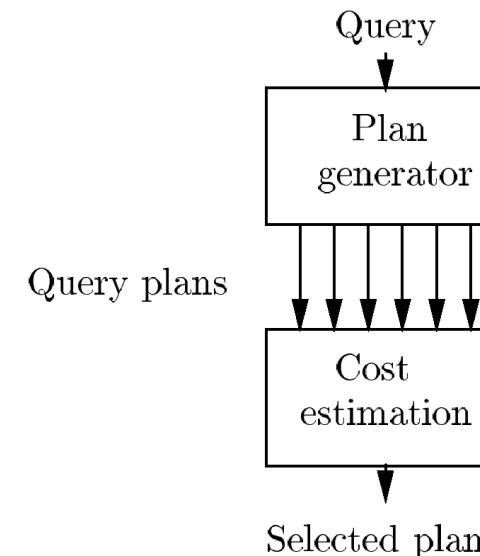


Query Processing

Overview Query Processing



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Query Plans

Choose operations, e.g., σ , \bowtie

Order operations.

Detailed strategy of operations, e.g.:

- Join method.
- Pipelining: consume result of one operation by another, to avoid temporary storage on disk.
- Use of indexes?
- Sort intermediate results?

We focus on relational systems

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Example

Select B,D
From R,S
Where R.A = "c" AND S.E = 2 AND R.C=S.C

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R	A	B	C	S	C	D	E
a	1	10			10	x	2
b	1	20			20	y	2
c	2	10			30	z	2
d	2	35			40	x	1
e	3	45			50	y	3

Answer

B	D
2	x

How Do We Execute the Query?

One idea

- Do Cartesian product
- Select tuples
- Do projection

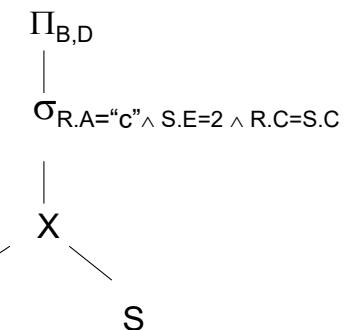
RXS

R.A	R.B	R.C	S.C	S.D	S.E
a	1	10	10	x	2
a	1	10	20	y	2
.					
•					
C	2	10	10	x	2
.					
•					
.					

Bingo!
Got one...

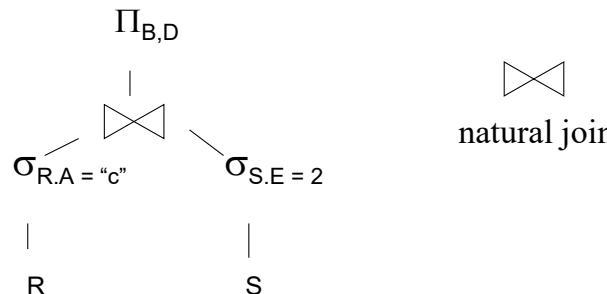
Relational Algebra to Describe Plans

Ex: Plan I

OR: $\Pi_{B,D} [\sigma_{R.A='c' \wedge S.E=2 \wedge R.C = S.C} (RXS)]$

Another Plan

Plan II



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R			$\sigma(R)$			$\sigma(S)$			S		
A	B	C	A	B	C	C	D	E	C	D	E
a	1	10				10	x	2	10	x	2
b	1	20				20	y	2	20	y	2
c	2	10	c	2	10	10	x	2	30	z	2
d	2	35				20	y	2	40	x	1
e	3	45				30	z	2	50	y	3

The implementation shows the execution of the query. It starts with relations R and S. R is filtered by $\sigma_{R.A = "c"}$ to produce $\sigma(R)$, which contains tuples (c, 2, 10) and (c, 2, 35). S is filtered by $\sigma_{S.E = 2}$ to produce $\sigma(S)$, which contains tuples (10, x, 2), (20, y, 2), and (30, z, 2). A natural join is then performed between $\sigma(R)$ and $\sigma(S)$ to produce the final result, which includes tuples (c, 2, 10, x, 2) and (c, 2, 35, y, 2).

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Plan III

Use R.A and S.C Indexes

- (1) Use R.A index to select R tuples with R.A = "c"
- (2) For each R.C value found, use S.C index to find matching tuples
- (3) Eliminate S tuples with S.E $\neq 2$
- (4) Join matching R,S tuples,
- (5) Project B,D attributes and place in result

Using the index on R.A to retrieve tuples where R.A = "c".

Using the index on S.C to find matching tuples in S for each R.C value found.

