CpE 520: HW#10

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Table of Contents

0.	MNIST Dataset	4												
	0.1 Downloading MNIST Dataset and Import into <i>Matlab</i>	4												
	0.2 Plotting a Sample of MNIST Dataset	4												
	0.3 Splitting MNIST into Folders by Their Labels	5												
	0.4 Loading Training Images into Matlab	6												
	0.5 Plot One Random Sample of Each Class	6												
1.	LeNet-5 Training	8												
	1.1 Defining LeNet-5 Architecture	8												
	1.2 Split Training Data into train and validation Subsets	9												
	1.3 Defining Options for Training	10												
	1.4 Learning Curves and Training Process	10												
2.	Confusion Matrices (10x10) of LeNet-5	13												
	2.1 Loading the Test Set of MNIST	13												
	2.2 Classifying Test and Train Datasets	13												
	2.3 Plotting Cofusion Matrices	14												
	2.3.1 Cofusion Matrix of Training Dataset	14												
	2.3.2 Cofusion Matrix of Test Dataset	15												
3.	Modified LeNet-5 Training													
	1.1 Defining Modified LeNet-5 Architecture	16												
	1.2 Defining Options for Training	17												
	1.4 Learning Curves and Training Process	18												
4.	Confusion Matrices (10x10) of Modified LeNet-5	20												
	4.2 Classifying Test and Train Datasets	20												
	4.3 Plotting Cofusion Matrices	21												
	4.3.1 Cofusion Matrix of Training Dataset	21												
	4.3.2 Cofusion Matrix of Test Dataset	22												
A	ppendix	23												
	A.1 Saving Workspace Variables for Future Use	23												
	A.2 Defition of Auxiliary Functions	23												

In this homework assignment, LeNet-5 neural network is used to classify MNIST handwritten digits into 10 classes.

```
1 clc; clear; close all;
2 load('HW10_code_workspace.mat');
```

0. MNIST Dataset

0.1 Downloading MNIST Dataset and Import into Matlab

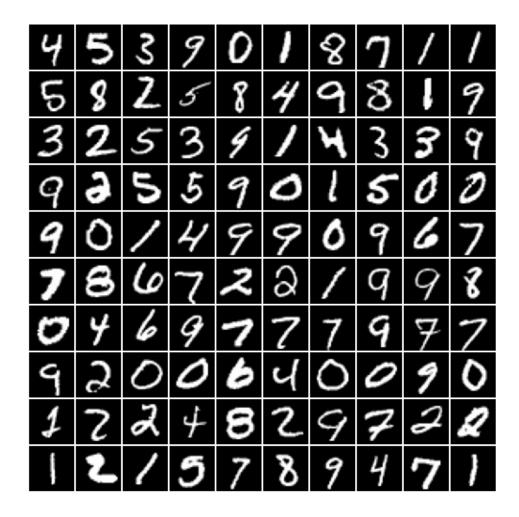
The code snippet below will download MNIST dataset from web and extract the downloaded file into Matlab matrices as test and train images and labels.

```
1  mnist_train_image = 'train-images-idx3-ubyte';
2  mnist_train_label = 'train-labels-idx1-ubyte';
3  mnist_test_image = 't10k-images-idx3-ubyte';
4  mnist_test_label = 't10k-labels-idx1-ubyte';
5  train_set_number = 60000;
6  test_set_number = 10000;
7  downloadMNIST(mnist_train_image, mnist_train_label, mnist_test_image, mnist_test_label);
```

```
MNIST dataset already downloaded.
```

0.2 Plotting a Sample of MNIST Dataset

A set of 100 randomly chosen samples of the training dataset is plotted here.



0.3 Splitting MNIST into Folders by Their Labels

After downloading the dataset, we need to put every single image in a folder which has the name equal to the image's label. By doing so, it will make it easier for us to take advantage of Matlab built-in functions to load the dataset into matlab workspace.

```
MNIST dataset already foldered!
```

0.4 Loading Training Images into Matlab

Here we have loaded the training images as *imageDataStore* type in Matlab because large datasets are handled more easily with this specific type.

```
categories = {'0','1','2','3','4','5','6','7','8','9'};

rootFolder = 'mnistTrain';

imds = imageDatastore(fullfile(rootFolder, categories), ...

'LabelSource', 'foldernames');

clear rootFolder

disp(countEachLabel(imds));
```

