

# Eigenfaces & PCA

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## Executive Summary

1. PCA
2. Intro to Facial Recognition
3. Eigenfaces
4. Results
5. Other Methods & Applications
6. Summary



1

PCA



## PCA Review

### What is PCA ?

Dimensionality reduction tool

Good for clustering & predictive analysis

Similar to Factor Analysis

Invented by Karl Pearson in 1901

### How does PCA work?

Performed on a square symmetric matrix such as a covariance matrix

Based on orthogonal projections

Requires centering

Uses eigenvalue decomposition

Each subsequent principal component maximizes the proportion of remaining variance explained



## Connecting PCA and SVD

- PCA is equivalent to finding eigenvalues of a covariance matrix
- $\text{Covariance}(A) = A^T A = \Sigma$
- SVD of  $A = U \Sigma V^T$
- Then  $U$  is an orthogonal projection, known as the left singular values.
- $U$  will be our eigenfaces (coming soon!)
- We have now avoided the need to calculate the covariance matrix



**SVD MAKES PCA FASTER**

**(SOMETIMES)**



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# Intro to Facial Recognition

“ This recognition problem is made difficult by the great variability in head rotation and tilt, lighting intensity and angle, facial expression, aging, etc.

— Woody Bledsoe, Father of Facial Recognition, 1966





## What is Facial Recognition?

- Facial Recognition is a category of biometric software that maps an individual's facial features mathematically and stores the data as a 'faceprint'.



# Applications of Facial Recognition



## iPhone

The latest iPhone X, released in 2017, uses facial recognition “Face ID” that allows your face to be your password.



## Android

Android phones introduced the “Trusted Face” feature in 2014 with the release of Android Lollipop.



## Snapchat

Snapchat uses facial recognition to allow its users to have cool filters on their face (dog ears filter).



## Surveillance

Private intelligence agencies were using facial recognition in their surveillance as early as 1964.



## Instagram

Copying Snapchat, Instagram started using facial recognition to also allow their users to have cool filters on their face.



## Digital Cameras

Many digital cameras can recognize human faces that allow for clearer and better portrait photos.



3

Eigenfaces



## Background Information

We used Extended Yale Face Database B

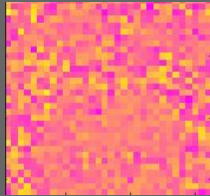
- 32x32 Data file
- This contains faces and their labels
- 38 individuals
- 9 poses
- 64 different illuminations per individual.
- The eigenfaces are the PCs of this dataset





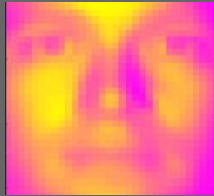
Any Face in Training Set  
(vector )

=  $C_1$



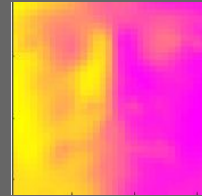
Eigenface 1  
(vector)

+  $C_2$



Eigenface 2  
(vector)

+  $C_3$



Eigenface 3  
(vector)

+ ...

Where  $C_1, C_2, \dots, C_n$  are constants



# Eigenfaces

## What

Eigenfaces are eigenvectors used to help computers perform facial recognition.

Eigenfaces form a basis for the set of all training images.

## Why

There is a need for low dimensional representation for faces. Eigenfaces decrease required computation time for facial recognition.

## How

Eigenvectors are derived from the covariance matrix of the probability distribution over the high-dimensional vector space of face images.



## Steps to find the Eigenfaces

**Step 1:** To create a set of eigenfaces one must first prepare a training set of face images.

**Step 2:** Centering (subtracting the mean).

**Step 3:** Calculate the eigenvectors and eigenvalues of the covariance matrix.

**Step 4:** Choose the principal components. Sort the eigenvalues in descending order and arrange eigenvectors accordingly. The number of principal components  $k$  is determined arbitrarily by setting a threshold  $\epsilon$  on the total variance. Total variance  $\sum_{i=1}^n \lambda_i$ ,  $n$  = number of data images by

