

of the MIP model presented in Kinable et al. [2014] and of two more compact models can be found in the thesis of Hernández López [2020]. In Kinable and Trick [2014], we found an attempt to solve the previous problem with a logic-based Benders’ approach. Sulaman et al. [2017] expand upon the SD heuristic proposed in Kinable et al. [2014], proposing a simulated annealing (SA) combined with a time-slot Heuristic (SATH). This method looks for a slot between existing visits of a truck to schedule a new delivery instead of assigning it to the time slot strictly after the truck’s latest assigned delivery. The goal is to reduce the large time gaps that can be present in a schedule created with SD due to ignoring the intermediate available time slots. Experimental results indicated that SATH outperforms SD in speed and solution quality. A generalization of the MIP model of Kinable et al. [2014] is addressed in Asbach et al. [2009]. This model simultaneously minimizes the total sum of travel costs and the penalty costs for customers with unfulfilled demand. A customer can request that all concrete deliveries come from the same plant or a subset of plants and that a delivery truck belongs to a subset of the vehicle fleet. The MIP model is used in a local search scheme as a black-box solver to reoptimize an incumbent solution in which a neighborhood operator has unfixed some variables. Tzanetos and Blondin [2023] provide an overview of the various methods used in the literature to address the CDP and categorizes the problem formulations based on the different concepts used in the literature. They also discussed the consistency between industry needs and existing constraints and provided insights into the datasets corresponding to real-world cases, identifying the necessary data for practitioners.

### 3 Problem description

The focus of this paper is the distribution of RMC from a Canadian company that operates in the greater Montreal area. When a customer places an order, it is received at a central center and assigned to one of the company’s batching plants. These plants produce the concrete and then deliver it to the customer. The problem we are examining involves a set of customer orders, a set of concrete-mixer drivers, and a set of batching plants.

A customer  $i$  requests one or more types of concrete to be delivered to his construction site on a particular day, starting at time  $a_i$ . We call an order  $o$  a request for a specific type of concrete.  $q_i$  is the sum of the demand  $q_o$  of each order  $o$  placed,  $a_i$  is the desired arrival time of the first concrete mixer, and  $\tau_i^u$  is the unloading rate for customer  $i$ . If the order requires more concrete than a single truck can carry, multiple deliveries are scheduled.

Let  $O_i$  be the set of all orders requested by customer  $i$ . Each element of  $O_i$  must be fully delivered before moving on to another order. Exactly one order  $o$  of  $O_i$  must have its first delivery start at  $a_i$ , while the others can start at any time after  $o$  is completed. Once a plant is selected to produce a customer’s first delivery, it must be the supplier of all subsequent deliveries from that customer. To avoid cold joint problems with the concrete, the subsequent deliveries must be made in close succession. We define a maximum time delay  $\gamma_i$  after which no more deliveries will be allowed. The customer unloading rate and the quantity to be unloaded give the time required to unload a truckload. Let  $\mathcal{C}$  be the set of construction sites (customers) with a planned delivery for the day, and  $\mathcal{O} = \{O_i, i \in \mathcal{C}\}$  be the set of all requested orders for all customers.

The company has two types of concrete mixer trucks with capacities of 8 and 12 cubic meters. Each driver  $k$  is assigned to a particular batch plant and is responsible for driving a truck with capacity  $Q_k$ . The set of drivers is represented by  $K = \cup_{b \in \mathcal{B}} K_b$ , where  $K_b$  is the set of drivers scheduled to start their shift at batch plant  $b$ .  $t_{ij}$  is the known time to travel between any two locations  $i$  and  $j$ . A scheduled driver  $k$  is required to start his shift at  $H_k$ ,

work a minimum of  $M_T$  hours and a maximum of  $N_T$  hours during regular working hours, with the possibility of overtime of up to  $O_T$  hours.  $\beta_3$  and  $\beta_4$  are the penalties incurred if a driver works less than  $M_t$  and more than  $N_t$ .

A driver typically loads RMC at his assigned batch plant but may be required to drive to and load at other plants if needed. The batch plant produces concrete on demand using recipes specific to each order. This means that a truck can only haul RMC for one order, even if there is spare capacity. To fill multiple orders, a driver must restock at a plant between deliveries. After unloading the RMC, a driver takes  $\beta_k$  minutes to clean the concrete mixer before proceeding.