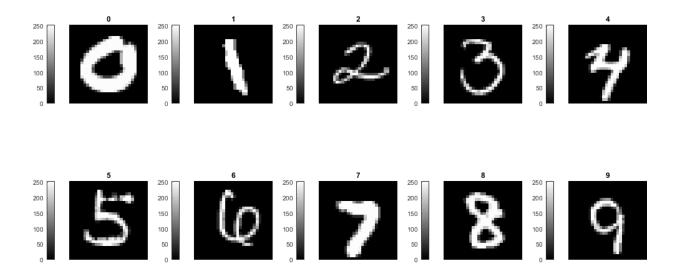
```
Label Count

0 5923
1 6742
2 5958
3 6131
4 5842
5 5421
6 5918
7 6265
8 5851
9 5949
```

0.5 Plot One Random Sample of Each Class

We plot one sample of every class in the training dataset with a color-bar which represents their pixel range values.

```
1 rand_nums = randi(size(imds.Files, 1)/10) + [0:6000:54000];
2
3 figure('Position', [100, 100, 1000, 500]);
4 for i = 1:10
5     subplottight(2, 5, i);
6     imshow(imread(imds.Files{rand_nums(i)}), 'border', 'tight');
7     title(char(imds.Labels(rand_nums(i))));
8     colorbar('westoutside');
9     hold on;
10 end
```



1. LeNet-5 Training

In this section we will define and train a neural network according to [lecun et al., 1998] paper.

1.1 Defining LeNet-5 Architecture

Input size in lecun's paper was 32x32 images however downloaded MNIST images are of size 28x28. Therefore we have used 'same' padding for the first convolutional layer (C1). By doing so, the dimension fo the rest of the neural network will be the same to the original paper.

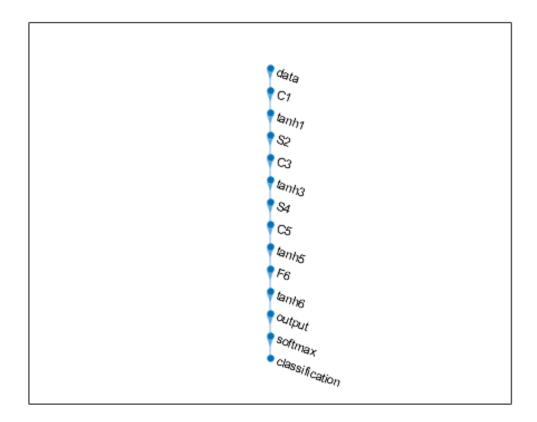
```
LeNet_5 = [
       imageInputLayer([28 28], 'Name', 'data')
       convolution2dLayer([5 5], 6, 'Name', 'C1', 'Stride', [1 1], 'Padding', 'same
4
       tanhLayer('Name', 'tanh1')
       averagePooling2dLayer([2 2], 'Name', 'S2', 'Stride', [2 2])
8
       convolution2dLayer([5 5], 16, 'Name', 'C3', 'Stride', [1 1])
9
       tanhLayer('Name', 'tanh3')
       averagePooling2dLayer([2 2], 'Name', 'S4', 'Stride', [2 2])
       convolution2dLayer([5 5], 120, 'Name', 'C5', 'Stride', [1 1])
14
       tanhLayer ('Name', 'tanh5')
       fullyConnectedLayer (84, 'Name', 'F6')
       tanhLayer('Name', 'tanh6')
18
       fullyConnectedLayer(10, 'Name', 'output')
       softmaxLayer('Name', 'softmax')
       classificationLayer('Name', 'classification')
24
       ];
   disp(LeNet_5);
```

```
14x1 Layer array with layers:
        'data'
                              Image Input
                                                           28x28x1 images with 'zerocenter' normalization
        'C1'
                               Convolution
                                                           6\ 5x5 convolutions with stride [1 \ 1] and padding 'same'
        'tanh1'
                                                           Hyperbolic tangent
                               Tanh
                                                           2x2 average pooling with stride [2 \quad 2] and padding [0 \quad 0 \quad 0
        'S2'
                               Average Pooling
        0.1
                                                           16 5x5 convolutions with stride [1 1] and padding [0 0 0
       'C3'
                               Convolution
        0]
        'tanh3'
                              Tanh
                                                           Hyperbolic tangent
                                                           2x2 average pooling with stride \begin{bmatrix} 2 & 2 \end{bmatrix} and padding \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}
                               Average Pooling
       'S4'
         0]
        'C5'
                              Convolution
                                                           120~5x5 convolutions with stride [1 \quad 1] and padding [0 \quad 0 \quad 0]
        0]
```

```
Hyperbolic tangent
84 fully connected layer
Hyperbolic tangent
      'tanh5'
                              _{\mathrm{Tanh}}
10
      'F6'
                              Fully Connected
      'tanh6'
11
                              Tanh
      'output'
                              Fully Connected
12
                                                             10 fully connected layer
      'softmax'
                                                              \operatorname{softmax}
13
                              Softmax
      'classification '
                              Classification Output crossentropyex
```

