

SUMMARY - Recap.

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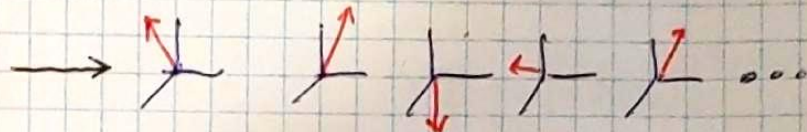
- a mixed state is an ensemble of quantum states with a prob. distⁿ over them:

$\{|\psi_i\rangle, p_i\}$ $\rightarrow |\psi_i\rangle$'s are arbitrary and not an ONB in general.

- like

$$\left\{ \begin{array}{l} |\psi_1\rangle, p_1 \\ |\psi_2\rangle, p_2 \\ |\psi_3\rangle, p_3 \\ \vdots \\ |\psi_n\rangle, p_n \end{array} \right\}$$

$$\{|\psi_i\rangle, p_i\}$$



- Def'n: Density matrix of ensemble

$$\rho = \sum_{i=1}^n p_i |\psi_i\rangle \langle \psi_i|$$

- Measurements: Given an observable's Hermitian operator, A

$$\rightarrow A = \sum_m m |m\rangle \langle m|$$

• m = eigenvalue / measurement outcome.

• $\{|m\rangle\}$ an ONB

- define projectors $P_m = |m\rangle \langle m|$

- ① Prob. of measurement outcome, m :

$$p(m) = \text{tr } P_m \rho$$

- ② Post-measurement state conditioned on / given measurement m :

$$\rho'(m) = \frac{\text{tr } P_m \rho P_m}{\text{tr } P_m \rho} = \frac{\text{tr } P_m \rho P_m}{p(m)}$$

- ③ Average of measurements over the ensemble, \bar{m} :

$$\bar{m} = \text{tr } A \rho$$

Properties of Density Matrix / Operator:

(2)

① Hermitean, $\rho^\dagger = \rho$:

$$\begin{aligned}\rho^\dagger &= \left(\sum_i p_i |\psi_i\rangle \langle \psi_i| \right)^\dagger \\ &= \sum_i p_i (|\psi_i\rangle \langle \psi_i|)^\dagger \\ &= \sum_i p_i |\psi_i\rangle \langle \psi_i|^\dagger \\ &= \rho \quad \checkmark\end{aligned}$$

② Positive semi-definite, $\rho \geq 0$:

For any $|\phi\rangle$,

$$\begin{aligned}\langle \phi | \rho | \phi \rangle &= \langle \phi | \left(\sum_i p_i |\psi_i\rangle \langle \psi_i| \right) | \phi \rangle \\ &= \sum_i p_i \langle \phi | \psi_i \rangle \langle \psi_i | \phi \rangle \\ &= \sum_i p_i |\langle \phi | \psi_i \rangle|^2\end{aligned}$$

• but $p_i \geq 0$ & $|\langle \phi | \psi_i \rangle|^2 \geq 0$.

• \therefore the sum ≥ 0

$$\rightarrow \langle \phi | \rho | \phi \rangle \geq 0 \quad \forall |\phi\rangle \text{ & } \rho \text{ psd} \quad \checkmark$$

③ $\text{tr } \rho = 1$:

• use ensemble average trick.

\rightarrow have $\bar{m} = \text{tr } A \rho$ for eigenvalues m of A .

• take $A = I \rightarrow$ all eigenvalues = 1

$$\therefore m = \forall m \rightarrow \bar{m} = 1$$

$$\therefore 1 = \text{tr } I \rho.$$

$$\Rightarrow \text{tr } \rho = 1 \quad \checkmark$$

④ $\rho^2 = \rho$ iff ρ is pure, i.e., $\rho = |\psi\rangle \langle \psi|$:

$$\text{iff } \Rightarrow : \rho^2 = \rho \rightarrow \rho = |\psi\rangle \langle \psi|:$$

$$\text{If } \rho^2 = \rho \text{ then } \sum_i \lambda_i^2 |i\rangle \langle i| = \sum_i \lambda_i |i\rangle \langle i|$$

$$\langle m | \rho^2 | m \rangle = \langle m | \rho | m \rangle:$$

$$\sum_i \lambda_i^2 \langle m | i \rangle \langle i | m \rangle = \sum_i \lambda_i \langle m | i \rangle \langle i | m \rangle$$

