

Practical Issues of Classification

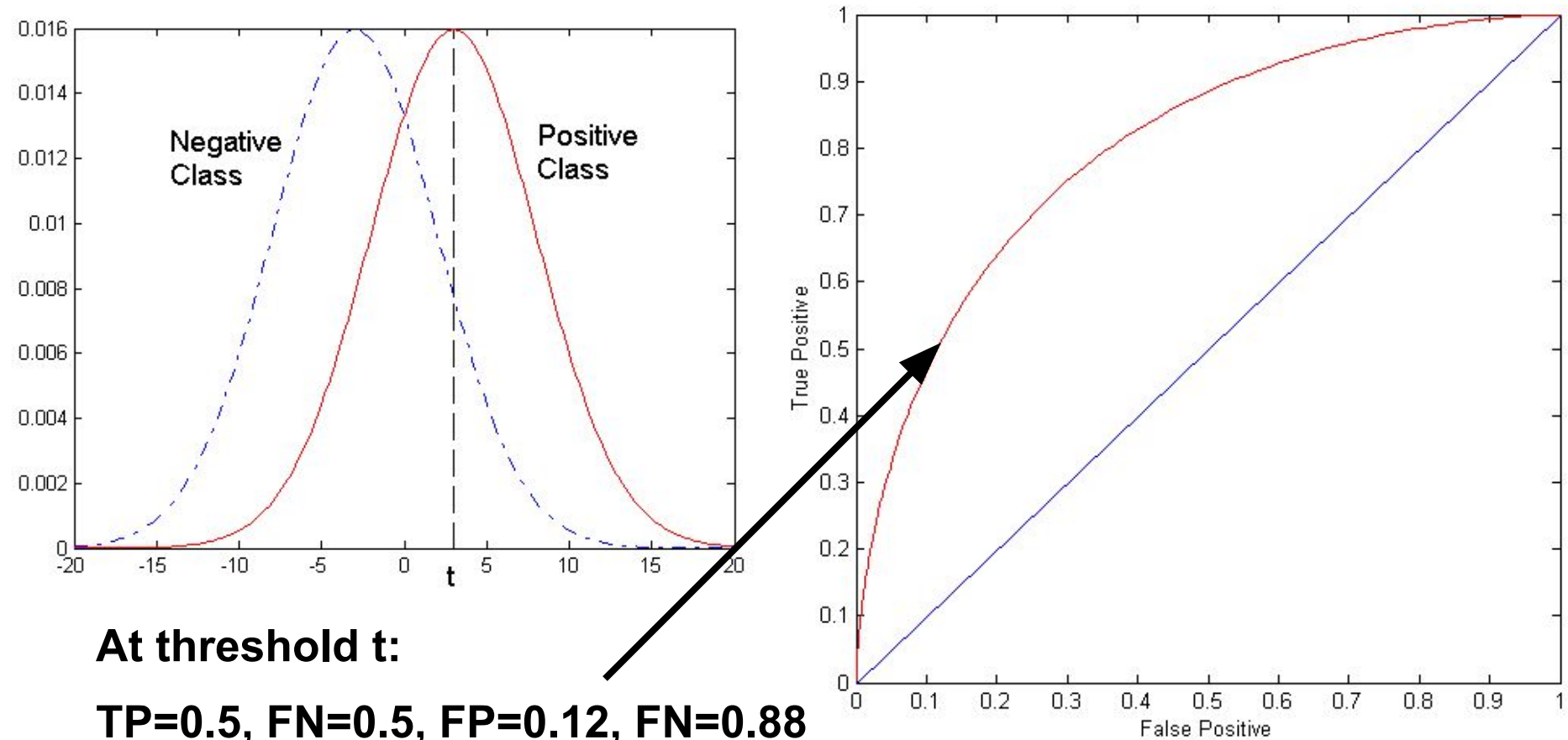
Dr. Faisal Kamiran

ROC (Receiver Operating Characteristic)

- Developed in 1950s for signal detection theory to analyze noisy signals
 - Characterize the trade-off between positive hits and false alarms
- ROC curve plots TP (on the y-axis) against FP (on the x-axis)
- Performance of each classifier represented as a point on the ROC curve
 - changing the threshold of algorithm, sample distribution or cost matrix changes the location of the point

ROC Curve

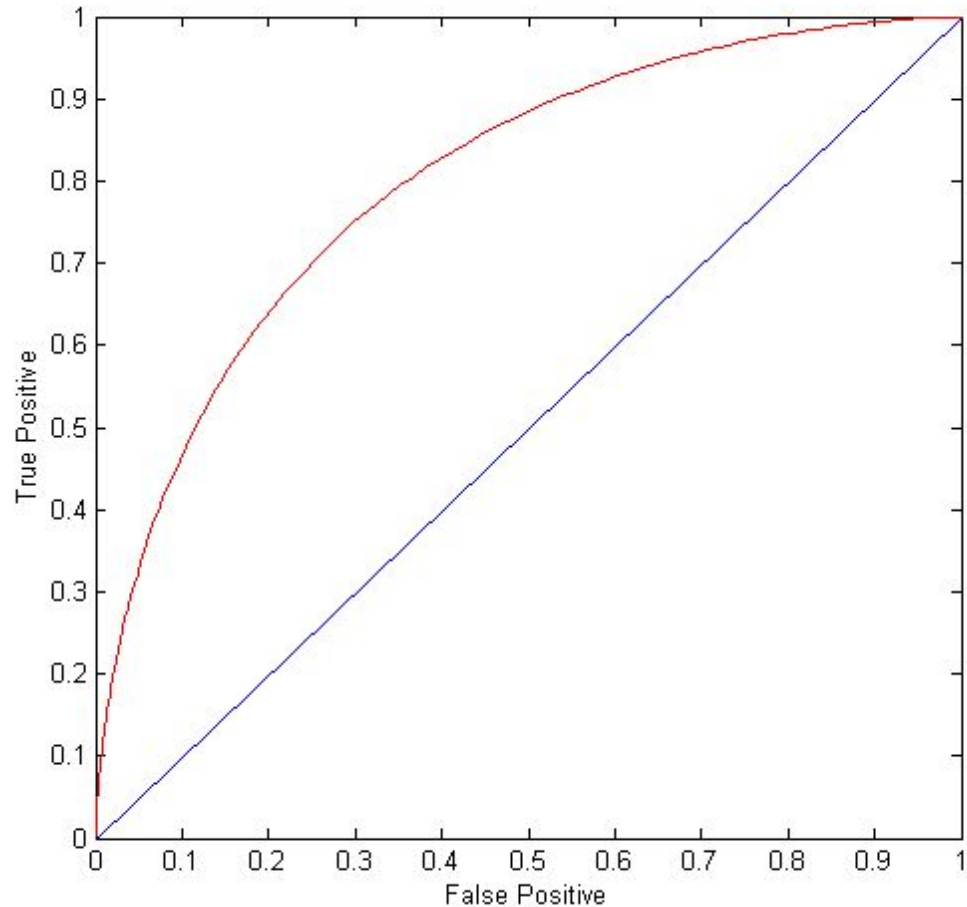
- 1-dimensional data set containing 2 classes (positive and negative)
- any points located at $x > t$ is classified as positive