In the figure below, we can see the whole network at a glance:

```
1 analyzeNetwork(LeNet_5);
2 plot(layerGraph(LeNet_5));
```



1.2 Split Training Data into train and validation Subsets

15 percent of the 60,000 training images are assigned to the validation set and the rest are kept for the neural network training.

```
1 [imdsTrain, imdsValidation] = splitEachLabel(imds, 0.85, 'randomized');
```

```
2 disp(countEachLabel(imdsTrain));
```

```
Label Count

0 5035
1 5731
2 5064
3 5211
4 4966
5 4608
6 5030
7 5325
8 4973
9 5057
```

```
1 disp(countEachLabel(imdsValidation));
```

```
Label Count

0 888
1 1011
2 894
3 920
4 876
5 813
6 888
7 940
8 878
9 892
```

1.3 Defining Options for Training

We have used 15 epoches with mini-batch size of 512 to train the network.

1.4 Learning Curves and Training Process

We trained the network on single GPU system. The results are printed in a table every 50 iterations. Besides, the learning curves are plotted after the table. Finally the network reached 98.44% on training and 98.91% on validation subset. The training process took about 5 minutes long.

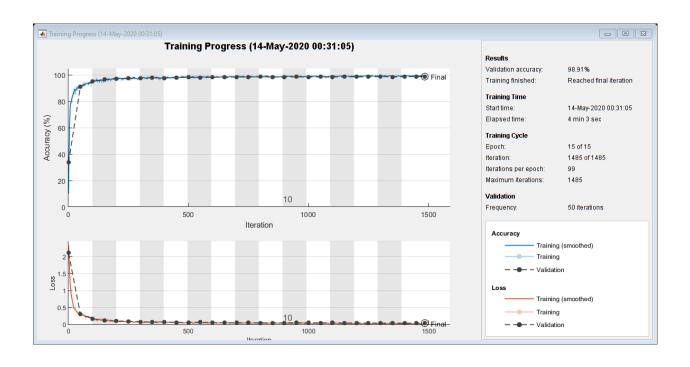
1 trained_LeNet_5 = trainNetwork(imdsTrain, LeNet_5, opts);

Warning: Support for GPU devices with Compute Capability 3.0 will be removed in a future MATLAB release. For more information on GPU support, see GPU Support by Release.

Training on single GPU.

Initializing input data normalization.

Epoch Learning		Time	Elapsed	ı	Mini-batch	I	Validation	ı	Mini-batch	ı	Validation	Base
1		(hh	:mm:ss)		Accuracy		Accuracy	I	Loss		Loss	Ra
1 0.0010	1	1	00:00:16	1	10.16%	ı	33.94%	ī	2.3351	1	2.1094	I
1	50	1	00:00:24	1	90.23%		91.16%	I	0.3277	1	0.3044	I
2 0.0010	100	I	00:00:33	1	96.29%		95.07%	I	0.1417	1	0.1730	I
2 0.0010	150	I	00:00:45	1	94.73%		96.59%	1	0.1722		0.1186	I
3 0.0010	200	1	00:00:53	1	96.68%	I	97.16%		0.1063		0.0988	I
3 0.0010			00:01:01	·	98.83%		97.46%		0.0641		0.0841	
0.0010			00:01:08	,	96.68%		97.74%		0.0830		0.0759	
0.0010			00:01:16		97.46%		97.89%		0.0932		0.0708	
0.0010			00:01:23		97.85%		97.70%		0.0918		0.0747	
5 0.0010 6	450 500		00:01:30	·	97.46% 99.02%		98.14% 98.24%		0.0786		0.0612	
0.0010			00:01:35	·	98.63%		98.08%		0.0669		0.0655	
0.0010			00:01:53	·	99.02%		98.39%		0.0361		0.0530	
0.0010			00:02:00		98.24%		98.51%		0.0456		0.0517	
0.0010	700	1	00:02:08	1	99.22%	ı	98.46%	ı	0.0200	1	0.0525	I
0.0010	750	I	00:02:15	1	98.44%	1	98.52%	ı	0.0630	1	0.0502	I
0.0010	800	I	00:02:22	1	98.63%		98.67%	I	0.0544	1	0.0461	I
0.0010	850	1	00:02:30	1	99.02%		98.53%	I	0.0326	1	0.0480	I
0.0010 10 0.0010	900	I	00:02:37	1	98.63%	I	98.49%	1	0.0396		0.0472	I
10	950	1	00:02:45	1	99.41%	I	98.61%	1	0.0313	1	0.0503	I
11 0.0010	1000	1	00:02:52	1	99.61%	I	98.52%		0.0150		0.0505	I
11 0.0010	1050	I	00:03:00	1	99.02%		98.63%	1	0.0238		0.0448	I
12 0.0010			00:03:07	·	99.22%		98.43%		0.0270		0.0501	
12			00:03:14	·	99.41%		98.72%		0.0240		0.0428	
0.0010			00:03:22		99.80%		98.68%		0.0105		0.0461	
13 0.0010			00:03:29	·	99.02%		98.67%		0.0472		0.0428	
$egin{array}{c c} 14 & \\ 0.0010 \\ 14 & \end{array}$	1300 1350		00:03:36		99.41% 99.22%		98.89% 98.58%		0.0167 0.0259		0.0398	
0.0010			00:03:43	·	98.63%		98.88%		0.0239		0.0443	
0.0010			00:03:58		99.61%		98.76%		0.0160		0.0393	
0.0010			00:04:03		98.44%		98.91%		0.0328		0.0368	
0.0010					70							



