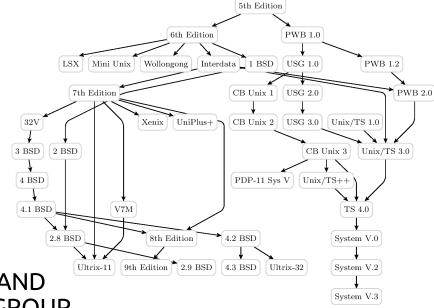
# Layered Graph Drawing – Part 2

Lecture Graph Drawing Algorithms · 192.053

Martin Nöllenburg 29.05.2018



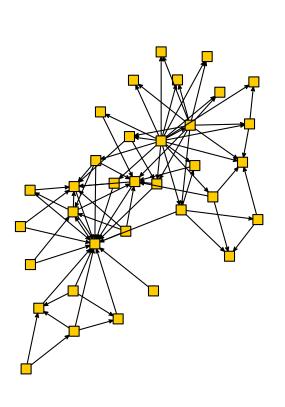


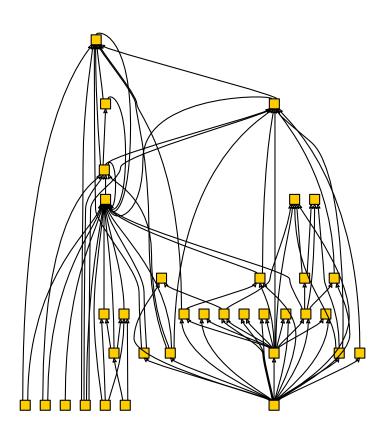
## Layered Graph Layout



**Input:** directed graph D = (V, A)

Output: drawing of D that emphasizes its hierarchical structure





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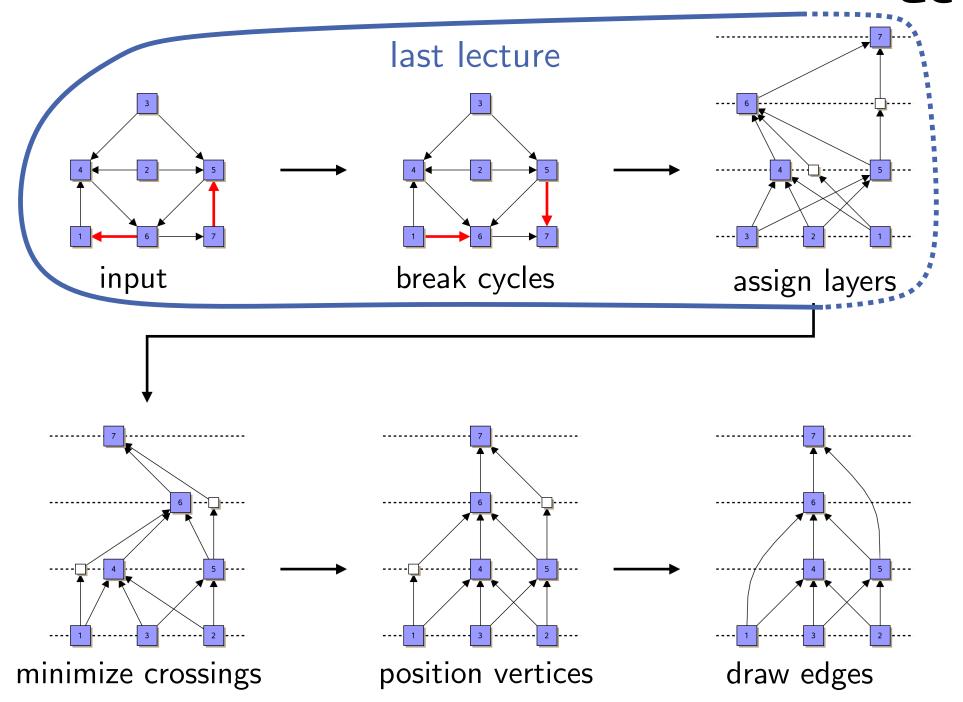
Output: drawing of D that emphasizes its hierarchical structure

#### **Criteria:**

- many edges pointing upward (or some other given direction)
- ideally short, straight and vertical edges
- vertices placed on (few) horizontal layers
- few edge crossings
- evenly distributed vertices

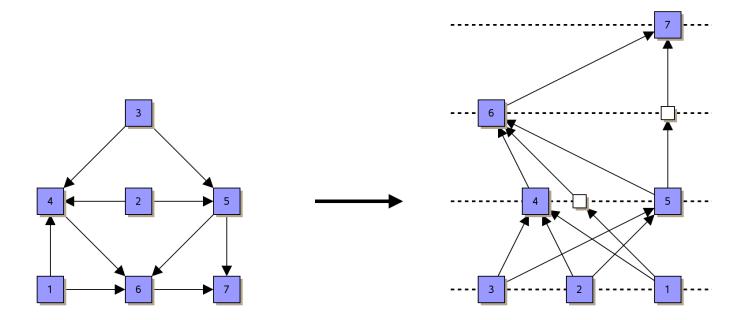
# Overview Sugiyama Framework





# Step 2: Assign Layers





## Layer Assignment



**Input:** directed acyclic graph D = (V, A)

**Output:** partition of V into disjoint subsets (layers)  $L_1, \ldots, L_h$ 

s.t.  $(u,v) \in A, u \in L_i, v \in L_j \Rightarrow i < j$ 

**Define:** y-Coordinate  $y(u) = i \Leftrightarrow u \in L_i$ 

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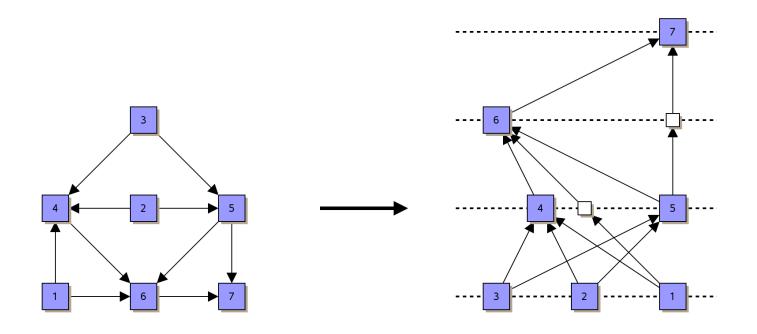
**Define:** y-Coordinate  $y(u) = i \Leftrightarrow u \in L_i$ 