**Step 5:**  $k$  is the smallest number satisfies  $\frac{\sum_{i=1}^k \lambda_i}{\sum_{i=1}^n \lambda_i} > \epsilon$

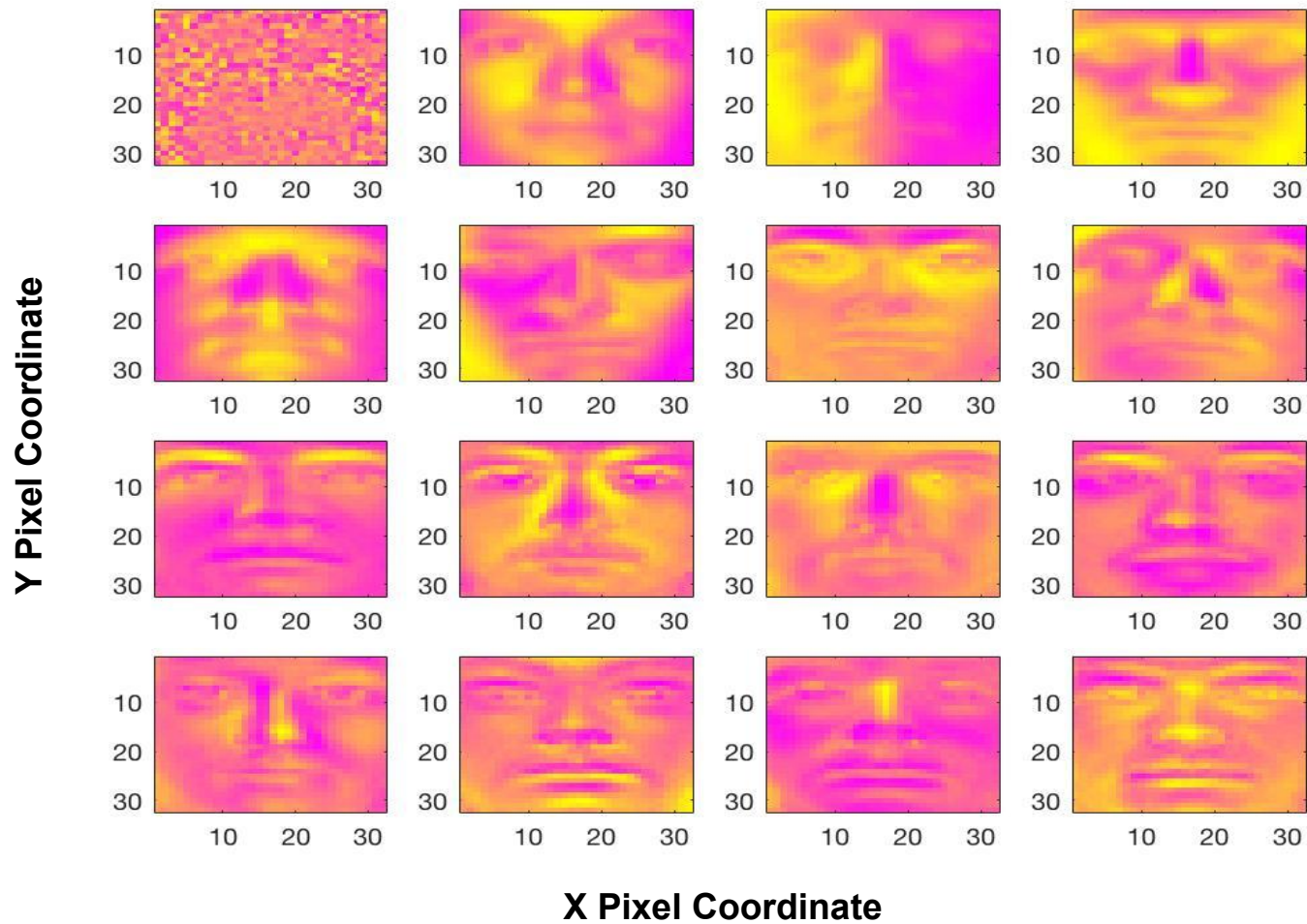


**4**

**Results**



## Top 15 Eigenfaces





## Our process

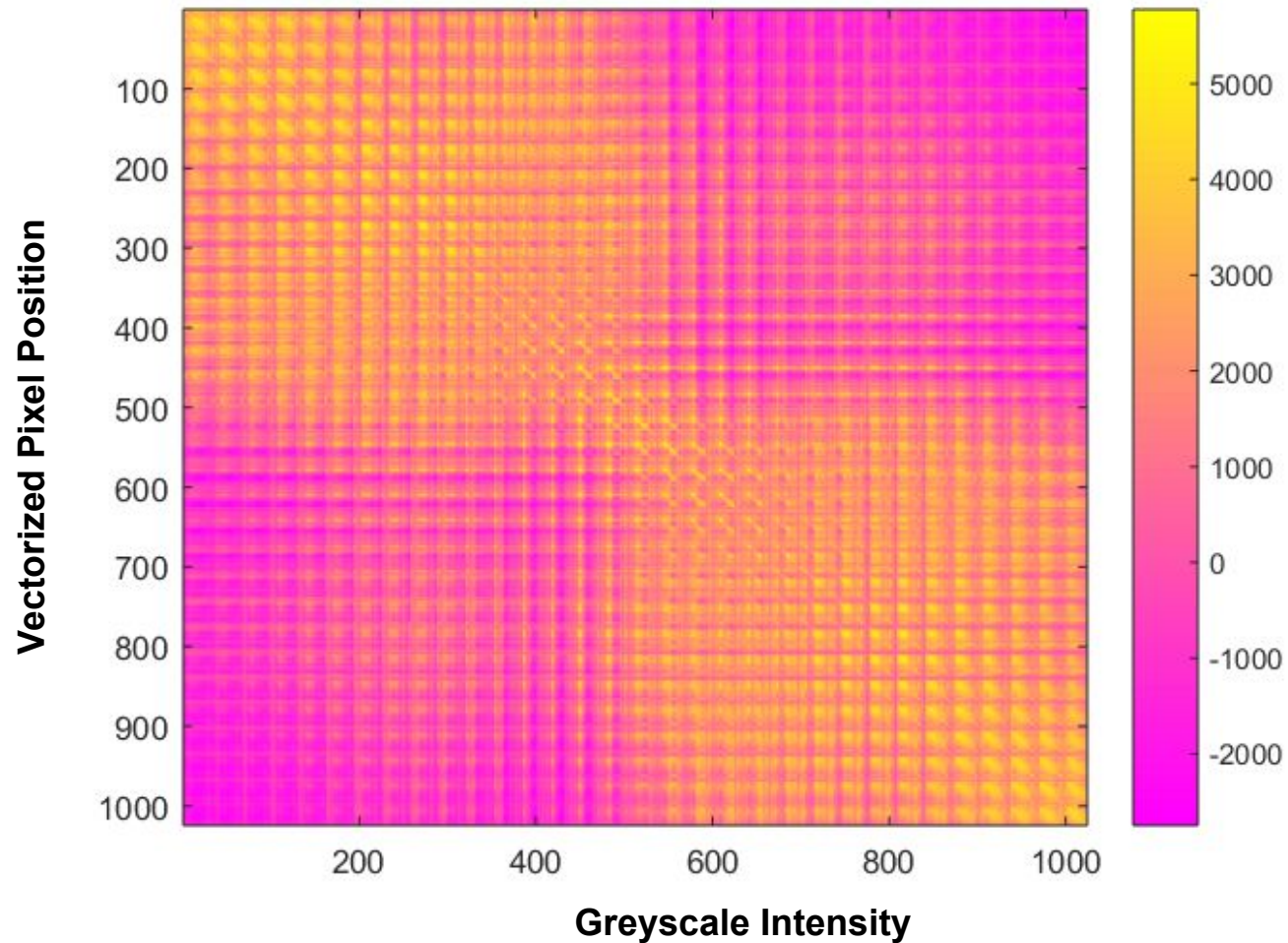
Capture Image

Greyscale,  
Center,  
and Crop  
Image

Run  
MATLAB  
code

Output  
closest  
face in  
database

**Covariance Matrix for Extended Yale Database B**



# 1.33 Seconds

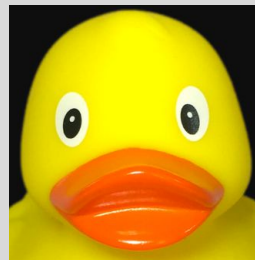
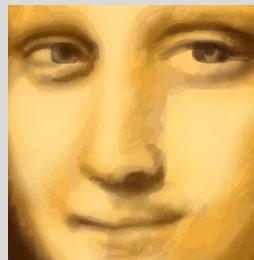
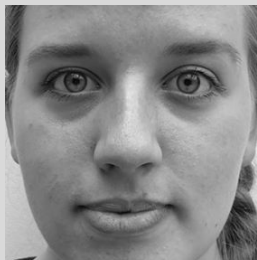
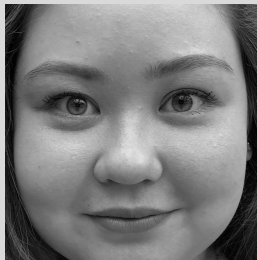
for computing eigenfaces with a  
covariance matrix

# 1.86 Seconds

for computing eigenfaces with  