2. Confusion Matrices (10x10) of LeNet-5

Here we will use the test partition of the dataset to evaluate the trained network.

2.1 Loading the Test Set of MNIST

```
rootFolder = 'mnistTest';
imds_test = imageDatastore(fullfile(rootFolder, categories), ...
'LabelSource', 'foldernames');
clear rootFolder

disp(countEachLabel(imds_test));
```

```
Label Count

0 980
1 1135
2 1032
3 1010
4 982
5 892
6 958
7 1028
8 974
9 1009
```

2.2 Classifying Test and Train Datasets

The 2 lines below will classify all images in the train and test datasets.

```
predicted_labels_train_1 = classify(trained_LeNet_5, imds);
predicted_labels_test_1 = classify(trained_LeNet_5, imds_test);
```

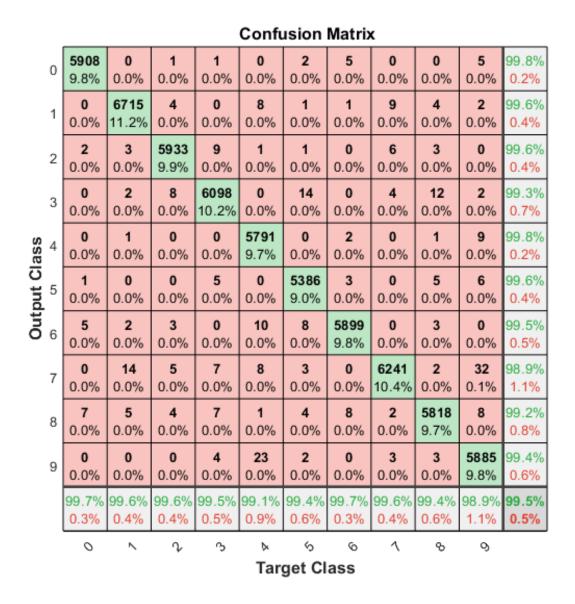
2.3 Plotting Cofusion Matrices

As the final step of this section, we will plot the confusion matrices.

2.3.1 Cofusion Matrix of Training Dataset

The neural network is capable of classifying the training part of the dataset with a precision of 99.5%.

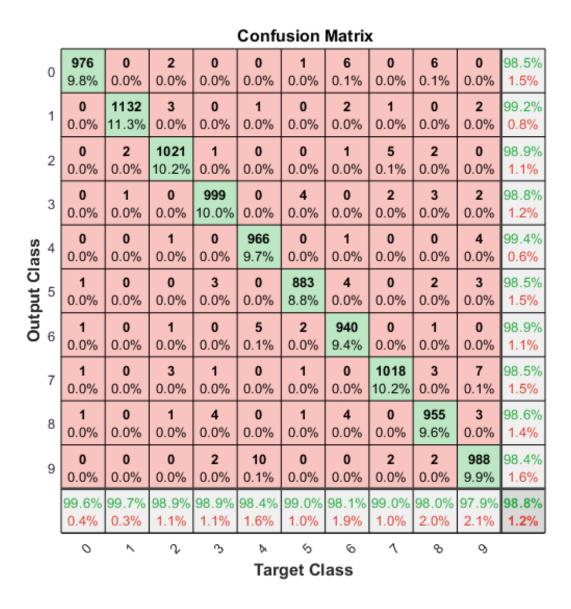
```
plotconfusion(imds.Labels, predicted_labels_train_1);
```



2.3.2 Cofusion Matrix of Test Dataset

The neural network has classified 98.8% of the test dataset correctly.

```
plotconfusion(imds_test.Labels, predicted_labels_test_1);
```



3. Modified LeNet-5 Training

In this part we will remove C5 and F6 layers and retrain the network to see how it might affect the classification performance.