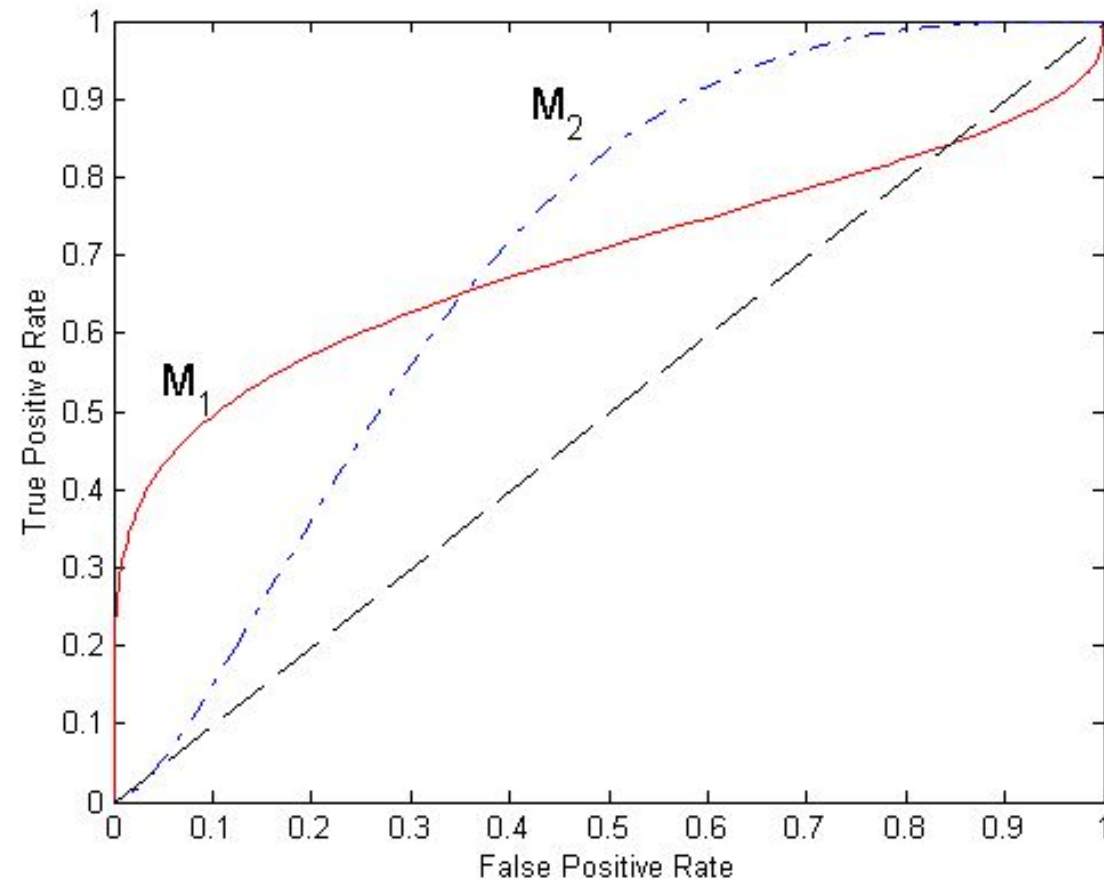
ROC Curve

(TP,FP):

- (0,0): declare everything to be negative class
- (1,1): declare everything to be positive class
- (1,0): ideal
- Diagonal line:
 - Random guessing
 - Below diagonal line:
 - ◆ prediction is opposite of the true class



Using ROC for Model Comparison



- No model consistently outperform the other
 - M_1 is better for small FPR
 - M_2 is better for large FPR
- Area Under the ROC curve
 - Ideal:
 - Area = 1
 - Random guess:
 - Area = 0.5

How to Construct an ROC curve

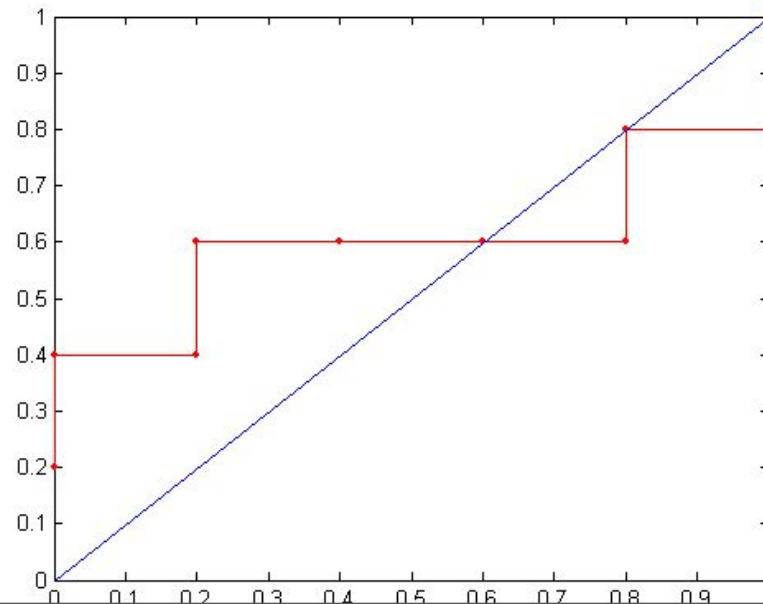
Instance	$P(+ A)$	True Class
1	0.95	+
2	0.93	+
3	0.87	-
4	0.85	-
5	0.85	-
6	0.85	+
7	0.76	-
8	0.53	+
9	0.43	-
10	0.25	+

- Use classifier that produces posterior probability for each test instance $P(+|A)$
- Sort the instances according to $P(+|A)$ in decreasing order
- Apply threshold at each unique value of $P(+|A)$
- Count the number of TP, FP, TN, FN at each threshold
- TP rate, $TPR = TP/(TP+FN)$
- FP rate, $FPR = FP/(FP + TN)$

How to construct an ROC curve

Class	+	-	+	-	-	-	+	-	+	+	
Threshold >=	0.25	0.43	0.53	0.76	0.85	0.85	0.85	0.87	0.93	0.95	1.00
TP	5	4	4	3	3	3	3	2	2	1	0
FP	5	5	4	4	3	2	1	1	0	0	0
TN	0	0	1	1	2	3	4	4	5	5	5
FN	0	1	1	2	2	2	2	3	3	4	5
TPR	1	0.8	0.8	0.6	0.6	0.6	0.6	0.4	0.4	0.2	0
FPR	1	1	0.8	0.8	0.6	0.4	0.2	0.2	0	0	0

ROC Curve:



Instance Based Classifiers

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Instance-Based Classifiers

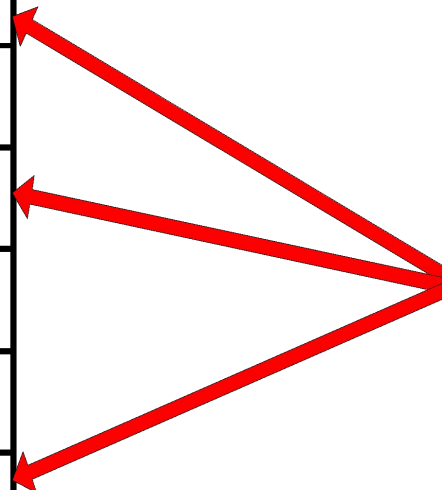
Set of Stored Cases

Atr1	AtrN	Class
			A
			B
			B
			C
			A
			C
			B

- Store the training records
- Use training records to predict the class label of unseen cases

Unseen Case

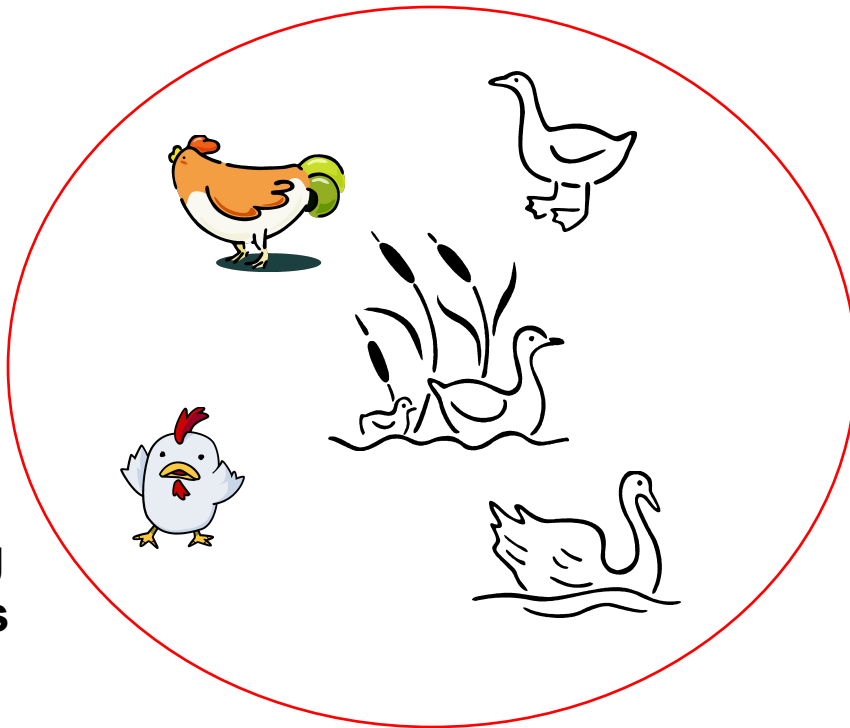
Atr1	AtrN



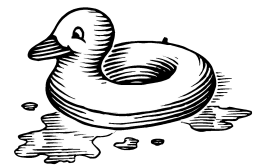
Nearest Neighbor Classifiers

- Basic idea:
 - If it walks like a duck, quacks like a duck, then it's probably a duck

