

## Implementation of DBMS

### Exercise Sheet 9

Klingemann, WS 2024 / 2025

#### 1. Delete from a B+-tree:

Starting with the **B+-tree of order 4** you created in task 1 of Sheet 8, delete the keys 45, 20, 10, 50, 35, 40, 60, 30, 25, 15, 70, 55, 90 in this order. Show the tree structure after each operation and describe any necessary redistributions or merges.

#### 2. Insert into a B-tree:

Insert the keys 100, 50, 25, 125, 75, 150, 30, 60, 40, 80, 120, 135 into an initially empty **B-tree of order 2** and if have time order 3. Show the tree structure after each insertion and explain any splits.

#### 3. Delete from a B-tree:

From the **B-tree of order 2** you produced in task 2, delete the keys 40, 60, 120, 25 in this order. Show the tree structure after each deletion and explain all redistributions or merges that occur.

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## Implementation of DBMS

### Like Exercise Sheet 9

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#### 1. Insertions in a B+-Tree

Insert the keys 5, 15, 25, 35, 45, 10, 20, 30, 40, 50 into an initially empty **B+-tree of order 3**. After every insertion, show the structure of the tree and any splits that occur.

#### 2. Deletions in a B+-Tree

Starting with the B+-tree of order 3 produced in task 1, delete the keys 20, 40, 10, 25 in this order. Show the tree structure after each deletion and explain any merges or redistributions that occur.

#### 3. Insertions and Deletions in a B-tree

Insert the keys 12, 8, 18, 5, 15, 20, 10 into an initially empty **B-tree of order 4**. Then, delete the keys 15, 20, 8 in this order. Explain all structural changes, such as splits or merges, during both insertion and deletion operations.

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# Implementation of DBMS

Again, similar to **Exercise Sheet 9**

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## 1. B+-Tree Operations

Starting with an initially empty **B+-tree of order 4**, insert the keys 2, 8, 12, 4, 6, 10, 14, 16, 18.

After completing all insertions, delete the keys 12, 6, 14 in this order. Show the tree structure after each operation and explain any necessary redistributions or merges.

## 2. B-tree Operations

Insert the keys 3, 9, 15, 7, 12, 1, 4 into an initially empty **B-tree of order 3**. Show the structure of the tree after all insertions. Then delete the keys 7, 4, 9 in this order and show how the tree changes.

## 3. Compare B+-Tree and B-tree

Discuss the differences between the B+-tree and the B-tree structures produced in tasks 1 and 2 above. Focus on:

- Handling of keys during splits and merges.
- The organization of leaf and non-leaf nodes.
- Use cases where B+-trees might be preferred over B-trees in database systems.

### Example

- Pointers 4 bytes  
- Keys 4 bytes  
- Blocks 100 bytes  
- Look at full 2 level tree

### B tree

Root has 8 keys + 8 record pointers + 9 child pointers  
 $= 8 \times 4 + 8 \times 4 + 9 \times 4 = 100$  bytes

Each of 9 childs: 12 rec. pointers (+12 keys)  
 $= 12 \times (4+4) = 96$  bytes

2-level B-tree, Max # records =  
 $12 \times 9 + 8 = 116$

### B+-tree

Root has 12 keys + 13 child pointers  
 $= 12 \times 4 + 13 \times 4 = 100$  bytes

Each of 13 childs: 12 rec. ptrs (+12 keys)  
 $= 12 \times (4 + 4) + 4 = 100$  bytes

2-level B+-tree, Max # records  
 $= 13 \times 12 = 156$

Conclusion:

- For fixed block size a B+ tree is better
- each node can store more keys and pointers to child nodes

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## Explanation of B-tree and B+ tree Example in the Image

### B-tree Example

A B-tree is a balanced tree structure used for indexing databases. In the given example:

#### 1. Root Node:

- 8 keys (each 4 bytes)  $\rightarrow 8 \times 4 = 32$  bytes.
- 8 record pointers (each 4 bytes)  $\rightarrow 8 \times 4 = 32$  bytes.
- 9 child pointers (each 4 bytes)  $\rightarrow 9 \times 4 = 36$  bytes.
- Total Size of Root Node  $\rightarrow 32 + 32 + 36 = 100$  bytes.