Let  $n_o$  be the number of deliveries needed to fulfill the order  $o$ .  $n_o$  is not known in advance because we use a fleet of trucks with different capacities. However, we can compute its lower ( $n_o^{min}$ ) and upper ( $n_o^{max}$ ) bounds using the capacities of the largest ( $Q_{max}$ ) and smallest ( $Q_{min}$ ) available trucks. The lower bound is the number of deliveries required if we only use trucks with capacity  $Q_{max}$ , while the upper bound is the number of deliveries required if we only use trucks with capacity  $Q_{min}$ .

$$n_o^{min} = \left\lceil \frac{q_o}{Q_{max}} \right\rceil \leq n_o \leq n_o^{max} = \left\lceil \frac{q_o}{Q_{min}} \right\rceil \quad \forall o \in \mathcal{O}. \quad (1)$$

Let  $d_o^j$  be the  $j_{th}$  visit with load  $q_o^j$  for order  $o$ . We represent the fulfillment of order  $o$  by the visits to the ordered set of delivery nodes  $\mathcal{D}_o = (d_o^0, d_o^1, \dots, d_o^{n_o})$ . The deliveries of customer  $i$  are the ordered set  $\mathcal{D}_i = (\mathcal{D}_{o_1}, \mathcal{D}_{o_2}, \dots, \mathcal{D}_{o_{|O_i|}})$ , where  $o_r$  is the  $r_{th}$  delivered order. We will refer to  $d \in \mathcal{D}_i$  ( $d \in \mathcal{D}_o$ ) as the  $d_{th}$  potential delivery of customer  $i$  (order  $o$ ).  $\mathcal{D} = \bigcup_{i \in \mathcal{C}} \mathcal{D}_i$  is the union of all delivery nodes.

The company owns a total of eight batching plants located in various geographical regions. Each plant has a loading dock that can only accommodate only one truck at a time, which leads to trucks lining up. Let  $\mathcal{B}$  be the set of batching plants. The plants are heterogeneous, as each plant  $b$  has its own hourly loading rate, represented by  $\tau_b^l$ , which affects the duration of the loading process. After loading the concrete, the driver spends  $\alpha_b$  minutes adjusting the concrete in the truck before heading to the customer site. Each plant has its own assigned fleet of trucks, but it can borrow trucks from other plants or hire external vehicles if necessary. A batching plant can serve a construction site as long as the travel time between the two is less than the concrete's lifespan, which is represented by  $\Delta$ . Let  $l_{b,j}$  be the loading dock node associated with delivery node  $j$  at plant  $b$ . We represent each plant  $b$  by the set  $\mathcal{L}_b = \{l_{b,j}, t_{bj} \leq \Delta, j \in \mathcal{D}\}$  of loading docks nodes.  $\mathcal{L} = \bigcup_{b \in \mathcal{B}} \mathcal{L}_b$  is the union of all loading docks.

A solution to the problem involves making decisions about truck loading schedules, driver assignments to different deliveries, and truck arrival times at construction sites for unloading. For a batching plant, the decision involves choosing which driver to load, when to load them, and which construction site they should deliver to. For a driver, the decision involves determining the sequence of loading depots and delivery sites. And for a construction site, the decision involves determining the arrival times of all scheduled deliveries for the day.

Each driver leaves and returns to his home plant every day. We represent the home plant of a driver  $k$  with a starting depot  $s_k$  and an ending depot  $e_k$ .  $S$  and  $E$  are the sets of starting and ending depots, respectively.

We define our problem on a complete directed graph where  $V = \{S \cup \mathcal{L} \cup \mathcal{D} \cup E\}$  is the set of nodes. The arc sets are  $A = \{(i, j, k) \mid i, j \in V, k \in K\}$ ,  $A^D = \{(i, j) \mid i, j \in \mathcal{D}\}$ , and  $A^L = \{(i, j) \mid i, j \in \mathcal{L}\}$ .  $A$  corresponds to allowed movements of drivers from node  $i$  to node  $j$ . For each driver  $k$ , the allowed movements are the following:

- From the starting depot  $s_k$  to a loading dock  $l \in \mathcal{L}$  or to the ending depot  $e_k$ .

269 • From a loading dock  $l \in \mathcal{L}$  to a delivery node  $d \in \mathcal{D}$ .

270 • From a delivery node  $d \in \mathcal{D}$  to a loading dock  $l \in \mathcal{L}$  or to the ending depot  $e_k$ .

