

Do-it-yourself Module Systems

Extending Dependently-Typed Languages to Implement Module System Features In The Core Language

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Abstract

Structuring-mechanisms, such as Java’s `package` and Haskell’s `module`, are often afterthought secondary citizens whose primary purpose is to act as namespace delimiters, while relatively more effort is given to their abstraction encapsulation counterparts, e.g., Java’s classes and Haskell’s typeclasses. A *dependently-typed language* (DTL) is a typed language where we can write *types* that depend on *terms*; thereby blurring conventional distinctions between a variety of concepts. In contrast, languages with non-dependent type systems tend to distinguish *external vs. internal* structuring-mechanisms —as in Java’s `package` for namespacing vs. `class` for abstraction encapsulation— with more dedicated attention and power for the internal case —as it is expressible within the type theory.

To our knowledge, relatively few languages —such as Ocaml, Maude, and the B Method— allow for the manipulation of external structuring-mechanisms as they do for internal ones. Sufficiently expressive type systems, such as those of dependently typed languages, allow for the internalisation of many concepts thereby conflating a number of traditional programming notions. Since DTLs permit types that depend on terms, the types may require non-trivial term calculation in order to be determined. Languages without such expressive type systems necessitate certain constraints on its constructs according to their intended usage. It is not clear whether such constraints have been brought to more expressive languages out of necessity or out of convention. Hence we propose a systematic exploration of the structuring-mechanism design space for dependently typed languages to understand *what are the module systems for DTLs?*

First-class structuring-mechanisms have values and types of their own which need to be subject to manipulation by the user, so it is reasonable to consider manipulation combinators for them from the beginning. Such combinators would correspond to the many generic operations that one naturally wants to perform on structuring-mechanisms —e.g., combining them, hiding components, renaming components— some of which, in the external case, are impossible to perform in any DTL without resorting to third-party tools for pre-processing. Our aim is to provide a sound footing for systems of structuring-mechanisms so that structuring-mechanisms become another common feature in dependently typed languages. An important contribution of this work is an Agda implementation of our module combinators —which we hope to be accepted into a future release of the Agda standard library.

If anything, our aim is practical —to save developers from ad hoc copy-paste preprocessing hacks.

—Source: <https://github.com/alhassy/next-700-module-systems>—

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Chapter 1

Introduction —The Thesis’ “Story”

In this chapter we aim to present the narrative that demonstrates the distinction between what can currently be accomplished and what is desired when working with composition of software units. We arrive at the observation that packaging concepts differ only in their use—for example, a `typeclass` and a `record` are both sequences of declarations that only differ in that the former is used for polymorphism with instance search whereas the latter is used as a structure, grouping related items together. In turn, we are led to propose that the various packaging concepts ought to have a uniform syntax. Moreover, since records are a particular notion of packaging, the commitment to syntactic similarity gives rise to a `homoiconic` nature to the host language.

Within this work we refer to a *simple type theory* as a language that contains typed lambda terms for terms and formulae; if in addition it contains lambda terms whose types are indexed by values then we say it is a *dependently-typed language*, or ‘DTL’ for short—depending on intent, value-indexed types could be interpreted as *propositions* and their terms as *proofs*. With the exception of declarations and ephemeral notions, nearly everything in a DTL is a typed lambda term. Just as Lisp’s `homoiconic` nature blurs data and code leaving it not as a language with primitives but rather a language with meta-primitives, so too the lack of distinction between term and type lends itself to generic and uniform concepts in DTLs thereby leaving no syntactic distinction between a constructive proof and an algorithm.

An introduction to Agda and dependent types can be found in §??

The sections below explore our primary observation. Section 1 demonstrates the variety of ‘tongues’ present in a single language which are conflated in a DTL, section 2 discusses that such conflation should by necessity apply to notions of packaging, section 3 contains contributed work to ensure that happens. Finally, section 4 concludes by outlining the remainder of the thesis.

1.1 A Language Has Many Tongues

A programming language is actually many languages working together.

The most basic of imperative languages comes with a notion of ‘statement’ that is executed by the computer to alter ‘state’ and a notion of ‘value’ that can be assigned to memory locations. Statements may be sequenced or looped, whereas values may be added or multiplied, for example. In general, the operations on one linguistic category cannot be applied to the other. Unfortunately, a rigid separation between the two sub-languages means that binary choice, for example, conventionally invites two notations with identical semantics —e.g.; in **C** one writes `if (cond) clause1 else clause2` for statements but must use the notation `cond ? term1 : term2` for values. Hence, there are value and statement languages.

Let us continue using the **C** language for our examples since it is so ubiquitous and has influenced many languages. Such a choice has the benefit of referring to a concrete language, rather than speaking in vague generalities. Besides Agda —our language of choice— we shall also refer to Haskell as a representative of the functional side of programming. For example, in Haskell there is no distinction between values and statements —the latter being a particular instance of the former— and so it uses the same notation `if ... then ... else ...` for both. However, in practice, statements in Haskell are more pragmatically used as a body of a `do` block for which the rules of conditionals and local variables change —hence, Haskell is not as uniform as it initially appears.

