s$ )
   $TreeEdges \leftarrow \emptyset$ 
   $TreeVertices \leftarrow \{P_s\}$ 
  for each  $u \in V$  do
     $child(u) \leftarrow \emptyset$ 
  for all edge  $e = (u, v)$  do
     $cost(u, v) \leftarrow T_{u,v}$ 
  while  $TreeVertices \neq V$  do
    choose the link  $(u, v)$  such that  $u \in TreeVertices$ ,
     $v \notin TreeVertices$  and  $cost(u, v)$  is minimum
     $TreeVertices \leftarrow TreeVertices \cup \{v\}$ 
     $TreeEdges \leftarrow TreeEdges \cup \{(u, v)\}$ 
     $child(u) \leftarrow child(u) \cup \{v\}$ 
    for each edge  $(u, w) \notin TreeEdges$  do
       $cost(u, w) \leftarrow \max \left( |child[u]| * send_u, \right.$ 
       $\left. \max_{w' \in child[u]} (T_{u,w'}) \right)$ 
  return ( $TreeVertices, TreeEdges$ )

```

Algorithm 5: The multiport growing tree algorithm

## 4. LP-based heuristics

### 4.1. Linear program

As pointed out in Section 1, the optimal throughput for the MTP problem is equal to the solution of the linear program (2) over the rationals (which we solve with standard tools such as Maple [9]). In the linear program (2), the objective function is the throughput TP. We let  $\mathcal{N}^{out}(P_u)$  denote the set of the output neighbors of  $P_u$ , i.e. the set of nodes  $P_v$  such that  $e_{u,v} : P_u \rightarrow P_v \in E$ ; similarly,  $\mathcal{N}^{in}(P_v)$  is the set of the input neighbors of  $P_v$ , i.e. nodes  $P_u$  such that  $e_{u,v} \in E$ . Also,  $x_w^{u,v}$  denotes the fractional number of message slices which are sent by  $P_s$  to  $P_w$  every time-unit, and that transit on the edge  $e_{u,v} : P_u \rightarrow P_v$ . Of course each processor will receive the same set of messages in the end, but the same message may well be forwarded along different trees to different destinations, hence the need for “track-

ing” the circulation of messages along each edge.

#### STEADY-STATE BROADCAST ON A GRAPH SSB(G)

MAXIMIZE TP,

SUBJECT TO

$$\begin{cases}
 (a) \quad \forall u, & \sum_{P_v \in \mathcal{N}^{out}(P_s)} x_u^{source,v} = TP \\
 (b) \quad \forall u, & P_u \neq P_s, \\
 & \sum_{P_v \in \mathcal{N}^{in}(P_u)} x_u^{v,u} = TP \\
 (c) \quad \forall u, v, & P_v \neq P_s, P_v \neq P_u, \\
 & \sum_{P_w \in \mathcal{N}^{in}(P_v)} x_u^{w,v} = \sum_{P_w \in \mathcal{N}^{out}(P_v)} x_u^{v,w} \\
 (d) \quad \forall e_{u,v} : & P_u \rightarrow P_v, \\
 & n_{u,v} = \max_w x_w^{u,v} \\
 (e) \quad \forall e_{u,v} : & P_u \rightarrow P_v, \\
 & t_{u,v} = n_{u,v} \cdot T_{u,v} \\
 (f) \quad \forall u, & t_u^{(in)} = \sum_{P_v \in \mathcal{N}^{in}(P_u)} t_{v,u} \\
 (g) \quad \forall u, & t_u^{(out)} = \sum_{P_v \in \mathcal{N}^{out}(P_u)} t_{u,v} \\
 (h) \quad \forall u, v, & t_{u,v} \leq 1 \\
 (i) \quad \forall u, & t_u^{(in)} \leq 1 \\
 (j) \quad \forall u, & t_u^{(out)} \leq 1
 \end{cases} \quad (2)$$

The first constraint (a) states that the total number of messages destined to  $P_u$  and which are sent from  $P_s$  every time-unit is indeed  $TP$ . Similarly, constraint (b) expresses that the total number of messages which are actually received by  $P_u$  every time-unit is also equal to  $TP$ . Constraint (c) states a conservation law at any intermediate processor  $P_v$  distinct from  $P_s$  and from  $P_u$ : the number of messages destined to  $P_u$  which arrive at  $P_v$  each time-unit is the same as the number of same type messages that go out of  $P_v$ . This conservation law is only valid in steady-state operation, it does not apply to the initialization and clean-up phases.

