. A GRASP algorithm for the concrete delivery problem.

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4 Abstract

The concrete delivery problem (CDP) is a combinatorial optimization problem that involves scheduling the delivery of ready-mixed concrete to construction sites while balancing the conflicting goals of minimizing transportation costs and maximizing customer satisfaction. This paper proposes an exact formulation and a heuristic approach based on the Greedy Randomized Adaptive Search Procedure (GRASP) to tackle a new variant of the CDP that incorporates realistic side constraints, such as drivers' working shifts, minimum working time for each driver, and overtime penalties. Additionally, the problem also considers the possibility of customers requiring multiple types of concrete to be delivered within the same time window. The performance of the proposed heuristic is evaluated on real-world and generated CDP instances, and it is compared to another variant to demonstrate its effectiveness.

16 Keywords: Vehicle scheduling; concrete delivery; GRASP; ready-mixed concrete

#### 1 Introduction

Concrete is a widely used building material in construction projects. Its perishable nature is affected by many factors that impact its quality [Sinha et al., 2021], which is crucial for the durability and strength of the final construction. Concrete comes in two types: ready-mixed concrete (RMC) and site-mixed concrete (SMC). RMC is manufactured in a batch plant and delivered to the construction site, while SMC is produced on-site using raw materials stored on the construction site. Using SMC can avoid delays caused by road traffic, but it has a slower and more difficult production process, requires storage for mixing materials and equipment, and is suitable for low amounts of concrete. On the other hand, RMC has better quality and benefits from lower production costs [Muresan, 2019]. However, to take advantage of these benefits, the batch plant manager must ensure efficient and prompt delivery on the construction site, which may require a fleet of high-cost revolving drum trucks (concrete mixers) to dispatch the RMC.

Concrete delivery under the form of RMC is subject to many operational constraints that make the Concrete Delivery Problem (CDP) very challenging. In this paper, we study a variant of the CDP to schedule the daily production and dispatching of RMC for a company located in the province of Quebec, Canada. This company operates multiple batching plants with varying production rates, using a fleet of concrete mixers of different capacities. Each plant has its own fleet of trucks; however, under certain conditions, the trucks can move between plants if necessary. The trucks must return to their home plant at the end of the

day. The company owns two types of trucks with different capacities and can call on an external fleet when needed. They serve construction sites from any of their plants, with the first delivery starting at the time specified by the customer. The loading and unloading of a concrete mixer depend on the truck capacity, the loading rate at the plant, and the unloading rate at the construction site. Drivers are allocated based on their daily work schedules. A customer may request several types of concrete to be delivered within the same time window, with no required sequence for the orders, but an order can only start after the completion of the previous order. This constraint generalizes the linked order constraints of Durbin and Hoffman [2008] where some customers place two orders for the same day and request that they are linked (the second order begins only after the first order is completed). The setting of our study is similar to a variant of the CDP previously studied in Schmid et al. [2009, 2010]. However, we include the plant's production rate and driver shift schedule. The company uses a centralized dispatcher system to schedule all daily orders, but this system has issues satisfying all daily demands without using an external fleet.

According to Blazewicz et al. [2019], the CDP combines vehicle routing with scheduling issues to plan routes to deliver concrete from batch plants (depots) to customers' construction sites. RMC is an on-demand product with a short life cycle from production through end use. It cannot be stored and cannot stay too long on a truck, or it will harden. Hence, concrete mixers must deliver RMC at the planned construction site shortly after its production. Tommelein and Li [1999] describes RMC production and delivery as an example of a just-in-time (JIT) production system in construction. A customer quantity requirement is often greater than the truck size and must be fulfilled by multiple deliveries. In that sense, CDP is similar to the vehicle routing problem with split delivery [Archetti and Speranza, 2008, except that the same truck may visit a customer more than once. Concrete hardens quickly, so multiple deliveries must be done back-to-back or at least close in time to avoid the problem of cold joint, which can reduce the strength and durability of the concrete. Customers request to be served within a specific time window, which can complicate truckloading schedules when a plant can only load one truck at a time. Similarly, only one truck can unload at a time at a customer location, sometimes leading to concrete mixers queuing and waiting their turn to deliver. Furthermore, with trucks of varied sizes, loading, travel, and unloading times that may be uncertain, the CDP is a complex and challenging problem.

In this paper, we propose a mathematical model and a Greedy Randomized Search Procedure (GRASP) heuristic solution to solve a new variant of the CDP. Our model takes into consideration the working shifts of the drivers and the scheduling of multiple orders within the same time window at a construction site. To the best of our knowledge, this paper is the first that deals with these specific constraints in the context of the CDP.

The rest of the paper is structured as follows: Section 2 provides a literature review of previous work related to the CDP. Section 3 provides a formal description and mathematical model of the problem. In Section 4, we describe the GRASP algorithm and the constructive heuristics developed to solve this variant of the CDP. Section 5 presents computational experiments to evaluate the proposed approach, and finally, the conclusions are presented in Section 6.