271 For a customer  $c$ , arcs in  $A^D$  link consecutive delivery nodes of the same order  $\{(i, j) \in$   
 272  $\mathcal{D}_o, o \in \mathcal{O}_c, i < j\}$ , and pair of delivery nodes of two different orders  $\{(i, d_{o_2}^0), i \in \mathcal{D}_{o_1}, i \geq$   
 273  $n_{o_1}^{min}, o_1, o_2 \in \mathcal{O}_c, o_1 \neq o_2\}$ . Arcs in  $A^L$  link all pairs of loading docks of the same batching  
 274 plant.

275  $\delta^+(i) = \{(i, j, k) \in A\}$ , and  $\delta^-(i) = \{(j, i, k) \in A\}$  are the outcoming and incoming  
 276 arc sets of any node  $i \in V$ .  $\delta_D^+(i) = \{(i, j) \in A^D\}$ , and  $\delta_D^-(i) = \{(j, i) \in A^D\}$  are the  
 277 outcoming and incoming arc sets of delivery node  $i \in \mathcal{D}$ . Similarly,  $\delta_L^+(i) = \{(i, j) \in A^L\}$ ,  
 278 and  $\delta_L^-(i) = \{(j, i) \in A^L\}$  are the outcoming and incoming arc sets of loading node  $i \in \mathcal{D}$ .

279 Let the binary variable  $x_{ij}^k$  be 1 if the driver  $k$  travels from node  $i$  to  $j$ . The binary  
 280 variable  $y_o$  is 1 when the order  $o$  is completely served.  $v_i$  and  $w_i$  are the start and end of  
 281 the loading (unloading) operation at node  $i \in \mathcal{L} \cup \mathcal{D}$ . The binary variable  $u_{i,j}$  is 1 if node  
 282  $j$  is served just after  $i$ , the service being either an unloading or a loading operation. The  
 283 binary variable  $\sigma_{ib}$  is equal to 1 if the orders of customer  $i$  are loaded by plant  $b$ . Variable  
 284  $q_j^k$  is the quantity to be loaded towards  $j$  with vehicle  $k$ . Let  $w_k^1$  be a continuous variable  
 285 indicating the difference between the driver's work time and the minimum number of hours  
 286 to be worked in a day.  $w_k^2$  is a continuous variable indicating the difference between the  
 287 driver's work time and the normal work time. Let  $g_i$  be the time between due date and first  
 288 service start for customer  $i$ .

289 The objective function minimizes the travel cost, the penalty costs incurred when cus-  
 290 tomer demands are not fully met, the lateness of each customer's first delivery, the cost of  
 291 drivers working less than the minimum hours, and the total overtime cost of drivers working  
 292 beyond their scheduled hours. The mathematical model of this variant of the CDP is as  
 293 follows:

$$\min \sum_{(i,j,k) \in A} t_{ij} x_{ij}^k + \beta_1 \sum_{o \in \mathcal{O}} (1 - y_o) + \beta_2 \sum_{i \in \mathcal{C}} g_i + \sum_{k \in K} \beta_3 * w_k^1 + \beta_4 * w_k^2 \quad (2)$$

$$\sum_{j \in \delta^+(s_k)} x_{s_k j}^k = 1 \quad \forall k \in K \quad (3)$$

$$\sum_{j \in \delta^-(e_k)} x_{j e_k}^k = 1 \quad \forall k \in K \quad (4)$$

$$v_j \geq w_i + \alpha_i + t_{ij} - M(1 - x_{ij}^k) \quad \forall i \in \mathcal{L}, j \in \delta^+(i), k \in K \quad (5)$$

$$v_j \geq w_i + \beta_k + t_{ij} - M(1 - x_{ij}^k) \quad \forall i \in \mathcal{D}, j \in \delta^+(i), k \in K \quad (6)$$

$$w_i \geq v_i + \frac{q_j^k}{\tau_b^l} - M(1 - x_{ij}^k) \quad \forall b \in \mathcal{B}, i \in \mathcal{L}_b, j \in \delta^+(i), k \in K \quad (7)$$

$$w_j \geq v_j + \frac{q_j^k}{\tau_c^u} - M(1 - x_{ij}^k) \quad \forall c \in \mathcal{C}, j \in \mathcal{D}_c, i \in \delta^-(j), k \in K \quad (8)$$

$$w_j \leq v_i + \Delta + M(1 - x_{ij}^k) \quad j \in \mathcal{D}, i \in \delta^-(j), k \in K \quad (9)$$

$$v_{d_o^0} \geq a_i \quad \forall i \in \mathcal{C}, \forall o \in \mathcal{O}_i \quad (10)$$