Eliminating tuples in S where S.E not 2.

Joining matching tuples from R and S.

Projecting attributes B and D into the result.

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R			S		
A	B	C	C	D	E
a	1	10	I1	10	x
b	1	20		20	y
c	2	10	I2	30	z
d	2	35		40	x
e	3	45		50	y

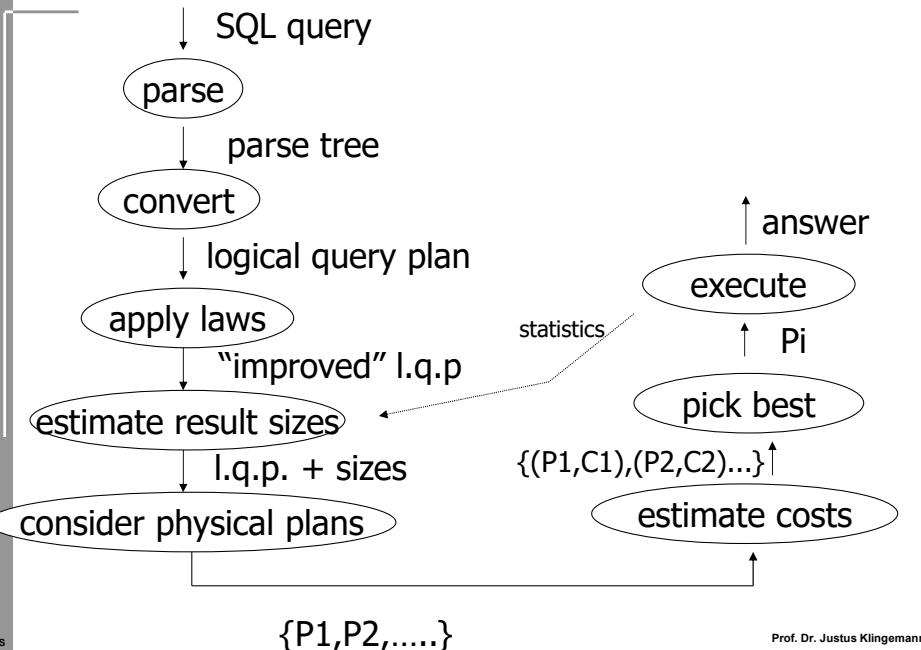
The implementation shows the execution of Plan III. It starts with relations R and S. R is indexed by attribute A, with index I1. S is indexed by attribute C, with index I2. A tuple (c, 2, 10) is retrieved from R using index I1. This tuple is then used to look up matching tuples in S using index I2. The matching tuple (10, x, 2) is highlighted with a green circle. The value 10 is also circled in red. A check value of 2 is shown, and the output is labeled as <2, x>. The next tuple in R is highlighted in red as <c, 7, 15>.

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Overview of Query Optimization

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Example: SQL Query

```

SELECT title
FROM StarsIn
WHERE starName IN (
    SELECT name
    FROM MovieStar
    WHERE birthdate LIKE '%1960'
);
    
```

(Find the movies with stars born in 1960)

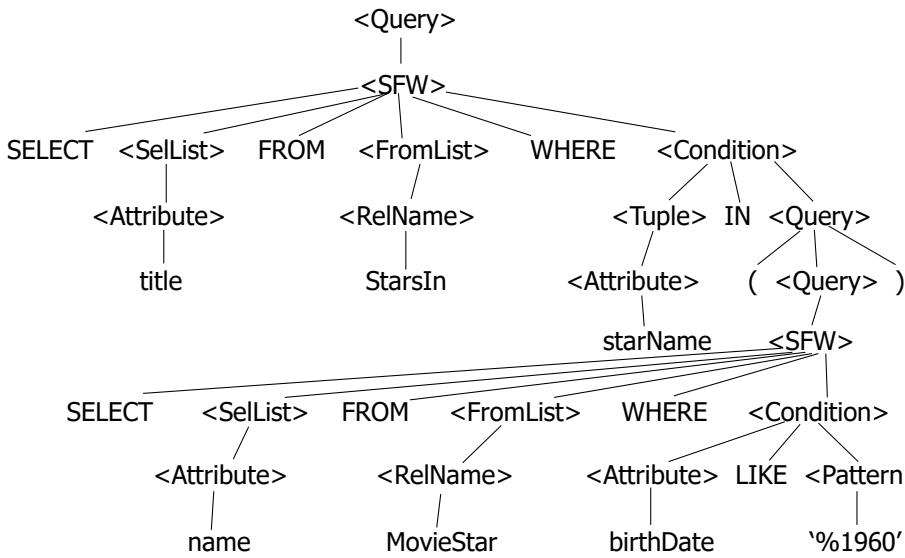
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Example: Parse Tree

