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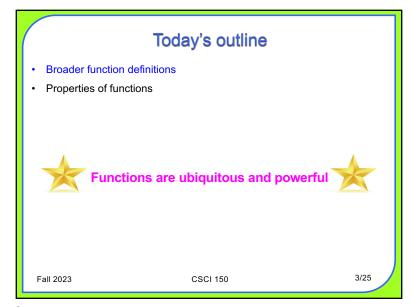
# Last time

#### ★ Set theory proofs rely on definitions

- Set theory properties are analogous to those of CSCI 150 formal logic
- Set theory proofs are either element proofs that rely on definitions, or algebraic proofs that rely on set theory laws
- A Boolean algebra has identities and complements for 2 operations that are associative, commutative, and distributive propositional logic set theory

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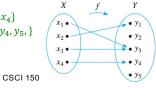
#### Basic ideas

Binary relation R from set X to set Y is any subset of their Cartesian product Y \times Y.

If  $X = \{1,23\}, Y = \{4,20\}, X \times Y = \{(1,4), (1,20), (23,4), (23,20)\}$ 

- Binary function f from set X to set Y is a relation with domain X and codomain  $Y \ni$  for every  $x \in X$  there is **exactly one**  $y \in Y$  so  $(x, y) \in f$ 
  - Notation:  $f: X \to Y$
  - If  $(x, y) \in f$  and also  $(x, z) \in f$  then y = z
  - If  $(x,y) \in f$ , then y is written f(x) and read "f of x" or  $f(x_1) = y_3$  "the value of f at x" or "the image of x under f"
  - If  $(x, y) \in f$ , the inverse image of y is  $f^{-1}(y) = \{x \in X | f(x) = y\}$
- Range of f (aka image of X under f) = all elements in its co-domain that are images of some element in its domain  $\{y \in Y | y = f(x) \text{ for } x \in Y\}$

 $\begin{array}{lll} & \text{some } x \in X \\ & \text{domain?} & \{x_1, x_2, x_3, x_4 \} \\ & \text{co-domain?} & \{y_1, y_2, y_3, y_4, y_5, \} \\ & \text{range?} & \{y_1, y_3, y_4 \} \end{array}$ 



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# Functions you already know

Sequence: subset of  $N \times R$ 

domain:  $\{i \in N, i \ge 2\}$  range:  $\{\frac{i}{i+1} | i \ge 2\}$   $f = \{(2, \frac{2}{3}), (3, \frac{3}{4}), (4, \frac{4}{5}), ...\}$ 

Truth tables: {value tuples for propositional logic symbols}  $\times$  {T,F}) domain: {T,F} $\times$  {T,F} range:{T,F}

 $f = \{((T,T),T), ((T,F),F), ((F,T),F), ((F,F),F)\}$   $g = \{((T,T),T), ((T,F),T), ((F,T),T), ((F,F),F)\}$ 

Input/output tables: defined on black boxes

 $p \wedge q$ 

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Input signals Q black box

mod and div: subsets of  $\mathbf{Z} \times \mathbf{Z}$ 

domain:  $\mathbf{Z}$  range:  $\{0,1,2\}$   $f = \{...,(0,0)(1,1),(2,2),(3,0),(4,1),(5,2),...\}$  domain:  $\mathbf{Z}$  range:  $\mathbf{Z}$   $g = \{...,(0,0),(1,0),(2,1),(3,1),(4,2),(5,2)...\}$ 

S Output signal

Floor and ceiling: subsets of R × Z
 domain: R range: Z f = {(a,b)|a ∈ R, largest integer b ≤ a}

domain: **R** range: **Z**  $f = \{(a,b)|a \in \mathbf{R}, \text{ largest integer } b \leq a\}$ domain: **R** range: **Z**  $f = \{(a,b)|a \in \mathbf{R}, \text{ smallest integer } b \geq a\}$ 

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### **Equality of functions**

Theorem: If  $f: X \to Y$  and  $g: X \to Y$  are functions, f = g iff f(x) = g(x) for all  $x \in X$ .