#### 2. Child Nodes (Each of 9 children):

- Each child stores 12 records, with 12 keys.
- Each key is 4 bytes, and each record pointer is 4 bytes.
- Total per child  $\rightarrow 12 \times (4 + 4) = 96$  bytes.

#### 3. Maximum Records in a 2-level B-tree:

- Each of the 9 children holds 12 records  $\rightarrow 9 \times 12 = 108$  records.
- Plus, the 8 records in the root  $\rightarrow 108 + 8 = 116$  records.

### B+ tree Example

A B+ tree is an optimized version of B-tree where internal nodes only store keys, and all records are stored in leaf nodes.

#### 1. Root Node:

- 12 keys (each 4 bytes)  $\rightarrow 12 \times 4 = 48$  bytes.
- 13 child pointers (each 4 bytes)  $\rightarrow 13 \times 4 = 52$  bytes.
- Total Size of Root Node  $\rightarrow 48 + 52 = 100$  bytes.

#### 2. Child Nodes (Each of 13 children):

- Each child stores 12 records with 12 keys.
- Each key (4 bytes) and record pointer (4 bytes).
- Additional 4 bytes per child.
- Total per child  $\rightarrow 12 \times (4 + 4) + 4 = 100$  bytes.

#### 3. Maximum Records in a 2-level B+ tree:

- Each of the 13 children holds 12 records  $\rightarrow 13 \times 12 = 156$  records.

### Why Does Each Child Store 12 Records?

- The number of keys per node is determined by the block size and key/pointer size.
- The goal is to **maximize storage within each block** while ensuring efficient searching.
- Given the **100-byte block size**, and each key-pointer pair taking **8 bytes**, we can fit:

$$\frac{100}{8} \approx 12 \text{ records (rounded down)}$$

## Conclusion

- The **B+ tree can store more records** in the same block size because **internal nodes only store keys**, allowing **more efficient searches and faster range queries**.
- For **fixed block size**, **B+ trees are preferred** because they store more keys and child pointers.

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## Three More Exercises

### Exercise 1a:

Consider a B-tree where:

- Pointers are 8 bytes, keys are 8 bytes, and blocks are 200 bytes.
- The root node has 10 keys, 10 record pointers, and 11 child pointers.
- Each child node stores 15 records.

Tasks:

1. Calculate the size of the root node.
  2. Compute the size of each child node.
  3. Find the maximum number of records in a 2-level B-tree.
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Exercise 1b:

Consider a B+ tree where:

- Pointers are 6 bytes, keys are 6 bytes, and blocks are 150 bytes.
- The root node has 9 keys and 10 child pointers.
- Each child node holds 13 records.

Tasks:

1. Compute the size of the root node.
  2. Calculate the size of each child node.
  3. Find the maximum number of records in a 2-level B+ tree.
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Exercise 1c:

A B-tree variant has:

- Pointers: 5 bytes, Keys: 5 bytes, Blocks: 120 bytes.
- The root node contains 7 keys, 7 record pointers, and 8 child pointers.
- Each child node holds 10 records.

Tasks:

1. Compute the size of the root node.
2. Determine the size of each child node.
3. Find the maximum number of records in a 2-level B-tree.

### **Example 1**

- **Pointers:** 8 bytes
  - **Keys:** 8 bytes
  - **Blocks:** 200 bytes
  - **Look at full 2-level tree**
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### **Example 2**

- **Pointers:** 6 bytes
  - **Keys:** 6 bytes
  - **Blocks:** 150 bytes
  - **Look at full 2-level tree**
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### **Example 3**

- **Pointers:** 5 bytes
  - **Keys:** 5 bytes
  - **Blocks:** 120 bytes
  - **Look at full 2-level tree**
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