**Training
Records**

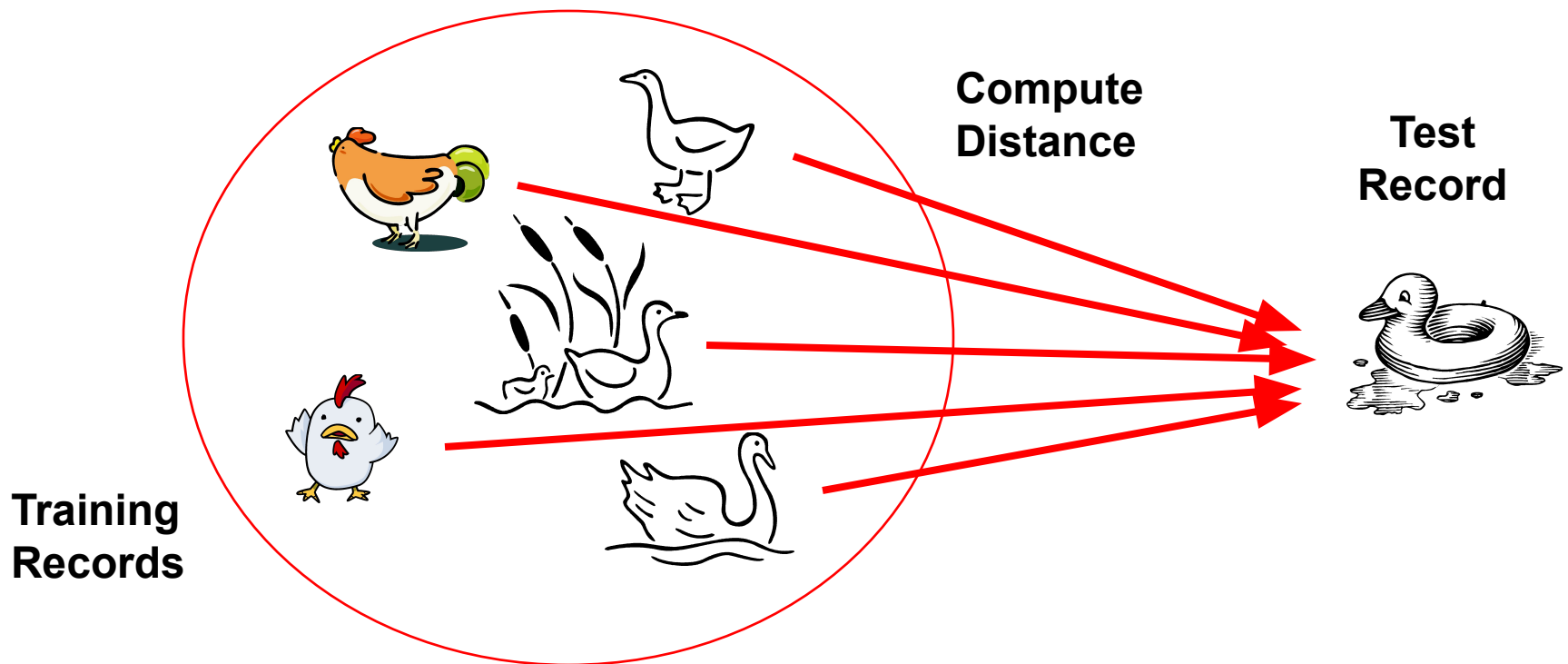


**Test
Record**



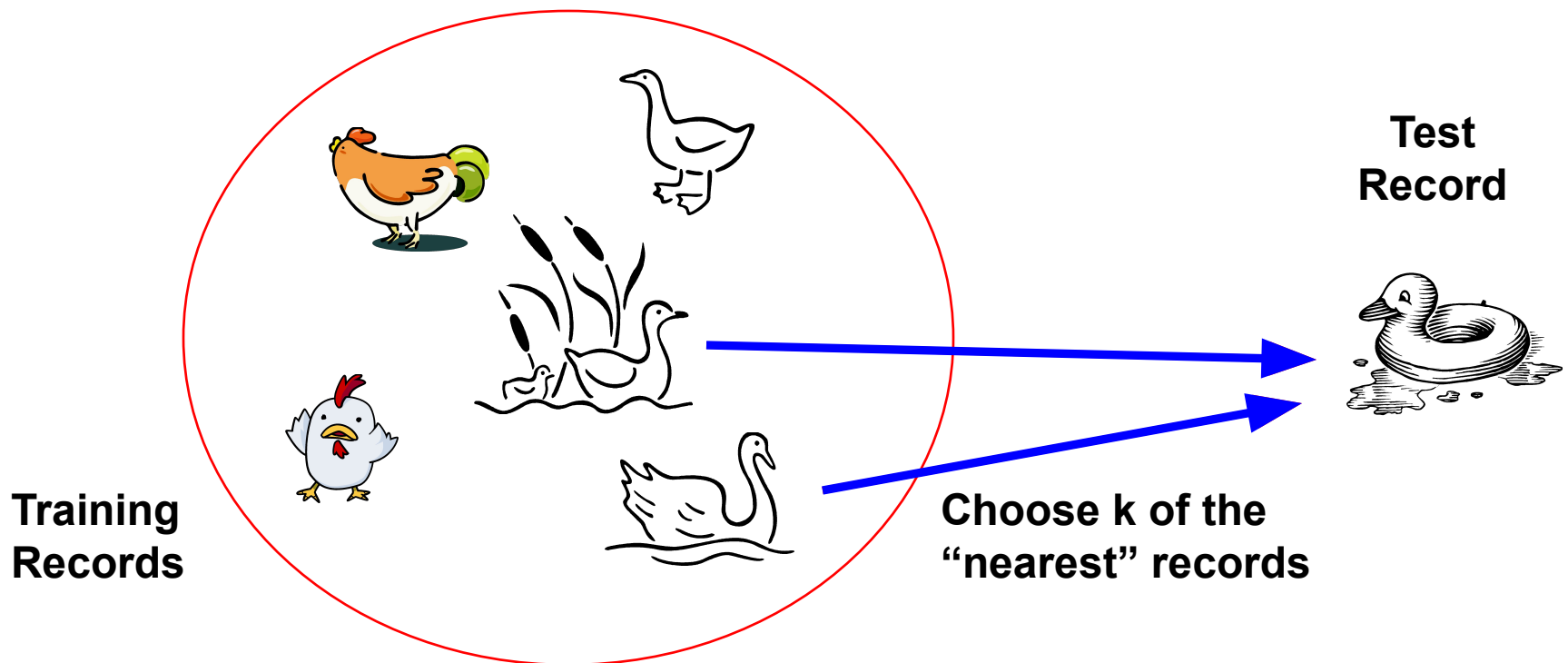
Nearest Neighbor Classifiers

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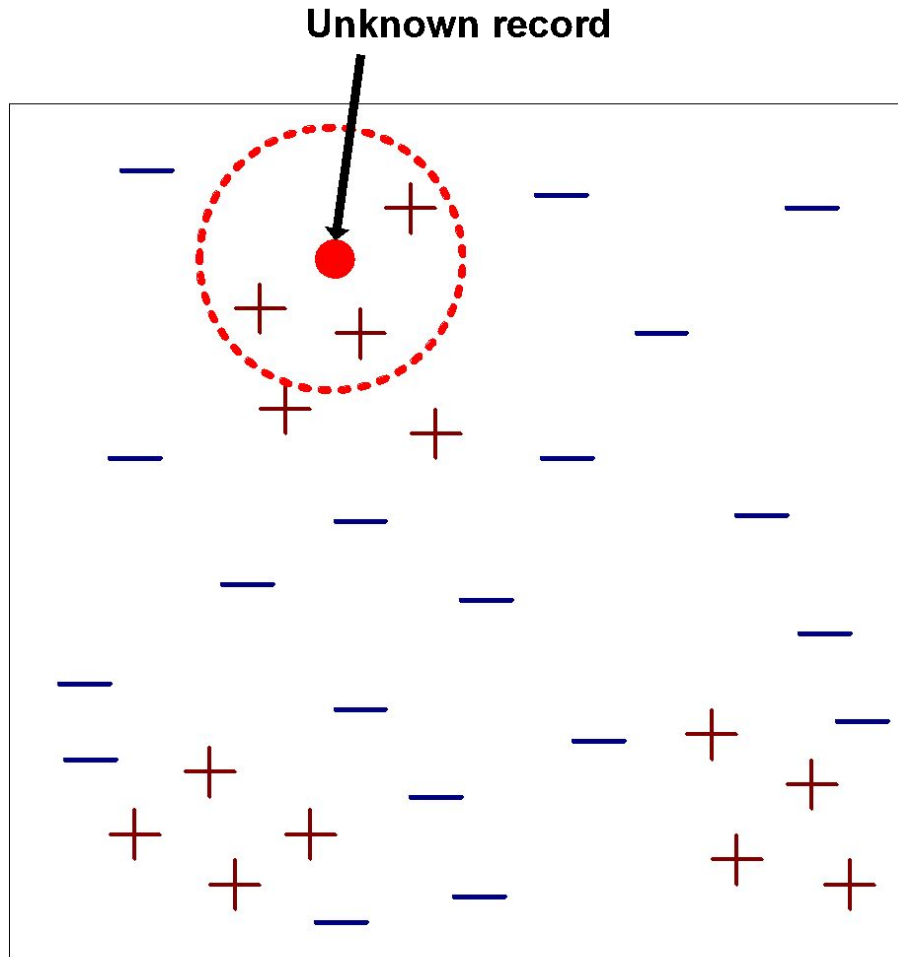


Nearest Neighbor Classifiers

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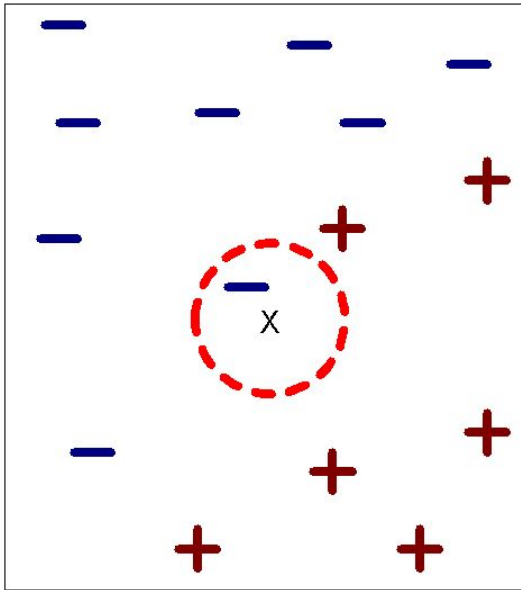


Nearest-Neighbor Classifiers

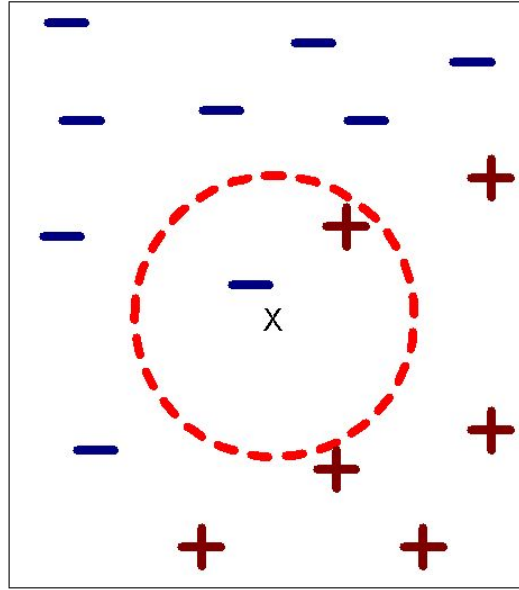


- Requires three things
 - The set of stored records
 - Distance Metric to compute distance between records
 - The value of k , the number of nearest neighbors to retrieve
- To classify an unknown record:
 - Compute distance to other training records
 - Identify k nearest neighbors
