

# Cartesian Product X

A	B
1	2
3	4

(a) Relation R

B	C	D
2	5	6
4	7	8
9	10	11

(b) Relation S

A	R.B	S.B	C	D
1	2	2	5	6
1	2	4	7	8
1	2	9	10	11
3	4	2	5	6
3	4	4	7	8
3	4	9	10	11

(c) Result  $R \times S$

## Question 1: Cartesian Product

Given the following relations R and S, compute the Cartesian product  $R \times S$ .

### Relation R

#### AB

1 2  
3 4

### Relation S

#### BCD

5 6 7  
8 9 10

### Result $R \times S$

Compute the Cartesian product and display the resulting relation.

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## Question 2: Cartesian Product with Different Attributes

Given the following relations R and S, compute the Cartesian product  $R \times S$ .

### Relation R

XY  
1 2  
3 4

## **Relation S**

### **YZW**

2 5 6

4 7 8

### **Result R×S**

Compute the Cartesian product and display the resulting relation.

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## **Question 3: Cartesian Product with Larger Relations**

Given the following relations R and S, compute the Cartesian product R×S.

### **Relation R**

#### **AB**

1 2

3 4

5 6

### **Relation S**

#### **BCD**

2 5 6

4 7 8

6 9 10

### **Result R×S**

Compute the Cartesian product and display the resulting relation.

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## **Explanation of Concepts in the Questions**

1. **Cartesian Product (×):** Combines each tuple from the first relation with each tuple from the second relation, resulting in a new relation with all possible combinations.
  2. **Attributes:** The columns in relations. The resulting relation from a Cartesian product will have attributes from both input relations.
  3. **Tuples:** The rows in relations. The number of tuples in the resulting relation is the product of the number of tuples in the input relations.
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## Question 1: Natural Join

Given the following relations  $R$  and  $S$ , compute the natural join  $R \bowtie S R \bowtie S$ .

### Relation $R$

**AB**

1 2

3 4

### Relation $S$

**BC D**

2 5 6

4 7 8

9 10 11

### Result $R \bowtie S$

Compute the natural join and display the resulting relation. Explain why the third tuple of  $S$  does not appear in the result.

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## Question 2: Natural Join with Different Attributes

Given the following relations  $R$  and  $S$ , compute the natural join  $R \bowtie S R \bowtie S$ .

### Relation $R$

**XY**

1 2

3 4

### Relation $S$

**YZW**

2 5 6

4 7 8

6 9 10

### Result $R \bowtie S$

Compute the natural join and display the resulting relation. Explain why the third tuple of  $S$  does not appear in the result.

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### Question 3: Natural Join with Larger Relations

Given the following relations R and S, compute the natural join  $R \bowtie S$ .

#### Relation R

##### AB

1 2  
3 4  
5 6

#### Relation S

##### BCD

2 5 6  
4 7 8  
6 9 10

#### Result $R \bowtie S$

Compute the natural join and display the resulting relation. Explain why the third tuple of S does not appear in the result.

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### Explanation of Concepts in the Questions

1. **Natural Join ( $\bowtie$ )**: Combines tuples from two relations based on common attributes, and the resulting relation includes only the tuples that have matching values in these common attributes.
  2. **Resultant Schema**: The schema of the resulting relation is the union of the schemas of the input relations, with common attributes appearing only once.
  3. **Tuple Matching**: Only tuples with matching values in the common attributes are included in the result. Tuples without matches are excluded.
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# Joins

<i>A</i>	<i>B</i>	<i>C</i>
1	2	3
6	7	8
9	7	8

(a) Relation *U*

<i>B</i>	<i>C</i>	<i>D</i>
2	3	4
2	3	5
7	8	10

(b) Relation *V*

<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>
1	2	3	4
1	2	3	5
6	7	8	10
9	7	8	10

(c) Result  $U \bowtie V$

First tuple of *U* has two matching tuples in *V*.

Third tuple of *B* has two matching tuples in *U*.

# Examples

Is the following statement correct:

a)  $R \cap S = R - (R - S)$

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1. Is the following statement correct?

a)  $(R \cup S) - S = R - (S - R)$

2. Determine whether the following equation holds:

a)  $(R - S) \cap (S - R) = \emptyset$

3. Verify if the following identity is true:

a)  $(R \cap S) \cup (R - S) = R$

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Let's prove each of the statements with set theory principles and provide examples.

## Statement 1: $(R \cup S) - S = R - (S - R)$

**Proof:**

1. The left-hand side:

- $(R \cup S) - S$  represents all elements in  $R \cup S$  but not in  $S$ . Since  $S$  is subtracted, only elements from  $R$  remain.
- So,  $(R \cup S) - S = R - S$ .

2. The right-hand side:

- $S - R$  consists of elements that are in  $S$  but not in  $R$ .
- $R - (S - R)$  means taking all elements of  $R$  and removing any that are in  $S - R$ .
- But  $S - R$  has no elements from  $R$ , so subtracting it does not change  $R$ , meaning  $R - (S - R) = R - S$ .

Since both sides simplify to  $R - S$ , the statement is **true**.

**Example:**

Let  $R = \{1, 2, 3\}$  and  $S = \{3, 4, 5\}$ :

- $R \cup S = \{1, 2, 3, 4, 5\}$
- $(R \cup S) - S = \{1, 2\}$
- $S - R = \{4, 5\}$
- $R - (S - R) = \{1, 2\}$

Since both sides are equal, the statement is **true**.