### Some optimization criteria:

- $\blacksquare$  minimize the number h of layers (= height of the layouts)
- $\blacksquare$  minimize the width, i.e.,  $\max\{|L_i| \mid 1 \le i \le h\}$
- minimize the longest edge, i.e.,  $\max\{j-i\mid (u,v)\in A,\,u\in L_i,\,v\in L_j\}$
- lacktriangle minimize the total edge length (pprox number of dummy vertices)

### Last Lecture

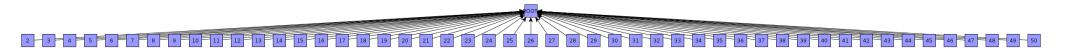




- Height minimization using topological sorting (linear time)
  - → puts each vertex on lowest possible layer
- Minimization of total edge length using integer linear programming (ILP)
  - → polynomial time via LP relaxation as constraint matrix is totally unimodular

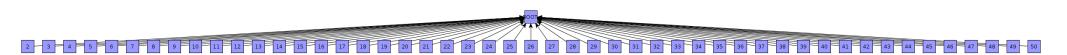
# Height/Edge Length Minimization is not all





## Height/Edge Length Minimization is not all





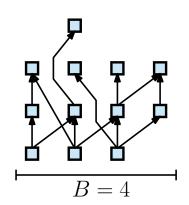
→ bound the width!

## Fixed-Width Layer Assignment



**Input:** directed acyclic graph D = (V, A), width B

**Output:** layer assignment  $\mathcal L$  of minumum height with at most B nodes per layer

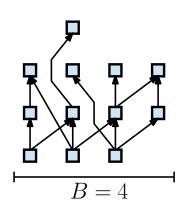


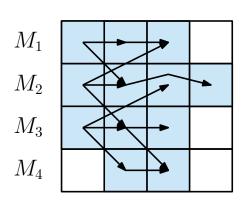
## Fixed-Width Layer Assignment



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→ this is equivalent to the following job scheduling problem:

## Minimum Precedence Constrained Scheduling (MPCS)

**Input:** n jobs  $J_1, \ldots, J_n$  with identical unit processing time, precedence constraints  $J_i < J_k$ , and B identical machines **Output:** Schedule of minimum length that satisfies all the precedence constraints



**Theorem:** For n jobs  $J_1, \ldots, J_n$  of equal length, a precedence relation <, a number B of identical machines, and an integer T it is NP-complete to decide if a schedule of length at most T exists, even for T=3.



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#### **Proof:**

lacksquare reduce NP-complete problem  $\operatorname{CLiQUE}$  to MPCS with T=3

CLIQUE: Given graph G=(V,E) and  $k\in\mathbb{N}$ , is there a complete subgraph on  $\geq k$  vertices in G?

Jobs: Jr for every 
$$v \in V$$
, Je for every  $e \in E$ 

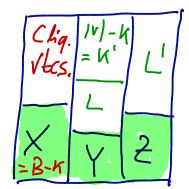
for each edge (n,v)  $\in E$  Ju < Je, Jv < Je



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Dummy jobs  $X_j$ , j = 1, ..., B-L  $Y_j$ , j = 1, ..., B-L-K'  $Y_j$ , j = 1, ..., B-L-K'  $X_j < Y_i < Z_l$ #jobs: IM + IEI + (B-K) + (B-K-K') + (B-L') = 3B

dain G has a k-Clique (=> Schedule of length T=3 exists





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**Corollary:** If  $\mathcal{P} \neq \mathcal{NP}$  there is no polynomial-time approximation algorithm for MPCS with approximation ratio <4/3.

## Approximation



**Theorem:** MPCS has a polynomial-time approximation algorithm with approximation ratio  $\leq 2 - \frac{1}{B}$ .

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#### List scheduling algorithm:

- lacksquare order jobs arbitrarily as a list  $\mathcal L$
- if a machine is free, assign to it the first feasible job in  $\mathcal{L}$ ; if no feasible job exists machine remains idle

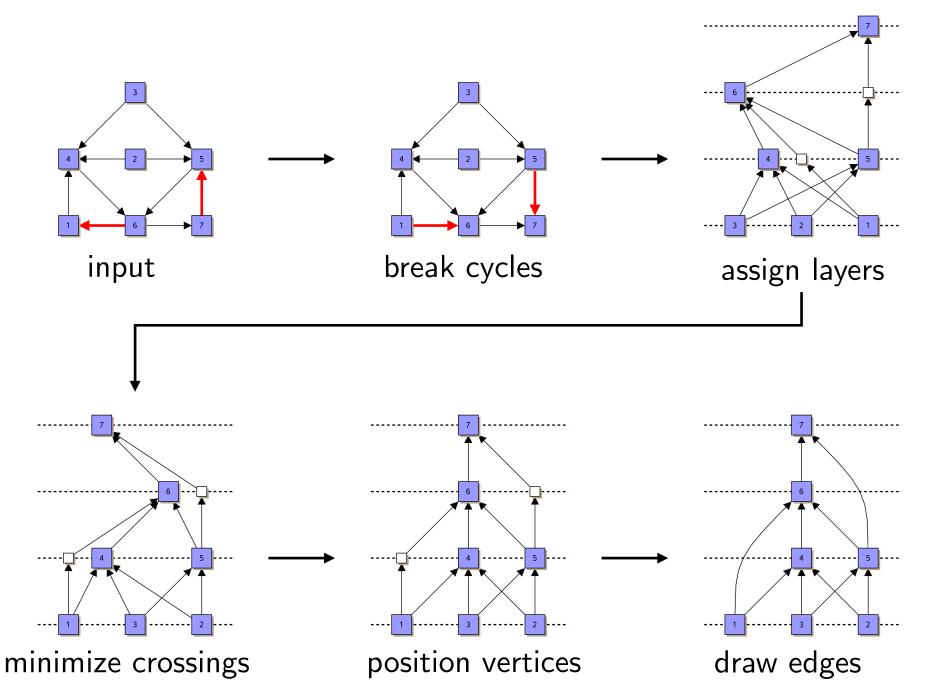
observations 
$$OPT \ge \frac{n}{B}$$
,  $OPT \ge l = longest "path" in the order < define:  $m_i = \# \text{ of } idk \text{ steps of machine } i$ , observe:  $m_i \le l$ 