$$\sum_i \lambda_i^2 \delta_{im} = \sum_i \lambda_i \delta_{im}$$

$$\Rightarrow \lambda_m^2 = \lambda_m \quad \forall m.$$

$$\text{But: } \text{tr } \rho = 1 \rightarrow \sum_i \lambda_i = 1.$$

$$\rho \geq 0 \rightarrow \lambda_i \geq 0$$

$$\Rightarrow \therefore 0 \leq \lambda_i \leq 1$$

$$\text{So, } \lambda_m^2 = \lambda_m \text{ means } \lambda_m = 0, 1.$$

$\therefore \sum_m \lambda_m = 1$; then only one $\lambda_m = 1$ & the rest equal 0.

$$\therefore \rho = |m\rangle \langle m| \text{ for that } \lambda_m = 1.$$

③ $\rho^2 = \rho$ iff $\rho = |\psi\rangle\langle\psi|$, cont'd.

iff: $\Leftarrow : \rho = |\psi\rangle\langle\psi| \rightarrow \rho^2 = \rho$.

• just sub. into lhs:

$$\begin{aligned}\rho^2 &= \rho \cdot \rho \\ &= |\psi\rangle\langle\psi| |\psi\rangle\langle\psi| \\ &= |\psi\rangle \cdot 1 \cdot \langle\psi| \\ &= |\psi\rangle\langle\psi| \\ &= \rho \checkmark\end{aligned}$$

Postulates of QM in Density Operators

Easy to show:

① State of a system is described by a density operator, ρ .

② A state evolves by a unitary transformation U , s.t.
 $\rho \xrightarrow{U} U\rho U^\dagger$.

③ Under the projections P_m of an observable, given a measured observation, m :

i-) $p(m) = \text{tr } P_m \rho$ (prob. of observation).

ii-) $\rho'(m) = \frac{P_m \rho P_m^\dagger}{\text{tr } P_m \rho}$ (conditional post measurement state).

④ A composite system of quantum systems is the tensor product of their state spaces.

If n systems are individually prepared in states ρ_i , $i=1, \dots, n$, the joint state of the composite system is:

$$\rho = \rho_1 \otimes \rho_2 \otimes \dots \otimes \rho_n.$$

Example:

④

Suppose we have source generating a mixture of the eigenvectors of an observable.

$$\rho = \sum_i p_i |i\rangle\langle i|$$

If we do a measurement and ^{conditional} outcome m , we would expect to have a post-measurement state $|m\rangle$ occurring with prob. p_m .

Check:

$$\rho = \sum_i p_i |i\rangle\langle i| \quad \text{and} \quad P_m = |m\rangle\langle m|$$

• prob. of measurement:

$$\begin{aligned} p(m) &= \text{tr} \rho P_m \\ &= \text{tr} \sum_i p_i |i\rangle\langle i| \underbrace{\langle i|m\rangle\langle m|}_{\delta_{im}} \\ &= \text{tr}(p_m |m\rangle\langle m|) \\ &= p_m \langle m|m\rangle \\ &= p_m \text{ as expected.} \end{aligned}$$

• post-meas't state:

$$\rho'(m) = \frac{P_m \rho P_m}{p(m)} = \frac{P_m \rho P_m}{p_m}$$

$$\begin{aligned} \text{- but } P_m \rho P_m &= |m\rangle\langle m| \cdot \sum_i p_i |i\rangle\langle i| \cdot |m\rangle\langle m| \\ &= |m\rangle \cdot \sum_i p_i \underbrace{\langle m|i\rangle\langle i|m\rangle}_{\delta_{im}} \cdot \langle m| \\ &= |m\rangle p_m \langle m| \\ &= p_m |m\rangle\langle m| \end{aligned}$$

$$\therefore \rho'(m) = \frac{p_m |m\rangle\langle m|}{p_m} = |m\rangle\langle m| \text{ as expected.}$$

Non-Uniqueness of Decompositions

⑤

- many different ensembles of $\{|\psi_i\rangle, p_i\}$ can give rise to the same density matrix, $\rho = \sum_i p_i |\psi_i\rangle \langle \psi_i|$.
- example, regardless of the actual mixture of p_i 's & $|\psi_i\rangle$'s used to generate a given ρ , you can always do an eigendecomposition,

$$\rho = \sum_i \lambda_i |i\rangle \langle i|,$$

So the eigenvalues are the prob's & the ONB $\{|i\rangle\}$ a new ensemble.