## **Statement 2: $(R - S) \cap (S - R) = \emptyset$**

### **Proof:**

1.  $R - S$  consists of elements in  $R$  that are not in  $S$ .
2.  $S - R$  consists of elements in  $S$  that are not in  $R$ .
3. The intersection of these two sets would contain elements that belong to both  $R - S$  and  $S - R$ .
  - But by definition,  $R - S$  contains elements **not in  $S$** , and  $S - R$  contains elements **not in  $R$** .
  - There cannot be an element common to both sets.
  - Hence, their intersection must be **empty**.

Thus, the statement is **true**.

### **Example:**

Let  $R = \{1, 2, 3\}$  and  $S = \{3, 4, 5\}$ :

- $R - S = \{1, 2\}$
- $S - R = \{4, 5\}$
- $(R - S) \cap (S - R) = \emptyset$

Since there are no common elements, the statement is **true**.

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## **Statement 3: $(R \cap S) \cup (R - S) = R$**

### **Proof:**

1.  $R \cap S$  consists of elements common to both  $R$  and  $S$ .
2.  $R - S$  consists of elements in  $R$  but not in  $S$ .
3. The union  $(R \cap S) \cup (R - S)$  contains all elements that are either:
  - In both  $R$  and  $S$  (from  $R \cap S$ ), or
  - In  $R$  but not in  $S$  (from  $R - S$ ).
  - Since these two sets together cover all elements in  $R$ , the union must be **equal to  $R$** .

Thus, the statement is **true**.

### **Example:**

Let  $R = \{1, 2, 3, 4\}$  and  $S = \{3, 4, 5\}$ :

- $R \cap S = \{3, 4\}$
- $R - S = \{1, 2\}$
- $(R \cap S) \cup (R - S) = \{1, 2, 3, 4\} = R$

Since both sides are equal, the statement is **true**.

# Exercise 1

$R(A, B)$  and  $S(B, C)$ . Which two are equivalent. Give an example of how the different one can be different.

- a.  $\pi_{A,C}(R \bowtie \sigma_{B=1} S)$
- b.  $\pi_A(\sigma_{B=1} R) \times \pi_C(\sigma_{B=1} S)$
- c.  $\pi_{A,C}(\pi_A R \times \sigma_{B=1} S)$

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## Similar Questions:

1. Given relations  $R(A, B)$  and  $S(B, C)$ , determine which of the following are equivalent:
    - a.  $\pi_{A,C}(\sigma_{B=2}(R \bowtie S))$
    - b.  $\pi_A(\sigma_{B=2}(R)) \times \pi_C(\sigma_{B=2}(S))$
    - c.  $\pi_{A,C}(\pi_A R \bowtie \sigma_{B=2}(S))$Provide an example where one differs from the others.
  2. Consider the relations  $R(A, B)$  and  $S(B, C)$ . Which of the following expressions are equivalent?
    - a.  $\pi_{A,B}(R \bowtie \sigma_{B=10}(S))$
    - b.  $\pi_A(\sigma_{B=10}(R)) \bowtie \pi_B(\sigma_{B=10}(S))$
    - c.  $\pi_{A,B}(\pi_B(R) \bowtie \sigma_{B=10}(S))$Justify your answer with an example.
  3. For relations  $R(A, B)$  and  $S(B, C)$ , determine if the following equivalences hold:
    - a.  $\pi_{A,C}(\sigma_{B=5}(R \bowtie S))$
    - b.  $\pi_{A,C}(\sigma_{B=5}(R)) \times \pi_C(\sigma_{B=5}(S))$
    - c.  $\pi_{A,C}(R \bowtie \pi_{B,C}(\sigma_{B=5}(S)))$Prove or provide a counterexample.
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## Questions with Proof and Examples:

### 1. Prove or disprove the equivalence of the following expressions:

- a.  $\pi_{A,C}(\sigma_{B=4}(R \bowtie S))$
- b.  $\pi_A(\sigma_{B=4}(R)) \bowtie \pi_C(\sigma_{B=4}(S))$
- c.  $\pi_{A,C}(R \bowtie \pi_{B,C}(\sigma_{B=4}(S)))$

**Proof:**

- The projection operation  $\pi_{A,C}$  removes B, so (a) and (c) are equivalent. However, (b) decomposes the selection before joining, which may cause loss of information if B is required.
- **Example:** If  $R = \{(1,4), (2,4), (3,5)\}$  and  $S = \{(4,10), (5,20)\}$ , then (a) and (c) both return  $\{(1,10), (2,10)\}$  while (b) may lose the relation context.

### 2. Show whether the following expressions are equivalent:

- a.  $\pi_{A,C}(\sigma_{B=3}(R \bowtie S))$
- b.  $\pi_A(\sigma_{B=3}(R)) \bowtie \pi_C(\sigma_{B=3}(S))$
- c.  $\pi_{A,C}(R \bowtie \pi_{B,C}(\sigma_{B=3}(S)))$

**Proof:**

- If B is a join key, removing it before the join (as in (b)) may lead to incorrect results.
- **Example:** If  $R = \{(1,3), (2,3), (3,4)\}$  and  $S = \{(3,7), (4,8)\}$ , (a) and (c) return  $\{(1,7), (2,7)\}$  but (b) fails.