# 2 Literature review

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Academic Research on concrete batching and delivery began in the late 1990s. Tommelein and Li [1999] described RMC as a prototypical example of a JIT production system in construction and identified two practices for delivering it. One approach is for the customer to haul the product from the batch plant with their concrete mixer, while the other is for the

batch plant to deliver the concrete directly to the customer's location. This latter approach is the one that has been studied in all related papers found in the literature. Several works to schedule and dispatch concrete production and delivery have mainly focused on simulation-related methods. These methods can be standalone, such as those used by Zayed and Halpin [2001], Wang et al. [2001], Tian et al. [2010], Panas and Pantouvakis [2013] and Galić and Kraus [2016], among others. Alternatively, these methods can be hybridized with optimization techniques, such as those used by Feng et al. [2004], Lu and Lam [2005], and Feng and Wu [2006]. Wang et al. [2001] developed a simulation model to reveal the effect and value of the concrete mixers' inter-arrival time on the productivity of hired unloading equipment on site. Feng et al. [2004] used a combination of genetic algorithm (GA) and simulation process to minimize the total waiting time for trucks at a customer site. The study focused on loading trucks with identical capacities at the same batch plant, with fixed loading and unloading durations. The GA was used to find the best loading sequence of RMC trucks to be assigned to different construction sites. The simulation process determined the loading, arrival, departure, and waiting time of trucks and thus evaluated the cost of each dispatching sequence. They evaluated their method using data from a batch plant in Taiwan with up to nine customers served. Mayteekrieangkrai and Wongthatsanekorn [2015] addressed the same problem with the same data using a bee algorithm (BA) and found better solutions than the GA. Lu and Lam [2005] used the same combination of GA and simulation to determine the optimal number of concrete mixers to be deployed and an optimal schedule for batching and delivering concrete. Their objective was to minimize the idle time of the site crew due to late concrete deliveries and truck queuing time. In this setting, it was also necessary to deliver a batch of mortar on-site to lubricate the unloading pump before the concrete delivery. As such, the simulation model also included the batching and delivery of mortar. Finding the best RMC fleet size was also the purpose of the discrete-event simulation model proposed by Panas and Pantouvakis [2013].

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In addition to simulation-based methods, several other approaches have been used in the literature to solve the CDP. These include metaheuristics [Faria et al., 2006, Misir et al., 2011, Maghrebi et al., 2016b, Yang et al., 2022, exact methods [Yan and Lai, 2007, Asbach et al., 2009, Kinable et al., 2014, matheuristics [Schmid et al., 2009, 2010], Benders Decomposition [Maghrebi et al., 2014a], column generation (CG) [Maghrebi et al., 2014b, 2016a], Lagrangian relaxation [Narayanan et al., 2015], and machine learning approaches such as those used by Graham et al. [2006], Maghrebi and Waller [2014], Maghrebi et al. [2016c]. Matsatsinis [2004] designed a decision support system (DSS) for the dynamic routing of both concrete and pumps that may be necessary for some construction sites to aid in the unloading of concrete. The DSS considered the availability of three plants but stipulated that vehicles fulfilling the same order must all load at the same plant. Orders that could not be executed immediately could be postponed for the next day. The routing of the pumps was modeled as a multi-depot vehicle routing problem with time windows. Naso et al. [2007] proposed a sequential GA method combined with constructive heuristics to solve another variant of the CDP. In this problem, the plant's production schedule must account for orders for concrete to be delivered to a customer site and orders that customers must pick up themselves. The algorithm first schedules the plant loading operations before scheduling truck deliveries. The authors also developed a non-linear model that minimizes transportation costs, waiting times, outsourced costs, and overtime work. They ran experiments using real-world instances of a concrete supply chain in the Netherlands and found a reduction in the number of outsourced requests. Yan and Lai [2007] also considered overtime considerations in their paper, which focused on scheduling RMC for one batching plant with two loading docks. The study took into account that overtime wages are paid for factory

and construction site operations after 4 PM. They developed a mixed-integer programming (MIP) model on a time-space network to minimize travel times and operating costs at both normal and overtime working hours at the plant and the construction sites. They tested the model using real data consisting of three days of operation using a two-stage algorithm. First, they solved the MIP relaxation with CPLEX. Then, they simplified the original model by fixing some decision variables before solving it. The algorithm was found to improve the actual plant operation by 10%. A time-space network is the key component of the real-time DSS developed by Durbin and Hoffman [2008] to solve a dynamic CDP every five minutes. The DSS is able to receive new orders, schedule them on the fly, and handle unexpected events such as plant closures, truck breakdowns, and delays in transportation times. The authors combined the DSS with a tabu search (TS) heuristic to warm start CPLEX, which made the model performant enough to solve instances with up to 1,500 loads per day with up to 250 trucks. The DSS also considers the case of a customer who places two orders, with the first being completed before the second starts. Further insights on the real-time planning and monitoring of CDP are available in Garza Cavazos [2021]. Another variant of the CDP is modeled by Schmid et al. [2009] as an integer multicommodity network flow (MCNF) problem on a time-space network. In this paper, concrete is delivered using a heterogeneous fleet of vehicles, and each plant can load an unlimited number of trucks simultaneously. Some of the trucks have specialized equipment and must arrive first at certain construction sites to assist in unloading the concrete. The objective is to fulfill all orders, minimize the travel cost, and avoid delays between two consecutive unloading operations for an order. The model is typically solved using a matheuristic algorithm that combines the MCNF with a variable neighborhood search (VNS) heuristic. The method can quickly solve large problem instances with more than 60 orders per day without encountering any memory issues. The same problem is addressed by Schmid et al. [2010], who proposed a MIP model combined with a VNS and a very large neighborhood search (VLNS) to develop two matheuristics approaches. Comparisons between both matheuristics and a standalone VNS show that the former methods are much better and suitable for solving larger problem instances. These methods also provide better solutions for small to medium instances than the matheuristic used in Schmid et al. [2009]. A pure VNS approach with the same problem but without the use of instrumentation has been applied by Payr and Schmid [2009].