1.1 Defining Modified LeNet-5 Architecture

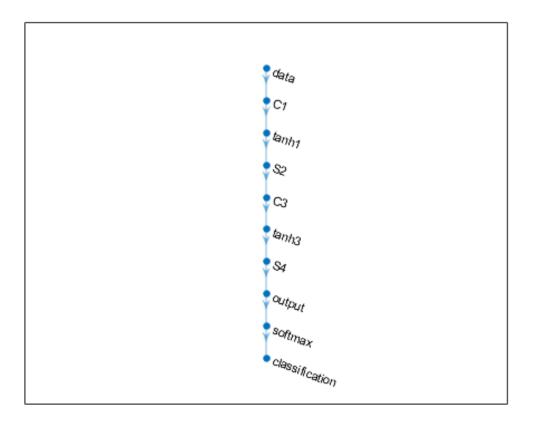
The code below has defined the LeNet-5 with C5 and F6 layers removed.

```
LeNet_5_modified = [
2
       imageInputLayer([28 28], 'Name', 'data')
       convolution2dLayer([5 5], 6, 'Name', 'C1', 'Stride', [1 1], 'padding', 'same
4
       tanhLayer('Name', 'tanh1')
6
       averagePooling2dLayer([2 2], 'Name', 'S2', 'Stride', [2 2])
9
       convolution2dLayer([5 5], 16, 'Name', 'C3', 'Stride', [1 1])
       tanhLayer('Name', 'tanh3')
       averagePooling2dLayer([2 2], 'Name', 'S4', 'Stride', [2 2])
       fullyConnectedLayer(10, 'Name', 'output')
       softmaxLayer('Name', 'softmax')
       classificationLayer('Name', 'classification')
18
       ];
   disp(LeNet_5_modified);
```

```
10x1 Layer array with layers:
                             Image Input
                                                         28x28x1 images with 'zerocenter' normalization
        'C1'
                              Convolution
                                                         6\ 5x5 convolutions with stride [1 \ 1] and padding 'same'
        'tanh1'
                             Tanh
                                                         Hyperbolic tangent
                             Average Pooling
                                                         2x2 average pooling with stride \begin{bmatrix} 2 & 2 \end{bmatrix} and padding \begin{bmatrix} 0 & 1 \end{bmatrix}
                             Convolution
                                                         16 5x5 convolutions with stride [1 1] and padding [0 0 0
        'C3'
        0]
                                                         Hyperbolic tangent
                                                         2x2 average pooling with stride [2 \, 2] and padding [0 \, 0 \,
       1841
                             Average Pooling
        01
        'output'
                             Fully Connected
                                                         10 fully connected layer
        'softmax'
                              Softmax
        'classification '
                             Classification Output
  10
                                                        crossentropyex
```

In the figure below, we can see the whole network at a glance:

```
1 analyzeNetwork(LeNet_5_modified);
2 plot(layerGraph(LeNet_5_modified));
```



1.2 Defining Options for Training

We have used 15 epoches with mini-batch size of 512, the same as the previous question.

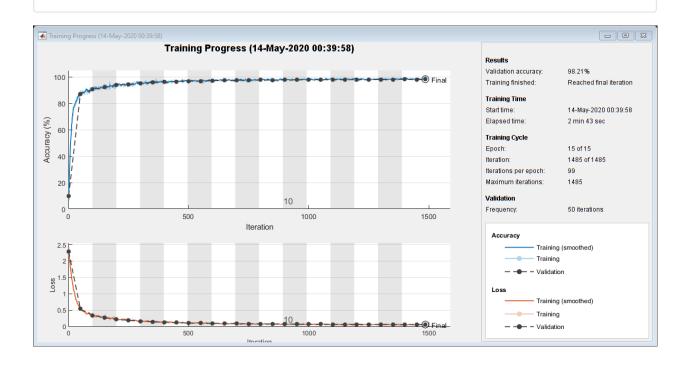
```
opts = trainingOptions('adam', ...
'ExecutionEnvironment','auto', ...
'MaxEpochs', 15, ...
'MiniBatchSize', 512, ...
'Shuffle', 'every-epoch', ...
'Plots', 'training-progress', ...
'Verbose', true, ...
'ValidationData', imdsValidation);
```