In **C**, one declares an integer value by `int x`; but a value of a user-defined type **T** is declared `struct T x`; since, for simplicity, one may think of **C** having an array named `struct` that contains the definitions of user-defined types **T** and the notation `struct T` acts as an array access. Since this is a clunky notation, we can provide an alias using the declaration `typedef existing-name new-name`;. Unfortunately, the existing name must necessarily be a type, such as `struct T` or `int`, and cannot be an arbitrary term. One must use `#define` to produce term aliases, which are handled by the **C** preprocessor, which also provides `#include` to ‘copy-paste import’ existing libraries. Hence, the type language is distinct from the libraries language, which is part of the preprocessor language.

In contrast, Haskell has a pragma language for enabling certain features of the compiler. Unlike **C**, it has an interface language using type-`class`-es which differs from its `module` language [DJH; SHH01; She] since the former’s names may be qualified by the names of the latter but not the other way around. In turn, type-`class` names may be used as constraints on types, but not so with `module` names. It may be argued that this interface language is part of the type language, but it is sufficiently different that it could be thought of as its own language [Ler00] —for example, it comes with keywords `class`, `instance`, `=>` that can only appear in special phrases. In addition, by default, variable declarations are the same for built-in and user-defined types —whereas **C** requires using `typedef` to mimic such behaviour. However, Haskell distinguishes between term and type aliases. In contrast, Agda treats aliasing as nothing more than a normal definition.

Certain application domains require high degrees of confidence in the correctness of software. Such program verification settings may thus have an additional specification language. For C, perhaps the most popular is the ANSI C Specification Language, ACSL [BP10]. Besides the C types, ACSL provides a type `integer` for specifications referring to unbounded integers as well as numerous other notions and notations not part of the C language. Hence, the specification language generally differs from the implementation language. In contrast, Haskell’s specifications are generally [Hal+] in comments but its relative Agda allows specifications to occur at the type level.

Whether programs actually meet their specifications ultimately requires a proof language. For example, using the Frama-C tool [VME18], ACSL specifications can be supported by Isabelle or Coq proofs. In contrast, being dependently-typed, Agda allows us to use the implementation language also as a proof language —*the only distinction is a shift in our perspective; the syntax is the same*. Tools such as Idris and Coq come with ‘tactics’ — algorithms which one may invoke to produce proofs— and may combine them using specific operations that only act on tactics, whence yet another tongue.

Hence, even the simplest of programming languages contain the first three of the following sub-languages —types may be treated at runtime.

1. Expression language;
2. Statement, or control flow, language;
3. Type language;
4. Specification language;
5. Proof language;
6. Module language;
7. Meta-programming languages —including Coq tactics, C preprocessor, Haskell pragmas, Template Haskell’s various quotation brackets `[x| ...]`, Idris directives, etc.

As briefly discussed, the first five languages telescope down into one uniform language within the dependently-typed language Agda. So why not the module language?

1.2 Needless Distinctions for Containers

Computing is compositionality. Large mind-bending software developments are formed by composing smaller, much more manageable, pieces together. How? In the previous section we outlined a number of languages equipped with term constructors, yet we did not indicate which were more primitive and which could be derived.

The methods currently utilised are ad hoc, e.g., “dump the contents of packages into a new über package”. What about when the packages contain conflicting names? “Make an über package with field names for each package’s contents”. What about viewing the new über package as a hierarchy of its packages? “Make conversion methods between the two representations.” These tedious and error-prone operations *should be* mechanically derivable.

In general, there are special-purpose constructs specifically for working with packages of “usual”, or “day-to-day” expression- or statement-level code. That is, a language for working with containers whose contents live in another language. This forces the users to think of these constructs as rare notions that are seldom needed —since they belong to an ephemeral language. They are only useful when connecting packages together and otherwise need not be learned.

When working with mutually dependent modules, a simple workaround to cyclic type-checking and loading is to create an interface file containing the declarations that dependents require. To mitigate such error-prone duplication of declarations, one may utilise literate programming [Knu84] to tangle the declarations to multiple files —the actual parent module and the interface module. This was the situation with Haskell before its recent module signature mechanism [Kil+14]. Being a purely functional language, it is unsurprising that Haskell treats nested record field updates awkwardly: Where a C-like language may have $a.b.c := d$, Haskell requires $a \{ b = b \ a \{ c = d \} \}$ which necessarily has field names b , c polluting the global function namespace as field projections. Since a record is a possibly deeply nested list of declarations, it is trivial to flatten such a list to mechanically generate the names “ $a-b-c$ ” —since the dot is reserved— unfortunately this is not possible in the core language thereby forcing users to employ ‘lenses’ [Rom20] to generate such accessors by compile-time meta-programming. In the setting of DTLs, records in the form of nested Σ -types tend to have tremendously poor performance —in existing implementations of Coq [GCS14] and Agda [Per17], the culprit generally being projections. More generally, what if we wanted to do something with packages that the host language does not support? “Use a pre-processor, approximate packaging at a different language level, or simply settle with what you have.”

Main Observation Packages, modules, theories, contexts, traits, typeclasses, interfaces, what have you all boil down to dependent records at the end of the day and *really differ* in *how* they are used or implemented. At the end of section 3 we demonstrate various distinct presentations of such notions of packaging arising from a single package declaration.

1.3 Novel Contributions

The thesis investigates the current state of the art of grouping mechanisms —sometimes referred to as modules or packages—, their shortcomings, and implementing candidate solutions based upon a dependently-typed language.