Proof: We must show that f = g.

By definition of function, both f and g are subsets of  $X \times Y$ .

Part 1: We must show that  $f \subseteq g$ .

Let (x, y) be any element of f. Then f(x) = y but since f(x) = g(x) for all  $x \in X$ ,  $(x, y) \in g$ , and  $f \subseteq g$ .

Part 2: We must show that  $g \subseteq f$ .

Let (x, y) be any element of g. Then g(x) = y but since f(x) = g(x) for all  $x \in X$ ,  $(x, y) \in f$ , and  $g \subseteq f$ . Thus f = g. QED

Let  $J = \{0, 1, 2\}$ , and define functions f and g from J to J as

 $f(x) = (x^2 + x + 1) \mod 3$  and  $g(x) = (x + 2)^2 \mod 3$ .

| x | $x^2 + x + 1$ | $f(x) = (x^2 + x + 1) \bmod 3$ | $(x + 2)^2$ | $g(x) = (x+2)^2 \bmod 3$ |
|---|---------------|--------------------------------|-------------|--------------------------|
| 0 | 1             | $1 \mod 3 = 1$                 | 4           | $4 \mod 3 = 1$           |
| 1 | 3             | $3 \mod 3 = 0$                 | 9           | $9 \mod 3 = 0$           |
| 2 | 7             | $7 \mod 3 = 1$                 | 16          | $16 \mod 3 = 1$          |

This proves that f = g.

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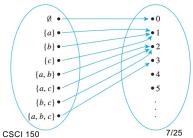
# **Examples of functions**

- Identity function I<sub>X</sub> for a set X is I<sub>X</sub> = {(x, x)|x ∈ X}
   Simply retains the value of x I<sub>X</sub>(<sup>3</sup>√19) = <sup>3</sup>√19

• Infinite sequence is a function defined on set of integers 
$$\geq n$$
  $1, -\frac{1}{8}, \frac{1}{27}, -\frac{1}{64}, \dots$  is  $f(k) = \frac{(-1)^{k+1}}{k^3} \ \forall$  integers  $k \geq 1$ 

 $f \colon \mathcal{P}\{a,b,c\} \to \mathbf{Z}^{nonneg}$  where f counts the number of elements in a subset  $\mathcal{P}(\{a,b,c\})$ has arrow diagram

Here, the domain and range are discrete but the co-domain is infinite



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# **Functions on strings**

String over a set of characters S is either the null string of no elements or a nonempty finite sequence of elements of S

> $S = \{a, b, c\}$ abaaab cbacbcccaaaaa

- Messages are often transmitted as strings over {0,1}
- To protect against failure in message transmission, one coding scheme is a function that replaces each boolean character with 3 copies of

10110 is encoded as 1110001111111000 which is easy to decode If a bit is lost or changed during transmission it can usually be easily detected and corrected

Hamming distance H between 2 strings of the same length n is a function  $S_n \times S_n \to \mathbf{Z}^{nonneg}$  the number of positions in which they differ For n = 6, H(001100,111111) = 4 and H(001100,000001) = 3

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#### More functions

For base  $b \in \mathbb{R}^+$ ,  $b \neq 1$  and number  $r \in \mathbb{R}^+$ , the logarithm  $\log_b r$  with base b of x is  $y \in \mathbb{R}^+$  such that  $b^y = x$ 

 $\log_2 16 = 4$   $\log_{16} 16 = 1$  $\log_4 16 = 2$ 

- Hint: what power do you have to raise b to to get r?
- Logarithmic function with base  $b \neq 1$  is the function from  $R^+$  to R that maps x to  $\log_b x$
- $\{0,1\}^n$  is the set of all *n*-tuples of 0's and 1's
- An *n*-place Boolean function has domain  $\{0,1\}^n$  and co-domain  $\{0,1\}$ Input