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Regarding objectives, most authors have focused on minimizing travel time and delays between consecutive deliveries. However, some authors have been more interested in maximizing customer satisfaction alone. We find these situations in the works of Durbin and Hoffman [2008], Kinable et al. [2014], Kinable and Trick [2014], Sulaman et al. [2017]. Kinable et al. [2014] introduce a general MIP and constraint programming (CP) models of the CDP reflecting the main constraints commonly found in all CDP works: time lag and no overlapping between consecutive deliveries, covering of all customers' demands, delivery time window, and heterogeneous fleet. However, the model did not include constraints limiting the time that concrete may reside in a truck. The authors propose a constructive heuristic that schedules the visits to the customers one by one according to the start time of the visit and the truck capacity. The procedure is invoked multiple times for different permutations of the customer's order which is determined using the steepest descent (SD) local search procedure. One of the paper's main contributions is the creation of the first public test instances for the CDP with up to 50 customers, four batching plants, and 20 concrete mixers. They found the CP model to be highly effective in finding high-quality solutions in a relatively short time or improving existing schedules, while the MIP model can be used to compute bounds, as it seems ineffective in solving large problem instances. Finally, the heuristic often yields good solutions in less than a second. A detailed analysis

of the MIP model presented in Kinable et al. [2014] and of two more compact models can be found in the thesis of Hernández López [2020]. In Kinable and Trick [2014], we found an attempt to solve the previous problem with a logic-based Benders' approach. Sulaman et al. [2017] expand upon the SD heuristic proposed in Kinable et al. [2014], proposing a simulated annealing (SA) combined with a time-slot Heuristic (SATH). This method looks for a slot between existing visits of a truck to schedule a new delivery instead of assigning it to the time slot strictly after the truck's latest assigned delivery. The goal is to reduce the large time gaps that can be present in a schedule created with SD due to ignoring the intermediate available time slots. Experimental results indicated that SATH outperforms SD in speed and solution quality. A generalization of the MIP model of Kinable et al. [2014] is addressed in Asbach et al. [2009]. This model simultaneously minimizes the total sum of travel costs and the penalty costs for customers with unfulfilled demand. A customer can request that all concrete deliveries come from the same plant or a subset of plants and that a delivery truck belongs to a subset of the vehicle fleet. The MIP model is used in a local search scheme as a black-box solver to reoptimize an incumbent solution in which a neighborhood operator has unfixed some variables. Tzanetos and Blondin [2023] provide an overview of the various methods used in the literature to address the CDP and categorizes the problem formulations based on the different concepts used in the literature. They also discussed the consistency between industry needs and existing constraints and provided insights into the datasets corresponding to real-world cases, identifying the necessary data for practitioners.

# <sup>203</sup> 3 Problem description

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The focus of this paper is the distribution of RMC from a Canadian company that operates in the greater Montreal area. When a customer places an order, it is received at a central center and assigned to one of the company's batching plants. These plants produce the concrete and then deliver it to the customer. The problem we are examining involves a set of customer orders, a set of concrete-mixer drivers, and a set of batching plants.

A customer i requests one or more types of concrete to be delivered to his construction site on a particular day, starting at time  $a_i$ . We call an order o a request for a specific type of concrete.  $q_i$  is the sum of the demand  $q_o$  of each order o placed,  $a_i$  is the desired arrival time of the first concrete mixer, and  $\tau_i^u$  is the unloading rate for customer i. If the order requires more concrete than a single truck can carry, multiple deliveries are scheduled.

Let  $O_i$  be the set of all orders requested by customer i. Each element of  $O_i$  must be fully delivered before moving on to another order. Exactly one order o of  $O_i$  must have its first delivery start at  $a_i$ , while the others can start at any time after o is completed. Once a plant is selected to produce a customer's first delivery, it must be the supplier of all subsequent deliveries from that customer. To avoid cold joint problems with the concrete, the subsequent deliveries must be made in close succession. We define a maximum time delay  $\gamma_i$  after which no more deliveries will be allowed. The customer unloading rate and the quantity to be unloaded give the time required to unload a truckload. Let  $\mathcal{C}$  be the set of construction sites (customers) with a planned delivery for the day, and  $\mathcal{O} = \{O_i, i \in \mathcal{C}\}$  be the set of all requested orders for all customers.