The introduction of first-class structuring mechanisms drastically changes the situation by allowing the composition and manipulation of structuring mechanisms within the language itself. Granted, languages providing combinators for structuring mechanisms are not new; e.g., such notions already exist for Full Maude [DM07] and B [BGL06]. The former is closer in spirit to our work, but it differs from ours in that it is based on a *reflective logic*: A logic where certain aspects of its metatheory can be faithfully represented within the logic itself. Not only does the meta-theory of our effort not involve reflection, but our distinctive attribute is that our aim is to form powerful module system features for Dependently-Typed Languages (DTLs).

To the uninitiated, the shift to DTLs may not appear useful, or at least would not differ much from existing approaches. We believe otherwise; indeed, in programming and, more generally, in mathematics, there are three —below: 1, 2a, 2b— essentially equivalent perspectives to understanding a concept. Even though they are equivalent, each perspective has prompted numerous programming languages; as such, the equivalence does not make the selection of a perspective irrelevant. The perspectives are below, and examples in the subsequent table.

1. “Point-wise” or “Constituent-Based”: A concept is understood by studying the concepts it is “made out of”.

Common examples include:

- ◊ *Extensionality*: A mathematical set is determined by the elements it contains.
- ◊ A method is determined by the sequence of statements or expressions it is composed from.
- ◊ A package —such as a record or data declaration— is determined by its components, which may be *thought of* as fields or constructors.

Object-oriented programming is based on the notion of inheritance which is founded on the “has a” and “is a” relationships.

2. “Point-free” or Relationship Based: A concept is understood by its relationship to other concepts in the domain of discourse.

This approach comes into two sub-classifications:

- (a) “First Class Citizen” or “Concept as Data”: The concept is treated as a static entity and is identified by applying operations *onto it* in order to observe its nature.

Common examples include:

- ◊ A singleton set is a set whose cardinality is 1.
- ◊ A method, in any coding language, is a value with the ability to act on other values of a particular type.
- ◊ A renaming scheme to provide different names for a given package; more generally, applicative modules.

- (b) “Second Class Citizen” or “Concept as Method”: The concept is treated as a dynamic entity that is fed input stimuli and is understood by its emitted observational output.

Common examples include:

- ◊ A singleton set is a set for which there is a unique mapping to it from any other set. Input any set, obtain a map from it to the singleton set.
- ◊ A method, in any coding language, is unique up to observational equality: Feed it arguments, check its behaviour. Realistically, one may want to also consider efficiency matters.
- ◊ Generative modules as in the `new` keyword from object-oriented programming: Basic construction arguments are provided and a container object is produced.

Observing such a sub-classification as distinct led to traditional structural programming languages, whereas blurring the distinction somewhat led to functional programming.

Table 1.1: Four ways to perceive ‘the’ empty collection \emptyset , and associated theory

(1)	Extensional	$X = \emptyset \equiv (\forall e \bullet e \in X \equiv \text{false})$	Predicate Logic
(2)	Intensional	$X = \emptyset \equiv (\forall Y \bullet X \subseteq Y)$	Set Theory
(2a)	Data	$X = \emptyset \equiv \#X = 0$	Numbers-as-Sets
(2b)	Method	$X = \emptyset \equiv (\forall Y \bullet \exists_1 f \bullet f \in (X \rightarrow Y))$	Function Theory

A simple selection of equivalent perspectives leads to wholly distinct paradigms of thought. It is with this idea that we seek to implement first-class grouping mechanisms in a dependently typed language —theories have been proposed, on paper, but as just discussed *actual design decisions may have challenging impacts on the overall system*. Most importantly, this is a *requirements driven* approach to coherent modularisation constructs in dependently typed languages.

Later on, we shall demonstrate that with a sufficiently expressive type system, a number of traditional programming notions regarding ‘packaging up data’ become conflated—in particular: Records and modules; which for the most part can all be thought of as “dependent products with named components”. Languages without such expressive type systems necessitate certain constraints on these concepts according to their intended usage—e.g., no multiple inheritance for Java’s classes and only one instance for Haskell’s typeclasses. It is not clear whether such constraints have been brought to more expressive languages out of necessity, convention, or convenience. Hence, in chapter 3, we perform a systematic exploration of the structuring-mechanism design space for DTLs as a starting point for the design of an appropriate dependently-typed module system (§ 3). Along the way, we intend to provide a set of atomic combinators that suffice as building blocks for generally desirable features of grouping mechanisms, and moreover we intend to provide an analyses of their interactions.

That is, we want to look at the edge cases of the design space for structuring-mechanism *systems*, not only what is considered convenient or conventional. Along the way, we will undoubtedly encounter useless or non-feasible approaches. The systems we intend to consider

would account for, say, module structures with intrinsic types —hence treating them as first class concepts— so that our examination is based on sound principles.

Understandably, some of the traditional constraints have to do with implementations. For example, a Haskell typeclass is generally implemented as a dictionary that can, for the most part, be inlined whereas a record is, in some languages, a contiguous memory block: They can be identified in a DTL, but their uses force different implementation methodologies and consequently they are segregated under different names.