singular value decomposition



## Guess Who?



Error: 1364



Error: 1041



Error: 809



Error: 1101

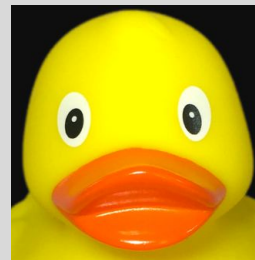
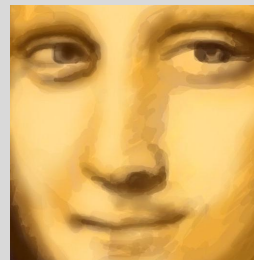
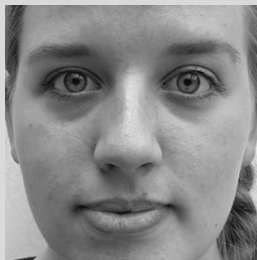
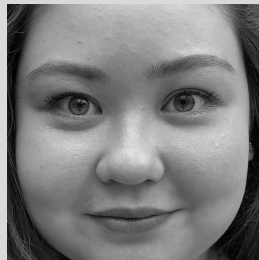


Error: 1874

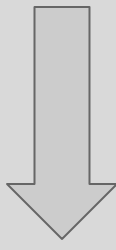




## Guess Who?



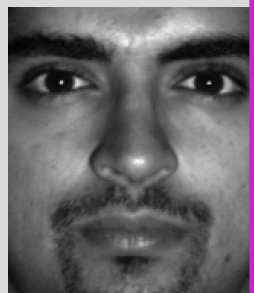
Error: 1364



Error: 1041



Error: 809



Error: 1101

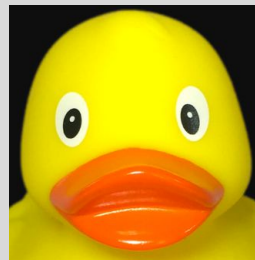
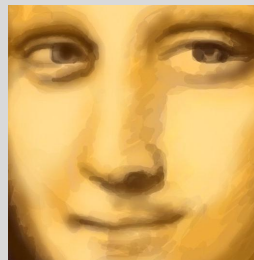
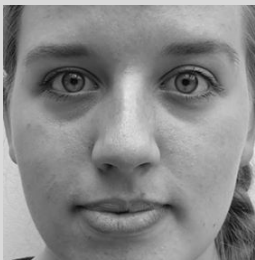
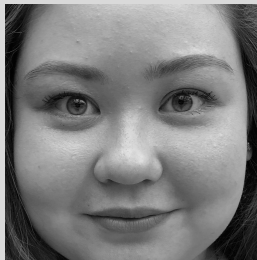


Error: 1874





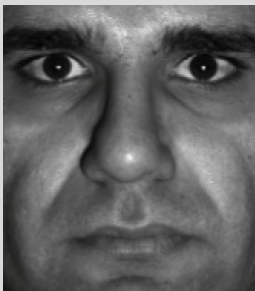
## Guess Who?