$$g_i \geq v_{d_{o_1}^0} - a_i - M \left( \sum_{j \in \delta_D^-(d_{o_1}^0)} u_{j, d_{o_1}^0} \right) \quad \forall i \in \mathcal{C}, \forall o_1 \in \mathcal{O}_i, d = d_{o_1}^0 \quad (11)$$

$$v_{d_{o_1}^0} \geq w_j - M \left( 1 - u_{j,d_{o_1}^0} \right) \quad \forall o_1 \in \mathcal{O}_i, j \in \delta_D^-(d_{o_1}^0) \quad (12)$$

$$v_{d_{o_1}^0} \leq w_j + \gamma_i + M \left( 1 - u_{j,d_{o_1}^0} \right) \quad \forall o_1 \in \mathcal{O}, j \in \delta_D^-(d_{o_1}^0) \quad (13)$$

$$\sum_{o_1 \in \mathcal{O}_i} \sum_{j \in \delta_D^-(d_{o_1}^0)} u_{j,d_{o_1}^0} = |\mathcal{O}_i| - 1 \quad \forall i \in \mathcal{C}, |\mathcal{O}_i| > 1 \quad (14)$$

$$\sum_{o_1 \in \mathcal{O}_i} \sum_{j \in \delta_D^+(d_{o_1}^0)} u_{d_{o_1}^0,j} = |\mathcal{O}_i| - 1 \quad \forall i \in \mathcal{C}, |\mathcal{O}_i| > 1 \quad (15)$$

$$\sum_{j \in \delta_D^+(d_{o_1}^0)} u_{d_{o_1}^0,j} \leq 1 \quad \forall o_1 \in \mathcal{O} \quad (16)$$

$$\sum_{j \in \delta_D^-(d_{o_1}^0)} u_{j,d_{o_1}^0} \leq 1 \quad \forall o_1 \in \mathcal{O} \quad (17)$$

$$\sum_{j \in \delta_D^+(d_{o_1}^0)} u_{d_{o_1}^0,j} + \sum_{j \in \delta_D^-(d_{o_1}^0)} u_{j,d_{o_1}^0} \geq 1 \quad \forall o_1 \in \mathcal{O} \quad (18)$$

$$v_j \geq w_{j-1} - M \left( 1 - u_{j-1,j} \right) \quad \forall o \in \mathcal{O}, j \in \mathcal{D}_o, j \geq 1 \quad (19)$$

$$v_j \leq w_{j-1} + \gamma_i + M \left( 1 - u_{j-1,j} \right) \quad \forall i \in \mathcal{C}, \forall o \in \mathcal{O}_i, j \in \mathcal{D}_o, j \geq 1 \quad (20)$$

$$u_{j-1,j} \geq u_{j,j+1} \quad \forall o_1 \in \mathcal{O}, j \in \mathcal{D}_{o_1}, 1 \leq j \leq n_{o_1} - 1 \quad (21)$$

$$u_{j-1,j} \geq \sum_{l \in \mathcal{L}} x_{lj} \quad \forall o_1 \in \mathcal{O}, j \in \mathcal{D}_{o_1}, j \geq 1 \quad (22)$$

$$v_j \geq w_i - M \left( 1 - u_{i,j} \right) \quad \forall i \in \mathcal{L}, j \in \delta_L^+(i) \quad (23)$$

$$\sum_{j \in \delta_L^+(i)} u_{i,j} \leq 1 \quad \forall i \in \mathcal{L} \quad (24)$$

$$\sum_{j \in \delta_L^-(i)} u_{j,i} \leq 1 \quad \forall i \in \mathcal{L} \quad (25)$$

$$\sum_{j \in \delta_L^-(i)} u_{j,i} + \sum_{j \in \delta_L^+(i)} u_{i,j} \geq \sum_{k \in K} \sum_{j \in \delta^-(i)} x_{ji}^k \quad \forall i \in \mathcal{L} \quad (26)$$

$$\sum_{k \in K} \sum_{j \in \mathcal{D}_o} q_j^k = q_o \quad \forall o \in \mathcal{O} \quad (27)$$

$$\sum_{k \in K} \sum_{j \in \delta^+(i)} x_{ij}^k \leq 1 \quad i \in \mathcal{L} \cup \mathcal{D} \quad (28)$$

$$\sum_{k \in K} \sum_{j \in \delta^+(i)} x_{ij}^k = \sum_{k \in K} \sum_{j \in \delta^-(i)} x_{ji}^k \quad i \in \mathcal{L} \cup \mathcal{D} \quad (29)$$