The company has two types of concrete mixer trucks with capacities of 8 and 12 cubic meters. Each driver k is assigned to a particular batch plant and is responsible for driving a truck with capacity  $Q_k$ . The set of drivers is represented by  $K = \bigcup_{b \in \mathcal{B}} K_b$ , where  $K_b$  is the set of drivers scheduled to start their shift at batch plant b.  $t_{ij}$  is the known time to travel between any two locations i and j. A scheduled driver k is required to start his shift at  $H_k$ ,

work a minimum of  $M_T$  hours and a maximum of  $N_T$  hours during regular working hours, with the possibility of overtime of up to  $O_T$  hours.  $\beta_3$  and  $\beta_4$  are the penalties incurred if a driver works less than  $M_t$  and more than  $N_t$ .

A driver typically loads RMC at his assigned batch plant but may be required to drive to and load at other plants if needed. The batch plant produces concrete on demand using recipes specific to each order. This means that a truck can only haul RMC for one order, even if there is spare capacity. To fill multiple orders, a driver must restock at a plant between deliveries. After unloading the RMC, a driver takes  $\beta_k$  minutes to clean the concrete mixer before proceeding.

Let  $n_o$  be the number of deliveries needed to fulfill the order o.  $n_o$  is not known in advance because we use a fleet of trucks with different capacities. However, we can compute its lower  $(n_o^{min})$  and upper  $(n_o^{max})$  bounds using the capacities of the largest  $(Q_{max})$  and smallest  $(Q_{min})$  available trucks. The lower bound is the number of deliveries required if we only use trucks with capacity  $Q_{max}$ , while the upper bound is the number of deliveries required if we only use trucks with capacity  $Q_{min}$ .

$$n_o^{min} = \left\lceil \frac{q_o}{Q_{max}} \right\rceil \le n_o \le n_o^{max} = \left\lceil \frac{q_o}{Q_{min}} \right\rceil \, \forall o \in \mathcal{O}. \tag{1}$$

Let  $d_o^j$  be the  $j_{th}$  visit with load  $q_o^j$  for order o. We represent the fulfillment of order o by the visits to the ordered set of delivery nodes  $\mathcal{D}_o = \left(d_o^0, d_o^1, \cdots, d_o^{n_o}\right)$ . The deliveries of customer i are the ordered set  $\mathcal{D}_i = (\mathcal{D}_{o_1}, \mathcal{D}_{o_2}, \cdots, \mathcal{D}_{o_{|O_i|}})$ , where  $o_r$  is the rth delivered order. We will refer to  $d \in \mathcal{D}_i$  ( $d \in \mathcal{D}_o$ ) as the dth potential delivery of customer i (order o).  $\mathcal{D} = \bigcup_{i \in \mathcal{C}} \mathcal{D}_i$  is the union of all delivery nodes.

The company owns a total of eight batching plants located in various geographical regions. Each plant has a loading dock that can only accommodate only one truck at a time, which leads to trucks lining up. Let  $\mathcal{B}$  be the set of batching plants. The plants are heterogeneous, as each plant b has its own hourly loading rate, represented by  $\tau_b^l$ , which affects the duration of the loading process. After loading the concrete, the driver spends  $\alpha_b$  minutes adjusting the concrete in the truck before heading to the customer site. Each plant has its own assigned fleet of trucks, but it can borrow trucks from other plants or hire external vehicles if necessary. A batching plant can serve a construction site as long as the travel time between the two is less than the concrete's lifespan, which is represented by  $\Delta$ . Let  $l_{b,j}$  be the loading dock node associated with delivery node j at plant b. We represent each plant b by the set  $\mathcal{L}_b = \{l_{b,j}, t_{bj} \leq \Delta, j \in \mathcal{D}\}$  of loading docks nodes.  $\mathcal{L} = \bigcup_{b \in \mathcal{B}} \mathcal{L}_b$  is the union of all loading docks.

A solution to the problem involves making decisions about truck loading schedules, driver assignments to different deliveries, and truck arrival times at construction sites for unloading. For a batching plant, the decision involves choosing which driver to load, when to load them, and which construction site they should deliver to. For a driver, the decision involves determining the sequence of loading depots and delivery sites. And for a construction site, the decision involves determining the arrival times of all scheduled deliveries for the day.

Each driver leaves and returns to his home plant every day. We represent the home plant of a driver k with a starting depot  $s_k$  and an ending depot  $e_k$ . S and E are the sets of starting and ending depots, respectively.