 - Use class labels of nearest neighbors to determine the class label of unknown record (e.g., by taking majority vote)

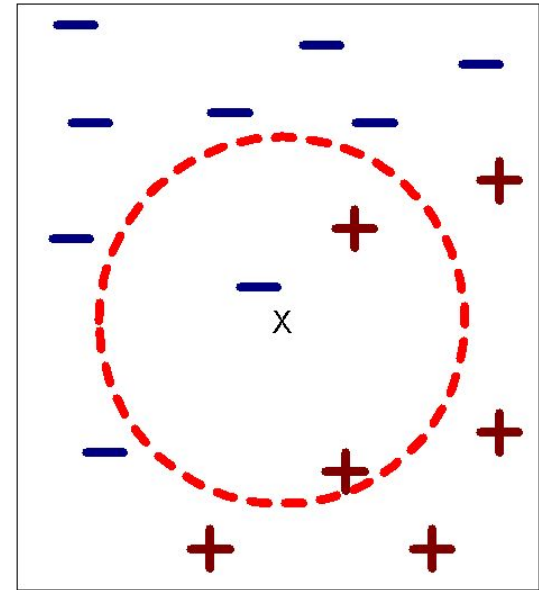
Definition of Nearest Neighbor



(a) 1-nearest neighbor



(b) 2-nearest neighbor



(c) 3-nearest neighbor

K-nearest neighbors of a record x are data points that have the k smallest distance to x

Nearest Neighbor Classification

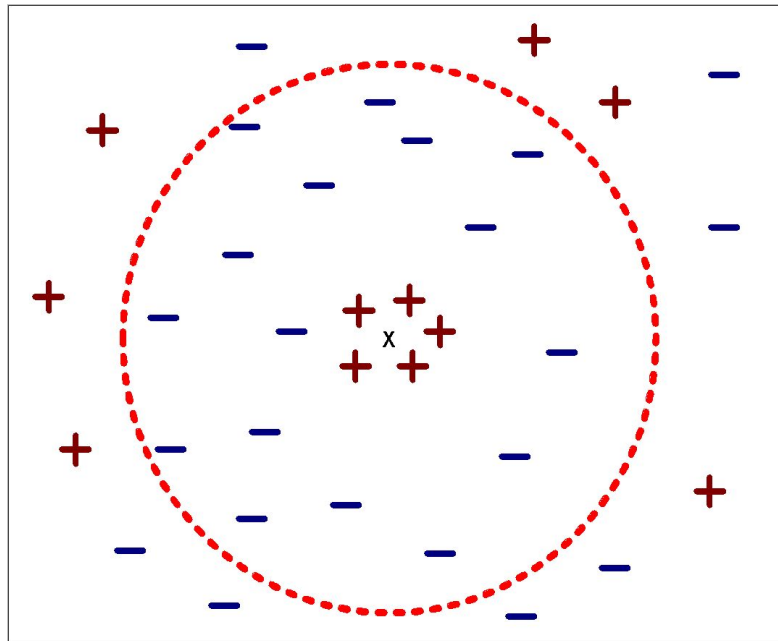
- Compute distance between two points:
 - Euclidean distance

$$d(p, q) = \sqrt{\sum_i (p_i - q_i)^2}$$

- Determine the class from nearest neighbor list
 - take the majority vote of class labels among the k-nearest neighbors
 - Weigh the vote according to distance
 - ◆ weight factor, $w = 1/d^2$

Nearest Neighbor Classification...