1.4 Learning Curves and Training Process

We trained the network on single GPU system. The results are printed in a table every 50 iterations. Besides, the learning curves are plotted after the table. Finally the network reached 99.22% on training and 98.21% on validation subset. The training process took about 3 minutes long.

```
trained_LeNet_5_modified = trainNetwork(imdsTrain, LeNet_5_modified, opts);
```

Epoch		Time El	apsed	1	Mini-batch	ī	Validation	ī	Mini-batch	ī	Validation		Base
Learning 	;	(hh:mm	n: ss)	I	Accuracy	I	Accuracy	I	Loss	I	Loss	I	Rat
1	1	00	0:00:03		6.45%		9.72%		2.4225		2.2939		
0.0010	50	00	0:00:08	1	86.91%	ı	87.17%	I	0.5743	1	0.5366	1	
0.0010	100	00	0:00:13		90.82%	ı	90.62%	I	0.3270		0.3387	1	
0.0010 2 0.0010	150	00	0:00:18	1	92.19%	I	92.30%	1	0.2801		0.2680	1	
3 0.0010	200	00	0:00:24		93.36%	I	93.89%	1	0.2134		0.2204	1	
3 0.0010	250	00	0:00:29		93.95%	I	94.52%	I	0.2008		0.1870		
4 0.0010	300	00	0:00:35		95.31%	1	95.34%	1	0.1802		0.1620	I	
4 0.0010	350	00	0:00:40		95.90%	1	95.87%		0.1548		0.1447		
5 0.0010	400	00	0:00:46		95.70%	1	96.29%		0.1488		0.1299		
5 0.0010	450	00	0:00:51		96.09%	1	96.57%		0.1372		0.1185		
6 0.0010	500	00	0:00:57	1	96.48%	1	96.80%		0.1190	-	0.1098	I	
6 0.0010	550	00	0:01:02	1	96.68%		96.97%		0.0991	-	0.1021		
7 0.0010			0:01:08		95.90%		97.26%		0.1047		0.0967		
7 0.0010			0:01:13		97.85%		97.46%		0.0825		0.0897		
8 0.0010			0:01:18		98.05%		97.60%		0.0718		0.0852		
8 0.0010			0:01:24		97.85%		97.67%		0.0657		0.0817		
9 0.0010			0:01:29		97.66%		97.79%		0.0798		0.0778		
9 0.0010	850 900		0:01:34		97.66%		97.69%		0.0778		0.0758		
0.0010			0:01:40		98.05% 98.44%		97.79% 97.88%		0.0696 0.0656		0.0745		
10 0.0010 11			0:01:45		98.05%		97.97%		0.0584		0.0723		
0.0010			0:01:56		96.68%		97.98%		0.0829		0.0679		
0.0010			0:02:02		97.46%		98.06%		0.0329		0.0665		
0.0010			0:02:07		98.05%		97.91%		0.0593		0.0648		
0.0010			0:02:12		98.44%		98.03%		0.0543		0.0661		
0.0010				· 	98.24%		98.11%		0.0684		0.0614		
0.0010	1300	00	0:02:23	1	98.44%	ı	98.04%	ı	0.0478	ı	0.0639	ı	
0.0010	1350	00	0:02:28	1	97.85%	I	98.14%	I	0.0743	1	0.0592	1	
0.0010 15	1400	00	0:02:33	1	98.44%	ı	98.27%	ı	0.0538		0.0584	1	
0.0010 15	1450	00	0:02:38		98.63%	I	98.12%	I	0.0435	I	0.0598	I	
0.0010 15	1485	00	0:02:42	ı	99.22%	ı	98.21%	ı	0.0443	ı	0.0577	ı	



4. Confusion Matrices (10x10) of Modified LeNet-5

As the final step of this section, we will plot the confusion matrices.

4.2 Classifying Test and Train Datasets

The 2 lines below will classify all images in the train and test datasets.

```
predicted_labels_train_2 = classify(trained_LeNet_5_modified, imds);
predicted_labels_test_2 = classify(trained_LeNet_5_modified, imds_test);
```