Error: 1364



Error: 1041



Error: 809



Error: 1101



Error: 1874







## Limitations

- Faces must be very well centered and adjusted for accurate results
- Small training set
- Need more data to set error bounds  $e_1$ , and  $e_2$
- Not much racial diversity in the training set



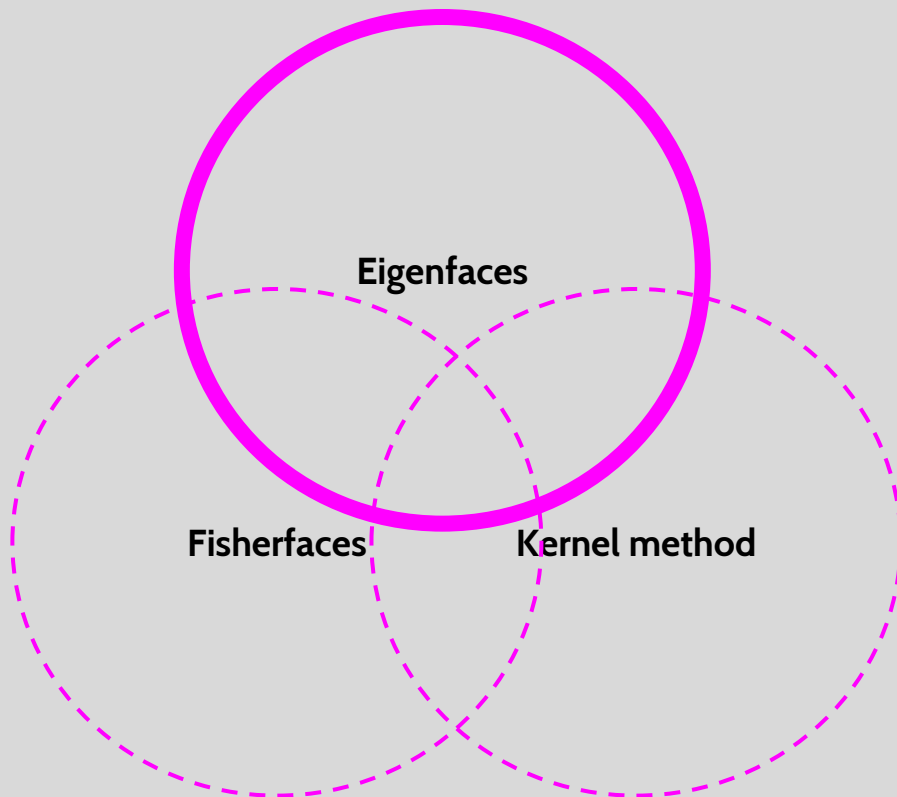


**5**

**Other Methods  
& Applications**



# Methods for Facial Recognition





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Summary



## Final Summary

- SVD improves PCA
- Facial Recognition has many applications
- Eigenfaces form a basis for a set of faces
- Eigenface algorithm can find closest face
- Eigenfaces, Fisherfaces, and Kernel method all do facial recognition

“

One is never alone with a  
rubber duck.

- Douglas Adams







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give presentations. You can  
find me at @username







1

**Transition  
headline**

“

Quotations are commonly printed as a means of inspiration and to invoke philosophical thoughts from the reader.



**This is a slide  
title**

- Here you have a list of items
- And some text
- But remember not to overload your slides with content

Your audience will listen to you or read the content, but won't do both.



# BIG CONCEPT

Bring the attention of your audience over a key concept using icons or illustrations



**You can also  
split your  
content**

## **White**

Is the color of milk and fresh snow, the color produced by the combination of all the colors of the visible spectrum.

## **Black**

Is the color of coal, ebony, and of outer space. It is the darkest color, the result of the absence of or complete absorption of light.



## In two or three columns

### Yellow

Is the color of gold, butter and ripe lemons. In the spectrum of visible light, yellow is found between green and orange.