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### 3. Consider relations R(A, B) and S(B, C). Are the following transformations valid?

- a.  $\pi_{A,C}(\sigma_{B=6}(R \bowtie S))$
- b.  $\pi_A(\sigma_{B=6}(R)) \times \pi_C(\sigma_{B=6}(S))$
- c.  $\pi_{A,C}(R \bowtie \pi_{B,C}(\sigma_{B=6}(S)))$

**Proof:**

- (b) represents a Cartesian product instead of a join, potentially introducing spurious tuples.
  - **Example:** If  $R = \{(1,6), (2,6), (3,7)\}$  and  $S = \{(6,9), (7,11)\}$ , (a) and (c) return  $\{(1,9), (2,9)\}$ , while (b) might incorrectly generate extra tuples.
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# Exercise 2

Consider a relation  $R(A, B)$  that contains  $r$  tuples, and a relation  $S(B, C)$  that contains  $s$  tuples; and  $r > 0$  and  $s > 0$ .

In terms of  $R$  and  $S$  the minimum and maximum number of tuples that could be in the result of each expression:

- a.  $\pi_{A,C}(R \bowtie S)$
- b.  $\pi_B R - (\pi_B R - \pi_B S)$
- c.  $(R \bowtie R) \bowtie R$
- d.  $\sigma_{A>B} R \cup \sigma_{A<B} R$

## Similar Questions:

1. Consider a relation  $R(A, B)$  with  $r$  tuples and a relation  $S(B, C)$  with  $s$  tuples. If both relations are stored using a hash-based indexing scheme, determine the minimum and maximum number of tuples that could be produced by the following queries:
  - a.  $\pi_{A,C}(R \bowtie S)$
  - b.  $\pi_B R - (\pi_B R \cap \pi_B S)$
  - c.  $(R \bowtie S) \bowtie T$ , where  $T(C, D)$  has  $t$  tuples.
  - d.  $\sigma_{A>5} R \cup \sigma_{B<10} S$
2. Given two relations  $R(A, B)$  and  $S(B, C)$  with  $r$  and  $s$  tuples respectively, compute the minimum and maximum possible number of tuples in the output for:
  - a.  $\pi_{A,B}(R \bowtie S)$
  - b.  $\pi_C(\sigma_{B>10}(R \bowtie S))$
  - c.  $(R \bowtie S) - (\sigma_{B=5} R)$
  - d.  $\sigma_{A=1} R \cup \sigma_{C=2} S$
3. Let  $R(A, B)$  and  $S(B, C)$  be two relations with  $r$  and  $s$  tuples respectively. Assuming that attribute  $B$  is a foreign key in  $R$  referencing  $S$ , determine the result sizes for:
  - a.  $R \bowtie S$
  - b.  $\pi_{A,C}(\sigma_{B=1}(R \bowtie S))$
  - c.  $(R \times S) - (R \bowtie S)$
  - d.  $(\sigma_{A>100} R) \cap (\sigma_{B<50} S)$

### Questions with Proof and Examples:

1. Prove or disprove:  $\pi_{A,C}(R \bowtie S) = \pi_{A,C}(\pi_A R \bowtie S)$

- **Proof:** The projection before the join may remove attributes necessary for the join condition, leading to different results. Example:

- $R(A, B): \{(1,2), (3,4)\}$
- $S(B, C): \{(2,5), (4,6)\}$
- Applying  $\pi_A$  before join removes B, making the join impossible.

2. Prove:  $\pi_A(\sigma_{B=10} R) \times \pi_C(\sigma_{B=10} S) = \pi_{A,C}(\sigma_{B=10}(R \bowtie S))$

- **Proof:** Since selection on B ensures that only tuples with  $B=10$  remain, performing a join and then projecting A and C is equivalent to performing projections on each relation separately and then taking the Cartesian product.

3. Prove or give a counterexample:  $(R \bowtie S) \bowtie T = R \bowtie (S \bowtie T)$

- **Proof:** This holds due to the associative property of joins.

- **Example:**

- $R(A, B): \{(1,2), (3,4)\}$
- $S(B, C): \{(2,5), (4,6)\}$
- $T(C, D): \{(5,7), (6,8)\}$
- $R \bowtie S$  gives  $\{(1,2,5), (3,4,6)\}$ , then joining with T results in  $\{(1,2,5,7), (3,4,6,8)\}$ .
- $S \bowtie T$  first gives  $\{(2,5,7), (4,6,8)\}$ , then joining with R produces the same result.

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## Exercise 3

Calculate the number of Tuples for 1 and 2.

$T(R)=100, V(R,A) = 5$

1.  $\sigma_{A=10}(R)$

2.  $\sigma_{A \leq 10}(R)$

### Similar Questions:

**Question 1:**

Calculate the number of tuples for the given conditions:

$$T(R) = 200, V(R, B) = 10$$

1.  $\sigma_{B=5}(R)$
  2.  $\sigma_{B>5}(R)$
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**Question 2:**

Given  $T(R) = 500$  and  $V(R, C) = 20$ , determine the number of tuples for:

1.  $\sigma_{C=15}(R)$
  2.  $\sigma_{C \leq 15}(R)$
- 

**Question 3:**

For the relation  $R(A, D)$  with  $T(R) = 1000$  and  $V(R, A) = 25$ , calculate the tuples in the result of:

1.  $\sigma_{A=7}(R)$
2.  $\sigma_{A \geq 7}(R)$

**Three More with Proof and Examples:****Question 4:**

Prove that for a relation  $R(A, B)$  with total tuples  $T(R)$  and distinct values  $V(R, A)$ :

$$\sigma_{A=x}(R) = \frac{T(R)}{V(R, A)}$$

**Example:**

If  $T(R) = 300$  and  $V(R, A) = 10$ , then:

$$\sigma_{A=5}(R) = \frac{300}{10} = 30$$


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**Question 5:**

Prove that for a range selection where  $A \leq x$ , the expected number of tuples is:

$$\sigma_{A \leq x}(R) = \frac{x}{V(R, A)} \times T(R)$$

**Example:**

If  $T(R) = 500$ ,  $V(R, A) = 50$ , and we want  $A \leq 10$ :

$$\sigma_{A \leq 10}(R) = \frac{10}{50} \times 500 = 100$$

**Question 6:**

Show that if attribute A follows a uniform distribution in relation R(A, B), then:

$$\sigma_{A>x}(R) = \left(1 - \frac{x}{V(R, A)}\right) \times T(R)$$

**Example:**

Given  $T(R) = 400$ ,  $V(R, A) = 40$ , find tuples for  $A > 30$ :

$$\sigma_{A>30}(R) = \left(1 - \frac{30}{40}\right) \times 400 = (1 - 0.75) \times 400 = 100$$

## Exercise 4

Calculate the number of Tuples for 1.

$T(R)=100$ . Values of A are uniformly distributed over [1,20]

1.  $\sigma_{A=10}(R)$

2.  $\sigma_{A \leq 10}(R)$

3.  $\sigma_{A>10}(R)$

### Similar Questions:

**Exercise 5**

Calculate the number of Tuples for 1 and 2.

$T(R) = 200$ ,  $V(R, A) = 10$

1.  $\sigma_{A=5}(R)$

2.  $\sigma_{A \geq 8}(R)$

**Exercise 6**

Calculate the number of Tuples for 1, 2, and 3.

$T(R) = 500$ . Values of A are uniformly distributed over [1, 50].

1.  $\sigma_{A=25}(R)$

2.  $\sigma_{A \leq 25}(R)$

3.  $\sigma_{A>25}(R)$

**Exercise 7**

Consider relation R(A, B, C), where  $T(R) = 150$ , and attribute A is uniformly distributed over [1, 30].

1.  $\sigma_{A=15}(R)$

2.  $\sigma_{A \leq 15}(R)$

3.  $\sigma_{A>15}(R)$

## Examples with Solutions

### Example 1

$T(R) = 100$ , A uniformly distributed over [1, 20].

1.  $\sigma_A=10(R)$

- Probability of any single value of A:  $1/20$
- Expected tuples:  $(1/20) * 100 = 5$

2.  $\sigma_A \leq 10(R)$

- Probability:  $10/20 = 1/2$
- Expected tuples:  $(1/2) * 100 = 50$

3.  $\sigma_A > 10(R)$

- Probability:  $10/20 = 1/2$
- Expected tuples:  $(1/2) * 100 = 50$

### Example 2

$T(R) = 200$ , A uniformly distributed over [1, 50].

1.  $\sigma_A=25(R)$

- Probability:  $1/50$
- Expected tuples:  $(1/50) * 200 = 4$

2.  $\sigma_A \leq 25(R)$

- Probability:  $25/50 = 1/2$
- Expected tuples:  $(1/2) * 200 = 100$

3.  $\sigma_A > 25(R)$

- Probability:  $25/50 = 1/2$
- Expected tuples:  $(1/2) * 200 = 100$

### Example 3

$T(R) = 500$ , A uniformly distributed over [1, 10].

1.  $\sigma_{A=5}(R)$

- Probability:  $1/10$
- Expected tuples:  $(1/10) * 500 = 50$

2.  $\sigma_{A \leq 5}(R)$

- Probability:  $5/10 = 1/2$
- Expected tuples:  $(1/2) * 500 = 250$

3.  $\sigma_{A > 5}(R)$

- Probability:  $5/10 = 1/2$
  - Expected tuples:  $(1/2) * 500 = 250$
- 

## Exercise 5

$R(A, B, C)$  is a relation.  $T(R) = 10000$ .  $V(R, A) = 50$ .  
 $W = \sigma_{A=10 \text{ AND } B < 10}(R)$ . What will be  $T(W)$ ?

Similar Questions:

### Question 3:

Relation  $T(M, N, P, Q)$  has:

- $T(T) = 15000$
- $V(T, M) = 75$
- $V(T, P) = 50$

Define  $Z$  as:

$$Z = \sigma_{M=40 \text{ AND } P \geq 10}(T)$$

What is  $T(Z)$ ?

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**Question 1:**

Relation R(A, B, C) is given with:

- $T(R) = 5000$  tuples
- $V(R, A) = 25$

Define W as:

$$W = \sigma_{A=5 \text{ AND } B>20}(R)$$

What is  $T(W)$ ?

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**Question 2:**

Relation S(X, Y, Z) has:

- $T(S) = 20000$
- $V(S, X) = 100$
- $V(S, Y) = 40$

Define Q as:

$$Q = \sigma_{X=30 \text{ AND } Y<15}(S)$$

What is  $T(Q)$ ?