$$\frac{w.l.o.g.}{B} \cdot (n + \sum_{i=2}^{B} m_i) \le \frac{n}{B} \left(n + (B-1)l\right) = \frac{n}{B} + \left(1 - \frac{n}{B}\right)l$$

$$\le OPT + \left(1 - \frac{n}{B}\right)OPT$$$ 

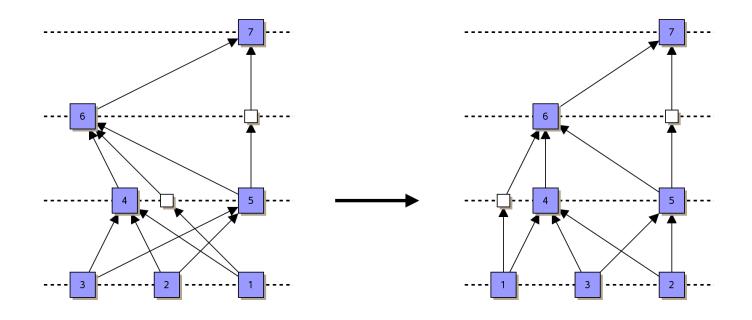
### Overview





# Step 3: Crossing Minimization





What would you do?

### Problem Statement



**Given:** DAG D = (V, A), layer assignment of all vertices

**Find:** Permutation of the vertices on each layer, such that the number of crossing is minimized

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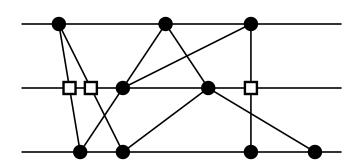


**Given:** DAG D = (V, A), layer assignment of all vertices

**Find:** Permutation of the vertices on each layer, such that the number of crossing is minimized

### **Properties**

- problem is NP-hard even for two layers (BIPARTITE CROSSING NUMBER [Garey, Johnson '83])
- no approaches optimizing several layers simultaneously
- usually iterative optimization for two adjacent layers
  - → insert dummy vertices in each layer crossed by long edges





**Given:** 2-layer graph  $G = (L_1, L_2, E)$  and bijective vertex ordering  $x_1 \colon L_1 \to \{1, 2, \dots, |L_1|\}$ 

**Find:** vertex ordering  $x_2 \colon L_2 \to \{1, 2, \dots, |L_2|\}$ , such that the number of crossing among E is minumum

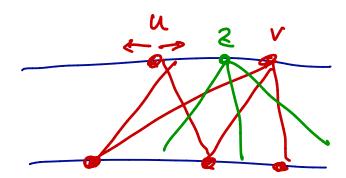


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#### **Observation:**

- lacktriangleright number of crossing in 2-layer drawing of G depends only on vertex orderings, not on the exact positions
- for  $u, v \in L_2$  the number of crossing among incident edges depends only on  $x_2(u) < x_2(v)$  or  $x_2(v) < x_2(u)$





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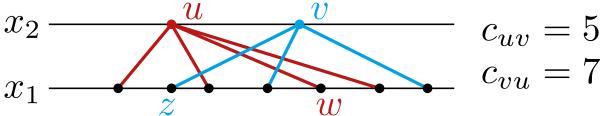
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### **Def:** crossing value

$$c_{uv} := |\{(uw, vz) : w \in N(u), z \in N(v), x_1(z) < x_1(w)\}|$$
 for  $x_2(u) < x_2(v)$ 





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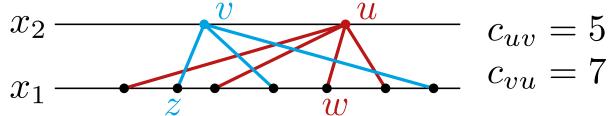
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## Further Properties



**Def:** crossing number of G with orders  $x_1$  and  $x_2$  for  $L_1$  and  $L_2$  is denoted by  $cr(G,x_1,x_2)$ ; for fixed  $x_1$  let  $opt(G,x_1)=\min_{x_2} cr(G,x_1,x_2)$ 

Lemma: The following properties hold:

- $ightharpoonup \operatorname{cr}(G, x_1, x_2) = \sum_{x_2(u) < x_2(v)} c_{uv}$

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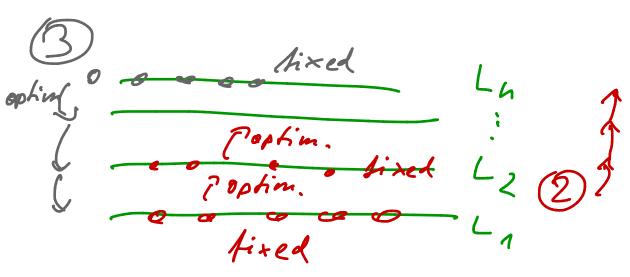
The value of  $cr(G, x_1, x_2)$  can be computed in  $O(n \log n)$  time in a divide-and-conquer algorithm similar to merge sort

## Iterative Crossing Minimization



Let G = (V, E) be a DAG with layers  $L_1, \ldots, L_h$ .

- 1) compute a random ordering  $x_1$  for layer  $L_1$
- 2) for  $i=1,\ldots,h-1$  consider layers  $L_i$  and  $L_{i+1}$  and minimize  $cr(G,x_i,x_{i+1})$  with fixed  $x_i$  ( $\rightarrow$  **OSCM**)
- 3) for i = h 1, ..., 1 consider layers  $L_{i+1}$  and  $L_i$  and minimize  $cr(G, x_i, x_{i+1})$  with fixed  $x_{i+1}$  ( $\rightarrow$  **OSCM**)
- 4) repeat (2) and (3) until no further improvement happens
- 5) possibly repeat steps (1)–(4) with another  $x_1$
- 6) return the best found solution



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**Theorem:** The One-Sided Crossing Minimization (OSCM) problem is NP-hard (Eades, Wormald 1994).