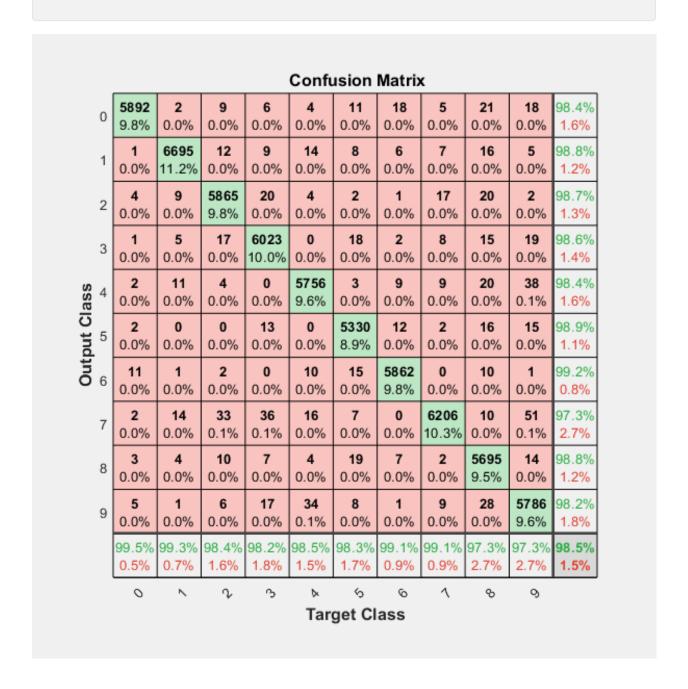
4.3 Plotting Cofusion Matrices

As the final step of this section, we plot the confusion matrix.

4.3.1 Cofusion Matrix of Training Dataset

The neural network is capable of classifying the training part of the dataset with a precision of 98.5%. Removing the two layers has degraded performance on training dataset for 1 percent.

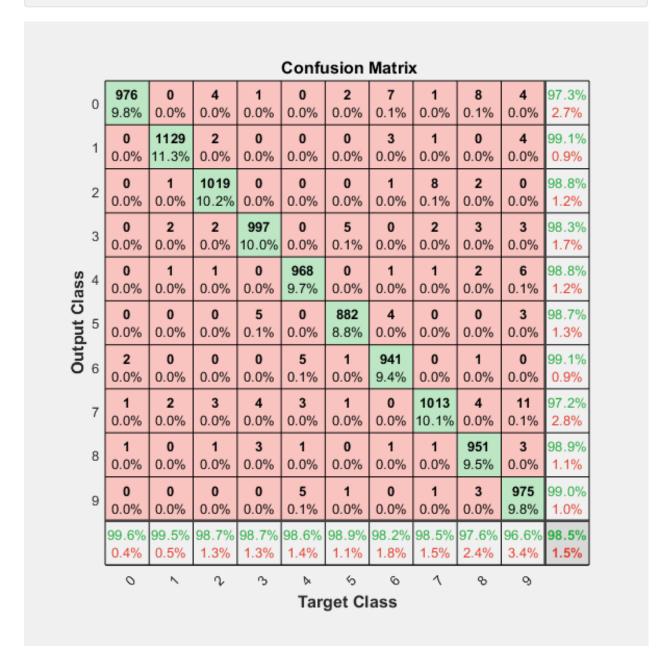
plotconfusion(imds.Labels, predicted_labels_train_2);



4.3.2 Cofusion Matrix of Test Dataset

The neural network has classified 98.5% of the test dataset correctly which is 0.3 percent degradation in performance in comparison to the original LeNet-5 that was trained in the previous question.

plotconfusion(imds_test.Labels, predicted_labels_test_2);



Appendix

A.1 Saving Workspace Variables for Future Use

```
1 save('HW10_code_workspace.mat')
```