In summary, our research builds upon the existing state of module systems [DCH03] in a dependently-typed setting [Mac86] which is substantiated by developing practical and pragmatic tools. Our outcomes include:

1. A clean module system for DTLs that treats modules uniformly as any other value type.
2. A variety of use-cases contrasting the resulting system with previous approaches.
 - ◊ We solve the so-called unbundling problem and demonstrate —using our implemented tools— how pushout and homomorphisms constructions, among many others, can be *mechanically* obtained.
3. A module system that enables rather than inhibits efficiency.
4. Demonstrate that module features traditionally handled using meta-programming can be brought to the data-value level; thereby not actually requiring the immense power and complexity of meta-programming.

Most importantly, we have implemented our theory thereby obtaining validation that it ‘works’. We provide an extensible Emacs interface as well as an Agda library for forming module constructions.

1.4 Overview of the Remaining Chapters

When a programming languages does not provide sufficiently expressive primitives for a concept —such as typeclass derivation [BLS18]— users use some form of pre-processing to accomplish their tasks. In our case, the insufficient primitives are regarding the creation and manipulation of theories —i.e., records, classes, packages, modules. In section 3 , we will demonstrate an prototype that clarified the requirements of our envisioned system. Even though the prototype appears to be metaprogramming, the aim is not to force users interested in manipulating packages to worry about the intricacies of representations; that is, the end goal is to avoid metaprogramming —which is an over-glorified form of preprocessing. The goal is to *use a dependently-typed language to implement the ‘missing’ module system features directly inside the language.*

An important design decision is whether the resulting development is intended to be reasoned about or not. If reasoning is important, then a language that better supports it is ideal. That is why we are using Agda —using a simpler language and maintaining data invariants eventually becomes much harder [LM13].

The remainder of the thesis is organised as follows.

◇ **§3 Examples from the wild**

There are a host of repeated module patterns since modules are not a first-class construct. We look at three Agda libraries and extract “module design patterns for dependently-typed programming”. To the best of our knowledge, we are the first to formalise such design patterns for dependently-typed languages. Three other, non-module, design patterns are discussed in [OS08].

◇ **§3 Metaprogramming Module Meta-primitives**

To show that first-class modules are *reasonable*, we begin by providing `PackageFormer` [ACK19]: A specification and manipulation language for modules, for Agda. To show that the approach is promising, we demonstrate how some problems from §3 can be tackled.

- The tool is a **practical** sandbox for exploring do-it-yourself grouping mechanisms: From pushouts and pullbacks, to forming homomorphism types over a given theory.

◇ **§3 Module Meta-primitives as Library Methods**

The ideas learned from making the powerful `PackageFormer` prototype lead us to form the less-powerful `Context` framework, which has the orthogonal benefit of being an Agda library rather than an external pre-processing tool.

- Along the way, we solve the **unbundling problem**: Features of a structure may be exposed at the type level as-needed.

◇ **§3 Conclusion: The lingua franca dream as reality**

We compare the external `PackageFormer` tool with the `Context` library, and discuss how the latter has brought us closer to our original goal of having a single language for expressing values, types, and modules.

It has been an exciting journey, I hope you enjoy the ride!

Chapter 2

The First Choice — Why DTLs, Why Agda?

Programming language communities whose language has a powerful type system, such as Haskell’s, have proverbs such as “if it typechecks, ship it!” Such phrases are mostly in praise of the language’s impressive type system. However, the motto is not flawless; e.g., consider [McB04] the Haskell term `if null xs then tail xs else xs` —it typechecks, but crashes at run time since empty lists have no (strictly smaller) tail. Dependently typed languages (DTLs) provide a static means of expressing the significance of particular values in legitimising some computations rather than others.

Dependent-types provide an immense level of expressivity thereby allowing varying degrees of precision to be embedded, or omitted, from the type of a declaration. This overwhelming degree of freedom comes at the cost of common albeit non-orthogonal styles of coding and compilation, which remain as open problems that are only mitigated by awkward workarounds such as Coq’s distinction of types and propositions for compilation efficiency. The difficulties presented by DTLs are outweighed by the opportunities they provide [AMM05] —of central importance is that they blur distinctions between usual programming constructs [Mac86], which is in alignment with our thesis.

The *purpose* of this section is to establish the necessary foundational aspects of dependently-typed languages (DTLs) by reviewing the existing DTLs and narrowing on Agda in particular.

Rather than dictatorially declare that Agda is the ideal setting for our research, we shall consider the possible candidates —only after arguing that dependently-typed languages provide power, and complexity, for our tasks. Having decided to use Agda, we provide a quick tutorial on the language and on dependent types. Finally, we conclude with demonstrating our observation of “all packaging mechanisms are essentially the same” formally through Agda examples by simulating different grouping constructs in the language.

2.1 Why DTLs?

In this section, we argue that dependently-typed languages constitute a poorly understood domain in comparison to their more popular counterparts, such as the functional language Haskell and the imperative language JavaScript. To keep the discussion self-contained, we first provide a quick, informal, overview of the power allotted by dependent types—a more formal introduction, backed by typechecked code, is presented later in §??.

Dependent-types allow us to encode properties of data *within the structure* of the data itself, and so all the data we consider is necessarily ‘well-formed’. In contrast, without dependent types, one would (1) declare a data structure, *then* (2) define the subclass of such data that is ‘well-formed’ in some sense; *then*, (3) to work with this data, one provides an interface that only produces well-formed data, a so-called ‘smart constructor’, *finally*, one needs to test that their smart constructor actually only forms well-defined data elements. For instance, raw untyped λ -terms are not all sensible, and so one introduces types to organise them into sensible classes, then introduces inference rules that ensure only sensible terms are constructed.