- Choosing the value of k :
 - If k is too small, sensitive to noise points
 - If k is too large, neighborhood may include points from other classes



Nearest Neighbor Classification...

- Scaling issues
 - Attributes may have to be scaled to prevent distance measures from being dominated by one of the attributes
 - Example:
 - ◆ height of a person may vary from 1.5m to 1.8m
 - ◆ weight of a person may vary from 90lb to 300lb
 - ◆ income of a person may vary from \$10K to \$1M

Nearest Neighbor Classification...

- k-NN classifiers are lazy learners
 - It does not build models explicitly
 - Unlike eager learners such as decision tree induction and rule-based systems
 - Classifying unknown records are relatively expensive

Nearest Neighbor Classification...

- Problem with Euclidean measure:
 - High dimensional data
 - ♦ **curse of dimensionality**
 - Can produce counter-intuitive results

1	1	1	1	1	1	1	1	1	1	1	0
---	---	---	---	---	---	---	---	---	---	---	---

vs

1	0	0	0	0	0	0	0	0	0	0	0
---	---	---	---	---	---	---	---	---	---	---	---

0	1	1	1	1	1	1	1	1	1	1	1
---	---	---	---	---	---	---	---	---	---	---	---

0	0	0	0	0	0	0	0	0	0	0	1
---	---	---	---	---	---	---	---	---	---	---	---

$d = 1.4142$

$d = 1.4142$

Distance Measures ...

- Choosing the correct distance function is essential
 - Euclidian, Minkowski
 - Simple Matching Coefficient
 - Jaccard measure
 - Cosine Measure
- Example: distance measure for strings
 - Edit distance

Edit Distance

- Distance between two strings: minimal number of operations to transform one into another
 - Insert a character
 - Delete a character
 - Replace a character with another
- Example:
 - Hello □ Jello distance = 1
 - Good □ Goodbye distance = 3

Bayes Classifiers

Bayes Classifier

- A probabilistic framework for solving classification problems

- Conditional Probability: $P(C | X) = \frac{P(X, C)}{P(X)}$

$$P(X | C) = \frac{P(X, C)}{P(C)}$$

- Bayes theorem:

Posterior
probability

Prior
probability

$$P(C | X) = \frac{P(X | C)P(C)}{P(X)}$$

Towards Naïve Bayesian Classifier

- Let D be a training set of tuples and their associated class labels, and each tuple is represented by an n attribute vector $\mathbf{X} = (x_1, x_2, \dots, x_n)$
- Suppose there are m classes C_1, C_2, \dots, C_m .
- Classification is to derive the maximum posteriori, i.e., the maximal $P(C_i|\mathbf{X})$
- This can be derived from Bayes' theorem

$$P(C_i|\mathbf{X}) = \frac{P(\mathbf{X}|C_i)P(C_i)}{P(\mathbf{X})}$$

- Since $P(\mathbf{X})$ is constant for all classes, only

$$P(C_i|\mathbf{X}) = P(\mathbf{X}|C_i)P(C_i)$$

needs to be maximized

Derivation of Naïve Bayes Classifier

- A simplified assumption: attributes are conditionally independent (i.e., no dependence relation between attributes):

$$P(\mathbf{X} | C_i) = \prod_{k=1}^n P(x_k | C_i) = P(x_1 | C_i) \times P(x_2 | C_i) \times \dots \times P(x_n | C_i)$$

- This greatly reduces the computation cost: Only counts the class distribution
- If A_k is categorical: $P(x_k | C_i)$ is the # of tuples in C_i having value x_k for A_k divided by $|C_{i,D}|$ (# of tuples of C_i in D)
- If A_k is continuous-valued: $P(x_k | C_i)$ is usually computed based on Gaussian distribution with a mean μ and standard deviation σ

$$g(x, \mu, \sigma) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(x-\mu)^2}{2\sigma^2}}$$

and $P(x_k | C_i)$ is

$$P(\mathbf{X} | C_i) = g(x_k, \mu_{C_i}, \sigma_{C_i})$$

How to Estimate Probabilities from Data?

Tid	Refund	Marital Status	Taxable Income	Evade
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

- Class: $P(C) = N_c / N$

- e.g., $P(\text{No}) = 7/10$,
 $P(\text{Yes}) = 3/10$

- For discrete attributes:

$$P(A_i | C_k) = |A_{ik}| / N_{ck}$$

- where $|A_{ik}|$ is number of instances having attribute A_i and belongs to class C_k

- Examples:

$$P(\text{Status}=\text{Married}|\text{No}) = 4/7$$
$$P(\text{Refund}=\text{Yes}|\text{Yes})=0$$

How to Estimate Probabilities from Data?

- For continuous attributes:
 - **Discretization:**
 - ◆ Replace the attribute with discrete intervals
 - **Probability density estimation:**
 - ◆ Assume attribute follows a normal distribution
 - ◆ Use data to estimate parameters of distribution (e.g., mean and standard deviation)
 - ◆ Once probability distribution is known, can use it to estimate the conditional probability $P(A_i|c)$

How to Estimate Probabilities from Data?

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7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

- Normal distribution:

$$P(A_i | c_j) = \frac{1}{\sqrt{2\pi\sigma_{ij}^2}} e^{-\frac{(A_i - \mu_{ij})^2}{2\sigma_{ij}^2}}$$

- One for each (A_i, c_i) pair

- For (Income, Class=No):

- If Class=No

- ◆ sample mean = 110
- ◆ sample variance = 2975

$$P(\text{Income} = 120 | \text{No}) = \frac{1}{\sqrt{2\pi(54.54)}} e^{-\frac{(120-110)^2}{2(2975)}} = 0.0072$$

Naïve Bayesian Classifier: Training Dataset

Class:

C1:buys_computer = 'yes'

C2:buys_computer = 'no'

Data sample

X = (age <=30,

Income = medium,

Student = yes

Credit_rating = Fair)

age	income	student	credit_rating	computer
<=30	high	no	fair	no
<=30	high	no	excellent	no
31...40	high	no	fair	yes
>40	medium	no	fair	yes
>40	low	yes	fair	yes
>40	low	yes	excellent	no
31...40	low	yes	excellent	yes
<=30	medium	no	fair	no
<=30	low	yes	fair	yes
>40	medium	yes	fair	yes
<=30	medium	yes	excellent	yes
31...40	medium	no	excellent	yes
31...40	high	yes	fair	yes
>40	medium	no	excellent	no

Naïve Bayesian Classifier: An Example

$X = (\text{age} \leq 30, \text{Income} = \text{medium}, \text{Student} = \text{yes}, \text{Credit_rating} = \text{Fair})$

- Naïve Bayes Classifier $P(C_i | \mathbf{X}) = \frac{P(\mathbf{X} | C_i) P(C_i)}{P(\mathbf{X})}$
- $P(\text{Buy} = \text{Yes} | X) = [P(X | \text{Buy} = \text{yes}) P(P(\text{buy} = \text{yes}))] / P(X)$
- $P(\text{Buy} = \text{No} | X) = [P(X | \text{Buy} = \text{No}) P(P(\text{buy} = \text{No}))] / P(X)$

age	income	std	c rating	buy
<=30	high	no	fair	no
<=30	high	no	excellent	no
31...40	high	no	fair	yes
>40	medium	no	fair	yes
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- $P(X)$ would be same for both cases