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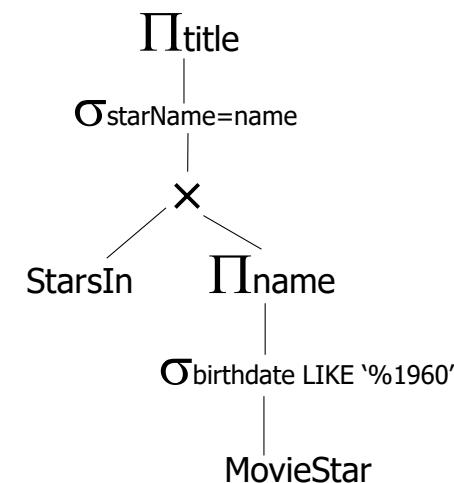


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Example: Logical Query Plan

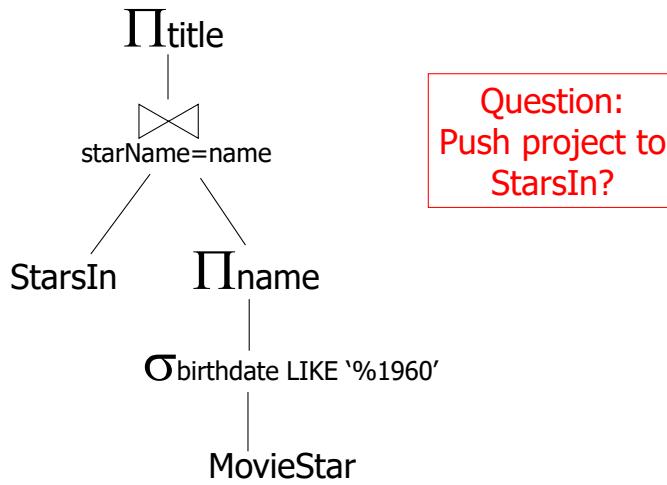
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Example: Improved Logical Query Plan



Algebraic Transformations

Generating Plans

- Start with query definition.
 - A plan, but usually a terrible one.
- Apply algebraic transformations to find other plans.
- Relational algebra is a good start, but we need also to consider:
GROUP BY, duplicate elimination, HAVING, ORDER BY.

Algebraic Transformations

- Rules give equivalent expressions. meaning that whatever relations are substituted for variables, the results are the same.

Rules: Selects

$$\sigma_{p_1 \wedge p_2}(R) = \sigma_{p_1} [\sigma_{p_2}(R)]$$

$$\sigma_{p_1 \vee p_2}(R) = [\sigma_{p_1}(R)] \cup [\sigma_{p_2}(R)]$$

Rules: Natural joins & cross products & union

$$R \bowtie S = S \bowtie R$$

$$(R \bowtie S) \bowtie T = R \bowtie (S \bowtie T)$$

$$R \times S = S \times R$$

$$(R \times S) \times T = R \times (S \times T)$$

$$R \cup S = S \cup R$$

$$R \cup (S \cup T) = (R \cup S) \cup T$$

But beware of thetajoin (join condition different from =)

- associative law does not hold.

Rules: Project

Let: X = set of attributes

Y = set of attributes

$$XY = X \cup Y$$

$$\pi_{xy}(R) = \pi_x [\pi_y(R)]$$

Rules: $\sigma + \bowtie$ combined

Let p = predicate with only R attributes
 q = predicate with only S attributes
 m = predicate with only R,S attributes

$$\sigma_p(R \bowtie S) = [\sigma_p(R)] \bowtie S$$

$$\sigma_q(R \bowtie S) = R \bowtie [\sigma_q(S)]$$

Rules: π, σ combined

Let x = subset of R attributes
 z = attributes in predicate P
 (subset of R attributes)

$$\pi_x[\sigma_p(R)] = \pi_x \{ \sigma_p[\pi_{xz}(R)] \}$$

Rules: $\sigma + \bowtie$ combined

Some rules can be derived:

$$\sigma_{p \wedge q}(R \bowtie S) = [\sigma_p(R)] \bowtie [\sigma_q(S)]$$

$$\sigma_{p \wedge q \wedge m}(R \bowtie S) = \sigma_m [(\sigma_p R) \bowtie (\sigma_q S)]$$

$$\sigma_{p \vee q}(R \bowtie S) = [(\sigma_p R) \bowtie S] \cup [R \bowtie (\sigma_q S)]$$