DTLs flatten the conventional four-stage process of declaring raw data, selecting a coherent subclass, providing a smart constructor, and proving the constructor is valid.

We shall explain this idea more concretely via two examples, in the following two sections. The Agda fragments presented will be explained in the accompanying text—an introduction to Agda is given in §??. Afterword, we conclude by briefly mentioning theoretical concerns when working with DTLs and, more importantly for topic on modularisation, issues of a more practical nature involving library development.

2.1.1 Example 1: Sanitising raw data

When interacting with users, a system receives raw data then ‘sanitises’ it, or ensures it is ‘sanitised’. For instance, to subscribe to a mailing list, a user provides a string of symbols which the program then ensures it is a well-formatted email address. Below is a possible implementation of the email address portion within Haskell—the comments are a designers thought process as *allowed* by the coding language.

Traditional Four Stages to Structuring Data

```

{- (1) An email address is just a raw string -}
data Email = MkEmail String deriving Show

{- (2) Actually, it has some structure -}
isValid :: Email -> Bool
isValid (MkEmail s) = let pre_rest = splitOn "@" s
                        in length pre_rest == 2
                        && length (splitOn ".com" (pre_rest !! 1)) == 1

{- (3) Given two strings, we can form an email address -}
mkEmail :: String -> String -> Email
mkEmail pre post = MkEmail (pre ++ "@" ++ post ++ ".com")

{- (4) Also, mkEmail is a smart constructor for Email -}
{-  $\forall$  pre post • isValid (mkEmail pre post) -}

```

With dependent types, we can *encode* structural¹ properties: We can declare a type of strings necessarily of the form $\langle \text{string} \rangle @ \langle \text{string} \rangle . \text{com}$, thereby dispensing with any sanitation phase. In particular, in this style, a parser is essentially a type-checker. Moreover such checks happen at compile time since these are just like any other type.

Parsing \approx Typechecking

```

data Email : String  $\rightarrow$  Set where
  MkEmail : (pre post : String)  $\rightarrow$  Email (pre ++ "@" ++ post ++ ".com")

```

The above declaration defines a new type `Email s` with values `MkEmail pre post` *precisely when* $s \approx \text{pre} ++ "@" ++ \text{post} ++ ".com"$. Hence, any value of `Email s` is, by its very construction, a pair of strings, say, `pre` and `post` that compose to give the original address `s`. The above four steps in Haskell have been reduced to a single declaration in Agda.

What happened exactly? Where are the dependent-types? Let X denote the type of strings, Y the type of pairs of strings, P the property “ x is composed of the pair y ”, and the lower-case p is the proviso in the Haskell code above. Let \mathcal{Y} absorb the proviso property p —in the Agda code, this amounts to “building p into the type”—so that $y \in \mathcal{Y}(x) \equiv p(x, y)$. Then the transition from specification, to Haskell implementation, to Agda code can be summarised in the following chain of equalities.

$$\begin{aligned}
 & \text{Every email address decomposes into a pair of strings} \\
 \approx & \forall x : X \bullet \exists y : Y \bullet p(x, y) \wedge P(x, y) \\
 \approx & \forall x : X \bullet \exists y : \mathcal{Y}(x) \bullet P(x, y)
 \end{aligned}$$

¹Arbitrary, semantic, properties can be attached to data constructors. However, properties encoded via syntactic structure can be mechanically checked via typechecking. Whereas needing a *proof of a property* may require human intervention.

The type \mathcal{Y} is a dependent type: It is a type that *depends* on a term; namely, \mathbf{x} .

When claims only hold under certain expected premises, it would be easier to reason and state the claims if such preconditions were incorporated into the types. This is common practice in mathematics —e.g., “the maximum operation over real numbers has a least element when *only considering* non-negative whole numbers” versus “the maximum operation *on naturals* has a least element”; i.e., mathematicians *declare a new set* $\mathbb{N} = \{r : \mathbb{R} \mid r \geq 0 \wedge \lceil r \rceil = r\}$. However, in conventional programming, there is no way to *form such a new type* denoting “the values of type A that satisfy property B ”; unless you have access to dependent types, which call this type $\Sigma \mathbf{a} : A \bullet B(\mathbf{a})$.

2.1.2 Example 2: Correct-by-Construction Programming

Program verification is an ‘after the fact’ activity, like documentation; yet when a project behaves as desired, programmers seldom willingly go back to clean up and instead prefer a new project. This dissociation of concerns is remedied by enabling program verification to proceed side-by-side with development [Gri81; Coh90; Dij76]: Each proof of a program property acts as exhaustive test cases for that property.

With a careful specification of the type, there is only one program!

For example, suppose we want an implementation of a function f specified by the property $f\ 0 = 1 \wedge f\ (n + 1) = n \times f\ n$, for any n . The first conjunct completely determines f on input 0, however an inattentive implementer may decide to define $f\ n := f\ (n + 1) / n$. The resulting ‘definition’ clearly satisfies the specification, but it does not terminate on any positive input since it recursively calls itself on ever increasing arguments!