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- $P(\text{Buy}=\text{No}|\mathbf{X}) = P(\mathbf{X}|\text{Buy}=\text{No}) P(\text{buy}=\text{No})$
- $P(C_i)$: $P(\text{buy} = \text{"yes"}) = 9/14 = 0.643$
 $P(\text{buy} = \text{"no"}) = 5/14 = 0.357$

age	income	std	c rating	buy
<=30	high	no	fair	no
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- $P(C_i)$:
 $P(\text{buy} = \text{yes}) = 9/14 = 0.643$
 $P(\text{buy} = \text{no}) = 5/14 = 0.357$
- Compute $P(X | \text{Buy} = \text{yes})$ for each class
 - $P(\text{age} = "<=30" | \text{buys_computer} = \text{"yes"})$
 - $P(\text{income} = \text{"medium"} | \text{buys_computer} = \text{"yes"})$
 - $P(\text{student} = \text{"yes"} | \text{buys_computer} = \text{"yes"})$
 - $P(\text{credit_rating} = \text{"fair"} | \text{buys_computer} = \text{"yes"})$

Naïve Bayesian Classifier: An Example

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 - $P(\text{student} = \text{"yes"} | \text{buys_computer} = \text{"yes"})$
 - $P(\text{credit_rating} = \text{"fair"} | \text{buys_computer} = \text{"yes"})$
- Compute $P(X | \text{Buy} = \text{No})$ same as above

Naïve Bayesian Classifier: An Example

- $P(C_i)$: $P(\text{buys_computer} = \text{"yes"}) = 9/14 = 0.643$
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- Compute $P(X|C_i)$ for each class

$$P(\text{age} = \text{"<=30"} | \text{buys_computer} = \text{"yes"}) = 2/9 = 0.222$$

$$P(\text{age} = \text{"<= 30"} | \text{buys_computer} = \text{"no"}) = 3/5 = 0.6$$

$$P(\text{income} = \text{"medium"} | \text{buys_computer} = \text{"yes"}) = 4/9 = 0.444$$

$$P(\text{income} = \text{"medium"} | \text{buys_computer} = \text{"no"}) = 2/5 = 0.4$$

$$P(\text{student} = \text{"yes"} | \text{buys_computer} = \text{"yes"}) = 6/9 = 0.667$$

$$P(\text{student} = \text{"yes"} | \text{buys_computer} = \text{"no"}) = 1/5 = 0.2$$

$$P(\text{credit_rating} = \text{"fair"} | \text{buys_computer} = \text{"yes"}) = 6/9 = 0.667$$

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<=30	medium	yes	excellent	yes
31...40	medium	no	excellent	yes
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X = (age <=30, Income = medium, Student = yes, Credit_rating = Fair)

Naïve Bayesian Classifier: An Example

- $P(C_i)$: $P(\text{buys_computer} = \text{"yes"}) = 9/14 = 0.643$
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>40	medium	no	excellent	no

- $X = (\text{age} \leq 30, \text{income} = \text{medium}, \text{student} = \text{yes}, \text{credit_rating} = \text{fair})$

$$P(X|C_i) : P(X|\text{buys_computer} = \text{"yes"}) = 0.222 \times 0.444 \times 0.667 \times 0.667 = 0.044$$

$$P(X|\text{buys_computer} = \text{"no"}) = 0.6 \times 0.4 \times 0.2 \times 0.4 = 0.019$$

$$P(X|C_i) * P(C_i) : \mathbf{P(X|buys_computer = \text{"yes"}) * P(buys_computer = \text{"yes"}) = 0.028}$$

$$P(X|\text{buys_computer} = \text{"no"}) * P(\text{buys_computer} = \text{"no"}) = 0.007$$

Avoiding the 0-Probability Problem

- Naïve Bayesian prediction requires each conditional prob. be non-zero. Otherwise, the predicted prob. will be zero

$$P(X | C_i) = \prod_{k=1}^n P(x_k | C_i)$$

- Ex. Suppose a dataset with 1000 tuples, income=low (0), income=medium (990), and income = high (10),
- Use Laplacian correction (or Laplacian estimator)
 - Adding 1 to each case
 - Prob(income = low) = 1/1003
 - Prob(income = medium) = 991/1003
 - Prob(income = high) = 11/1003
 - The “corrected” prob. estimates are close to their “uncorrected” counterparts

Naïve Bayesian Classifier: Comments

- Advantages
 - Easy to implement
 - Good results obtained in most of the cases
- Disadvantages
 - Assumption: class conditional independence, therefore loss of accuracy
 - Practically, dependencies exist among variables
 - ◆ E.g., hospitals: patients: Profile: age, family history, etc.
Symptoms: fever, cough etc., Disease: lung cancer, diabetes, etc.
 - ◆ Dependencies among these cannot be modeled by Naïve Bayesian Classifier
- How to deal with these dependencies?
 - Bayesian Belief Networks

Rule Based Classifiers

Dr. Faisal Kamiran

Rule-Based Classifier

- Classify records by using a collection of “if...then...” rules
- Rule: $(Condition) \rightarrow y$
 - where
 - ♦ *Condition* is a conjunctions of attributes
 - ♦ *y* is the class label
 - *LHS*: rule antecedent or condition
 - *RHS*: rule consequent
 - Examples of classification rules:
 - ♦ $(\text{Blood Type}=\text{Warm}) \wedge (\text{Lay Eggs}=\text{Yes}) \rightarrow \text{Birds}$
 - ♦ $(\text{Taxable Income} < 50\text{K}) \wedge (\text{Refund}=\text{Yes}) \rightarrow \text{Evade}=\text{No}$

Rule-based Classifier (Example)

Name	Blood Type	Give Birth	Can Fly	Live in Water	Class
human	warm	yes	no	no	mammals
python	cold	no	no	no	reptiles
salmon	cold	no	no	yes	fishes
whale	warm	yes	no	yes	mammals
frog	cold	no	no	sometimes	amphibians
komodo	cold	no	no	no	reptiles
bat	warm	yes	yes	no	mammals
pigeon	warm	no	yes	no	birds
cat	warm	yes	no	no	mammals
leopard shark	cold	yes	no	yes	fishes
turtle	cold	no	no	sometimes	reptiles
penguin	warm	no	no	sometimes	birds
porcupine	warm	yes	no	no	mammals
eel	cold	no	no	yes	fishes
salamander	cold	no	no	sometimes	amphibians
gila monster	cold	no	no	no	reptiles
platypus	warm	no	no	no	mammals
owl	warm	no	yes	no	birds
dolphin	warm	yes	no	yes	mammals
eagle	warm	no	yes	no	birds

R1: (Give Birth = no) \wedge (Can Fly = yes) \rightarrow Birds

R2: (Give Birth = no) \wedge (Live in Water = yes) \rightarrow Fishes

R3: (Give Birth = yes) \wedge (Blood Type = warm) \rightarrow
Mammals

R4: (Give Birth = no) \wedge (Can Fly = no) \rightarrow Reptiles

Application of Rule-Based Classifier

- A rule r **covers** an instance x if the attributes of the instance satisfy the condition of the rule

R1: (Give Birth = no) \wedge (Can Fly = yes) \rightarrow Birds

R2: (Give Birth = no) \wedge (Live in Water = yes) \rightarrow Fishes

R3: (Give Birth = yes) \wedge (Blood Type = warm) \rightarrow Mammals

R4: (Give Birth = no) \wedge (Can Fly = no) \rightarrow Reptiles

R5: (Live in Water = sometimes) \rightarrow Amphibians

Name	Blood Type	Give Birth	Can Fly	Live in Water	Class
hawk	warm	no	yes	no	?
grizzly bear	warm	yes	no	no	?

The rule R1 covers a hawk \Rightarrow Bird

The rule R3 covers the grizzly bear \Rightarrow Mammal

Rule Coverage and Accuracy

- Coverage of a rule:
 - Fraction of records that satisfy the antecedent of a rule
- Accuracy of a rule:
 - Fraction of records that satisfy both the antecedent and consequent of a rule

Tid	Refund	Marital Status	Taxable Income	Class
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

(Status=Single) → No

Coverage = 40%, Accuracy = 50%

How does Rule-based Classifier Work?