Example:

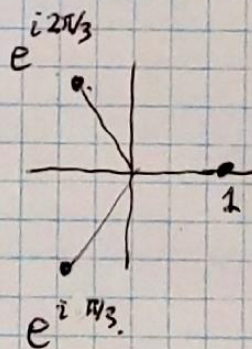
Let $\rho = \frac{I}{2}$ on \mathbb{C}^2 .

① $\rho = \frac{1}{2}(|0\rangle\langle 0| + |1\rangle\langle 1|) = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \checkmark$

② Let $|+\rangle = \frac{|0\rangle + |1\rangle}{\sqrt{2}}$, $|-\rangle = \frac{|0\rangle - |1\rangle}{\sqrt{2}}$.

Also have: $\frac{1}{2}(|+\rangle\langle +| + |-\rangle\langle -|) = \frac{I}{2}$.

③ Let $|\psi_0\rangle = \frac{|0\rangle + |1\rangle}{\sqrt{2}}$
 $|\psi_1\rangle = \frac{|0\rangle + e^{i2\pi/3}|1\rangle}{\sqrt{2}}$
 $|\psi_2\rangle = \frac{|0\rangle + e^{i4\pi/3}|1\rangle}{\sqrt{2}}$



The $\rho = \frac{1}{3} \sum_{k=0}^2 |\psi_k\rangle \langle \psi_k| = \frac{I}{2}$.

∴ off diagonal entries cancel: $1 + e^{i2\pi/3} + e^{i4\pi/3} = 0$.

Claim: Given a density matrix ρ , in general there are more than one ensemble of $\{|\psi_i\rangle, p_i\}$ that may generate it. (6)

Proof:

- Given a d.m. ρ forms its unique eigendecomposition:

$$\rho = \sum_{i=1} \lambda_i |i\rangle\langle i| \quad \text{c} \quad \lambda_i > 0 \quad \text{and} \quad \sum_i \lambda_i = 1.$$

- define the renormalized kets,

$$|X_i\rangle = \sqrt{\lambda_i} |i\rangle \quad (\text{still mutually orthogonal, not normalized})$$

- we can write,

$$\rho = \sum_i |X_i\rangle\langle X_i| \quad (*)$$

- now take any unitary $U \in \mathcal{U}(r) \sim r \times r$. and write.

$$|X_j'\rangle = \sum_{i=1}^r U_{ji} |X_i\rangle, \quad j=1, \dots, r$$

- now look at:

$$\begin{aligned} \sum_j |X_j'\rangle\langle X_j'| &= \sum_j \sum_{e,m} U_{je} |X_e\rangle\langle X_m| U_{jm}^* \\ &= \sum_{e,m} |X_e\rangle\langle X_m| \underbrace{\sum_j U_{je} U_{jm}^*}_{\delta_{me}} \rightarrow \sum_j U_{je} U_{jm}^* = \sum_j [U^\dagger]_{mj} [U]_{je} \\ &= [U^\dagger U]_{me} \\ &= I_{me} \\ &= \delta_{me}. \\ &= \sum_e |X_e\rangle\langle X_e| \\ &= \rho \quad (\text{same } \rho \text{ in } (*))! \end{aligned}$$

- now let:

$$p_j = \| |X_j'\rangle \|^2 = \langle X_j' | X_j' \rangle$$

$$|\phi_j\rangle = \frac{|X_j'\rangle}{\sqrt{p_j}}$$

$$\Rightarrow \boxed{\rho = \sum_j p_j |\phi_j\rangle\langle\phi_j|}$$

← p_j & $|\phi_j\rangle$ depend on U continuously to get an infinite number of decompositions.

Non-orthogonal mixtures:

- note the generated $|x_i'\rangle$'s from the $|x_i'\rangle = \sqrt{\lambda_i} |i\rangle$ are not generally orthogonal.

Example:

- Let $\rho = \lambda_0 |0\rangle\langle 0| + \lambda_1 |1\rangle\langle 1| = \begin{bmatrix} \lambda_0 & 0 \\ 0 & \lambda_1 \end{bmatrix}$ and $\lambda_0 \neq \lambda_1$.