The following set of constraints is related to link occupation. First,  $n_{u,v}$  denotes the total number of messages that transit on the edge  $e_{u,v} : P_u \rightarrow P_v$ . We know that for each  $w$ , there are  $x_w^{u,v}$  messages sent to  $P_w$  which do transit on this edge. The main difficulty is that the sets of messages transiting on the edge and sent to different  $P_w$ 's may partly overlap. If they were all disjoint, we would write  $n_{u,v} = \sum_w x_w^{u,v}$  (this would hold true for a scatter operation). In fact, it turns out that is it possible to design a schedule such that  $n_{u,v} = \max_w x_w^{u,v}$ , which is precisely constraint (d). This means that it is possible to orchestrate the communications such that given any two message sets circulating on the same edge and destined to different processors, one is a subset of the other. The proof of this result is quite involved, see [6]. The next constraints are

easier to derive. Constraint (e) expresses the time to circulate all messages on the edge  $e_{u,v}$ . The next two constraints correspond to the one-port model: constraint (f) ensures that all incoming communications are sequentialized, and constraint (g) is the counterpart for outgoing communications. The last three constraints simply state that any set of sequential communications lasts no more than one time-unit.

We do not use the complicated algorithm described in [5, 6] to design an actual schedule that achieves the optimal throughput TP through the parallel propagation of message slices along several spanning trees. We only compute the optimal solution of the linear program (2), and we retain the values of TP, the optimal throughput, and of  $n_{u,v}$ , the number of message slices that circulate along each edge  $e_{u,v}$ .

## 4.2. Heuristic for the one-port model

We assign the weight  $n_{u,v}$  to each edge  $e_{u,v}$  of the platform graph  $G = (V, E)$ , and we use these weights to design two heuristics for the STP problem. We call *communication graph* the platform graph weighted as just defined. The first heuristic starts from the whole communication graph and removes the edges carrying the smallest number of messages, until having reduced the graph to a spanning tree. The second heuristic works bottom-up, and grows a spanning tree starting from the source processor, using the the most “useful” edges.

**4.2.1. Communication graph pruning** In this heuristic, we delete the edges which preserve the connectivity of the graph and have minimum weight, i.e. edges carrying the fewest messages in the solution returned by the linear program (2). This is described in Algorithm 6.

**4.2.2. Growing a spanning tree over the communication graph** In this heuristic, we consider again the communication graph given by the solution of the linear program (2). We grow a spanning tree, starting from the source processor, and selecting edges with maximum weight, i.e. edges carrying the maximum number of messages in the solution of the linear program. This heuristic is described in Algorithm 7.

## 5. Experiments

In this section, we describe the experiments conducted to assess the performance of all the previous heuristics. We perform experiments through simulation, so as to test our heuristics on a wide range of heterogeneous platforms.

### LP-PRUNE( $\mathcal{P}, P_s$ )

```

solve linear program (2), and compute  $n_{u,v}$ , the
number of messages sent through edge  $(u, v)$  during
one time-unit
 $TreeEdges \leftarrow$  all edges of  $E$ 
while  $|TreeEdges| > n - 1$  do
   $L \leftarrow$  edges  $(u, v)$  sorted by non-increasing value
  of  $n_{u,v}$ 
  for each edge  $e \in L$  do
    if the graph  $(V, TreeEdges \setminus \{e\})$  is still connected then
       $TreeEdges \leftarrow TreeEdges \setminus \{e\}$ 
return  $(V, TreeEdges)$ 

```

Algorithm 6: The communication graph pruning algorithm

### LP-GROW-TREE( $\mathcal{P}, P_s$ )

```

solve linear program (2), and compute  $n_{u,v}$ , the
number of messages sent through edge  $(u, v)$  during
one time-unit
 $TreeEdges \leftarrow \emptyset$ 
 $TreeVertices \leftarrow \{P_s\}$ 
while  $TreeVertices \neq V$  do
  choose the link  $(u, v)$  such that  $u \in$ 
   $TreeVertices$ ,  $v \notin TreeVertices$  and  $(u, v)$ 
  has maximum value  $n_{u,v}$ 
   $TreeVertices \leftarrow TreeVertices \cup \{v\}$ 
   $TreeEdges \leftarrow TreeEdges \cup \{(u, v)\}$ 
return  $(TreeVertices, TreeEdges)$ 

```

Algorithm 7: The growing tree algorithm based on the communication graph.

## 5.1. Platforms

We use two types of platforms: first we randomly generate platform graphs, using the parameters described in the following table (for each set of parameters, we generate 10 different configurations). The *density* is the probability of the existence of an edge between two nodes.