R1: (Give Birth = no) \wedge (Can Fly = yes) \rightarrow Birds

R2: (Give Birth = no) \wedge (Live in Water = yes) \rightarrow Fishes

R3: (Give Birth = yes) \wedge (Blood Type = warm) \rightarrow Mammals

R4: (Give Birth = no) \wedge (Can Fly = no) \rightarrow Reptiles

R5: (Live in Water = sometimes) \rightarrow Amphibians

Name	Blood Type	Give Birth	Can Fly	Live in Water	Class
lemur	warm	yes	no	no	?
turtle	cold	no	no	sometimes	?
dogfish shark	cold	yes	no	yes	?

A lemur triggers rule R3, so it is classified as a mammal

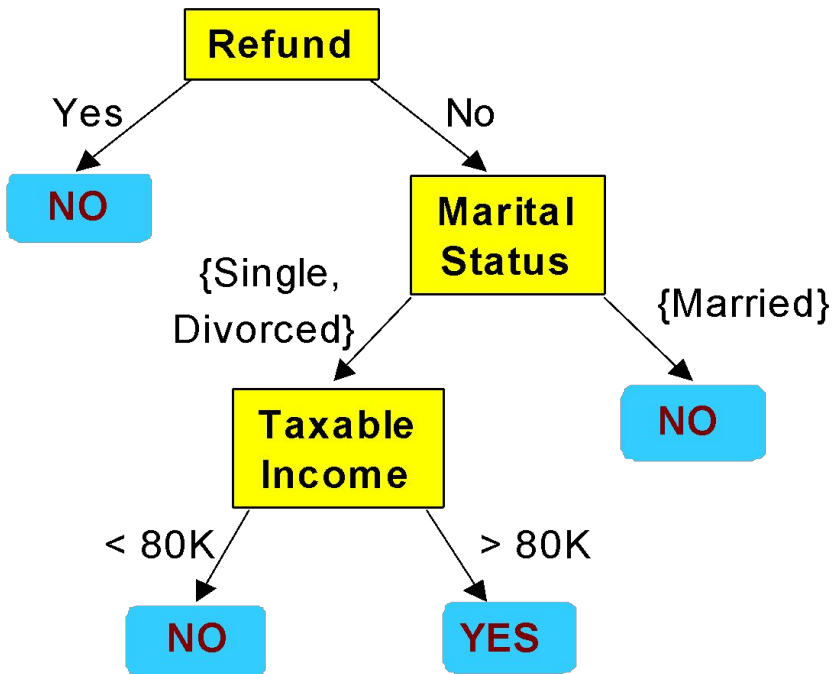
A turtle triggers both R4 and R5

A dogfish shark triggers none of the rules

Characteristics of Rule-Based Classifier

- Mutually exclusive rules
 - Classifier contains mutually exclusive rules if the rules are independent of each other
 - Every record is covered by at most one rule
- Exhaustive rules
 - Classifier has exhaustive coverage if it accounts for every possible combination of attribute values
 - Each record is covered by at least one rule

From Decision Trees To Rules



Classification Rules

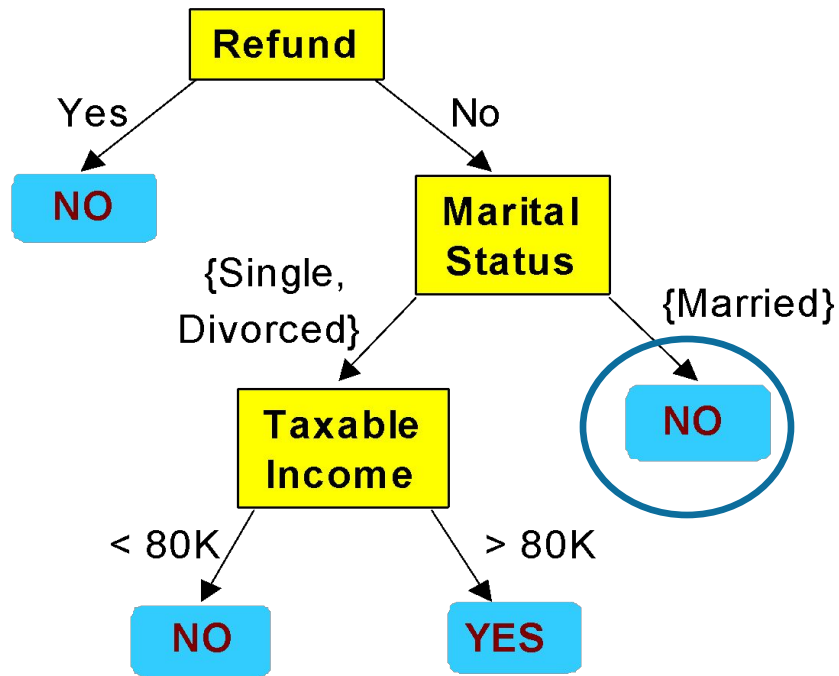
(Refund=Yes) ==> No

(Refund=No, Marital Status={Single, Divorced}, Taxable Income<80K) ==> No

(Refund=No, Marital Status={Single, Divorced}, Taxable Income>80K) ==> Yes

(Refund=No, Marital Status={Married}) ==> No

Rules Can Be Simplified



Tid	Refund	Marital Status	Taxable Income	Cheat
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

Initial Rule: $(\text{Refund}=\text{No}) \wedge (\text{Status}=\text{Married}) \rightarrow \text{No}$

Simplified Rule: $(\text{Status}=\text{Married}) \rightarrow \text{No}$

Ordered Rule Set

- Rules are rank ordered according to their priority
 - An ordered rule set is known as a decision list
- When a test record is presented to the classifier
 - It is assigned to the class label of the highest ranked rule it has triggered
 - If none of the rules fired, it is assigned to the default class

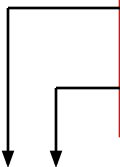
R1: (Give Birth = no) \wedge (Can Fly = yes) \rightarrow Birds

R2: (Give Birth = no) \wedge (Live in Water = yes) \rightarrow Fishes

R3: (Give Birth = yes) \wedge (Blood Type = warm) \rightarrow
Mammals

R4: (Give Birth = no) \wedge (Can Fly = no) \rightarrow Reptiles

R5: (Live in Water = sometimes) \rightarrow Amphibians



Name	Blood Type	Give Birth	Can Fly	Live in Water	Class
turtle	cold	no	no	sometimes	?

Rule Ordering Schemes

- Size based ordering
 - High priority to the rules with toughest requirement
 - Toughness is measured by the size of antecedent
- Rule-based ordering
 - Individual rules are ranked based on their quality, i.e., accuracy, coverage, size and advice from the domain experts.
- Class-based ordering
 - Priority to the rules with most prevalent classes or most rare classes

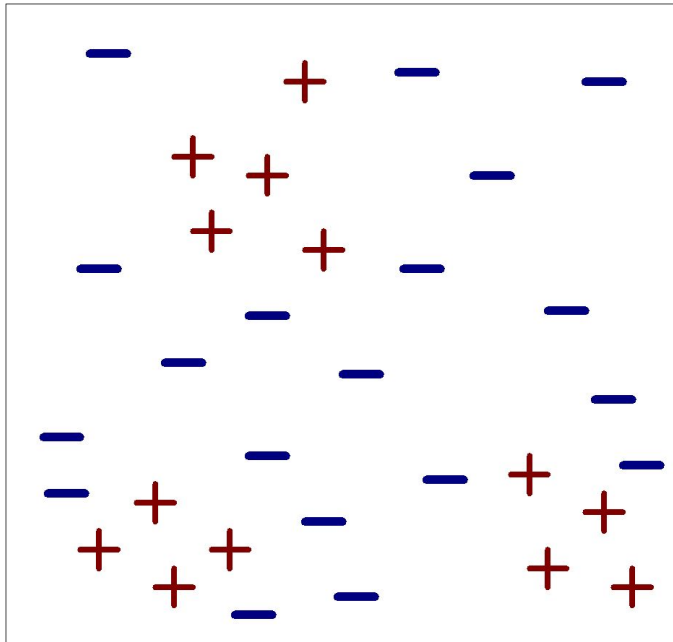
Building Classification Rules

- Direct Method:
 - ◆ Extract rules directly from data
 - ◆ e.g.: RIPPER, CN2, Holte's 1R
- Indirect Method:
 - ◆ Extract rules from other classification models (e.g. decision trees, neural networks, etc).
 - ◆ e.g: C4.5 rules