Rules: π, \bowtie combined

Let x = subset of R attributes
 y = subset of S attributes
 z = intersection of R, S attributes

$$\pi_{xy}(R \bowtie S) = \pi_{xy}\{[\pi_{xz}(R)] \bowtie [\pi_{yz}(S)]\}$$

Rules: π , \bowtie , and σ combined

$$\begin{aligned}\pi_{xy} \{ \sigma_p (R \bowtie S) \} &= \\ \pi_{xy} \{ \sigma_p [\pi_{xz'}(R) \bowtie \pi_{yz'}(S)] \} \\ z' = z \cup \{\text{attributes used in } P\}\end{aligned}$$

Which are “good” transformations?

$$\begin{aligned}\sigma_{p1 \wedge p2}(R) &\rightarrow \sigma_{p1} [\sigma_{p2}(R)] \\ \sigma_p(R \bowtie S) &\rightarrow [\sigma_p(R)] \bowtie S \\ R \bowtie S &\rightarrow S \bowtie R \\ \pi_x[\sigma_p(R)] &\rightarrow \pi_x \{ \sigma_p[\pi_{xz}(R)] \}\end{aligned}$$

Rules: σ , U combined

$$\begin{aligned}\sigma_p(R \cup S) &= \sigma_p(R) \cup \sigma_p(S) \\ \sigma_p(R - S) &= \sigma_p(R) - S = \sigma_p(R) - \sigma_p(S)\end{aligned}$$

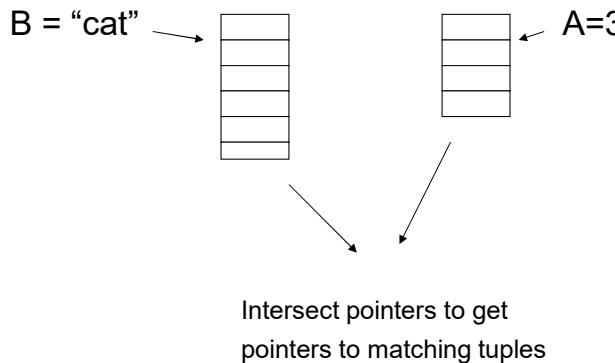
Conventional wisdom: do projects early

Example: $R(A,B,C,D,E)$ $x=\{E\}$
 $P: (A=3) \wedge (B=\text{"cat"})$

$$\pi_x \{ \sigma_p(R) \} \quad \text{vs.} \quad \pi_E \{ \sigma_p \{ \pi_{ABE}(R) \} \}$$

What if we have A, B indexes?

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Bottom line

There is no transformation that is good in any case

Usually good: early selections

- Variant: move selection up to the root and then down on multiple path

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Selections Should Go Up Then Down

```
StarsIn(title, year, starName)
Movie(title, year, studioName)
CREATE VIEW MoviesOf1996 AS
  SELECT *
  FROM Movie
  WHERE year = 1996;
```

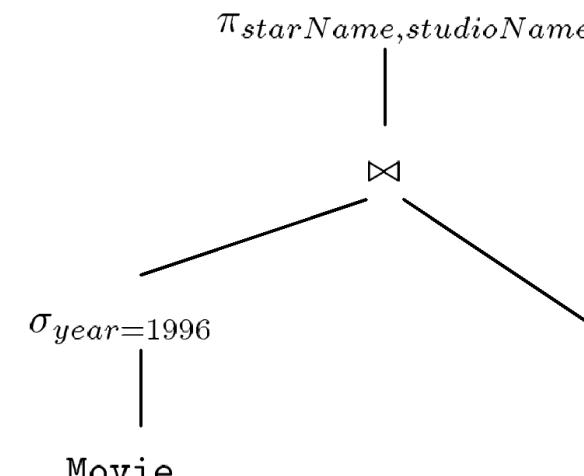
```
SELECT starName, studioName
FROM MoviesOf1996 NATURAL JOIN StarsIn;
```

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Example Cont.