### Blue

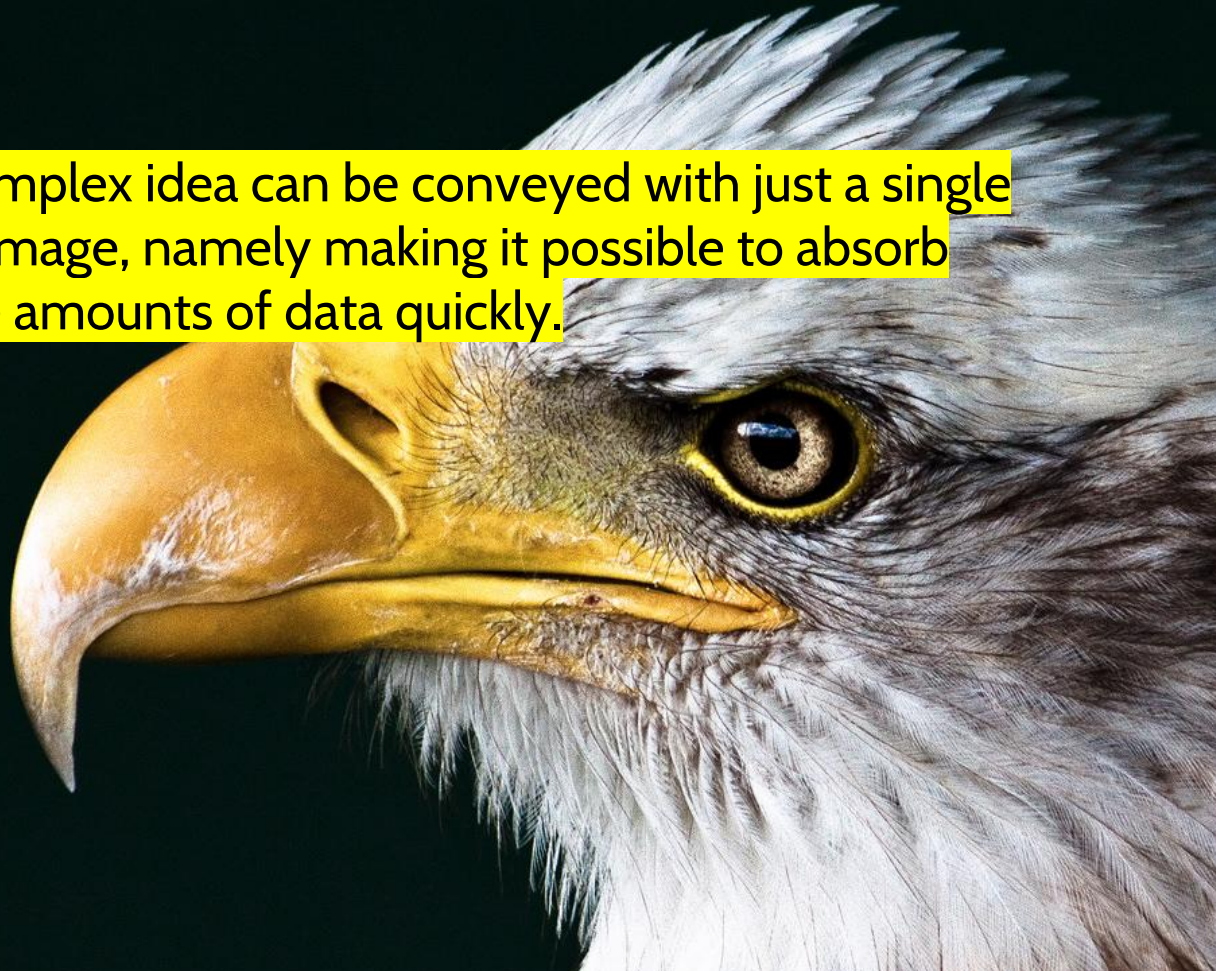
Is the colour of the clear sky and the deep sea. It is located between violet and green on the optical spectrum.

### Red

Is the color of blood, and because of this it has historically been associated with sacrifice, danger and courage.

A picture is  
worth a  
thousand  
words

A complex idea can be conveyed with just a single still image, namely making it possible to absorb large amounts of data quickly.



