

EE 568: Information Theory  
Fall 2022 Schmid  
Homework Assignment 4  
Distributed: Thursday, October 27, 2022  
Deadline: Thursday, November 10, 2022

Reference Material:

1. Class notes
2. T. M. Cover and J. A. Thomas, 2006, *Elements of Information Theory*, Ch. 7.

General Comments: Solutions for this homework assignment are due in two weeks.

Suggested Problems:

The following problems are exercises for self-improvement. They will not be graded and do not need to be turned in. The solutions are attached.

**Exercise 4.1** (Based on Problem 7.1, page 223 in CT'06) *Preprocessing the output.*

One is given a communication channel with transition probabilities  $p(y|x)$  and channel capacity  $C = \max_{p(x)} I(X; Y)$ . A helpful statistician preprocesses the output by forming  $\tilde{Y} = g(Y)$ . He claims that this will strictly improve the capacity.

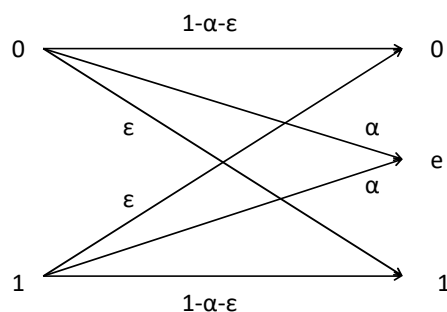
- (a) Show that he is wrong.
- (b) Under what conditions does he not strictly decrease the capacity?

Problems:

The following problems will be graded.

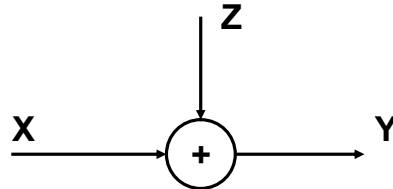
**Problem 4.1** (Based on Problem 7.13, CT'06, pp. 226-227) *Erasures and errors in a binary channel.*

Consider a channel with binary inputs that has both erasures and errors. Let the probability of error be  $\varepsilon$  and the probability of erasure be  $\alpha$ , so the channel is follows:



- (a) Find the capacity of this channel.
- (b) Specialize to the case of the binary symmetric channel ( $\alpha = 0$ ).
- (c) Specialize to the case of the binary erasure channel ( $\varepsilon = 0$ ).

**Problem 4.2** (Based on Problem 8.2, CT'06, p.224) Find the channel capacity of the following discrete memoryless channel:



where  $\Pr\{Z = 0\} = \Pr\{Z = a\} = \frac{1}{2}$ . The alphabet for  $X$  is  $\mathcal{X} = \{0, 1\}$ . Assume that  $Z$  is independent of  $X$ .

*Comment: Observe that the channel capacity depends on the value of  $a$ .*

**Problem 4.3** (Based on Problem 7.29, page 236, CT'06) *Binary Multiplier Channel*

Consider the discrete memoryless channel  $Y = XZ$ , where  $X$  and  $Z$  are independent binary random variables that take on values 0 and 1. Let  $P(Z = 1) = \alpha$ . Find the capacity of this channel and the maximizing distribution on  $X$ .

## Solutions to Exercise Problems

### **Exercise 4.1 (Problem 7.1, CT'06, p. 223):**

- (a) The statistic  $\tilde{Y} = g(Y)$  is a processed output of a channel  $Y$ . Since data transmission and processing form a Markov chain:  $X \rightarrow Y \rightarrow \tilde{Y}$ , we can use data processing inequality:  $I(X; Y) \geq I(X; \tilde{Y})$  for any probability assignment  $p(x)$ . Suppose  $p^*(x)$  maximizes  $I(X; \tilde{Y})$ , then

$$\tilde{C} = \max_{p(x)} I(X; \tilde{Y}) = I_{p^*(x)}(X; \tilde{Y}) \leq I_{p^*(x)}(X; Y) \leq \max_{p(x)} I(X; Y) = C.$$

- (b) The equality will hold if  $X \rightarrow \tilde{Y} \rightarrow Y$  form a Markov chain.