$$\sum_{k \in K} \sum_{i \in \mathcal{D}_c} \sum_{j \in \delta^-(i)} x_{ji}^k \leq M * \sigma_{c,b} \quad \forall c \in \mathcal{C}, b \in \mathcal{B} \quad (30)$$

$$\sum_{b \in \mathcal{B}} \sigma_{c,b} \leq 1 \quad \forall c \in \mathcal{C}, b \in \mathcal{B} \quad (31)$$

$$w_k^1 \geq M_T + H_k - v_{e_k} \quad \forall k \in K \quad (32)$$

$$w_k^2 \geq (v_{e_k} - H_k) - N_T \quad \forall k \in K \quad (33)$$

$$w_{e_k} \leq H_k + O_T \quad k \in K \quad (34)$$

$$0 \leq q_j^k \leq Q^k \quad j \in \mathcal{D}, k \in K \quad (35)$$

$$x_{ij}^k \in \{0, 1\} \quad (i, j) \in A, k \in K \quad (36)$$

$$u_{ij} \in \{0, 1\} \quad (i, j) \in A^D, k \in K \quad (37)$$

$$\sigma_{ib} \in \{0, 1\} \quad i \in \mathcal{C}, b \in \mathcal{B} \quad (38)$$

$$y_o \in \{0, 1\} \quad o \in \mathcal{O} \quad (39)$$

$$v_i \geq 0, w_i \geq 0 \quad i \in V \quad (40)$$

$$w_k^1 \geq 0, w_k^2 \geq 0 \quad i \in V \quad (41)$$

$$g_i \geq 0 \quad i \in \mathcal{C}. \quad (42)$$

$\beta_1$  to  $\beta_4$  are the penalty coefficients of each component of the objective function (2). Constraints (3–4) state that a driver  $k$  leaves his start node exactly once a day and returns to his end node after completing his last unloading operation of the day. Constraints (5–6) set a driver to take some time after loading or unloading to adjust the concrete or clean the truck before moving on to the next node. The duration of the loading operation depends on the plant’s loading rate and the amount  $q_j^k$  of RMC loaded (Eq. 7). Similarly, the unloading service depends on the site’s rate and  $q_j^k$  (Eq. 8). Unloading operations must end  $\Delta$  minutes after loading begins (Eq. 9).

Constraints (10–13) ensure that the first service of any customer  $i$  must start at the due time  $a_i$ . This first service may be performed at the first delivery node of any order  $\in \mathcal{O}_i$ , and one order must be completed before another is started. It also enforces the precedence constraints between the last delivery of an order and the first delivery of the following order. Constraints (14–18) find the delivery sequence of all orders  $\in \mathcal{O}_i$ . Constraints (19–22) prohibit two trucks serving consecutive deliveries of the same order from unloading at the same time and enforce a maximum time delay between them. Similarly, constraints (23–26) ensure that two trucks cannot be loaded at the same time at a plant. Constraints (27) require that the cumulative load of all concrete mixers serving an order must equal the required quantities. Constraints (28) require that a driver can only visit a loading/delivery node once. Constraints (29) are degree constraints. Constraints (30) and (31) require that all orders from customer  $i$  must be loaded from the same plant. Constraints (32–33) calculate the difference between a driver’s hours of service and the minimum and normal hours of service. Finally, constraints (34)–(42) define the nature and bounds of the variables.

Let us consider the solution of a small instance of our problem shown in Figures 1 and 2. Two batching plants  $B_1$  and  $B_2$  with three drivers serve two construction sites. Customer  $C_1$  requests one order of  $q_1^1 = 12 \text{ m}^3$ . Customer  $C_2$  requests three orders of  $q_2^1 = 3$ ,  $q_2^2 = 11$ , and  $q_2^3 = 1 \text{ m}^3$  of three different types of concrete.  $B_1$  has two drivers ( $D_1, D_2$ ) using concrete mixers of capacity  $8 \text{ m}^3$ , and  $B_2$  has  $D_3$  with a capacity  $12 \text{ m}^3$ . Figure 1 shows the flow of concrete mixers flow in the network. We schedule  $D_1$  and  $D_2$  to deliver 8 and  $4 \text{ m}^3$  to  $C_1$ , respectively. We select  $o_2^2$  as the first order of  $C_2$  to deliver.  $D_3$  travels to  $B_1$  to load  $q_2^2$ , then visits  $C_2$  before returning to his home plant. Meanwhile, after their first trip,  $D_1$  and  $D_2$  deliver the remaining orders of  $C_2$ .