The 3-place Boolean function  $f: \{0,1\}^3 \to \{0,1\} \text{ defined by }$  $f(x_1, x_2, x_3) = (x_1 + x_2 + x_3) \mod 2$ can also be described by this table

| A truth table on $n$ variables is an $n$                                   | n-    |
|--|-------|
| place Boolean function   |       |
| $f: \{0,1\}^2 \rightarrow \{0,1\}$ where $T$ represer and $F$ represents 0 | nts 1 |
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 $(x_1 + x_2 + x_3) \mod 2$ 

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 $x_1$   $x_2$   $x_3$ 

# Warnings

- Watch the notation: f is a function (a set of ordered pairs) and f(x) is a
- To be well-defined a function must specify a unique image in its codomain for every element in its domain

f(x):  $\mathbf{R} \to \mathbf{R}$  with rule  $x^2 + y^2 = 1$  is a relation not a function because fhas no image for x = 3

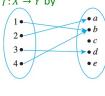
• If  $f: X \to Y$  is a function,  $A \subseteq X, B \subseteq Y$ , then the image of A is  $f(A) = \{y \in Y | y = f(x) \text{ for some } x \in A\}$  and the inverse image of B is  $f^{-1}(B) = \{x \in X | f(x) \in B\}$ Let  $X = \{1,2,3,4\}$  and  $Y = \{a,b,c,d,e\}$ , and define  $f: X \to Y$  by

Let  $A = \{1, 4\}, C = \{a, b\}, \text{ and } D = \{c, e\}.$ 

What are f(A), f(X),  $f^{-1}(C)$ , and  $f^{-1}(D)$ ? This example shows that even though f is a function  $f^{-1}$  may not be a function.



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# Today's outline

- ✓ Broader function definitions
- · Properties of functions

You can follow the upcoming proofs, but how to come up with them? Read the preliminary discussion in your text *before* their proofs

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#### One-to-one functions $f: X \to Y$ is a one-to-one **function** (aka injective) iff $\forall x_1, x_2 \in X$ , if $f(x_1) = f(x_2)$ then $x_1 = x_2$ Images are not shared X = domain of fY = co-domain of f $x_1 \bullet$ $\rightarrow$ • $F(x_1)$ $x_2 \bullet$ $\rightarrow$ • $F(x_2)$ When function f is 1-to-1, distinct elements in X have distinct images in YWhen function f is not 1-to-1, distinct elements in X share an image X = domain of gY = co-domain of g $F(x_1) = F(x_2)$ $\triangleright w$ $\bullet x$ 12/25 Fall 2023 **CSCI 150**

# One-to-one function proofs

- For  $f: X \to Y$  with finite X, consider all pairs of distinct elements in X
  - For |X| = n there are  $\frac{n(n-1)}{2}$  such pairs
  - Disproof: find a pair that have the same image
  - Proof: show that all pairs have distinct images
- For function f: X → Y and X is infinite, take 2 arbitrary but distinct elements x<sub>1</sub>, x<sub>2</sub> ∈ X where x<sub>1</sub>≠ x<sub>2</sub>
  - Proof: We must show that  $f(x_1) \neq f(x_2)$ .

If  $f: \mathbf{R} \to \mathbf{R}$  with f(x) = 3x + 2, then since multiplication and addition in  $\mathbf{R}$  preserve inequality and  $x_1 \neq x_2$ ,  $3x_1 \neq 3x_2$  and  $3x_1 + 2 \neq 3x_2 + 2$ . Thus  $f(x_1) \neq f(x_2)$ . QED

• Disproof: find a counterexample (look for particular element(s) in X) For  $g: \mathbf{R} \to \mathbf{R}$  with  $g(x) = x^4$ , consider  $1, -1 \in \mathbf{R}$ . Since g(-1) = 1 = g(1), g is not one-to-one

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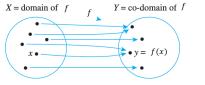
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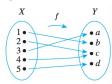
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#### Onto functions