In comparison, since Agda requires all its functions to be terminating, after insisting the specification obligations hold by definition, `refl`, we turn to defining `f` by pattern matching and its implementation from there is fully forced: There are no more choices in implementation! Then, Agda’s Emacs ‘proof finder’ Apsy automates the definition of `f`: There is only one road to defining `f` so that the constraints hold by ‘refl’exivity —i.e., by definition.

Correct-by-Construction Programming

```
factorial :  $\Sigma f : (\mathbb{N} \rightarrow \mathbb{N}) \bullet f\ 0 \equiv 1 \times (\forall \{n\} \rightarrow f\ (1 + n) \equiv n * f\ n)$ 
factorial = f , refl , refl
  where f :  $\mathbb{N} \rightarrow \mathbb{N}$ 
        f zero      = 1
        f (suc n)   = n * f n
```

By utilising dependent types, run time errors —failures occurring during program execution, such as non-emptiness or well-formedness conditions— are transported to compile

time, which are errors caught during typechecking. This is in itself a tremendously amazing feature.

Dependent types enable all errors, including logical errors, to become type checking errors!

Regarding the middle clause, *including logical errors*, suppose we are interested in a utility function whose inputs must be even numbers, or rather any commutable precondition p . In simpler type systems, such as JavaScript’s, we could throw an exception if the input does not satisfy it or simply return a `null`, which need then needs to be handled at the call site by using conditionals or try-catch blocks. Instead of all of this explicit plumbing, DTLs allow us to define types and let the compiler handle the grunt work. That is, in a DTL we could encode the precondition directly into the function’s type.

2.1.3 The trials and tribulations of working with dependent types

Since a *dependently-typed language* is a typed language —i.e., a formal syntactic grammar and associated type system— where we can write *types* that depend on *terms*; consequently types may require non-trivial term calculation in order to be determined [McK06]. A glaring drawback is that types now depend on term calculations thereby rendering type checking, and type inference, to be difficult if not impossible [Dow93]. E.g., later we shall define the type `Vec A n` of lists of elements of `A` having length `n`, then, for instance, `Vec String (factorial 100)` is the type of really long lists of strings —the length will take some time to calculate.

Unsurprisingly, “doing” dependent typing “right” is still an open issue [Bra05; Bla10; LMS10; Bra; Wei]. In particular, after more than 30 years after Martin- L f’s work on the type theory [Mar85; MS84], it is still unclear how such typing should be implemented so that the result is usable and well-founded. Of interest is Agda which claims to have achieved this desired ground but, in reality, it is seldom used as a programming language due to efficiency issues; in contrast, Idris aims at efficiency but its use as a proof assistant is somewhat lacking in comparison to Agda. Below are a few other issues that demonstrate the non-triviality of problems in dependently-typed languages.

1. Should programs be total for the sake of consistency or can they be partially defined?
2. Do we allow the “Type in Type” axiom [Rus; Alt; Car; Luo90]?
3. What about “Axiom K” expressing *almost* the recursion scheme of identity types [Str93; McB00a; CDP14; GMM06; McB00b; HS94; Wer08]?
4. Should dependent pattern matching give us more information about a type? How does this interact with side effects?
5. Should unification be proof-relevant; i.e., to consider the *ways* in which terms can be made equal [CD18]?

6. How do subtypes, which classically require proof irrelevance, tie into the paradigm?
7. How does proof-term erasure work [TB; BMM03; MS08; Has15]]?
8. When are two values, or programs, or types equal: When they have the same type?
9. Should a language permit non-termination or require explicit co-data?

Besides technical concerns, there are also pressing practical concerns. Since dependent types blur the distinction between value and type —thereby conflating many traditional programming concepts— library design becomes pretty delicate.

- ◊ For example, the method that extracts the first element of a list can in traditional languages be assigned usually two types —one with an explicit exception decoration such as Haskell’s `Maybe` or C#’s `Nullable`, or without this and instead throwing an (implicit) exception. In addition, in a DTL, we can instead decorate the list with a positive length to avoid exceptions altogether, or request a non-emptiness proof, or output a dependent pair consisting of a proof that the input list is non-empty and, if so, an element of that list, or do we request as input a dependent pair consisting of a list and a non-emptiness proof —note that this is a Σ -type, in contrast to the curried form from earlier—, or \dots .
- ◊ Moreover, when a function is written *which* properties should be attached to the resulting type and which should be stated separately?

For example, if we write an append function for lists, do we separately prove that the length of an append is the sum of the lengths of its arguments, or do we encode that information into the return type by means of a dependent pair?

Hence programming style becomes vastly more important in DTLs since simple functions can have a diverse set of typings. In particular, this can lead to ‘duplication’ of code: Dependently-typed and simply typed variants of the ‘same’ concept, as well as the methods & proofs that operate on them; e.g., N-indexed vectors vs. lists, [KG13; BG13; McB]. So much for the DRY² Principle. Since in a DTL records and modules are conflated, perhaps the structuring-mechanism combinators resulting from this research could reduce some of the ‘duplication’.

We, as a community, are decidedly still learning about the role of dependent types in programming!

2.2 DTLs Today, a précis

We want to implement solutions in a dependently typed language. Let us discuss which are active and their capabilities.

²Don’t Repeat Yourself

To the best of our knowledge, as confirmed by Wikipedia [18b; 18a], there are currently less than 15 *actively developed* dependently-typed languages in-use *that are also used* as proof-assistants —which are interesting to us since we aim to mechanise all of our results: Algorithms as well as theorems. Below is a quick summary of our stance on the primary candidates.