## Algorithms for OSCM

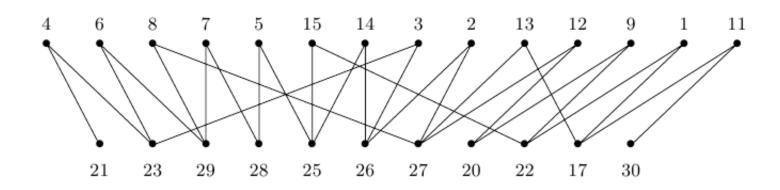


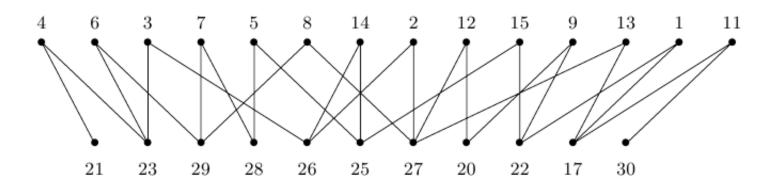
#### **Heuristics:**

- barycenter
- median

#### **Exact:**

ILP model





## Barycenter Heuristic (Sugiyama, Tagawa, Toda 1981)

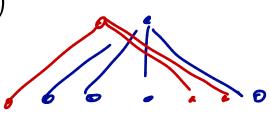


Idea: few crossings when vertices are close to their neighbors

set

$$x_2(u) = \frac{1}{\deg(u)} \sum_{v \in N(u)} x_1(v)$$





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### **Properties:**

- easy to implement
- fast
- usually very good results
- lacksquare finds optimum if  $\operatorname{opt}(G, x_1) = 0$
- lacktriangle may perform  $\Theta(\sqrt{n})$  times worse than optimal for some graphs

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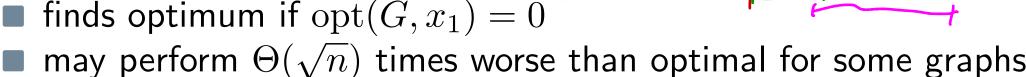
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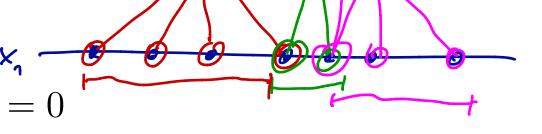
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Why do we find a crossing-free solution if it exists?

### Median Heuristic (Eades, Wormald 1994)



Idea: set position to median of the neighbors

- for vertex  $v \in L_2$  with neighbors  $v_1, \ldots, v_k$  set  $x_2(v) = \operatorname{med}(v) = x_1(v_{\lceil k/2 \rceil})$  or  $x_2(v) = 0$  if  $N(v) = \emptyset$
- if  $x_2(u) = x_2(v)$  and u, v have different degree parity, place the odd degree vertex to the left
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### **Properties:**

- easy to implement
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- mostly good performance
- $\blacksquare$  finds optimum when  $\operatorname{opt}(G, x_1) = 0$
- factor-3 approximation

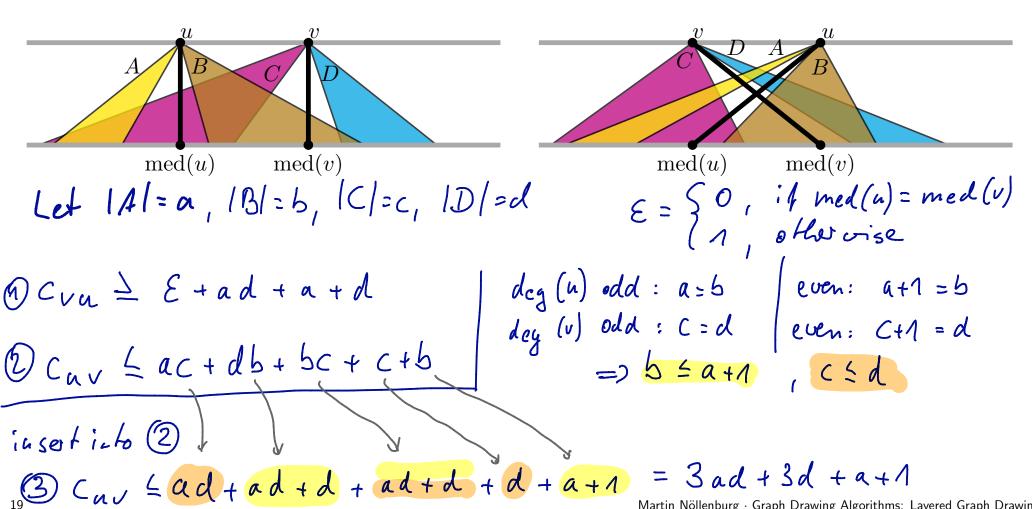


**Theorem:** Let  $G = (L_1, L_2, E)$  be a 2-layer graph and  $x_1$  an arbitrary ordering of  $L_1$ . Then it holds that  $\operatorname{med}(G, x_1) \leq 3 \operatorname{opt}(G, x_1)$ .