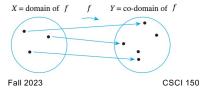
Function  $f: X \to Y$  is onto (aka surjective) iff  $\forall x_1, x_2 \in X$ , if  $\forall \in Y \exists x \in X$  such that f(x) = y Every co-domain element is an mages

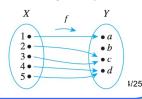
When f is onto, every element in Y is the image of some element in X





When f is not onto, some element in Y is **not** the image of any element in X





#### Onto proofs

- For function  $f: X \to Y$  with finite Y, consider all elements in Y
  - · How many are there?
  - Disproof: find an element that is not the image of any element in X
  - Proof: show that every element in Y is the image of some  $x \in X$
- For function  $f: X \to Y$  if Y is infinite, take an arbitrary  $y \in Y$ 
  - Proof: We must show that for some  $x \in X$ , f(x) = y.

If 
$$f: \mathbb{R} \to \mathbb{R}$$
 with  $f(x) = 3x + 2$ , then if  $y = 3x + 2$ ,  $y - 2 = 3x$ ,  $x = \frac{y-2}{3}$ .

By substitution  $f\left(\frac{y-2}{3}\right) = 3\left(\frac{y-2}{3}\right) + 2 = y - 2 + 2 = y$  and since **R** is closed under subtraction and under division by a non-zero number,  $\frac{y-2}{3} \in R$  and

$$f\left(\frac{y-2}{3}\right) = y$$
. QED

• Disproof: find a counterexample

For  $g: \mathbb{Z} \to \mathbb{Z}$  with g(x) = 3x + 2, consider  $4 \in \mathbb{R}$ . Since g(x) = 3x + 2, 4 = 3x + 2 with solution  $x = \frac{4-2}{3} = \frac{2}{3} \notin \mathbb{Z}$ .

Thus g is not onto

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# Application: hashing

- Efficient storage and retrieval for a set S of items with unique identifiers (kevs) with maximum value m students' SSNs → CUNY IDs
- Could use an array because its entries can be accessed in constant time but for large m, that is too much space
- Instead, use an array A of size  $|S| = n \ll m$  with entries  $a[1], a[2], \dots a[n]$  and a hash function  $h: S \to \{1, 2, \dots, n\}$  that maps from the key for an item in S to an index for an element in A

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$$h(s) = (key(s)) \mod 7$$
  $h(513408716) = 2$ 

- But for large |S|, h may not be one-to-one, that is, there may be collisions where  $x_1 \neq x_2$  but  $h(x_1) = h(x_2)$  h(908371011) =356-63-3102
- h(513408716) = 2

 Collision resolution algorithm(s) address this 1

"take the next empty location" would store item with key 908371011 in a[4]

2 513-40-8716 223-79-9061 4 328-34-3419 6

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Exponents and logs
• Properties of exponentiation: For all  $b, c \in \mathbb{R}^+$  and all  $u, v \in \mathbb{R}$ 

$$b^{u}b^{v} = b^{u+v}$$

$$(b^{u})^{v} = b^{uv}$$

$$\frac{b^{u}}{b^{v}} = b^{u-v}$$

$$(bc)^{u} = b^{u}c^{u}$$

- For all  $b \in \mathbb{R}^+$ ,  $b \neq 1$  For all  $u, v \in \mathbb{R}$ , if  $b^u = b^v$  then u = v

  - For all u. v ∈ R<sup>+</sup>, if log<sub>b</sub> u = log<sub>b</sub> v then u = v
     That is, both the exponential and logarithmic functions are 1-to-1
- For all  $b, c, x, y \in \mathbb{R}^+$ ,  $b \neq 1, c \neq 1$ 
  - $\log_b(xy) = \log_b x + \log_b y$
  - $\log_b \frac{x}{y} = \log_b x \log_b y$
  - $\log_b(x^a) = a \log_b x$
  - $\log_c x = \frac{\log_b x}{\log_b c}$

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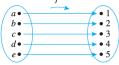
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# One-to-one correspondences

Function  $f: X \to Y$  is a one-to-one **correspondence** (aka bijection) iff  $\forall x_1, x_2 \in X$ , f is both onto and one-to-one.