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**Examples with Solutions:****Example 1:**

Given relation R(A, B, C) with:

- $T(R) = 10000$
- $V(R, A) = 50$
- $V(R, B) = 20$

Find T(W) for:

$$W = \sigma_{A=10 \text{ AND } B<10}(R)$$

**Solution:**

1. The selection  $A = 10$  reduces the number of tuples to:

$$\frac{T(R)}{V(R, A)} = \frac{10000}{50} = 200$$

2. The second condition  $B < 10$  means that we consider only part of the values of B. Assuming uniform distribution, approximately half of the values of B are less than 10, so:

$$T(W) = \frac{200}{2} = 100$$


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**Example 2:**

Given relation  $S(X, Y, Z)$  with:

- $T(S) = 8000$
- $V(S, X) = 40$
- $V(S, Y) = 10$

Find  $T(Q)$  for:

$$Q = \sigma_{X=5 \text{ AND } Y=3}(S)$$

**Solution:**

1. The selection  $X = 5$  reduces the tuples to:

$$\frac{T(S)}{V(S, X)} = \frac{8000}{40} = 200$$

2. The selection  $Y = 3$  further reduces it:

$$\frac{200}{V(S, Y)} = \frac{200}{10} = 20$$

Thus,  $T(Q) = 20$ .

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**Example 3:**

Given relation  $T(M, N, P, Q)$  with:

- $T(T) = 12000$
- $V(T, M) = 60$
- $V(T, P) = 30$

Find  $T(Z)$  for:

$$Z = \sigma_{M=12 \text{ AND } P>15}(T)$$

**Solution:**

1. The selection  $M = 12$  reduces the tuples to:

$$\frac{T(T)}{V(T, M)} = \frac{12000}{60} = 200$$

2. The selection  $P > 15$  means we consider a portion of the  $P$  values. Assuming uniform distribution, half of the  $P$  values satisfy the condition:

$$T(Z) = \frac{200}{2} = 100$$

Thus,  $T(Z) = 100$ .

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# Preservation of value sets

For  $R(A,B)$  and  $S(B,C)$

1.  $W(A,B) = \sigma_{A=10}(R)$

a.  $V(W,A) = 1$

b.  $V(W,B) = V(R,B)$

After a selection, the values for attributes other than the one on which selection was performed retain their distinct values.

2.  $W(A,B,C) = R \bowtie S$

a.  $V(W,A) = V(R,A)$

b.  $V(W,B) = \min \{V(R,B), V(S,B)\}$

c.  $V(W,B) = V(R,B)$

Similarly after a Join, attributes other than ones on which Join was performed retain their distinct values.

## 1. Selection: $W(A, B) = \sigma_{A=10}(R)$

- Selection ( $\sigma$ ) filters tuples based on a condition, but it does not change the values of attributes that are not part of the selection condition.
- The image provides:
  - $V(W, A) = 1 \rightarrow$  Since selection fixes the value of  $A$  to 10, it has only one distinct value.
  - $V(W, B) = V(R, B) \rightarrow$  The attribute  $B$  remains unchanged, meaning it retains all distinct values from  $R$ .
- Example:
  - Assume  $R(A,B)$  contains:

SCSS	Kopieren	Bearbeiten
(10, x1), (10, x2), (15, x3), (10, x4), (20, x5)		

- If we apply  $\sigma_{A=10}(R)$ , only tuples where  $A = 10$  remain:

SCSS	Kopieren	Bearbeiten
(10, x1), (10, x2), (10, x4)		

- The distinct values of  $B$  are still {x1, x2, x4}, meaning the values for  $B$  are preserved.

## 2. Join: $W(A, B, C) = R \bowtie S$

- **Join ( $\bowtie$ )** is performed on the common attribute **B**, which means:
  - $V(W, A) = V(R, A) \rightarrow$  The attribute **A** retains all distinct values from **R**.
  - $V(W, B) = \min\{V(R, B), V(S, B)\} \rightarrow$  Since the join matches tuples using **B**, the number of distinct values for **B** is **limited by the smaller set** from **R** and **S**.
  - $V(W, C) = V(S, C) \rightarrow$  The attribute **C** retains its distinct values from **S**.
- **Example:**
  - Assume:

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$R(A, B) = \{(1, X), (2, Y), (3, Z)\}$

$S(B, C) = \{(X, M), (Y, N), (Y, O), (Z, P)\}$

- The **join on B** results in:

SCSS

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$(1, X, M), (2, Y, N), (2, Y, O), (3, Z, P)$

- $V(W, A) = V(R, A) = \{1, 2, 3\}$  (Preserved)
- $V(W, B) = \min(V(R, B), V(S, B)) \rightarrow \{X, Y, Z\}$  (since Y appears twice)
- $V(W, C) = V(S, C) = \{M, N, O, P\}$  (Preserved)

## Conclusion

The **Preservation of Value Sets** states that:

- **Selection retains all distinct values** for attributes not used in the condition.
  - **Join preserves distinct values** for non-join attributes but **reduces** values of the join attribute to the intersection of both relations.
-

# Exercise 6

For  $R(A,B)$ ,  $S(B,C)$  and  $T(D,E)$  we have the following specs:

$$T(R) = 1000, V(R,A) = 1, V(R,B) = 30$$

$$T(S) = 200, V(S,B) = 40, V(S,C) = 10$$

$$T(T) = 10000, V(T,D) = 10, V(T,E) = 20$$

Estimate the number of Tuples for the results.