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**Proof:** Let  $u, v \in L_2$  with  $x_2(u) < x_2(v)$ 



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**Proof:** (cont'd)

1) 
$$c_{vu} \ge ad + a + d + \varepsilon$$

$$2) c_{uv} \le ac + bc + bd + c + b$$

3) 
$$c_{uv} \le 3ad + 3d + a + 1$$

$$=) 3ad + 3d + a + 1 - 3(ad + a + d + \varepsilon) > 0$$

$$a=0 \Rightarrow deg(u) \leq 2$$

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$$oif deg(u) \leq 1 \qquad Cuv - 3 Cvu \leq 0$$

$$med(u) = med(v)$$

$$(1) a=0, \varepsilon=0$$

$$=) Cvu \stackrel{?}{=} d$$

$$(2)_{a=0,b=1}^{a=0,b=1}$$
  $= 2c+d+1$ 

$$(1) a=0, E=0$$

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$$(2) a=0, b=1$$

$$=) Cuv = 2C+d+1$$

$$Cuv-3Cvu = 3d-1-3d=-1 = 0$$

$$Contradiction$$

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**Proof:** (cont'd)

**Lemma:** The following properties hold:

$$\operatorname{Cor}(G, x_1, x_2) = \sum_{x_2(u) < x_2(v)} c_{uv} \operatorname{opt}(G, x_1) \ge \sum_{u, v \in L_2} \min\{c_{uv}, c_{vu}\}$$

$$med(G_1,x_n) = cr(G_1,x_n,x_2) = \sum_{\substack{x_1(u) \in X_2(u) \\ \text{Comparted}}} c_{uv} \in 35 \text{ min } \{c_{uv},c_{vu}\} = \sum_{\substack{x_2(u) \in X_2(u) \\ \text{Comparted}}} c_{uv} \in 35 \text{ min } \{c_{uv},c_{vu}\} = 3 \text{ opt}(G_1,x_1)$$



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- branch-and-cut technique für DAGs of bounded size
- finds optimal solution
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binary variables  $x_{uv} = \begin{cases} 1 & \text{if } u \text{ left of } v \\ 0 & \text{otherwise} \end{cases}$ 

$$\operatorname{cr}(G, x_1, x_2) = \sum_{u < v} (c_{uv} x_{uv} + c_{vu} (1 - x_{uv})) = \sum_{u < v} (c_{uv} - c_{vu}) x_{uv} + \sum_{u < v} c_{vu}$$



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minimize  $\sum_{u < v} (c_{uv} - c_{vu}) x_{uv}$  s.t.

- $\blacksquare x_{uv} \in \{0,1\}$  for all  $u < v \in L_2$
- transitivity

How to model transitivity in ILP?



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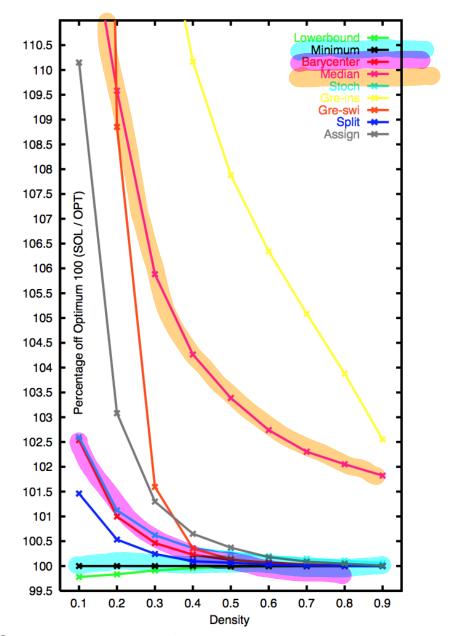
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$$0 \le x_{uv} + x_{vw} - x_{uw} \le 1 \text{ for all } u < v < w \in L_2$$

### Experimental Evaluation (Jünger, Mutzel 1997)



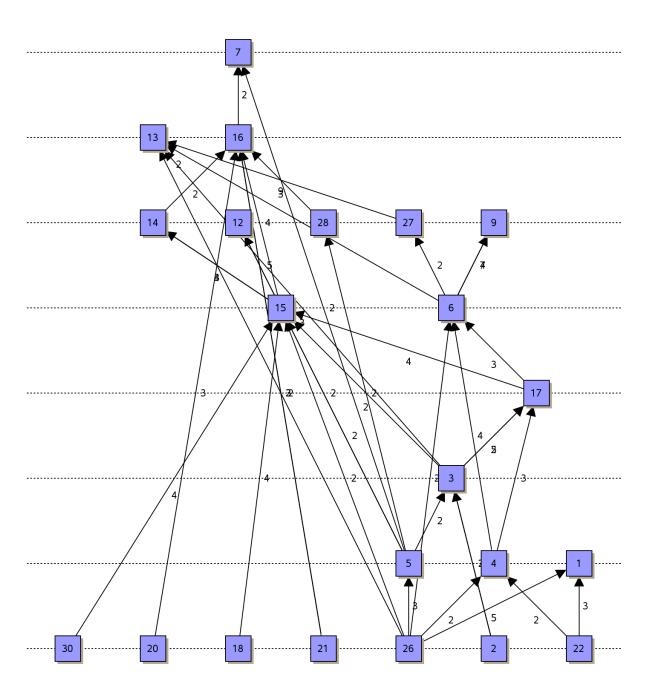


0.8 Minimum 0.75 0.7 Assign 0.65 0.6 lime in Seconds on a SPARC10 0.55 0.5 0.45 0.4 0.35 0.3 0.25 0.2 0.15 0.1 0.05 0.5 0.2 0.4 0.6 0.7 8.0 0.9 Density

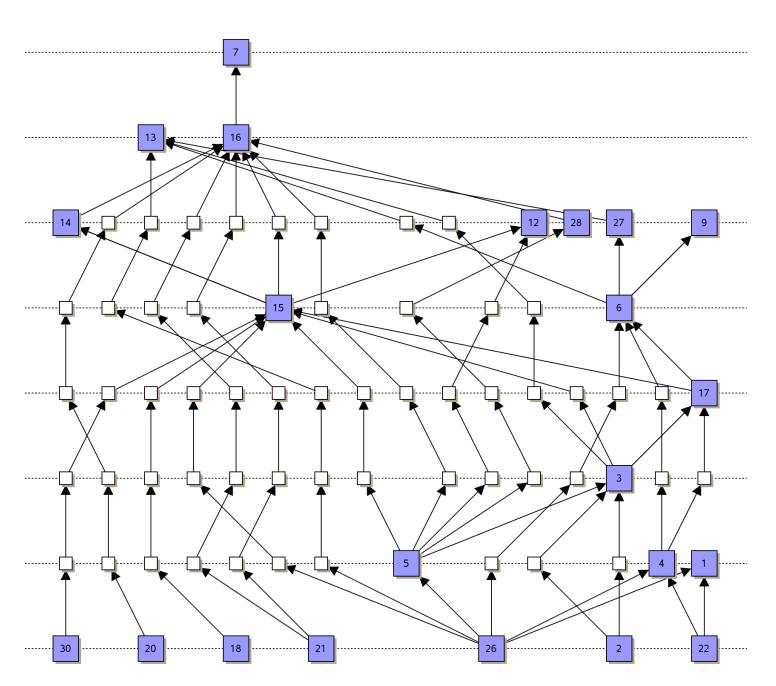
Quality averaged over 100 instances on 20 + 20 vertices bipartite graphs with increasing density