Initial query:

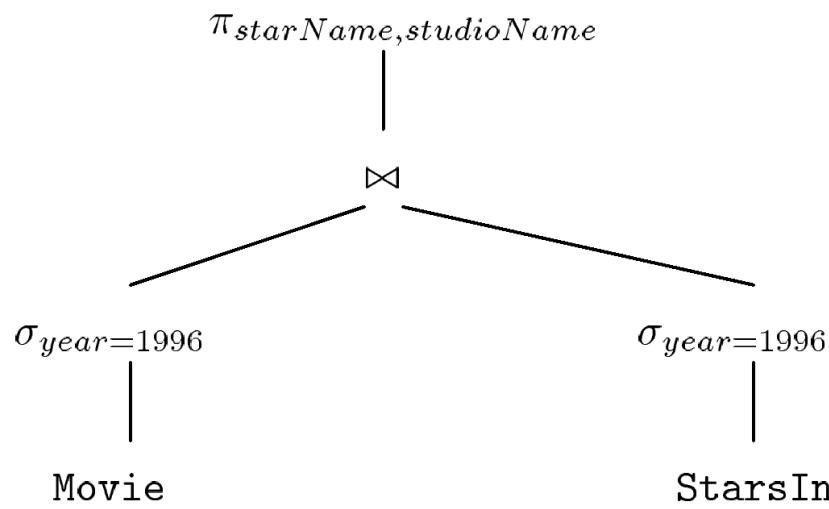


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Probably Better

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Estimating the Cost of a Query Plan

Goal is to count disk I/O's.

But we first have to estimate sizes of intermediate results.

Keep statistics for relation R

- $T(R)$: # tuples in R
- $S(R)$: # of bytes in each R tuple
- $B(R)$: # of blocks to hold all R tuples
- $V(R, A)$: # distinct values in R for attribute A
- $DOM(R, A)$: # possible distinct values for attribute A (size of domain for A)

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Example

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R	A	B	C	D
cat	1	10	a	
cat	1	20	b	
dog	1	30	a	
dog	1	40	c	
bat	1	50	d	

A: 20 byte string
B: 4 byte integer
C: 8 byte date
D: 5 byte string

$$T(R) = 5$$

$$V(R, A) = 3$$

$$V(R, B) = 1$$

$$S(R) = 37$$

$$V(R, C) = 5$$

$$V(R, D) = 4$$

Size estimates for $W = R_1 \times R_2$

$$T(W) = T(R_1) \times T(R_2)$$

$$S(W) = S(R_1) + S(R_2)$$

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Size estimate for $W = \sigma_{A=a}(R)$

$$S(W) = S(R)$$

$$T(W) = ?$$

Estimate Depends on Assumption

R

	A	B	C	D
cat	1	10	a	
cat	1	20	b	
dog	1	30	a	
dog	1	40	c	
bat	1	50	d	

$$\begin{aligned}V(R,A) &= 3 \\V(R,B) &= 1 \\V(R,C) &= 5 \\V(R,D) &= 4\end{aligned}$$

$$W = \sigma_{z=val}(R) \quad T(W) = \frac{T(R)}{V(R,Z)}$$

Assumption: Values in select expression $Z = val$ are uniformly distributed over possible $V(R,Z)$ values.

Alternate Assumption

R

	A	B	C	D
cat	1	10	a	
cat	1	20	b	
dog	1	30	a	
dog	1	40	c	
bat	1	50	d	

Alternate assumption
 $V(R,A)=3 \quad \text{DOM}(R,A)=10$
 $V(R,B)=1 \quad \text{DOM}(R,B)=10$
 $V(R,C)=5 \quad \text{DOM}(R,C)=10$
 $V(R,D)=4 \quad \text{DOM}(R,D)=10$

$$W = \sigma_{z=val}(R) \quad T(W) = \frac{T(R)}{\text{DOM}(R,Z)}$$

Assumption: Values in select expression $Z = val$ are uniformly distributed over possible $\text{DOM}(R,Z)$ values.

Selections Involving Inequality

What about $W = \sigma_{z \geq val}(R)$?

$$T(W) = ?$$

Solution # 1: $T(W) = T(R)/2$

- Assumption: All split values are equally likely

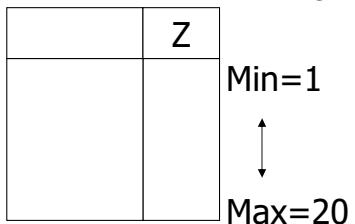
Solution # 2: $T(W) = T(R)/3$

- Assumption: Queries involving inequality ask more likely for a small fraction of possible tuples
- This assumption is usually preferred

Selections Involving Inequality (cont.)

Solution # 3: Estimate values in range

Example R



$$W = \sigma_{z \geq 15}(R)$$

$$f = \frac{20-15+1}{20-1+1} = \frac{6}{20} \quad (\text{fraction of range})$$

$$T(W) = f \times T(R)$$