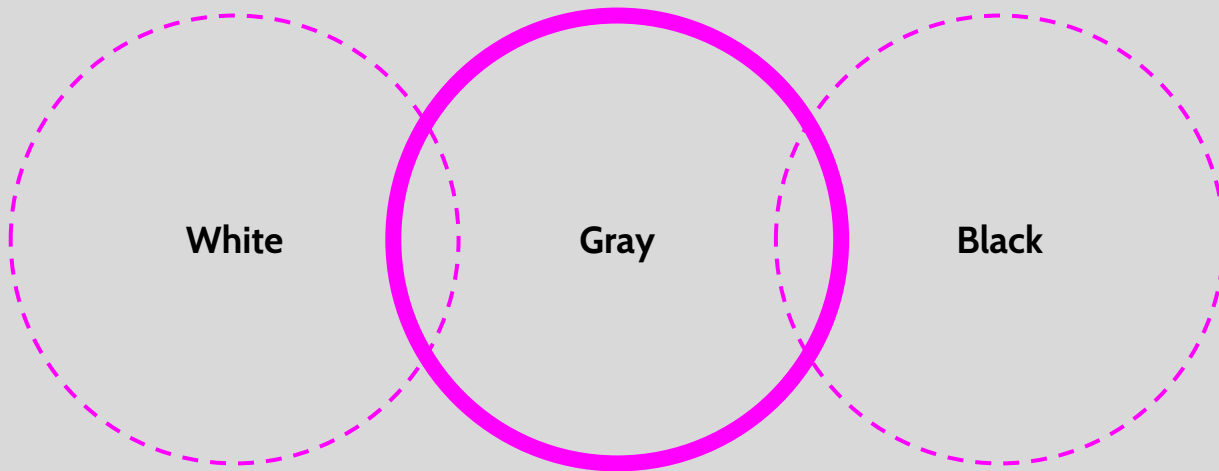
**Want big impact?  
Use big image.**







Use charts to  
explain your  
ideas



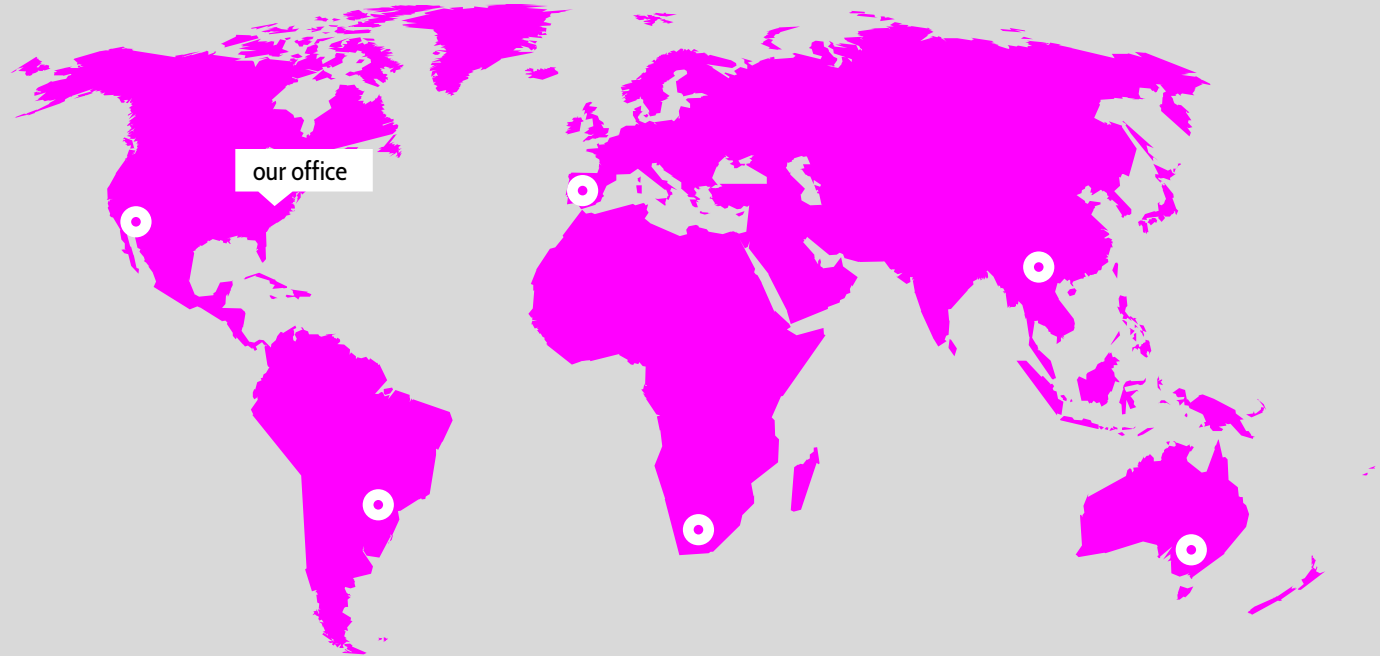


And tables to  
compare  
data

	A	B	C
Yellow	10	20	7
Blue	30	15	10
Orange	5	24	16



# Maps



# 89,526,124

Whoa! That's a big number,  
aren't you proud?

**89,526,124\$**

That's a lot of money

**185,244 users**

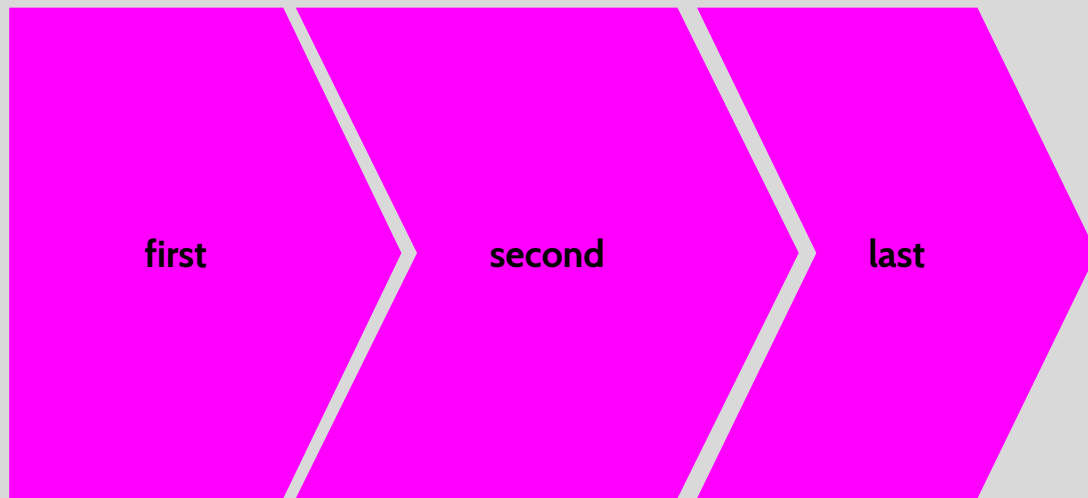
And a lot of users

**100%**

Total success!



**Our process  
is easy**



## Let's review some concepts



### Yellow

Is the color of gold, butter and ripe lemons. In the spectrum of visible light, yellow is found between green and orange.



### Blue

Is the colour of the clear sky and the deep sea. It is located between violet and green on the optical spectrum.