Language	Primary reason it is not used in-place of Agda
Coq	Tactics reinforce a fictitious divide between propositions and types
Idris	Records can be parameterised but not indexed
Lean	Rapid development of Lean has left it backward incompatible and unstable
ATS	Weak module system
F*, Beluga	The language is immature; it has little support

2.2.1 Agda —“Haskell on steroids”

Agda [BDN09; Nor07] is one of the more popular proof assistants around; possibly due to its syntactic inheritance from Haskell —as is the case with Idris. Its Unicode mixfix lexemes permit somewhat faithful renditions of informal mathematics; e.g., calculational proofs can be encoded in seemingly informal style that they can be easily read by those unfamiliar with the system. It also allows traditional functional programming with the ability to ‘escape under the hood’ and write Haskell code. The language has not been designed solely with theorem proving in mind, as is the case for Coq, but rather has been designed with dependently-typed programming in mind [Jef13; WK18].

The current implementation of the Agda language has a notion of second-class modules which may contain sub-modules along with declarations and definitions of first-class citizens. The intimate relationship between records and modules is perhaps best exemplified here since the current implementation provides a declaration to construe a record as if it were a module. This change in perspective allows Agda records to act as Haskell typeclasses. However, the relationship with Haskell is only superficial: Agda’s current implementation does not support sharing. In particular, a parameterised module is only syntactic sugar such that each member of the module actually obtains a new functional parameter; as such, a computationally expensive parameter provided to a module invocation may be intended to be computed only once, but is actually computed at each call site.

2.2.2 Coq —“The standard proof assistant”

Coq [Pau; GCS14] is unquestionably one of, if not, the most popular proof assistant around. It has been used to produce mechanised proofs of the infamous Four Colour Theorem [Gon], the Feit-Thompson Theorem [Gon+13], and an optimising compiler for the C language: CompCert [Com18; KLW14].

Unlike Agda, Coq supports tactics [Asp+] —a brute force approach that renders (hundredfold) case analysis as child’s play: Just refine your tactics till all the subgoals are achieved. Ultimately the cost of utilising tactics is that a tactical proof can only be understood with the aid of the system, and may otherwise be un-insightful and so failing to meet most of the purposes of proof [Far18] —which may well be a large barrier for mathematicians who value insightful proofs.

The current implementation of Coq provides the base features expected of any module system. A notable difference from Agda is that it allows to “copy and paste” contents of modules using the `include` keyword. Consequently it provides a number of module combinators, such as `<+` which is the infix form of module inclusion [Coq18]. Since Coq module types are essentially contexts, the module type `X <+ Y <+ Z` is really the catenation of contexts, where later items may depend on former items. The Maude [Cla+07; DM07] framework contains a similar yet more comprehensive algebra of modules and how they work with Maude theories.

As the oldest proof assistant, in a later section we shall compare and contrast its module system with Agda’s to some depth.

2.2.3 Idris —“Agda with tactics”

Idris [Bra11] is a general purpose, functional, programming language with dependent types. Alongside ATS, below, it is perhaps the only language in our list that can truthfully boast to being general purpose and to have dependent types. It supports both equational and tactic based proof styles, like Agda and Coq respectively; unlike these two however, Idris erases unused proof-terms automatically rather than forcing the user to declare this far in advance as is the case with Agda and Coq. The only (negligible) downside, for us, is that the use of tactics creates a sort of distinction between the activities of proving and programming, which is mostly fictitious.

Intended to be a more accessible and practical version of Agda, Idris implements the base module system features and includes interesting new ones. Until *recently*, in Agda, one would write `module _ (x : N) where ...` to parameterise every declaration in the block `1...j` by the name `x`; whereas in Idris, one writes `parameters (x : N) ...` to obtain the *same behaviour* —which Agda has since improved upon it via ‘generalisation’: A declaration’s type gets only the variables it actually uses, not every declared parameter.

Other than such pleasantries, Idris does not add anything of note. However, it does provide new constraints. As noted earlier, the current implementation of Idris attempts to erase implicits aggressively therefore providing speedup over Agda. In particular, Idris modules and records can be parameterised but not indexed —a limitation not in Agda.

Unlike Coq, Idris has been designed to “emphasise general purpose programming rather than theorem proving” [Idr18; Bra16]. However, like Coq, Idris provides a Haskell-looking typeclasses mechanism; but unlike Coq, it allows named instances. In contrast to Agda’s

record-instances, typeclasses result in backtracking to resolve operator overloading thereby having a slower type checker.

2.2.4 Lean —“Proofs for metaprogramming”

Lean [Mou+15; Mou16] is both a theorem prover and programming language; moreover it permits quotient types and so the usually-desired notion of extensional equality. It is primarily tactics-based, also permitting a `calc`-ulational proof format not too dissimilar with the standard equational proof format utilised in Agda.

Lean is based on a version of the Calculus of Inductive Constructions, like Coq. It is heavily aimed at metaprogramming for formal verification, thereby bridging the gap between interactive and automated theorem proving. Unfortunately, inspecting the language shows that its rapid development is not backwards-compatible —Lean 2 standard libraries have yet to be ported to Lean 3—, and unlike, for example, Coq and Isabelle which are backed by other complete languages, Lean is backed by Lean, which is unfortunately too young to program various tactics, for example.