Running times on the same instances

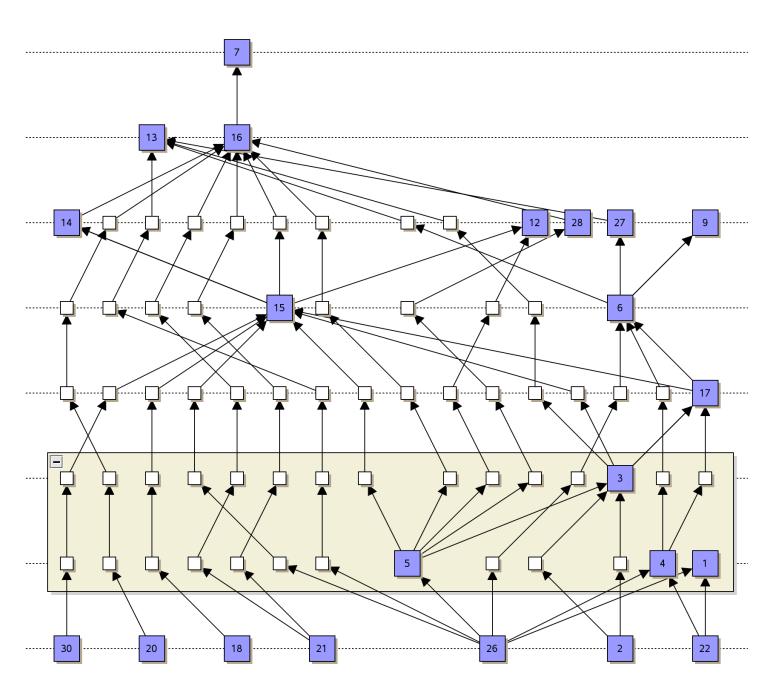




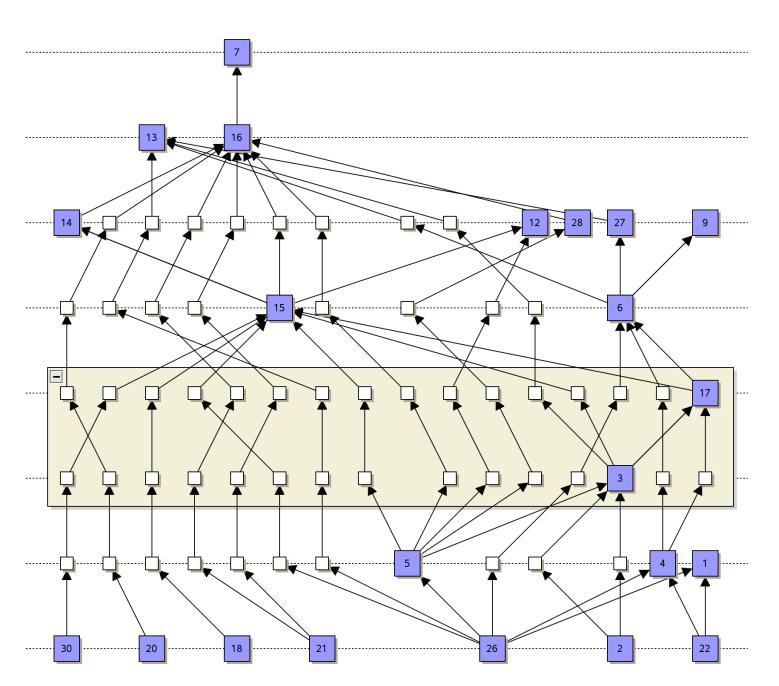




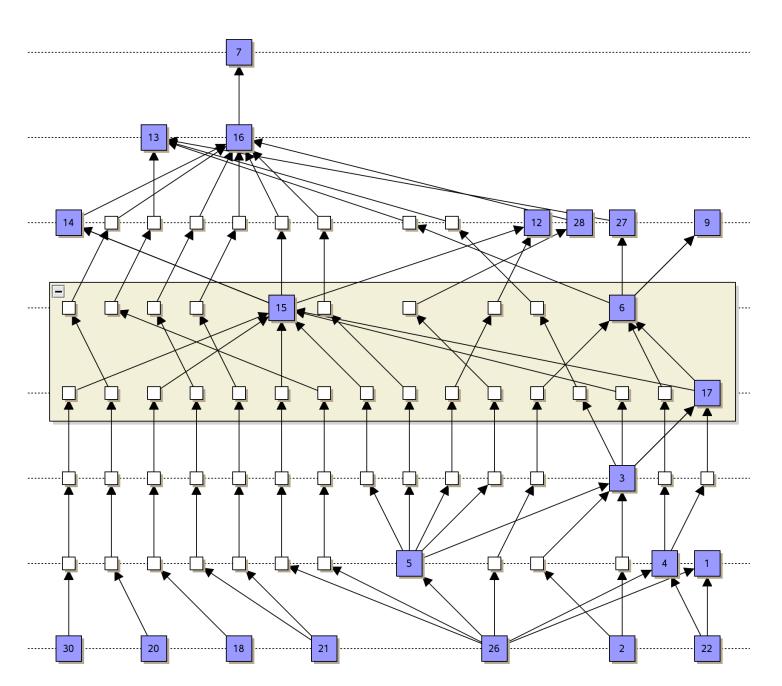




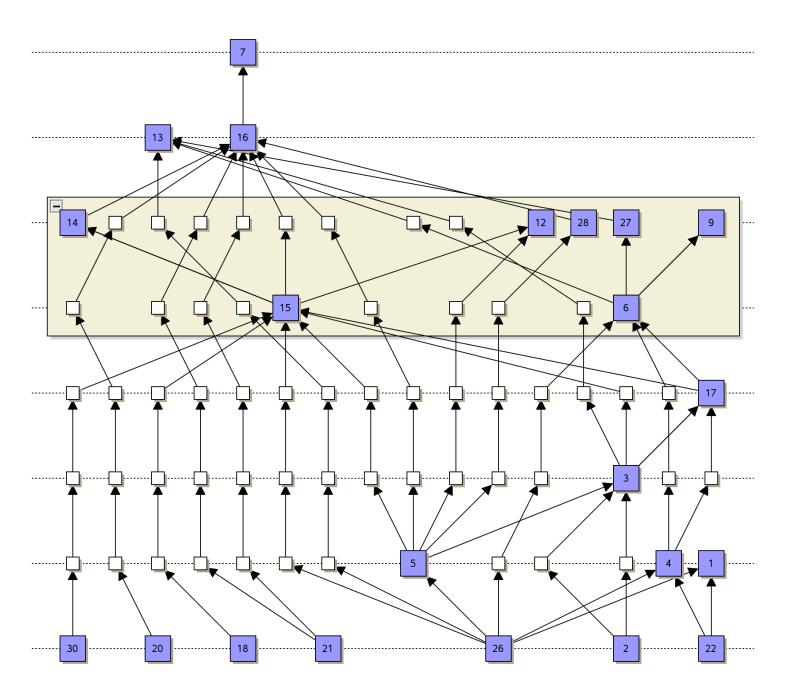




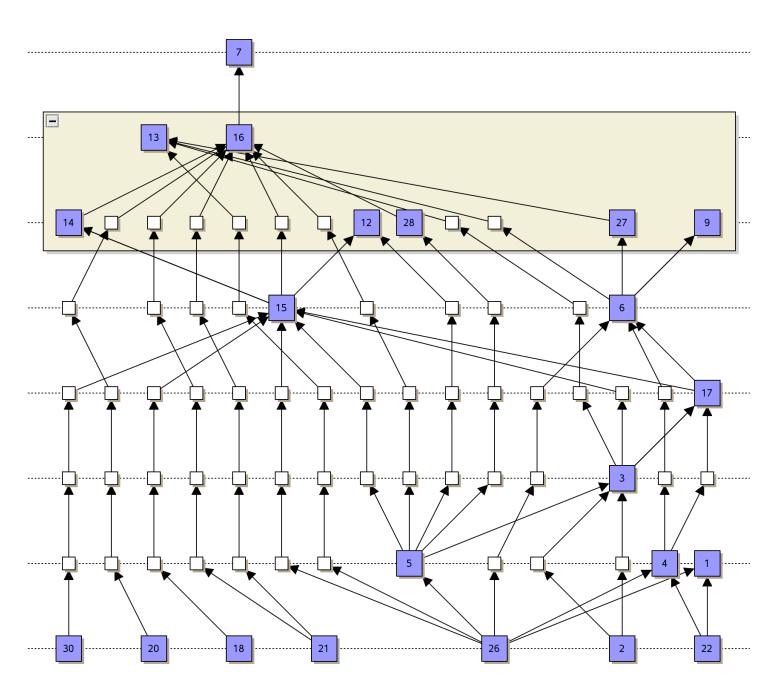




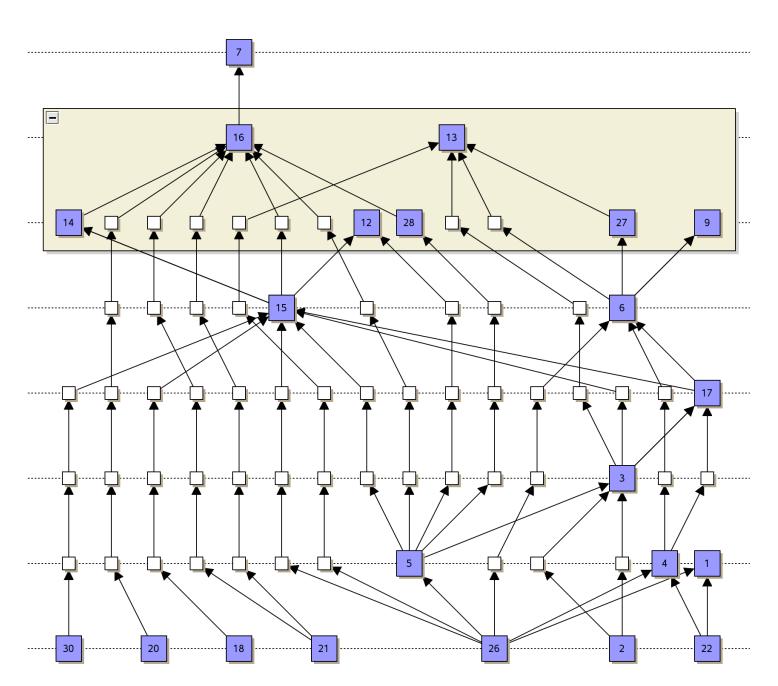




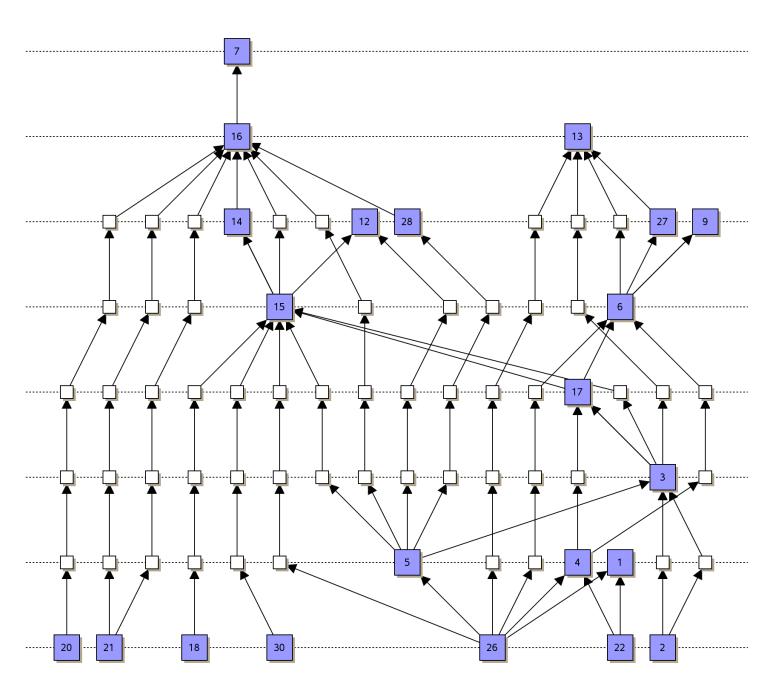






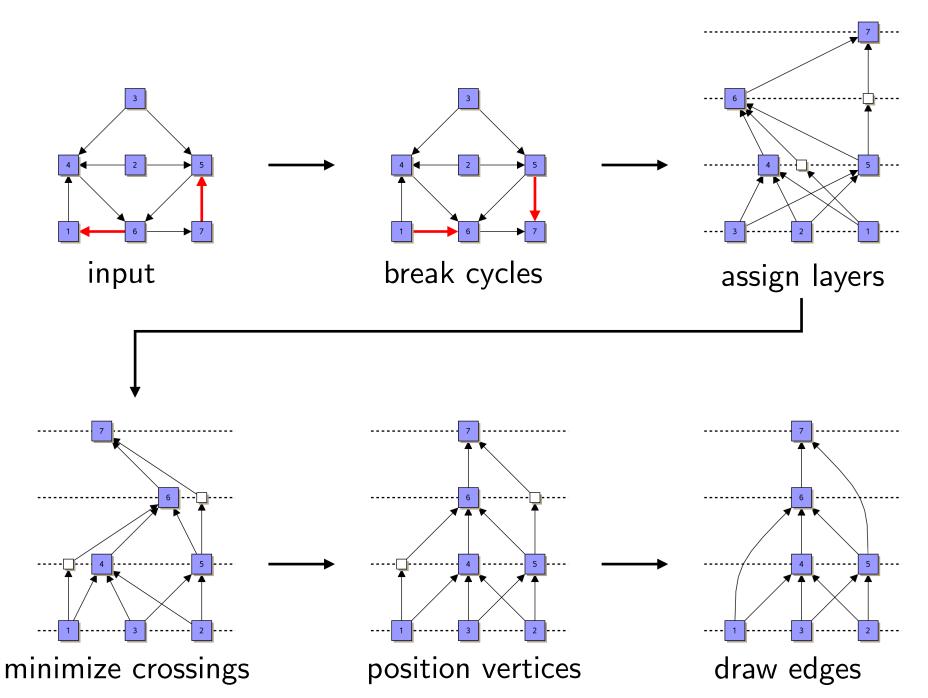