Direct Method: Sequential Covering

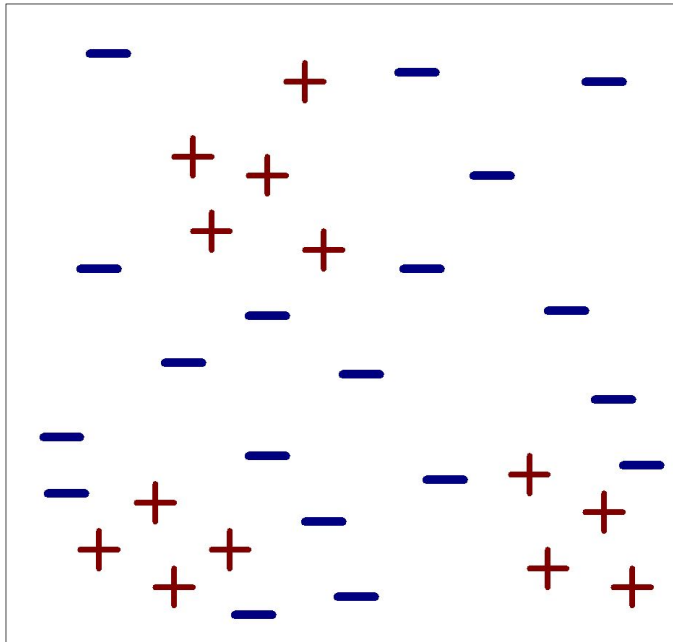
1. Start from an empty rule
2. Grow a rule using the Learn-One-Rule function
3. Remove training records covered by the rule
4. Repeat Step (2) and (3) until stopping criterion is met

Example of Sequential Covering

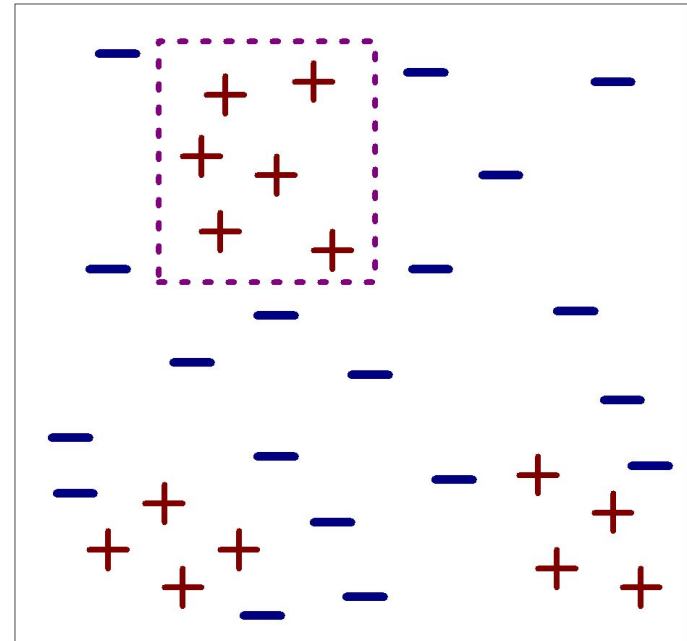


(i) Original Data

Example of Sequential Covering

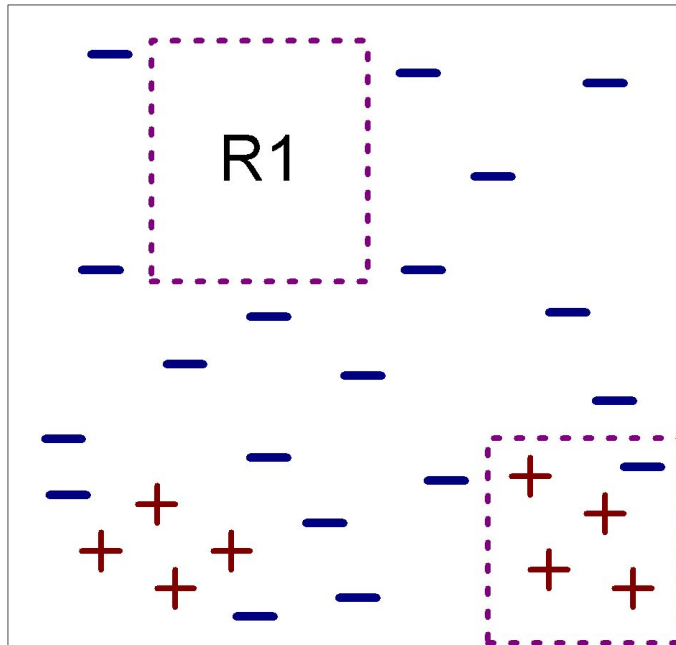


(i) Original Data



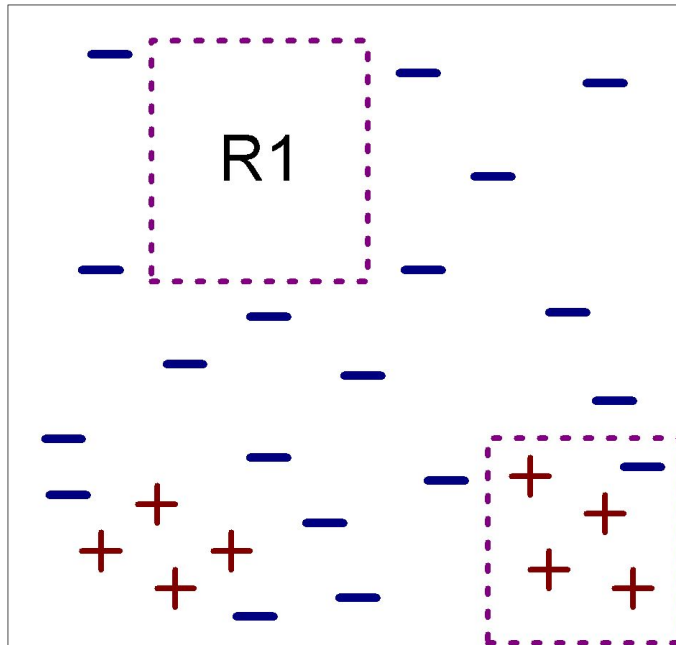
(ii) Step 1

Example of Sequential Covering...

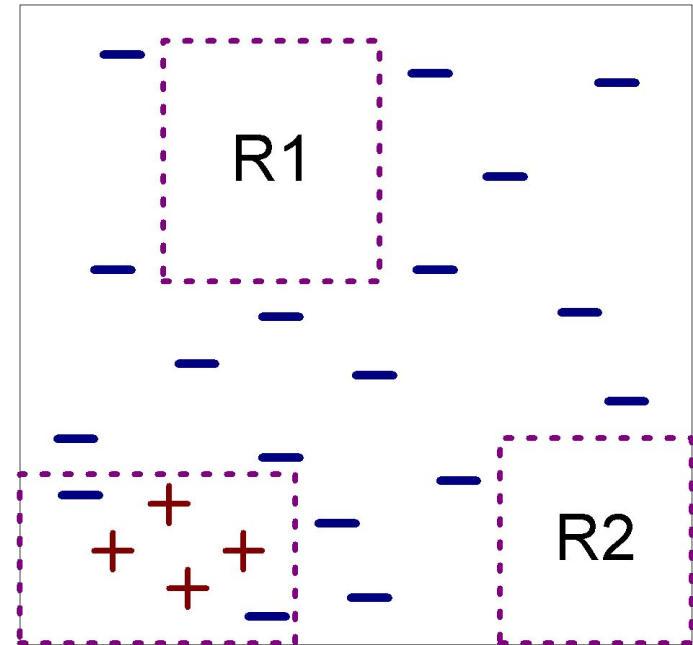


(iii) Step 2

Example of Sequential Covering...



(iii) Step 2



(iv) Step 3