- a)  $R \bowtie S$
- b)  $R \bowtie \sigma_{C=10}(S)$
- c)  $R \bowtie \sigma_{B=5 \text{ AND } C=10}(S)$
- d)  $\sigma_{A=10}(R) \bowtie S$  Assume result of  $\sigma_{A=10}(R)$  is not empty.
- e)  $\pi_A(R) \bowtie S$ 
  - i) Assuming projection will not eliminate duplicates
  - ii) Assuming projection will eliminate duplicates
- f)  $R \bowtie S \bowtie T$

## Exercise 7

For relations  $R(A,B)$ ,  $S(B,C)$ , and  $T(C,D)$ , we have the following specifications:

- $T(R) = 800, V(R,A) = 2, V(R,B) = 40$
- $T(S) = 300, V(S,B) = 30, V(S,C) = 20$
- $T(T) = 5000, V(T,C) = 25, V(T,D) = 50$

Estimate the number of tuples for the results:

- a)  $R \bowtie S$
- b)  $R \bowtie \sigma_{C=5}(S)$
- c)  $R \bowtie \sigma_{B=20 \text{ AND } C=5}(S)$
- d)  $\sigma_{A=2}(R) \bowtie S$  (Assume  $\sigma_{A=2}(R)$  is not empty.)
- e)  $\pi_A(R) \bowtie S$ 
  - i) Assuming projection will not eliminate duplicates
  - ii) Assuming projection will eliminate duplicates
- f)  $R \bowtie S \bowtie T$

## Exercise 8

For relations P(X,Y), Q(Y,Z), and R(Z,W), we have the following specifications:

- $T(P) = 600, V(P,X) = 5, V(P,Y) = 50$
- $T(Q) = 400, V(Q,Y) = 40, V(Q,Z) = 30$
- $T(R) = 7000, V(R,Z) = 35, V(R,W) = 70$

Estimate the number of tuples for the results:

- a)  $P \bowtie Q$
- b)  $P \bowtie \sigma_{Z=15}(Q)$
- c)  $P \bowtie \sigma_{Y=10 \text{ AND } Z=15}(Q)$
- d)  $\sigma_{X=3}(P) \bowtie Q$  (Assume  $\sigma_{X=3}(P)$  is not empty.)
- e)  $\pi_X(P) \bowtie Q$
- i) Assuming projection will not eliminate duplicates
- ii) Assuming projection will eliminate duplicates
- f)  $P \bowtie Q \bowtie R$

## Exercise 9

For relations A(M,N), B(N,O), and C(O,P), we have the following specifications:

- $T(A) = 1200, V(A,M) = 10, V(A,N) = 60$
- $T(B) = 500, V(B,N) = 50, V(B,O) = 40$
- $T(C) = 9000, V(C,O) = 45, V(C,P) = 90$

Estimate the number of tuples for the results:

- a)  $A \bowtie B$
  - b)  $A \bowtie \sigma_{O=8}(B)$
  - c)  $A \bowtie \sigma_{N=12 \text{ AND } O=8}(B)$
  - d)  $\sigma_{M=7}(A) \bowtie B$  (Assume  $\sigma_{M=7}(A)$  is not empty.)
  - e)  $\pi_M(A) \bowtie B$
  - i) Assuming projection will not eliminate duplicates
  - ii) Assuming projection will eliminate duplicates
  - f)  $A \bowtie B \bowtie C$
-

# Exercise 7

For  $R(X,Y)$  we have the following specs:

$$T(R) = 20000, V(R,X) = 500, V(R,Y) = 10$$

Assume Attribute X = 20bytes, Y = 30bytes. And there is a **clustering index** on attribute X.

With block size 4096 bytes and a block header of 96bytes.

- a) What is  $B(R)$ ?
  - i) For Spanned
  - ii) Unspanned
- b) How many tuples will the following queries return? What will be the size of the result in bytes?
  - i)  $\sigma_{X=10}(R)$
  - ii)  $\pi_Y(R)$  (**Assume projection will not eliminate duplicates.**)
  - iii) How many I/O would you roughly need to retrieve the records for (i)? (**Assume index to be in memory.**)

## Exercise 8

For  $S(A,B,C)$ , we have the following specifications:

- $T(S) = 50000, V(S,A) = 1000, V(S,B) = 500, V(S,C) = 50$
- Assume Attribute A = 16 bytes, B = 24 bytes, C = 40 bytes.
- There is a **clustering index** on attribute A.
- Block size is 8192 bytes, with a block header of 128 bytes.

**Questions:**

- a) What is  $B(S)$ ?
  - i) For **Spanned** storage
  - ii) For **Unspanned** storage
- b) How many tuples will the following queries return? What will be the size of the result in bytes?
  - i)  $\sigma_{A=50}(S)$
  - ii)  $\pi_B(S)$  (**Assume projection will not eliminate duplicates.**)
  - iii) How many I/O operations are needed to retrieve the records for (i)? (**Assume the index is in memory.**)

## Exercise 9

For  $T(D,E,F,G)$ , we have the following specifications:

- $T(T) = 150000, V(T,D) = 2000, V(T,E) = 1000, V(T,F) = 500, V(T,G) = 200$
- Assume Attribute D = 12 bytes, E = 20 bytes, F = 28 bytes, G = 36 bytes.
- There is a clustering index on attribute D.
- Block size is 4096 bytes, with a block header of 64 bytes.

