# Retrieval Evaluation

Lecture 8, September 17, 2019

# Exercise #1

Compare the effectiveness of System A and System B on a test collection consisting of three queries. Table 1 contains the rankings generated by the two systems as well as the ground truth. We assume that relevance is binary, i.e., the ground truth column contains a set of the relevant documents.

Query	System A ranking	System B ranking	Ground truth
Q1	1, 2, 4, 5, 3, 6, 9, 8, 10, 7	2, 4, 3, 10, 5, 6, 7, 8, 9, 1	1, 3
Q2	1, 2, 4, 5, 3, 9, 8, 6, 10, 7	5, 6, 4, 1, 7, 8, 9, 10, 3, 2	2, 4, 5, 6
Q3	1, 7, 4, 5, 3, 6, 9, 8, 10, 2	2, 4, 3, 7, 5, 6, 1, 8, 9, 10	7

Table 1: Document rankings produced by two systems and binary relevance judgments.

We highlighted the relevant documents in Table 1 for a better overview.

## Solution

First we compute effectiveness metrics for individual queries (rows 1-3 in Table 2). Then, we average these number over the set of queries (row 4)

	System A			System B				
Query	P@5	P@10	(M)AP	(M)RR	P@5	P@10	(M)AP	(M)RR
Q1	$\frac{2}{5}$	$\frac{2}{10}$	$(\frac{1}{1} + \frac{2}{5})/2$	<u>1</u>	$\frac{1}{5}$	$\frac{2}{10}$	$(\frac{1}{3} + \frac{2}{10})/2$	$\frac{1}{3}$
Q2	3 5	$\frac{4}{10}$	$(\frac{1}{2} + \frac{2}{3} + \frac{3}{4} + \frac{4}{8})/4$	$\frac{1}{2}$	<u>3</u> 5	$\frac{4}{10}$	$(\frac{1}{1} + \frac{2}{2} + \frac{3}{3} + \frac{4}{10})/4$	<u>1</u>
Q3	$\frac{1}{5}$	$\frac{1}{10}$	$(\frac{1}{2})/1$	$\frac{1}{2}$	$\frac{1}{5}$	$\frac{1}{10}$	$(\frac{1}{4})/1$	$\frac{1}{4}$
Average	0.4	0.233	0.601	0.666	0.333	0.233	0.455	0.527

Table 2: Effectiveness measures.

# Exercise #3

Evaluate a given system in terms of NDCG@5 and NDCG@10 on a test collection consisting of three queries. Table 3 contains the rankings generated by the system as well as the ground truth. Documents are judged on a 4-point scale: non-relevant (0), poor (1), good (2), excellent (3).

		Ground truth		
Query	System ranking	Excellent (3)	Good $(2)$	Poor (1)
Q1	$2^{(1)}, 1^{(2)}, 3^{(0)}, 4^{(3)}, 5^{(0)}, 6^{(0)}, 10^{(0)}, 7^{(0)}, 9^{(0)}, 8^{(0)}$	4	1	2
Q2	$1^{(2)}, 2^{(1)}, 9^{(0)}, 4^{(3)}, 5^{(0)}, 6^{(0)}, 7^{(0)}, 8^{(1)}, 3^{(3)}, 10^{(0)}$	3, 4	1	2, 8
Q3	$1^{(3)}, 7^{(2)}, 4^{(3)}, 5^{(2)}, 3^{(0)}, 6^{(1)}, 9^{(0)}, 8^{(1)}, 10^{(0)}, 2^{(0)}$	1, 4	7, 5	6, 8

Table 3: Document rankings produced by a systems and graded relevance judgments.

$$DCG_p = rel_1 + \sum_{i=2}^{p} \frac{rel_i}{\log_2 i} \tag{1}$$

We added the gain value for each document in parentheses in the superscript.

## Solution

			gains for			
$\mathbf{Qry}$	gain values	DCG values	perfect ranking	ideal DCG values	NDCG@5	NDCG@10
Q1	1,2,0,3,0,	1,3,3,4.5,4.5,	3,2,1,0,0,	3,5,5.6,5.6,5.6,	4.5/5.6	4.5/5.6
	0,0,0,0,0	4.5,4.5,4.5,4.5,4.5	0,0,0,0,0	5.6,5.6,5.6,5.6	=0.799	=0.799
Q2	2,1,0,3,0,	2,3,3,4.5,4.5,	3,3,2,1,1,	3,6,7.3,7.8,8.2,	4.5/8.2	5.7/8.2
	0,0,1,3,0	4.5,4.5,4.8,5.8,5.8	0,0,0,0,0	8.2,8.2,8.2,8.2,8.2	=0.549	=0.705
Q3	3,2,3,2,0,	3,5,6.9,7.9,7.9,	3,3,2,2,1,	3,6,7.3,8.3,8.7,	7.9/8.7	8.6/9.1
	1,0,1,0,0	8.3,8.3,8.6,8.6,8.6	1,0,0,0,0	9.1,9.1,9.1,9.1	=0.907	=0.948
Avg.					0.751	0.817

Table 4: NDCG computation.

The values are in two lines, corresponding to ranks 1–5 and 6–10, for better visibility.