# Automatic Image Colorization using Generative Adversarial Networks

Project Paper of team: Yet Another Layer [YAL] CSci 5561 - Computer Vision

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#### Abstract

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#### 1 Introduction

Image colorization [1, 2, 3] refers to colorizing a given gray-scale image so that it appears real. A large amount of photographs, videos and movies, mainly antique, lack color; image colorization can provide a modern and vivid view to these images. In addition, surveillance cameras often capture (or store) gray-scale images for convenience. Several underwater inspection and surveillance applications [4, 5] often have to deal with color-less images due to lack of visible light in deep-water. Robust and efficient image colorization techniques can be used in these applications with substantial benefits.

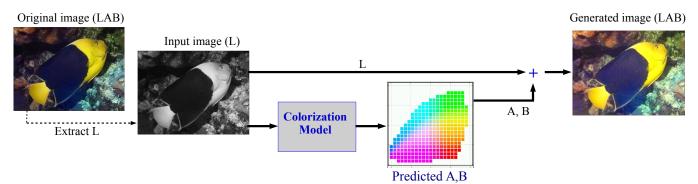


Figure 1: Basic image colorization procedure is shown. LAB color-space is generally used for convenience (i.e., one less unknown dimension); given the lightness channel L, task for the colorization model is to predict A and B channels so that the colorized image appears natural.

Colorizing a gray-scale image (i.e., only intensity values are known) is a difficult and ill-posed problem. Computer vision community have approached this problem in different ways over the last few decades [1, 2, 3, 6, 7, 8]. Before the advent of deep-learning [9], researchers have tried many classical techniques [6, 7, 8, 10, 11] to capture relationships between color components (RGB or LAB) and image level features. Due to multi-modality and ill-posed nature of the problem, optimization based techniques [10, 6] and probabilistic models [11] were the only ones that achieved decent colorization performance in few specific applications. However, overall performance of these techniques, in general, were still poor due to the high non-linearity and abstract nature of color-feature relationship.

Recently, deep-learning based image colorization techniques [1, 2, 12, 13], trained over millions of images, have shown significantly better performance over the earlier classical methods. For instance, the current state-of-the-art, 'colorful colorization' [1], can fool a human observer 32% of the time in a *colorization Turing-test* scenario. Additionally, how use of GANs are likely to improve the performance which why we are going to try?

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### 2 Background and Related Work

As mentioned in the previous Section, image colorization is an ill-posed problem due to multi-modality and ambiguity. While some natural objects commonly hold the same color (e.g grass is usually green), many are left up for interpretation. For example, given a gray-scale image of someone wearing a dark colored shirt, there is no way of figuring out the true color. Instead, the objective is to come up with a colorization that appears real, i.e., natural.

User-based approaches [10, 8, 14, 15] were popular for being fast and relatively accurate as user can provide a good prior for the inherent color distribution. However, these methods are not applicable for large scale automatic colorization, which led researchers to adopt optimization and probabilistic approaches [6, 3, 11]. These approaches model a likelihood based color approximation for each pixel given the neighborhood information. Few methods introduce additional step for spatial coherency through image based segmentation as well. However, overall colorization performance of these approaches are not very appealing [16] for general usage in a large scale. This is because the prior distribution of color-space is domain-dependant; for instance, face images, underwater images, outdoor and satellite images, all have different color distributions. Besides, it is difficult to capture the highly non-linear and abstract color-feature relationships without large-scale training.

In recent times, deep-learning based approaches [1, 2, 12, 13] have produced significantly better colorization performance as they can extract highly non-linear spatial relationships if trained over large datasets. The convolutional layers learn appropriate filters to produce good feature-space representations from raw images. These feature extraction and filtering is performed over multiple layers to capture complex spatial relationships within the image-space, which is useful for image-to-image translation tasks. Additionally, Prospects of GANs GANs ......

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## 3 Generative Approaches

In our project, we tried two classical methods: colorization using optimization [10], colorization via multimodal predictions [6]. The former is not an automatic approach (user provides color distribution prior), whereas, the later uses a set of reference images to formulate color distribution. Their operations are discussed in our term report; this paper focuses on the third generative approach, colorful image colorization [1], which is adopted in our project.

### 3.1 Colorful Image Colorization

Colorful image colorization [1] is considered as a major breakthrough on this problem. Published on 2016, it has managed to set a new benchmark for performance. It is an automatic approach that produces realistic colorizations based on a CNN-based model. It poses the problem as a multi-modal classification problem. The objective function is carefully designed to map the image-to-image translation problem to a classification problem. First, it takes advantage of the fact that A, B color components of LAB colorspace for natural images are concentrated in a small region, which can be discretized into finite number of bins (Q), as illustrated in the top row of Fig 2. Given the lightness channel  $(L_p)$  of a pixel p, its A, B pair corresponds to a particular bin (out of 313 bins in total), which is mapped to a 1-hot vector  $(Z_p)$ . Consequently, task of the classification model, is to predict which bin each pixel corresponds to. That is, the output is a 313-mode probability distribution  $(\hat{Z}_p)$  for each pixel p. The objective function is modelled as a cross entropy loss between Z and  $\hat{Z}$ , expressed as follows:

$$L_{col}(Z, \hat{Z}) = -\sum_{p} Z_{p} \sum_{q \in Q} Z_{p}[q] log(\hat{Z}_{p}[q])$$