2.2.5 ATS —“Dependent types for systems programming”

ATS, the Applied Type System [ATS18; CX05], is a language that combines programming and proving, but is aimed at unifying programming with formal specification. With the focus being more on programming than on proving.

ATS is intended as an approach to practical programming with theorem proving. Its module system is largely influenced by that of Modula-3, providing what would today be considered the bare bones of a module system. Advocating a programmer-centric approach to program verification that syntactically intertwines programming and theorem proving, ATS is a more mature relative of Idris —whereas Idris is Haskell-based, ATS is OCaml-based.

ATS is remarkable in that its performance is comparable to that of the C language, and it supports secure memory management by permitting type safe pointer arithmetic. In some regard, ATS is the fusions of Ocaml, C, and dependent types. Its module system has less to offer than Coq’s.

2.2.6 F* —“The immature adult”

The F* [F T18] language supports dependent types, refinement types, and a weakest precondition calculus. However it is primarily aimed at program verification rather than general proof. Even though this language is roughly nine years in the making, it is not mature —one encounters great difficult in doing anything past the initial language tutorial.

The module system of F^* is rather uninteresting, predominately acting as namespace management. It has very little to offer in comparison to Agda; e.g., within the last three years, it obtained a typeclass mechanism —regardless, typeclasses can be simulated as dependent records.

2.2.7 Beluga

The distinctive feature and sole reason that we mention this language is its direct support for first-class contexts [Pie10]. A term $t(x)$ may have free variables and so whether it is well-formed, or what its type could be, depends on the types of its free variables, necessitating one to either declare them before hand or to write, in Beluga, $[x : T \vdash t(x)]$ for example. As we have mentioned, and will reiterate a few times, contexts are behaviourally indistinguishable from dependent sums.

A displeasure of Beluga is that, while embracing the Curry-Howard Correspondence, it insists on two syntactic categories: Data and computation. This is similar to Coq’s distinction of **Prop** and **Type**. Another issue is that to a large degree the terms one uses in their type declarations are closed and so have an empty context therefore one sees expressions of the form $[\vdash t]$ since t is a closed term needing only the empty context. At a first glance, this is only a minor aesthetic concern; yet after inspection of the language’s webpage, tutorials, and publication matter, it is concerning that nearly all code makes use of empty contexts —which are easily spotted visually. The tremendous amount of empty contexts suggests that the language is not actually making substantial use of the concept, or it is yet unclear what pragmatic utility is provided by contexts, and, in either way, they might as well be relegated to a less intrusive notation. Finally, the language lacks any substantial standard libraries thereby rendering it more as a proof of concept rather than a serious system for considerable work.

2.2.8 Notable Mentions

The following are not actively being developed, as far we can tell from their websites or source repositories, but are interesting or have made useful contributions.

- ◊ In contrast to Beluga, Isabelle is a full-featured language and logical framework that also provides support for named contexts in the form of ‘locales’ [Bal03; KWP99]; unfortunately it is not a dependently-typed language —though DTLs can be implemented in it.
- ◊ Mizar, unlike the above, is based on (untyped) Tarski–Grothendieck set theory which in some-sense has a hierarchy of sets. Like Coq, it has a large library of formalised mathematics [Miz18; NK09; Ban+18].

- ◊ Developed in the early 1980s, Nuprl [PRL14] is constructive with a refinement-style logic; besides being a mature language, it has been used to provide proofs of problems related to Girard’s Paradox [Coq86].
- ◊ PVS, Prototype Verification System [Sha+01], differs from other DTLs in its support for subset types; however, the language seems to be unmaintained as of 2014.
- ◊ Twelf [PT15] is a logic programming language implementing Edinburgh’s Logical Framework [UCB08; Rab10; SD02] and has been used to prove safety properties of ‘real languages’ such as SML. A notable practical module system [RS09] for Twelf has been implemented using signatures and signature morphisms.
- ◊ Matita [Asp+06; Mat16] is a Coq-like system that is much lighter [Asp+09]; it is been used for the verification of a complexity-preserving C compiler.

Dependent types are mostly visible within the functional community, however this is a matter of taste and culture as they can also be found in imperative settings, [Nan+08], albeit less prominently.

Chapter 3

TODO Sections not yet written

Glossary

Curry-Howard Correspondence Programming and proving are essentially the same idea.

18

Dependent Function A function whose result type depends on the value of the argument.

16

do-notation Syntactic abbreviation that renders purely functional code as if it were sequential and imperative.. 22

homoiconic The lack of distinction between ‘data’ and ‘method’. E.g., ‘(+ 1 2)’ is considered a list of symbols, whereas the *unquoted* term (+ 1 2) is considered a function call that reduces to 3. 2

record Rather than holding a bunch of items in our hands and running around with them, we can put them in a bag and run around with it. That is, a record type bundles up related concepts so that may be treated as one coherent entity. If record types can ‘inherit’ from one another, then we have the notion of an ‘object’. 2

typeclass Essentially a dictionary that associates types with a particular list of methods which define the typeclass. Whenever such a method is invoked, the dictionary is accessed for the inferred type and the appropriate definition is used, if possible. This provides a form of ad-hoc polymorphism: We have a list of methods that appear polymorphic, but in-fact their definitions depend on a particular parent type. 2

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