Questions:

- a) What is  $B(T)$ ?
- i) For Spanned storage
  - ii) For Unspanned storage
- b) How many tuples will the following queries return? What will be the size of the result in bytes?
- i)  $\sigma_{E=100}(T)$
  - ii)  $\pi_F(T)$  (Assume projection will not eliminate duplicates.)
  - iii) How many I/O operations are needed to retrieve the records for (i)? (Assume the index is in memory.)
- 

## Exercise 10

For  $U(X,Y,Z)$ , we have the following specifications:

- $T(U) = 100000, V(U,X) = 5000, V(U,Y) = 2000, V(U,Z) = 1000$
- Assume Attribute X = 18 bytes, Y = 22 bytes, Z = 32 bytes.
- There is a clustering index on attribute X.
- Block size is 8192 bytes, with a block header of 128 bytes.

Questions:

- a) What is  $B(U)$ ?
- i) For Spanned storage
  - ii) For Unspanned storage
- b) How many tuples will the following queries return? What will be the size of the result in bytes?
- i)  $\sigma_{X=200}(U)$
  - ii)  $\pi_Y(U)$  (Assume projection will not eliminate duplicates.)
  - iii) How many I/O operations are needed to retrieve the records for (i)? (Assume the index is in memory.)
-

Here are **three additional exercises** similar to the one you provided, covering **spanned vs. unspanned storage, query result estimation, and I/O cost analysis**.

## Exercise 1

For relation  $S(A, B, C)$ , we have the following specifications:

- $T(S) = 50000, V(S, A) = 1000, V(S, B) = 2500, V(S, C) = 500$
- Assume attribute sizes:
  - $A = 15 \text{ bytes}, B = 25 \text{ bytes}, C = 40 \text{ bytes}$
- Block size = 4096 bytes with a block header of 96 bytes
- There is a clustering index on attribute B.

### Questions

(a) Compute  $B(S)$  (number of blocks needed) for:

- Spanned storage
- Unspanned storage

(b) How many tuples will the following queries return? What will be the **size of the result in bytes**?

1.  $\sigma_{B=500}(S)$
2.  $\pi_A(S)$  (Assume projection does not eliminate duplicates.)

(c) Estimate the **I/O cost** to retrieve the tuples for  $\sigma_{B=500}(S)$  (Assume the index is in memory).

## Exercise 2

For relation  $T(P, Q, R)$ , we have the following specifications:

- $T(T) = 250000, V(T, P) = 5000, V(T, Q) = 10000, V(T, R) = 2000$
- Assume attribute sizes:
  - $P = 10 \text{ bytes}, Q = 35 \text{ bytes}, R = 45 \text{ bytes}$
- Block size = 8192 bytes with a block header of 128 bytes
- There is a secondary index on attribute P (not clustering).

### Questions

(a) Compute  $B(T)$  for:

- Spanned storage
- Unspanned storage

(b) Estimate the number of tuples and result size for:

1.  $\sigma_{P=1000}(T)$
2.  $\pi_Q(T)$  (Assume projection does not eliminate duplicates.)

(c) Estimate the **I/O cost** to retrieve the tuples for  $\sigma_{P=1000}(T)$  (Assume index is in memory, but records are scattered across blocks).

## Exercise 3

For relation  $R(X, Y, Z, W)$ , we have the following specifications:

- $T(R) = 120000$ ,  $V(R, X) = 8000$ ,  $V(R, Y) = 3000$ ,  $V(R, Z) = 1200$ ,  $V(R, W) = 600$
- Assume attribute sizes:
  - $X = 12$  bytes,  $Y = 18$  bytes,  $Z = 20$  bytes,  $W = 30$  bytes
- Block size = 4096 bytes with a block header of 64 bytes
- There is a clustering index on attribute  $Z$ .

### Questions

(a) Compute  $B(R)$  for:

- Spanned storage
- Unspanned storage

(b) Estimate the number of tuples and result size for:

1.  $\sigma_{Z=300}(R)$
2.  $\pi_{X,Y}(R)$  (Assume projection does not eliminate duplicates.)

(c) Estimate the I/O cost to retrieve the tuples for  $\sigma_{Z=300}(R)$  (Assume index is in memory).

# Exercise 8

For  $R(A,B,C,D)$  with following specs:

$$T(R) = 1000, V(R,A) = 1, V(R,B) = 30, V(R,C) = 10, V(R,D) = 20$$

Estimate the number of Tuples for the results and the  $V(W,X)$ ,  $W=\text{result}$ ,  $X=\{A,B,C,D\}$ . For parts c and d assume projection to eliminate duplicates.

- a)  $\sigma_{A=10}(R)$
  - b)  $\sigma_{B=5 \text{ AND } C < 10}(R)$
  - c)  $\pi_A(R)$
  - d)  $\pi_{A,B}(R)$
- 

## Question 1: Tuple and Distinct Value Estimation

For relation  $R(A, B, C, D)$  with the following specifications:

- $T(R) = 2000$
- $V(R, A) = 1$
- $V(R, B) = 50$
- $V(R, C) = 20$
- $V(R, D) = 40$

Estimate the number of tuples for the results and the  $V(W, X)$ , where  $W$  is the result and  $X = \{A, B, C, D\}$ . For parts c and d, assume projection to eliminate duplicates.