Figure 2 shows the sequence of loading and unloading operations. The first deliveries of  $C_1$  and  $C_2$  are timely and there is no gap between the two deliveries received by  $C_1$ . There is a delay between the end of the first delivery and the start of the second delivery of  $C_2$ , however, it is less than the maximal time delay of 20 minutes defined for this example.

## 4 Constructive heuristics and GRASP

We now present the heuristic approach we implement to solve this variant of the Concrete Delivery Problem. Our method consists of constructing feasible solutions to the CDP with

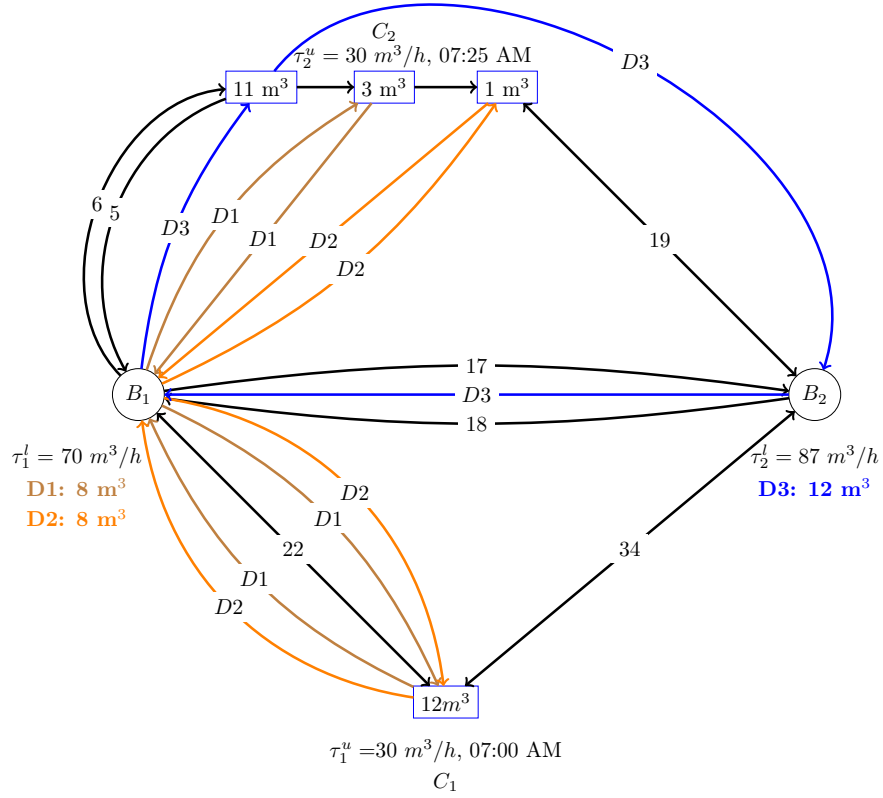


Figure 1: Solution of an instance with two plants, two construction sites, four orders, and three drivers.

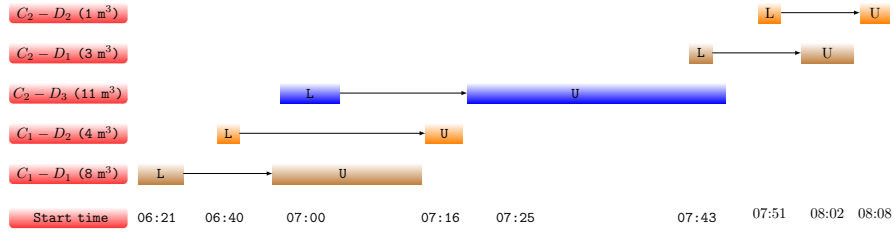


Figure 2: Schedule of the instance of Figure 1.

332 randomized heuristics and iteratively invoking these heuristics in the GRASP metaheuristic.  
333 In this section, we represent the scheduling of a delivery node  $d$  as a pair of loading ( $L_d$ )  
334 and unloading ( $U_d$ ) tasks.  $L_d = (b, k, q_d, v_b)$  indicates that driver  $k$  starts loading  $q_d \text{ m}^3$  of  
335 RMC at plant  $b$  at time  $v_b$ .  $U_d = (k, v_d, w_d)$  indicates that driver  $k$  is serving  $d$  between  
336  $v_d$  and  $w_d$ . We then call a solution  $S = \left\{ \cup_{1 \leq j \leq n_o} (L_{d_o^j}, U_{d_o^j}), \forall o \in \mathcal{O} \right\}$  the set of all loading  
337 and unloading tasks performed on a given day.