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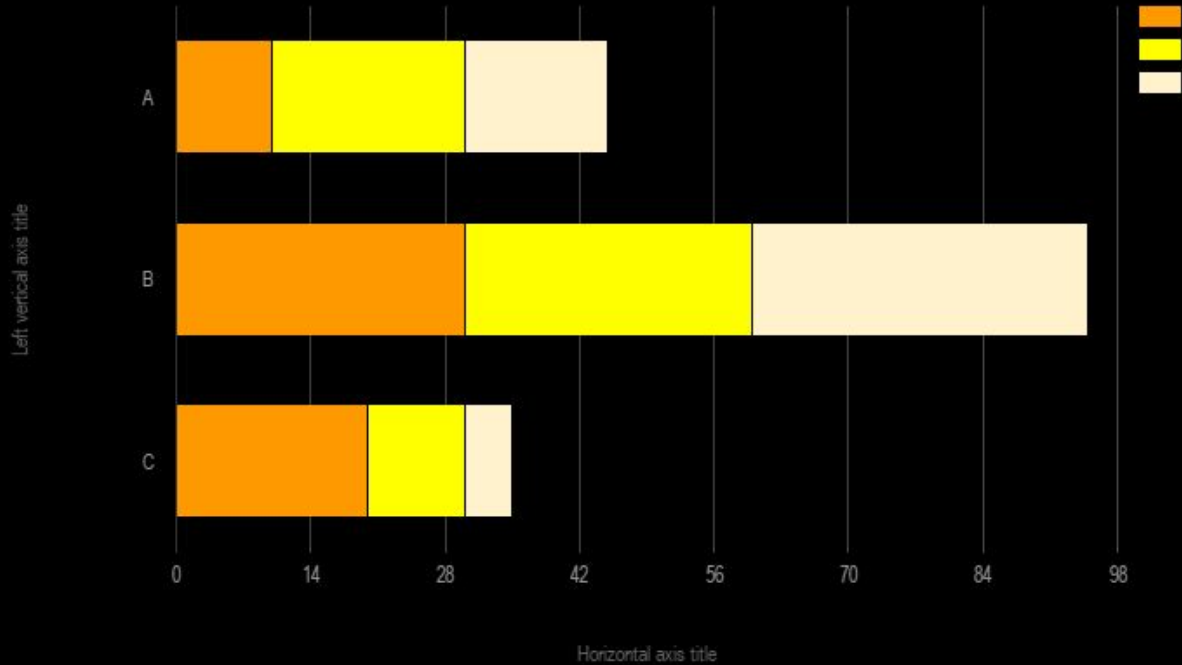
### Blue

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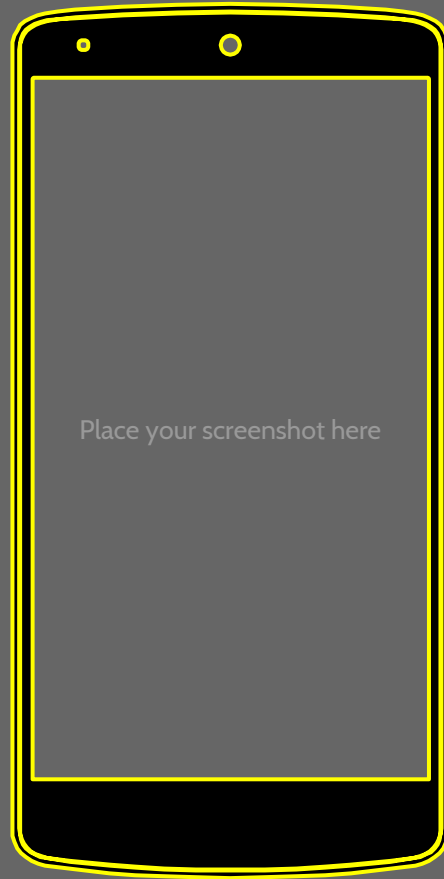
### Red

Is the color of blood, and because of this it has historically been associated with sacrifice, danger and courage.



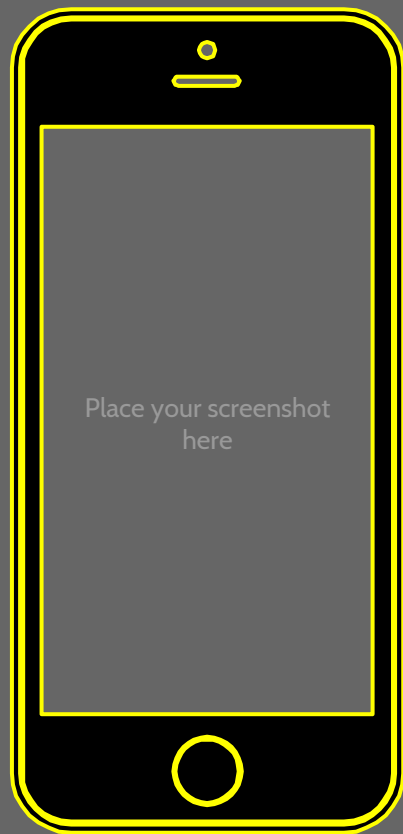
You can copy&paste graphs from [Google Sheets](#)