This cross-entropy loss can be further augmented with class rebalancing, to encourage rare colors. The detailed model specification, as shown in the bottom-row of Fig. 2, is an 8-layer CNN architecture where each conv layer refers to a block of 2 or 3 repeated conv and ReLU layers [17], followed by a BatchNorm layer [18]. The network has no pool layers; all changes in resolution are achieved through spatial down-sampling or up-sampling between conv blocks.

While working on designing a generator for our GAN-based model, we investigated this model with different objective functions ( $L_1$  loss,  $L_2$  loss, least-squared loss, etc.) instead of their cross-entropy based loss function. This is due to the fact that in a GAN-based model, discriminator expects an image from the generator, and tries to discriminate it as real or fake in order to force the generator to get better. Therefore, rather than adopting their classification model directly, I implemented their architecture using objective functions based on  $L_1$ ,  $L_2$ , least-squared loss (so that it outputs an image, not classification probabilities). Additionally, it made our model end-to-end trainable, which can be easily incorporated in a GAN-based architecture.

Given an input image I, the network is fed with its L channel  $(I_L)$ ; the output layer of the network is adjusted to predict  $\hat{I}_{AB}$ . We have found  $L_1$  and  $L_2$  loss functions perform quite well with this model. These loss functions between true  $I_{AB}$  and  $\hat{I}_{AB}$  can be expressed as follows:

$$L_1(I_{AB}, \hat{I}_{AB}) = \lambda \sum_p |I_{AB}[p] - \hat{I}_{AB}[p]|$$

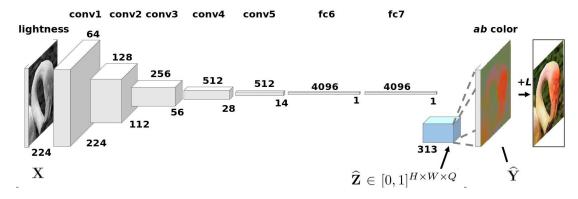


Figure 2: Architecture of colorful image colorization model [1] that is adopted in our evaluation

$$L_2(I_{AB}, \hat{I}_{AB}) = \lambda \sum_{p} (I_{AB}[p] - \hat{I}_{AB}[p])^2$$

Here,  $\lambda$  is a normalization constant. CelebA datasets [19] is used for training primarily, that has 195K training examples (a total of 202K images); In a separate training, a set of 200K images from Places2 dataset [20] were also used for training<sup>1</sup>. Training was performed using two 1080 gpus (in a core-i7 machine having 64GB RAM); training time for 100K iterations with a batch size 32 was about 2-3 days for each trial. The implementation is done using tensoflow [22] in python.

We found that this model performs better with  $L_1$  loss function compared to  $L_2$  loss. Additionally, training is much smoother for  $L_1$  loss as well, as evident from Fig ??. This might be because of the averaging effect of 2-norm which causes a blurry colorization (similar phenomena is discussed in [1]). The results on few test cases are shown in Fig. 3.

It is to be noted that these results were found while designing it as a generator only, we will discuss results for our GAN-based models in the project report. Currently, the loss function  $(L_{col})$  for classification model of the original paper is being tried out, to investigate how it performs over these datasets.

### 4 Adversarial Approaches

#### 5 Conclusion

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<sup>&</sup>lt;sup>1</sup>Larger datasets like ImageNet [21] and full Places2 challenge dataset were not used for the project due to time constraint; however, we are planning to train our final GAN-based model over these datasets during the summer.



(b) Colorful Colorization [1] model with L2 loss

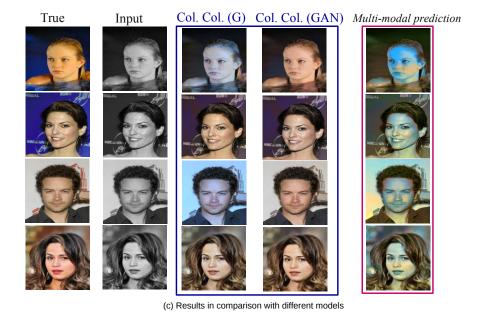


Figure 3: Results for the colorful colorization model [1] with (a) L1 and (b) L2 loss is shown; First 4 columns show few examples from the test set of CelebA [19] dataset, while the rest (3) columns correspond to Places2 [20] dataset. Comparison for colorful colorization model used as a generator only (Col. Col. (G)), and as a generator in a GAN (Col. Col. (GAN)), is shown in (c); also, results obtained by colorization via multi-modal prediction [6] is provided in the last

column to demonstrate the degree of improvements using colorful colorization model.

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