We define our problem on a complete directed graph where  $V = \{S \cup \mathcal{L} \cup \mathcal{D} \cup E\}$  is the set of nodes. The arc sets are  $A = \{(i,j,k) \mid i,j \in V \ k \in K\}, \ A^D = \{(i,j) \mid i,j \in \mathcal{D} \ \}$ , and  $A^L = \{(i,j) \mid i,j \in \mathcal{L} \ \}$ . A corresponds to allowed movements of drivers from node i to node j. For each driver k, the allowed movements are the following:

• From the starting depot  $s_k$  to a loading dock  $l \in \mathcal{L}$  or to the ending depot  $e_k$ .

• From a loading dock  $l \in \mathcal{L}$  to a delivery node  $d \in \mathcal{D}$ .

• From a delivery node  $d \in \mathcal{D}$  to a loading dock  $l \in \mathcal{L}$  or to the ending depot  $e_k$ .

For a customer c, arcs in  $A^D$  link consecutive delivery nodes of the same order  $\{(i,j) \in \mathcal{D}_o, o \in \mathcal{O}_c, i < j\}$ , and pair of delivery nodes of two different orders  $\{(i,d_{o_2}^0), i \in \mathcal{D}_{o_1}, i \geq n_{o_1}^{min}, o_1, o_2 \in \mathcal{O}_c, o_1 \neq o_2\}$ . Arcs in  $A^L$  link all pairs of loading docks of the same batching plant.

 $\delta^+(i) = \{(i,j,k) \in A\}$ , and  $\delta^-(i) = \{(j,i,k) \in A\}$  are the outcoming and incoming arc sets of any node  $i \in V$ .  $\delta_D^+(i) = \{(i,j) \in A^D\}$ , and  $\delta_D^-(i) = \{(j,i) \in A^D\}$  are the outcoming and incoming arc sets of delivery node  $i \in \mathcal{D}$ . Similarly,  $\delta_L^+(i) = \{(i,j) \in A^L\}$ , and  $\delta_L^-(i) = \{(j,i) \in A^L\}$  are the outcoming and incoming arc sets of loading node  $i \in \mathcal{D}$ .

Let the binary variable  $x_{ij}^k$  be 1 if the driver k travels from node i to j. The binary variable  $y_o$  is 1 when the order o is completely served.  $v_i$  and  $w_i$  are the start and end of the loading (unloading) operation at node  $i \in \mathcal{L} \cup \mathcal{D}$ . The binary variable  $u_{i,j}$  is 1 if node j is served just after i, the service being either an unloading or a loading operation. The binary variable  $\sigma_{ib}$  is equal to 1 if the orders of customer i are loaded by plant b. Variable  $q_j^k$  is the quantity to be loaded towards j with vehicle k. Let  $w_k^1$  be a continuous variable indicating the difference between the driver's work time and the minimum number of hours to be worked in a day.  $w_k^2$  is a continuous variable indicating the difference between the driver's work time and the normal work time. Let  $g_i$  be the time between due date and first service start for customer i.

The objective function minimizes the travel cost, the penalty costs incurred when customer demands are not fully met, the lateness of each customer's first delivery, the cost of drivers working less than the minimum hours, and the total overtime cost of drivers working beyond their scheduled hours. The mathematical model of this variant of the CDP is as follows:

$$\min \sum_{(i,j,k)\in A} t_{ij} x_{ij}^k + \beta_1 \sum_{o\in\mathcal{O}} (1 - y_o) + \beta_2 \sum_{i\in\mathcal{C}} g_i + \sum_{k\in K} \beta_3 * w_k^1 + \beta_4 * w_k^2$$
 (2)

$$\sum_{j \in \delta^+(s_k)} x_{s_k j}^k = 1 \qquad \forall k \in K \quad (3)$$

$$\sum_{j \in \delta^{-}(e_k)} x_{je_k}^k = 1 \qquad \forall k \in K \quad (4)$$

$$v_j \ge w_i + \alpha_i + t_{ij} - M \left( 1 - x_{ij}^k \right) \qquad \forall i \in \mathcal{L}, j \in \delta^+(i), k \in K \quad (5)$$

$$v_j \ge w_i + \beta_k + t_{ij} - M \left( 1 - x_{ij}^k \right) \qquad \forall i \in \mathcal{D}, j \in \delta^+(i), k \in K \quad (6)$$

$$w_i \ge v_i + \frac{q_j^k}{\tau_b^l} - M\left(1 - x_{ij}^k\right) \qquad \forall b \in \mathcal{B}, i \in \mathcal{L}_b, j \in \delta^+(i), k \in K \quad (7)$$

$$w_j \ge v_j + \frac{q_j^k}{\tau_u^u} - M\left(1 - x_{ij}^k\right) \qquad \forall c \in \mathcal{C}, j \in \mathcal{D}_c, i \in \delta^-(j), k \in K \quad (8)$$

$$w_j \le v_i + \Delta + M \left(1 - x_{ij}^k\right)$$
  $j \in \mathcal{D}, i \in \delta^-(j), k \in K$  (9)

$$v_{d_o^0} \ge a_i$$
  $\forall i \in \mathcal{C}, \forall o \in \mathcal{O}_i$  (10)

$$g_i \ge v_{d_{o_1}^0} - a_i - M \left( \sum_{j \in \delta_D^-(d_{o_1}^0)} u_{j, d_{o_1}^0} \right) \qquad \forall i \in \mathcal{C}, \forall o_1 \in \mathcal{O}_i, d = d_{o_1}^0 \quad (11)$$