- take $U = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$

- $\Rightarrow |x_0'\rangle = \frac{1}{\sqrt{2}} (\sqrt{\lambda_0} |0\rangle + \sqrt{\lambda_1} |1\rangle)$

$$|x_1'\rangle = \frac{1}{\sqrt{2}} (\sqrt{\lambda_0} |0\rangle - \sqrt{\lambda_1} |1\rangle)$$

$$\begin{aligned} \langle x_0' | x_1' \rangle &= \frac{1}{2} (\lambda_0 \langle 0|0\rangle + \underbrace{-\lambda_1}_{\text{c.t.s}} \langle 1|1\rangle) \\ &= \frac{1}{2} (\lambda_0 - \lambda_1) \end{aligned}$$

$\neq 0$ in general.

- so $|\phi_0\rangle, |\phi_1\rangle$ are not orthogonal in general.

Why?

From the eigen-decompⁿ $\rho = \sum_i \lambda_i |i\rangle\langle i|$, we defined the rescaled,

$$|x_i\rangle = \sqrt{\lambda_i} |i\rangle, \text{ then}$$

$$|x_j'\rangle = \sum_{i=1}^r U_{ji} |x_i\rangle$$

Substituting and expanding, using $\langle x_i | x_j \rangle = \lambda_i \delta_{ij}$, we have

$$\langle x_i' | x_j' \rangle = [U \Lambda U^\dagger]_{ij} \text{ as matrix elements where } \Lambda = \text{diag}(\lambda_i).$$

\rightarrow Note: If all eigenvalues equal, $\Lambda \propto I$ & $U \Lambda U^\dagger \propto I \Rightarrow \langle x_i' | x_j' \rangle \propto \delta_{ij}$.

Mixed State Arising from Forgetful Measurement.

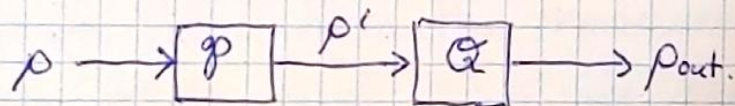
⑧

- idea of a mixed state coming from an ensemble of different pure states & a prob. dist. seems forced.
- can one arise naturally from a pure state?
⇒ YES - by performing the measurement but ignoring the outcome - non-selective measurement (details to follow...)

Recall: Measurements as Projections:

$$\begin{array}{ll} \textcircled{1} p(m) = \text{tr } P_m \rho & (\text{prob.}) \\ \textcircled{2} \rho'(m) = \frac{P_m \rho P_m}{p(m)} & (\text{post-meas. state}) \end{array} \left. \vphantom{\begin{array}{l} \textcircled{1} \\ \textcircled{2} \end{array}} \right\} \begin{array}{l} \text{Conditioned on} \\ \text{measurement} \\ \text{outcome 'm'}. \end{array}$$

Setup: Two measurements in series.



\mathcal{P} : has projectors $\{P_m\}$ for some observable & outcomes m .

\mathcal{Q} : has projectors $\{Q_x\}$ " " " " " " x .

Ⓐ ① Initial input state, ρ .

② First measurement \mathcal{P} :

- has meas. outcome m & prob: $p(m) = \text{tr } P_m \rho$
- state conditioned on outcome m : $\rho'(m) = \frac{P_m \rho P_m}{p(m)}$

Ⓑ ③ Take a second measurement \mathcal{Q} :

- conditioned on the first outcome, m , the prob. of measuring x is:

$$p(x|m) = \text{tr } Q_x \rho'(m) = \text{tr } \frac{Q_x P_m \rho P_m}{p(m)}$$

④ The joint prob. of measuring (m, x) is:

$$\begin{aligned} p(m, x) &= p(m) \cdot p(x|m) \\ &= p(m) \cdot \frac{\text{tr } Q_x P_m \rho P_m}{p(m)}. \end{aligned}$$

$$p(m, x) = \text{tr } Q_x P_m \rho P_m$$

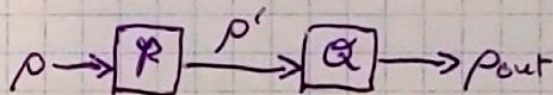
⑤ If the first outcome m is not revealed the prob. of the later outcome x is just the marginal of $p(m, x)$ over m :