A.2 Defition of Auxiliary Functions

```
function downloadMNIST(mnist_train_image, mnist_train_label, mnist_test_image,
       mnist_test_label)
   if exist('train-images-idx3-ubyte', 'file') ~= 2
        disp('Downloading MNIST dataset ...');
4
        websave ([mnist_train_image, '.gz'],...
            ['http://yann.lecun.com/exdb/mnist/', ...
6
7
            mnist_train_image , '.gz']);
8
        websave([mnist_train_label, '.gz'],...
9
            ['http://yann.lecun.com/exdb/mnist/', ...
            mnist_train_label, '.gz']);
        websave([mnist_test_image, '.gz'],...
12
            ['http://yann.lecun.com/exdb/mnist/', ...
            mnist_test_image , '.gz']);
14
        websave([mnist test label, '.gz'],...
            ['http://yann.lecun.com/exdb/mnist/', ...
            mnist_test_label , '.gz']);
17
        disp('MNIST dataset downloded.');
18
19
        disp('Unzipping started...');
        gunzip([mnist_train_image, '.gz'])
21
        gunzip([mnist_train_label, '.gz'])
        gunzip([mnist_test_image, '.gz'])
        gunzip([mnist_test_label, '.gz'])
        delete ([mnist_train_image, '.gz'])
        delete ([mnist_train_label, '.gz'])
26
        delete ([mnist_test_image, '.gz'])
27
        delete ([mnist_test_label, '.gz'])
28
        disp('Unzipping completed.');
29
   else
30
        disp ('MNIST dataset already downloaded.')
   end
   end
   function [imgs, labels] = readMNIST(imgFile, labelFile, num_of_digits_to_read)
36
```

```
37 fileID = fopen(imgFile, 'r', 'b');
38 header = fread(fileID, 1, 'int32');
40 if header \sim = 2051
        error('Invalid image file header');
41
42 end
43
44 count = fread(fileID, 1, 'int32');
   if count < num_of_digits_to_read
        error('Trying to read too many digits');
47
48 end
50 rows_num = fread(fileID, 1, 'int32');
51 cols_num = fread(fileID, 1, 'int32');
53 imgs = zeros([rows_num cols_num num_of_digits_to_read]);
54
55 for i = 1:num_of_digits_to_read
56
        for row = 1:rows_num
            imgs(row, :, i) = fread(fileID, cols_num, 'uint8');
58
        end
   end
60
61 fclose (fileID);
63 fileID = fopen(labelFile, 'r', 'b');
64 header = fread(fileID, 1, 'int32');
65
   if header ~= 2049
66
        error ('Invalid label file header');
67
68 end
   count = fread(fileID, 1, 'int32');
72 if count < num_of_digits_to_read
73
        error('Trying to read too many digits');
74 end
76 labels = fread(fileID, num_of_digits_to_read, 'uint8');
78 fclose (fileID);
80 imgs = double(imgs)./255.0;
81
82 end
83
84 function iMontage (images)
```

```
montage (reshape (images, [28 28 size (images, 2)]), 'Background Color', 'white', '
        BorderSize', [2 2]);
86
    end
    function display_original_images_vs_reconstructed(original_images,
        reconstructed_images)
89 figure ('Position', [100, 100, 1000, 500]);
90 subplot (1, 2, 1);
91 iMontage(original_images);
92 title ('Original Images');
93 hold on;
94 subplot (1, 2, 2);
95 iMontage(reconstructed_images);
96 title ('Reconstructed Images')
97 hold off
98 end
99
100 function categorized_label = iCategorical(on_hot_encoded_label)
101 [ind, ~] = vec2ind(on_hot_encoded_label);
102 categorized_label = categorical(ind', 1:10, {'0' '1' '2' '3' '4' '5' '6' '7' '8'
         '9'});
103 end
106
    function saveMNISTasFolderOfImages(outputPath, train_images, train_labels,
108
        test_images , test_labels)
if (~isempty (outputPath))
        assert (exist (outputPath, 'dir') == 7);
112 end
114 % Set names for directories
115 trainDirectoryName = 'mnistTrain';
116 testDirectoryName = 'mnistTest';
118 % Create directories for the output
119 mkdir(fullfile(outputPath, trainDirectoryName));
120 mkdir(fullfile(outputPath, testDirectoryName));
122 labelNames = { '0', '1', '2', '3', '4', '5', '6', '7', '8', '9'};
123 iMakeTheseDirectories(fullfile(outputPath, trainDirectoryName), labelNames);
124 iMakeTheseDirectories (fullfile (outputPath, testDirectoryName), labelNames);
127 iLoadBatchAndWriteAsImagesToLabelFolders (train_images, fullfile (outputPath,
        trainDirectoryName), labelNames, train labels);
```

```
128
    iLoadBatchAndWriteAsImagesToLabelFolders(test\_images, fullfile(outputPath, fullfile(outputPath, fullfile))
        testDirectoryName), labelNames, test_labels);
130
    end
    function iLoadBatchAndWriteAsImagesToLabelFolders(data, fullOutputDirectoryPath,
         labelNames, labels)
    for i = 1: size (data, 3)
         imwrite(data(:,:,i), fullfile(fullOutputDirectoryPath, labelNames{labels(i)
            +1}, ['image' num2str(i) '.png']));
136
    end
    end
138
139 function iMakeTheseDirectories(outputPath, directoryNames)
140 for i = 1:numel(directoryNames)
         mkdir(fullfile(outputPath, directoryNames{i}));
142 end
    end
144
146 function h = subplottight(n, m, i)
[c,r] = ind2sub([m,n], i);
148 ax = subplot('Position', [(c-1)/m, 1-(r)/n, 1/m, 1/n]);
149 \quad if (nargout > 0)
        h = ax;
150
151 end
152 end
```