- a)  $\sigma_{A=1}(R)$
  - b)  $\sigma_{B=10}(R)$
  - c)  $\pi_{A,B}(R)$
  - d)  $\pi_{C,D}(R)$
- 

## Question 2: Tuple and Distinct Value Estimation

For relation  $R(A, B, C, D)$  with the following specifications:

- $T(R) = 1500$
- $V(R, A) = 2$
- $V(R, B) = 40$
- $V(R, C) = 15$
- $V(R, D) = 30$

Estimate the number of tuples for the results and the  $V(W, X)$ , where  $W$  is the result and  $X = \{A, B, C, D\}$ . For parts c and d, assume projection to eliminate duplicates.

- a)  $\sigma_{A=2}(R)$
  - b)  $\sigma_{C=5}(R)$
  - c)  $\pi_{A,C}(R)$
  - d)  $\pi_{B,D}(R)$
-

### Question 3: Tuple and Distinct Value Estimation

For relation  $R(A, B, C, D)$  with the following specifications:

- $T(R) = 3000$
- $V(R, A) = 3$
- $V(R, B) = 60$
- $V(R, C) = 25$
- $V(R, D) = 50$

Estimate the number of tuples for the results and the  $V(W, X)$ , where  $W$  is the result and  $X = \{A, B, C, D\}$ . For parts c and d, assume projection to eliminate duplicates.

- a)  $\sigma_{B=20}(R)$
  - b)  $\sigma_{D=10}(R)$
  - c)  $\pi_{A,D}(R)$
  - d)  $\pi_{B,C}(R)$
-

## Exercise 9

For  $S(A, B)$  with following specs:

$$T(S) = 40000, B(S) = 500$$

$$V(S, A) = 4000, V(S, B) = 2000$$

Assume  $S$  has a primary dense index on  $A$  and secondary index on  $B$ .

How many IOs would you expect the following operations to take if the indices are in memory.

- a)  $\sigma_{A=10}(S)$
  - b)  $\sigma_{B=5}(S)$
- 

## Exercise 10

For  $S(A, B)$  with the following specs:

$$T(S) = 50,000, B(S) = 600$$

$$V(S, A) = 5,000, V(S, B) = 2,500$$

Assume  $S$  has a primary dense index on  $A$  and a secondary index on  $B$ .

How many IOs would you expect the following operations to take if the indices are in memory?

- (a)  $\sigma_{A=20}(S)$
  - (b)  $\sigma_{B=15}(S)$
- 

## Exercise 11

For  $S(A, B, C)$  with the following specs:

$$T(S) = 75,000, B(S) = 800$$

$$V(S, A) = 7,500, V(S, B) = 3,000, V(S, C) = 1,500$$

Assume  $S$  has a primary dense index on  $A$  and a secondary index on  $B$ .

How many IOs would you expect the following operations to take if the indices are in memory?

- (a)  $\sigma_{A=30}(S)$
  - (b)  $\sigma_{B=10}(S)$
- 

## Exercise 12

For  $S(A, B)$  with the following specs:

$$T(S) = 100,000, B(S) = 1,000$$

$$V(S, A) = 10,000, V(S, B) = 5,000$$

Assume  $S$  has a primary dense index on  $A$  and a secondary index on  $B$ .

How many IOs would you expect the following operations to take if the indices are in memory?

- (a)  $\sigma_{A=50}(S)$
  - (b)  $\sigma_{B=25}(S)$
-

## Exercises with Solutions

### Exercise 13 (With Solution)

For S(A, B) with the following specs:

$$T(S) = 80,000, B(S) = 900$$

$$V(S, A) = 8,000, V(S, B) = 4,000$$

Assume S has a primary dense index on A and a secondary index on B.

How many IOs would you expect the following operations to take if the indices are in memory?

#### Solution

(a)  $\sigma_{A=40}(S)$

- A has a **primary dense index**, so we can directly access the tuples.
- The number of tuples matching  $A = 40$  is:

$$\frac{T(S)}{V(S, A)} = \frac{80,000}{8,000} = 10$$

- Since the index is in memory, the IO cost is **10** (one per tuple).

(b)  $\sigma_{B=20}(S)$

- B has a **secondary index**, so we must retrieve **all** tuples matching  $B = 20$ .
- The number of tuples matching  $B = 20$  is:

$$\frac{T(S)}{V(S, B)} = \frac{80,000}{4,000} = 20$$

- Since the secondary index is in memory, each tuple access requires an additional IO.
  - Total IOs = **20**.
- 

### Exercise 14 (With Solution)

For S(A, B) with the following specs:

$$T(S) = 60,000, B(S) = 700$$

$$V(S, A) = 6,000, V(S, B) = 3,000$$

Assume S has a primary dense index on A and a secondary index on B.

How many IOs would you expect the following operations to take if the indices are in memory?

#### Solution

(a)  $\sigma_{A=15}(S)$

- A has a **primary dense index**, so direct access is possible.
- Number of matching tuples:

$$\frac{T(S)}{V(S, A)} = \frac{60,000}{6,000} = 10$$

- IO cost: **10**.

(b)  $\sigma_{B=30}(S)$

- B has a **secondary index**, so we must scan all matching tuples.
- Number of matching tuples:

$$\frac{T(S)}{V(S, B)} = \frac{60,000}{3,000} = 20$$

- IO cost: **20**.