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x_{ij}^k \in \{0,1\}
                                                                                                       (i,j) \in A, k \in K (36)
                                                                                                     (i,j) \in A^D, k \in K (37)
u_{ij} \in \{0, 1\}
\sigma_{ib} \in \{0, 1\}
                                                                                                               i \in \mathcal{C}, b \in \mathcal{B} (38)
y_o \in \{0, 1\}
                                                                                                                        o \in \mathcal{O}
                                                                                                                                   (39)
v_i \ge 0, w_i \ge 0
                                                                                                                         i \in V
                                                                                                                                    (40)
w_k^1 \ge 0, w_k^2 \ge 0
                                                                                                                         i \in V (41)
q_i > 0
                                                                                                                        i \in \mathcal{C}. (42)
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 $\beta_1$  to  $\beta_4$  are the penalty coefficients of each component of the objective function (2). Constraints (3–4) state that a driver k leaves his start node exactly once a day and returns to his end node after completing his last unloading operation of the day. Constraints (5–6) set a driver to take some time after loading or unloading to adjust the concrete or clean the truck before moving on to the next node. The duration of the loading operation depends on the plant's loading rate and the amount  $q_j^k$  of RMC loaded (Eq. 7). Similarly, the unloading service depends on the site's rate and  $q_j^k$  (Eq. 8). Unloading operations must end  $\Delta$  minutes after loading begins (Eq. 9).

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Constraints (10–13) ensure that the first service of any customer i must start at the due time  $a_i$ . This first service may be performed at the first delivery node of any order  $\in O_i$ , and one order must be completed before another is started. It also enforces the precedence constraints between the last delivery of an order and the first delivery of the following order. Constraints (14–18) find the delivery sequence of all orders  $\in O_i$ . Constraints (19–22) prohibit two trucks serving consecutive deliveries of the same order from unloading at the same time and enforce a maximum time delay between them. Similarly, constraints (23–26) ensure that two trucks cannot be loaded at the same time at a plant. Constraints (27) require that the cumulative load of all concrete mixers serving an order must equal the required quantities. Constraints (28) require that a driver can only visit a loading/delivery node once. Constraints (29) are degree constraints. Constraints (30) and (31) require that all orders from customer i must be loaded from the same plant. Constraints (32–33) calculate the difference between a driver's hours of service and the minimum and normal hours of service. Finally, constraints (34)–(42) define the nature and bounds of the variables.

Let us consider the solution of a small instance of our problem shown in Figures 1 and 2. Two batching plants  $B_1$  and  $B_2$  with three drivers serve two construction sites. Customer  $C_1$  requests one order of  $q_1^1 = 12$  m<sup>3</sup>. Customer  $C_2$  requests three orders of  $q_2^1 = 3$ ,  $q_2^2 = 11$ , and  $q_2^3 = 1$  m<sup>3</sup> of three different types of concrete.  $B_1$  has two drivers  $(D_1, D_2)$  using concrete mixers of capacity 8 m<sup>3</sup>, and  $B_2$  has  $D_3$  with a capacity 12 m<sup>3</sup>. Figure 1 shows the flow of concrete mixers flow in the network. We schedule  $D_1$  and  $D_2$  to deliver 8 and 4 m<sup>3</sup> to  $C_1$ , respectively. We select  $o_2^2$  as the first order of  $C_2$  to deliver.  $D_3$  travels to  $B_1$  to load  $q_2^2$ , then visits  $C_2$  before returning to his home plant. Meanwhile, after their first trip,  $D_1$  and  $D_2$  deliver the remaining orders of  $C_2$ .

Figure 2 shows the sequence of loading and unloading operations. The first deliveries of  $C_1$  and  $C_2$  are timely and there is no gap between the two deliveries received by  $C_1$ . There is a delay between the end of the first delivery and the start of the second delivery of  $C_2$ , however, it is less than the maximal time delay of 20 minutes defined for this example.

# 4 Constructive heuristics and GRASP

We now present the heuristic approach we implement to solve this variant of the Concrete Delivery Problem. Our method consists of constructing feasible solutions to the CDP with

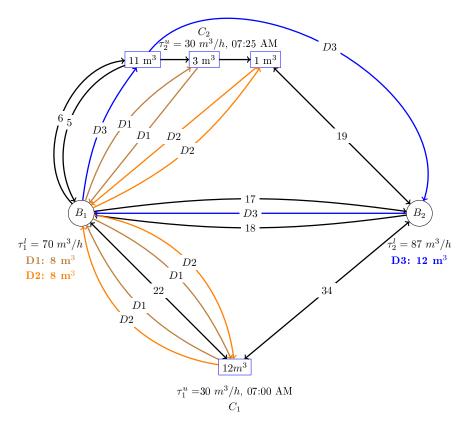


Figure 1: Solution of an instance with two plants, two construction sites, four orders, and three drivers.