number of nodes :	10, 20, ..., 50
density :	0.04, 0.08, ..., 0.20
$T_{u,v}$ :	Gaussian distribution (mean=100MB/s, deviation=20MB/s)
$send_{u,v}$ :	$0.80 \cdot \min_{w, (u,w) \in E} \{T_{u,w}\}$ (depends only on sending node $u$ )

Next, to perform simulations on more realistic platforms, we use platforms generated by TIERS, a popular generator of network topologies [15]. Using TIERS,

we generate 100 platforms with 30 nodes, and 100 platforms with 65 nodes. These platforms have a density between 0.05 and 0.15, depending on the number of nodes. We use the same distribution for the values of  $T_{u,v}$  as for random platforms.

For both random and Tiers platforms, we conduct some experiments under the multi-port model. In that case, the value of  $send_{u,v}$  is set to 80% of the shortest link occupation when sending a message to one neighbor. This percentage is somewhat arbitrary, but our simulations show that the results do not strongly depend upon this parameter.

## 5.2. Results

On each platform configuration, we compute the throughput of each heuristic, and compare it to the optimal throughput of the MTP problem under the one-port model, obtained as the solution of the linear program described in Section 4. So what is called “relative performance” in the following results is the throughput of a given heuristic compared to the best throughput that can be achieved using several broadcast trees.

**5.2.1. Random platforms, one-port** In Figure 2(a), we plot the performance of the different heuristics for several platform sizes. The Y axis is the relative average performance compared to the optimal solution for the MTP problem. We point out that for a small number of nodes, our heuristics are able to reach a throughput very close to the optimal. For larger platforms, the “advanced heuristics” (i.e. Topo-Prune-Degree, Topo-Grow-Tree, LP-Prune and LP-Grow-Tree) are able to reach 60% of the optimal throughput with several trees. The heuristics Topo-Prune-Degree and Topo-Grow-Tree are even within 70% of the optimal. The simple pruning heuristic (Topo-Prune-Simple) behaves well for a small number of nodes, but is not scalable to larger platforms: its throughput falls down to 20% of the optimal. Last, the Binomial-Tree heuristic gives very poor results, which was expected because it does not take topological information into account.

Figure 2(b) shows the relative performances of our heuristics as a function of the density of the underlying platform. Intuitively, a higher density allows for more freedom in the routing, hence more gain in using several trees in parallel. However, our refined heuristics are still within 70% of the optimal throughput.

**5.2.2. Random platforms, multi-port** Figure 3 shows the performance of the Multi-Port Growing Tree heuristic described in Section 3.2, compared to

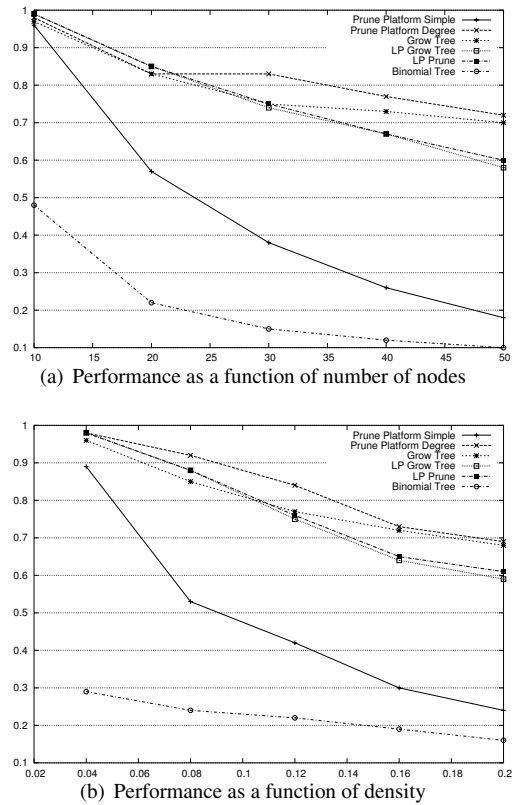


Figure 2: Performances: one-port model.

the Binomial-Tree heuristic. Again, we compute the ratio of the throughput of these heuristics over the optimal throughput given by the linear program of Section 4. The X axis is the number of nodes in randomly generated platform. The Y axis is the relative average performance compared to the optimal solution for the MTP problem on the same platform (but under the one-port model). At first sight it may seem surprising to achieve ratios larger than 1 (hence better than the “optimal”). However, recall that the linear program gives an optimal throughput under the one-port assumption, while the throughput of the heuristics are computed using the multi-port model, which allows some overlapping of consecutive sends by a given processor. We still choose to plot this ratio because: (i) we do not know how to compute the optimal throughput under the multi-port model; and (ii) we believe that it is interesting to compare all heuristics over the same basis, here the solution of the linear program, giving a good idea of what can be achieved on the platform.