Images are not shared and Every co-domain element is an image



Consider  $h: \mathcal{P}(\{a,b\}) \to \{0,1\}^2$  where the first digit is 1 if a is in the subset and 0 if it is not, and similarly for the second digit and b.

| Subset of $\{a, b\}$ | Status of a     | Status of b                                  | String in S   |  |
|----------------------|-----------------|--|---|--|
| Ø                    | not in          | not in                                       | 00  |  |
| {a}                  | in              | not in                                       | 10  |  |
| $\{b\}$              | not in          | in   | 01  |  |
| $\{a,b\}$            | in              | in   | 11  |  |
|                      | Ø<br>{a}<br>{b} | $\emptyset$ not in $\{a\}$ in $\{b\}$ not in | $\emptyset$ not in not in $\{a\}$ in not in $\{b\}$ not in in |  |

• 00 {a} • **→** • 10 {*b*} ● **→** • 01  $\{a,b\}$  • **▶** • 11

h is a 1-to-1 correspondence

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# Functions on 2 variables (1)

```
f:A\times B\to C=\left\{\left((a,b),c\right)|(a,b)\in A\times B,c\in C\right\} is a function on 2 variables iff every (a,b)\in A\times B has a unique image c\in C f(x,y)=(x-y,x+y) is a 1-to-1 correspondence from R\times R to itself. Proof:
```

Part 1: *f* is 1-to-1.

Let  $(x_1, x_2)$ ,  $(t_1, t_2)$  be any 2 elements in  $\mathbf{R} \times \mathbf{R}$ .

We must show that if  $f(x_1, x_2) = f(t_1, t_2)$  then  $x_1 = t_1$  and  $x_2 = t_2$ .

By definition of f,  $f(x_1, x_2) = (x_1 - x_2, x_1 + x_2)$  $f(t_1, t_2) = (t_1 - t_2, t_1 + t_2)$ 

If  $f(x_1, x_2) = f(t_1, t_2)$  then

 $x_1-x_2=t_1-t_2 \text{ and } x_1+x_2=t_1+t_2$  Adding those 2 equations yields  $2x_1=2t_1,$  so  $x_1=t_1$ 

and by substitution  $x_1 - x_2 = x_1 - t_2$  so  $x_2 = t_2$ . Thus f is 1-to-1.

But we're not done yet...why not?

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# Functions on 2 variables (2)

f(x,y) = (x - y, x + y) is a 1-to-1 correspondence from  $\mathbf{R} \times \mathbf{R}$  to itself.

Part 2: f is onto.

Let  $(t_1, t_2)$  be any element of  $R \times R$ .

We must show that there exists some  $(x_1, x_2) \in R \times R$  such that  $f(x_1, x_2) = (t_1, t_2)$ .

By definition of  $f, f(x_1,x_2)=(x_1-x_2,x_1+x_2)$  so if  $f(x_1,x_2)=(t_1,t_2)$  then  $x_1-x_2=t_1\\x_1+x_2=t_2$ 

Adding those 2 equations yields  $2x_1 = t_1 + t_2$  so let  $x_1 = \frac{t_1 + t_2}{2}$ 

Since  $x_1 - x_2 = t_1$ ,  $x_2 = x_1 - t_1$  and by substitution

$$x_2 = \frac{t_1 + t_2}{2} - t_1 = \frac{t_1 + t_2}{2}$$

$$= \left(\frac{t_1 + t_2}{2} - \frac{-t_1 + t_2}{2}, \frac{t_1 + t_2}{2} + \frac{-t_1 + t_2}{2}\right) = \left(\frac{2t_1}{2}, \frac{2t_2}{2}\right)$$

Then,  $f\left(\frac{c_1+c_2}{2}, \frac{c_1+c_2}{2}\right) = \left(\frac{c_1+c_2}{2} - \frac{c_1+c_2}{2}, \frac{c_1+c_2}{2} + \frac{c_1+c_2}{2}\right) = \left(\frac{c_1}{2}, \frac{c_2}{2}\right)$   $(t_1, t_2)$ , Thus f is onto.