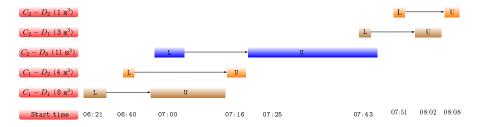


Figure 2: Schedule of the instance of Figure 1.

 randomized heuristics and iteratively invoking these heuristics in the GRASP metaheuristic. In this section, we represent the scheduling of a delivery node d as a pair of loading  $(L_d)$  and unloading  $(U_d)$  tasks.  $L_d = (b, k, q_d, v_b)$  indicates that driver k starts loading  $q_d$   $m^3$  of RMC at plant b at time  $v_b$ .  $U_d = (k, v_d, w_d)$  indicates that driver k is serving d between  $v_d$  and  $w_d$ . We then call a solution  $S = \left\{ \bigcup_{1 \leq j \leq n_o} (L_{d_o^j}, U_{d_o^j}), \forall o \in \mathcal{O} \right\}$  the set of all loading and unloading tasks performed on a given day.

### 4.1 GRASP algorithm

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The GRASP algorithm is an iterative suite of constructive and local search algorithms introduced by Feo and Resende [1995]. A feasible solution S is constructed using a greedy randomized algorithm at each iteration. Then a local search algorithm explores the neighborhood of S to find a local optimum. The pseudocode of the algorithm 1 shows that the procedure returns the best overall solution (for a minimization problem) after some stopping conditions (time limit or a maximum number of iterations) are met. In our implementation, we introduce at line 13 an additional local search step using the solutions found by all heuristics in the current iteration.

## Algorithm 1 Pseudo-code of the GRASP algorithm

```
Input: H: List of constructive randomized heuristics
 1 S^* \leftarrow \emptyset  T \leftarrow \emptyset \ Cost(S^*) \leftarrow \infty
    while Conditions not met do
         Cost(S') \leftarrow \infty
 3
         T \leftarrow \emptyset
 4
         for each h \in H
 5
 6
              S \leftarrow h(S)
              S \leftarrow LocalSearch(S)
 7
              T \leftarrow T \cup \{S\}
              if Cost(S) < Cost(S') then
 9
                   S' \leftarrow S
10
                  if Cost(S') < Cost(S^*) then
11
                       S^* \leftarrow S'
12
         S^* \leftarrow LocalSearch(T)
13
14 return S^*
```

# 4.2 Greedy randomized insertion algorithm

As mentioned in Resende and Ribeiro [2019], the general outline of a greedy randomized algorithm used in the GRASP framework works as described in Algorithm 2. Let's consider the set CL of candidate delivery nodes that have not yet been scheduled. We first initialize CL with the first delivery node of a randomly selected order, for each customer.

At each iteration, we create the set CL' with elements of CL from a given neighborhood. We then evaluate the increase in the cost function of incorporating each  $d \in CL'$  into the incumbent solution S and construct a restricted candidate list RCL consisting of nodes whose incremental cost is less than a given threshold. From RCL, we randomly select the next delivery node to be incorporated into the incumbent solution and determine its loading and unloading schedule using the procedure described in Algorithm 3. Next, we update S and the remaining order and customer quantities. Then we add the next candidate delivery node to CL. If an order o is not yet fulfilled after visiting  $d_o^j$ , the candidate is the next delivery node  $d_o^{j+1}$ . Otherwise, if the customer has remaining orders, the candidate is the first delivery node  $d_o^{0}$  of another randomly selected order o'. Note that for our problem, not all unscheduled delivery nodes are candidates at each iteration, since deliveries of the same order are ordered, any order of a customer can be chosen and must be satisfied before switching to another order, and the number of visits to a customer is not known in advance.

Consider two customers whose services are required to start at the same time or in the same time slot. We could either finish scheduling one before the other, or schedule both

at the same time. Obviously, each of these choices would result in a different solution. Similarly, in some cases, it would be better to schedule a customer that has a high demand and therefore requires more resources first. That's why we added the filtering part to impose some criteria on the algorithm. We can limit CL' to delivery nodes with the same demand, the same due date, or from the same customer. We can also decide if we want to schedule customers with the highest (or lowest) demand or the earliest (latest) due time first. In fact, this helps us to intensify the search in specific neighborhoods of the solution. To diversify the search, we simply remove the filter component. The greedy aspect of GRASP is the creation of the set RCL, the probabilistic aspect is the random selection in RCL, and the adaptive aspect is the updating of CL and the re-evaluation of the incremental costs.