We notice that the performance of the Binomial-Tree heuristic is better than previously, and this is because the multi-port model is less constrained, allowing for multiple communications to go through one node without severely decreasing the throughput. However, the adapted Multi-Port Growing Tree heuristic gives much better results. We also present the performance of the heuristics based on linear programming under this model, which are close to the performance of the adapted Growing Tree heuristic. Finally, note that other heuristics, such as Topo-Prune-Degree, can be adapted to the multi-port model, and give good results too: the latter heuristic is labeled Multiport-Prune-Degree in Figure 3).

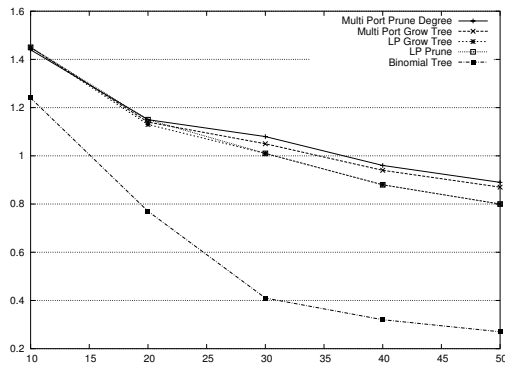


Figure 3: Performances: multi-port model.

**5.2.3. Tiers platforms, one-port** The following gives the results of all the heuristics for TIERS generated platforms, under the one-port model (average value, ( $\pm$ deviation%)). The results are congruent with those on randomly generated platforms, although the LP-based heuristics (LP-Prune and LP-Grow-Tree) give a slightly better results on large TIERS platforms.

number of nodes	Platform based heuristics		
	Prune Simple	Refined Prune	Grow Tree
30	46% ( $\pm 0.12\%$ )	82% ( $\pm 10\%$ )	75% ( $\pm 10\%$ )
65	30% ( $\pm 10\%$ )	73% ( $\pm 13\%$ )	71% ( $\pm 12\%$ )
number of nodes	LP based heuristics		Binomial Tree
	Grow Tree	Prune Max	
30	82% ( $\pm 11\%$ )	82% ( $\pm 11\%$ )	11% ( $\pm 2\%$ )
65	73% ( $\pm 11\%$ )	74% ( $\pm 11\%$ )	5% ( $\pm 1\%$ )

## 6. Related work

As pointed out in the introduction, most papers dealing with broadcasting on heterogeneous platforms restrict to the STA problem, i.e. they build a single spanning tree, without pipelining.

As mentioned in Section 2.3, Banikazemi et al. [1] have considered a simple model in which the heterogeneity among processors is characterized by the speed of the sending processors. Some theoretical results (NP-completeness and approximation algorithms) have been developed for the problem of broadcasting a message in this model: see [17, 21, 23].

Sun et al [26] investigate clusters of SMPs connected by one-port switches, and they introduce several heuristic for the STA problem on such hierarchical platforms. Other collective communications, such as multicast, scatter, all-to-all, gossiping, and gather (or reduce) have been studied in the context of heterogeneous platforms: see [20, 22] among others.

## 7. Conclusion

In this paper, we have considered the problem of broadcasting large messages on heterogeneous platforms. The broadcast may be performed either using a single tree and sending the whole message at once (the STA approach), or using a single tree and sending the message in a pipeline fashion (the STP approach), or using several broadcast trees and sending the message in a pipeline fashion (the MTP approach). Surprisingly, the former two problems are NP-Complete, whereas the latter can be solved in polynomial time. Nevertheless, the use of a single tree has many advantages. In particular, there is no need of complex synchronization to handle conflicts that may arise on communication resources; also, a communication scheme using a single broadcast tree may well be more robust to small changes in link performances.

We have derived several heuristics for the STP approach, and compared them, through extensive simulations, against the optimal solution of the MTP problem. The results presented in this paper show that for realistic platforms such as those generated by TIERS [15], there is little difference in the throughput achieved when using a single or several broadcast trees. Our results also prove that it is mandatory to take into account the actual topology and performances of the network to derive efficient implementations. Estimates of the transfer speeds can be acquired by querying grid information

services [11], or by directly observing the performance being delivered by the communication links. Whenever available on the target heterogeneous platform, plugging this information into our heuristics is very likely to provide significant improvement over current MPI implementations.

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