$$\begin{aligned} p(x) &= \sum_m p(m, x) \\ &= \sum_m \text{tr } Q_x P_m \rho P_m \end{aligned}$$

$$p(x) = \text{tr } Q_x \sum_m P_m \rho P_m$$

⑥ But we know from the measurement postulate that there must exist a ρ' for the input of \mathcal{Q} such that:

$$p(x) = \text{tr } Q \rho'.$$



⑦ So we require:

$$\text{tr } Q \rho' = \text{tr } Q_x \sum_m P_m \rho P_m \quad (*)$$

and must solve for ρ'

Note: this ^(*) must hold for all possible projectors P_m, Q_x as they were arbitrary to begin with.

⑧ Claim: The unique well defined solⁿ to $(*)$ is:

$$\rho' = \sum_m P_m \rho P_m$$

- seems true by comparison (it is),
but how to show it's the only solⁿ?

⑨ Restate:

⑩

If $\text{tr} QX = \text{tr} QY$ for all projectors Q , then $X=Y$

- so for a given Y , say, the only solⁿ for X is precisely $X=Y$.

Proof:

Restate as: $\text{tr} Q(X-Y) = 0$

Let $A=X-Y$, so we are saying,

• if $\text{tr} QA = 0 \quad \forall$ projectors Q , then $A=0$.

• take the case $Q = |q\rangle\langle q|$ for some state $|q\rangle$
(\rightarrow it's general, though, for rank > 1 & non-orthogonal)

• so, $\text{tr} QA = 0$

$\rightarrow \text{tr} |q\rangle\langle q| A = 0$

$\langle q|A|q\rangle = 0 \quad \forall |q\rangle$.

• use the polarization identity,

Given a quadratic form function,

$$f(w) = \langle w|A|w\rangle$$

known for all $|w\rangle$ for some Hermitian A , then this fixes all off-diagonal elements by,

$$\langle u|A|v\rangle = \frac{1}{4} [f(u+v) - f(u-v) - if(u+iv) + if(u-iv)]$$

$$(\text{OR}) = \frac{1}{4} [f(u+v) - f(u-v)] \quad \text{for real symmetric } A, u, v.$$

• seems surprising \because it implies the diagonal matrix elts of A determine & fix the off diagonal elts.

\Rightarrow but knowing the diagonal elts of an operator's matrix repⁿ holds only for the vectors $|e_i\rangle_{i=1}^n$. $\leftarrow n$ constraints
Not for all $|w\rangle$ in $f(w) = \langle w|A|w\rangle$. $\leftarrow \infty$ number of constraints

(11)

- so we have $\text{tr} QA = 0$, $Q = |q\rangle\langle q|$
 $\rightarrow \langle q|A|q\rangle \equiv f(q) = 0$ for all $|q\rangle$.

$$\therefore \langle u|A|v\rangle = \frac{1}{4} \left[\underset{0}{f(u+v)} - \underset{0}{f(u-v)} + \dots \right]$$

$$= 0 \quad \forall u, v.$$

- and in any particular basis,
 $\langle e_i|A|e_j\rangle = A_{ij} = 0$

- so, $A=0$ identically.

- $\therefore \text{tr}(Q(X-Y)) = 0 \quad \forall \text{ proj. } Q$
 $\Rightarrow X=Y$ identically. ✓

⑩ Back to,

$$\text{tr} Q \rho' = \text{tr} Q \sum_m P_m \rho P_m \quad \forall \text{ proj. } Q.$$

$$\Rightarrow \rho' = \sum_m P_m \rho P_m \text{ is the single unique solution.}$$

⑪ This is the same result as averaging over possible outcomes:

$$p(m) = \text{tr} P_m \rho$$

$$\rho'(m) = \frac{P_m \rho P_m}{p(m)}$$

$$\Rightarrow \rho' = \sum_m p(m) \rho'(m)$$

$$= \sum_m p(m) \frac{P_m \rho P_m}{p(m)}$$

$$\rho' = \sum_m P_m \rho P_m \quad \checkmark$$

Think of it as:

- we know a measurement was made
- the proj. measurement carves the state into branches
- we only know one of the branches happened, not which one.
- best description is the classical average of possible states, weighted by their prob. $p(m)$