#### Algorithm 2 Greedy randomized insertion algorithm

```
Input: S: empty solution S
 1 CL \leftarrow \emptyset
 2 for each customer i
          Select a random order o_1 \in \mathcal{O}_i
          CL \leftarrow CL \cup \{d_{o_1}^0\}
    while CL \neq \emptyset do
          CL' \leftarrow \text{Filter (CL)}
 6
          for each d \in CL'
 7
           \mid C(d) \leftarrow \text{incremental cost of inserting } d \\ C_{min} \leftarrow \min \{C(d), \ d \in CL'\}, \ C_{max} \leftarrow \max \{C(d), \ d \in CL'\} 
 8
          RCL \leftarrow \{d \in CL', \ C(d) \le C_{min} + \alpha(C_{max} - C_{min})\}
10
         Select random delivery node d_o^j of customer i from RCL
11
          L_{d_o^j}, U_{d_o^j} = \text{ScheduleTasks}(d_o^j, S)
12
         S \leftarrow S \cup (L_{d_o^j}, U_{d_o^j})
13
          q_i \leftarrow q_i - q_{d_o^j}; \ q_o \leftarrow q_o - q_{d_o^j}
14
          if q_o \neq 0 then
15
               CL \leftarrow CL \cup \{d_o^{j+1}\}
16
          else if q_i \neq 0 then
17
               Select another order o' \in \mathcal{O}_i
18
               CL \leftarrow CL \cup \{d_{a'}^0\}
19
          Update CL
20
21 return S
```

Algorithm 3 takes as parameters a delivery node  $d_o^j$  of the customer i and a partial solution and looks for a plant and a driver available for loading and unloading operations. For each driver k and plant b we simulate the loading and unloading operations while checking the concrete lifespan and cold joint constraints, and the plant and driver availability. For each node, we first determine the start loading time SLT by subtracting  $t_{bi}$ ,  $\alpha_b$ , and the loading duration  $LD_{d_o^j}^b$  to the expected delivery time EDT. EDT is either the arrival time  $a_i$  for the first delivery of a customer or the end of the unloading of the precedent delivery. At line 15, we ensure with the procedure FindDriverLoadingSlot that the loading starts only if the driver is present at the depot. The presence of the driver does not guarantee that the loading dock is available, thus we use the procedure FindDepotLoadingSlot at line 16 to ensure that. Once we know SLT, we can easily deduce the arrival time (AT), start unloading time (SUT), driver waiting time  $(W_{d_o^j}^k)$ , and client waiting time  $(W_{d_o^j}^j)$ . We also keep track of the driver's work duration. The algorithm returns the best loading and unloading operations

with the least cost. We keep track of all timeslots for a plant when its loading dock is busy, and for a driver when he starts loading until the end of the unloading service. Within FindDepotLoadingSlot (FindDriverLoadingSlot), we iterate through the timeslots of a depot (driver) to schedule the current operation at a free timeslot. These allow us to insert the scheduling of a node between existing schedules.

### Algorithm 3 Schedule loading and unloading tasks

```
Input: Partial solution S, delivery node d_o^j
1 Function ScheduleTask(d_o^j, S)
       n_i: last node visited for the customer i
       \mathcal{LS}: Partial solutions list
3
       bestSol \ Cost(bestSol) = +\infty
4
       for each driver k
5
           n_k: current location of k (plant or delivery node)
 6
           for each plant b
               if t_{bi} >= \delta then continue
 8
               EDT = SUT = a_i
 9
               if n_i \neq null then
10
                   SUT = rand(EUt_{n_i} - \gamma/3, EUt_{n_i})
11
                   EDT = EUT_{n_i}
12
               q_o^j = min\{Q_k,q_o\} \; // \; q_o \; remaining \; demand \; of \; order \; o
13
               SLT = EDT - t_{bi} - \alpha_b - LD_{d^j}^b
14
               SLT = FindDriverLoadingSlot(b, n_k, SLT, LD_{d^j}^b)
15
               SLT = FindDepotLoadingSlot(b, SLT, LD_{d^{j}}^{b})
16
               AT = SLT + LD_{d^{j}o}^{b} + \alpha_{b} + t_{bi}
17
               SUT = max\{AT, EDT\}
18
               W_{d_o^j}^k = \max\{0, SUT - AT\}
19
               W_{d_o^j}^{a_o} = max\{0, AT - EDT - \lambda_i\}
20
               EUT = SUT + UD_{d_{ij}^p}
21
               WT = WT_k + (EUT + \beta_k - SLT) + t_{n_k b} + t_{i,k}
22
               TC = t_{n_k,b} + t_{b,i}
23
               if Cost(bestSol) < Cost(S) + TC then
24
                   bestSol = (j, b, k, SLT) \cup (j, b, k, SUT)
25
                   Cost(bestSol) = Cost(S) + TC
26
       return \ bestSol
```

- 395 4.3 Local Search
- 5 Experimental results
- 5.1 Generation of instances
- <sup>398</sup> 5.2 Data sets
- 399 5.2.1 Results for the generated instances
- 400 5.2.2 Results for the real-world datasets
- 401 5.2.3 Results for the instances of Kinable et al. [2014]
- We run our algorithm on the dataset provided in Kinable et al. [2014].

## 403 6 Conclusion

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