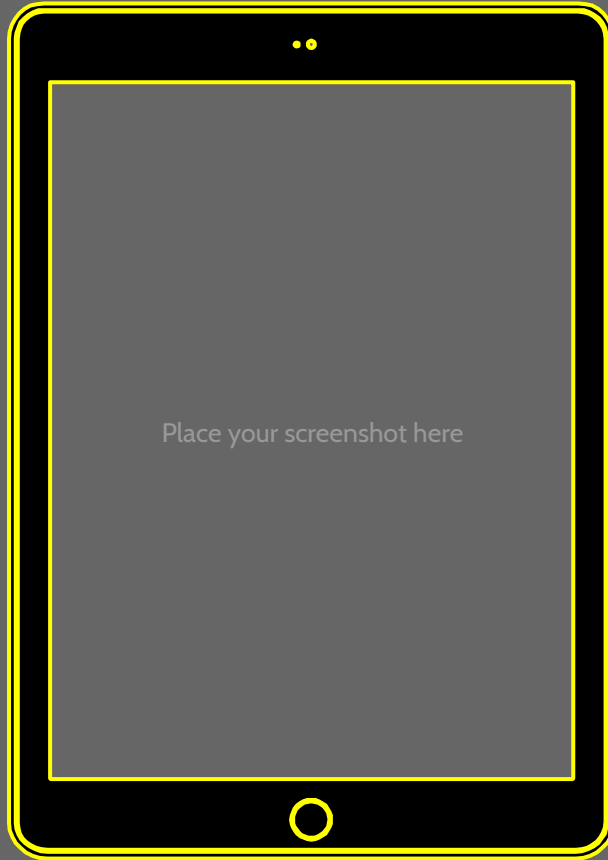
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Place your screenshot here

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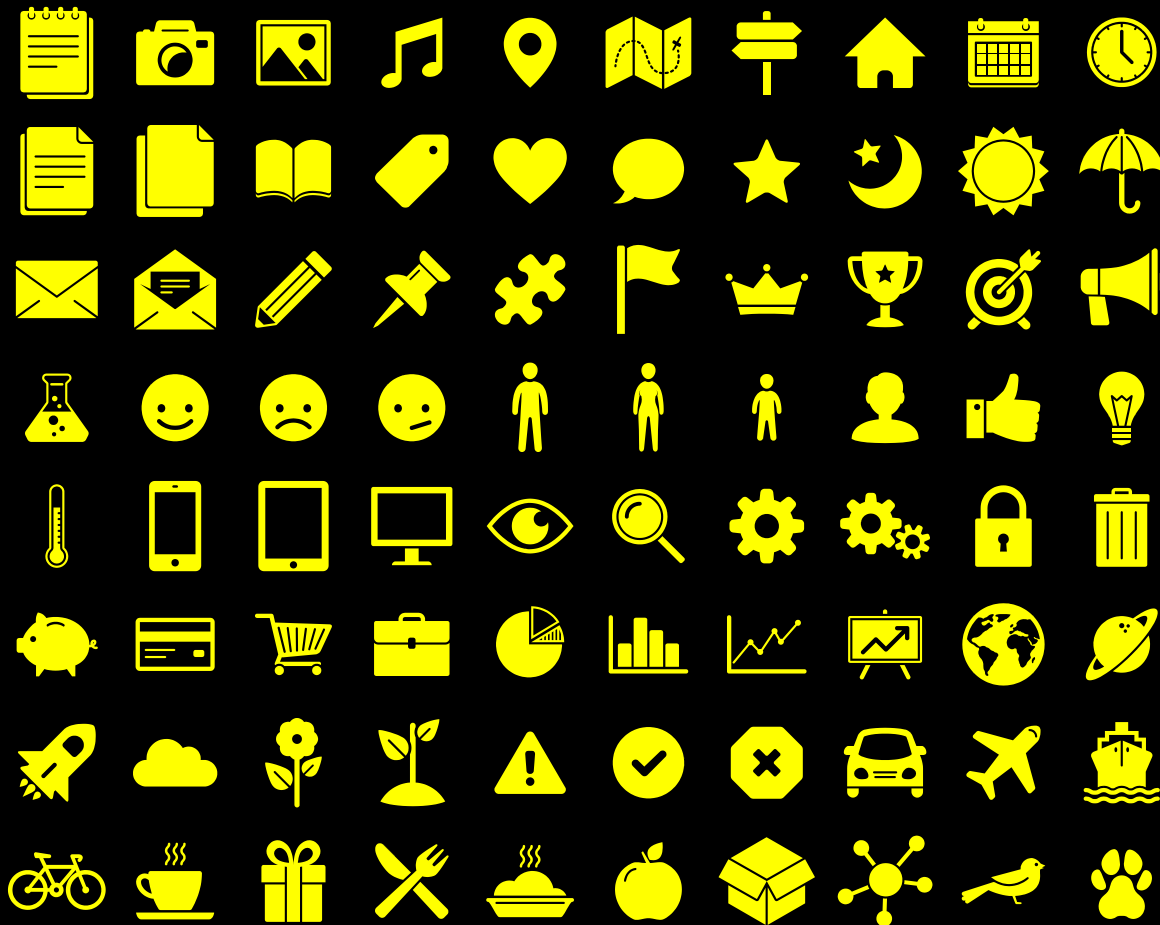
<https://www.google.com/fonts#UsePlace:use/Collection:Cabin:400,700,400italic,700italic|Cabin+Condensed:400,700>

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- Black **#000000**
- Yellow **#ffff00**

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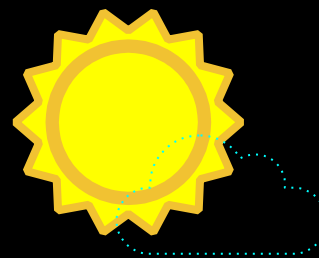
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- Resize them without losing quality.
- Change fill color and opacity.
- Change line color, width and style.

Isn't that nice? :)

Examples:







Now you can use any emoji as an icon!

And of course it resizes without losing quality and you can change the color.

How? Follow Google instructions

<https://twitter.com/googledocs/status/730087240156643328>