Since f is 1-to-1 and onto, it is a 1-to-1 correspondence. QED

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#### Inverse functions

• If  $f: X \to Y$  is a one-to-one correspondence, define its inverse function  $f^{-1}: Y \to X$  with the rule  $f^{-1}(y) = x$  iff f(x) = y

X = domain of fY = co-domain of f $x = f^{-1}(y) \bullet$ f(x) = y

To find an inverse for  $f: \mathbf{R} \to \mathbf{R}$  where f(x) = 13x - 9, solve y = 13x - 9 to get  $x = \frac{y+9}{13}$ . Then  $f^{-1}(y) = \frac{y+9}{13}$ For base b > 0 the logarithmic function is the inverse of the

exponential function

- Having an inverse is a property of a function
- · Many functions are not invertible

 $f(x) = x^2$ 

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# Property of an inverse function

Theorem: For sets X and Y, the inverse  $f^{-1}: Y \to X$  of any 1-to-1 correspondence  $f: X \to Y$  is also a 1-to-1 correspondence.

Part 1: We must show that  $f^{-1}$  is 1-to-1.

Let  $y_1, y_2$  be any 2 elements of Y with  $f^{-1}(y_1) = f^{-1}(y_2)$ .

By definition of  $f^{-1}$ , there is  $x \in X$  such that  $x = f^{-1}(y_1) = f^{-1}(y_2)$ .

Because f is a function, x has a unique image in Y, so  $y_1 = y_2$  and  $f^{-1}$ is 1-to-1.

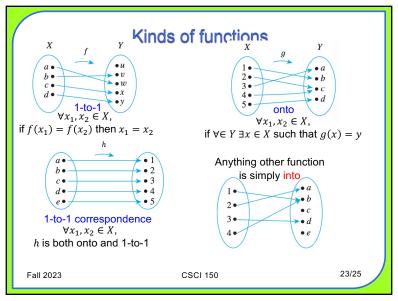
Part 2: We must show that  $f^{-1}$  is onto.

Because f is a function, every  $x \in X$  has an image  $y \in Y$ , that is, f(x) = y. By definition of  $f^{-1}$ ,  $f^{-1}(y) = x$  and  $f^{-1}$  is onto.

Because  $f^{-1}$  is 1-to-1 and onto, it is a 1-to-1 correspondence.

Recall  $f: \mathbf{R} \times \mathbf{R} \to \mathbf{R} \times \mathbf{R}$  defined by f(x, y) = (x - y, x + y). f is a 1-to-1 correspondence from  $R \times R$  to itself. Therefore its inverse

 $f^{-1}(x,y) = \left(\frac{x+y}{2}, \frac{-x+y}{2}\right)$  is a 1-to-1 correspondence from  $\mathbb{R} \times \mathbb{R}$  to itself.



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#### Proof methods (so far) Truth table Sequence of statements with reasons Logic (modus ponens, modus tollens,...) Predicate logic (quantification, vacuous truth) Generalization from the generic particular Proof by contradiction Proof by contraposition Proof by cases Mathematical induction Strong mathematical induction Proof by set element Algebraic set proof Algebraic proof by properties of functions 24/24 Fall 2023 **CSCI 150**

# What you should know ★ Functions are ubiquitous and powerful • Functions can be defined on more than numbers • Special properties of functions impact computation and storage Next up: Proofs about functions Time to finish up that Opening sheet! Problem set 15,16 is due on Monday, November 6 at 11PM Fall 2023 CSCI 150 25/25