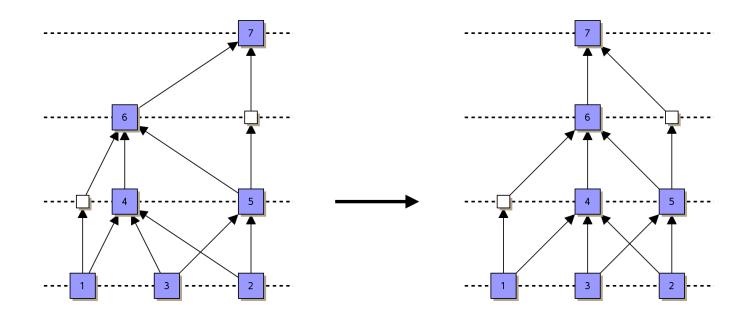
#### Overview





## Step 4: Coordinate Assignment





What are the goals?

## Edge Straightening



**Goal:** minimize deviation from straight line for edges with dummy vertices

Idea: quadratic programming

- let  $p_{uv} = (u, d_1, \dots, d_k, v)$  be a path with k dummy vertices between u and v
- let  $a_i = x(u) + \frac{i}{k+1}(x(v) x(u))$  be the x-coordinate of  $d_i$  assuming straight line
- $\blacksquare$  minimize  $\sum_{i=1}^{k} (x(d_i) a_i)^2$  over all paths
- constraints:  $x(w) x(z) \ge \delta$  for all vertices on the same layer with w right of z ( $\delta$  is a spacing parameter)

## Edge Straightening



**Goal:** minimize deviation from straight line for edges with dummy vertices

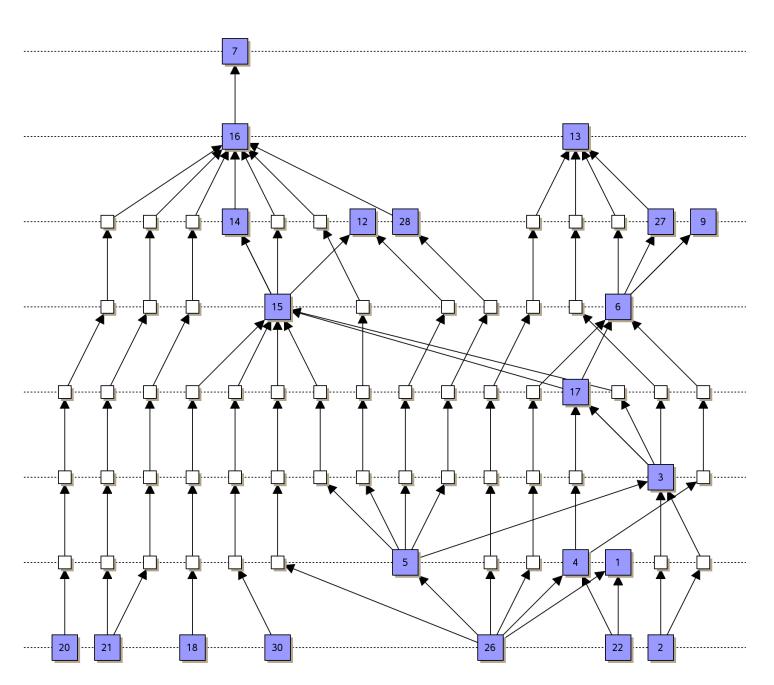
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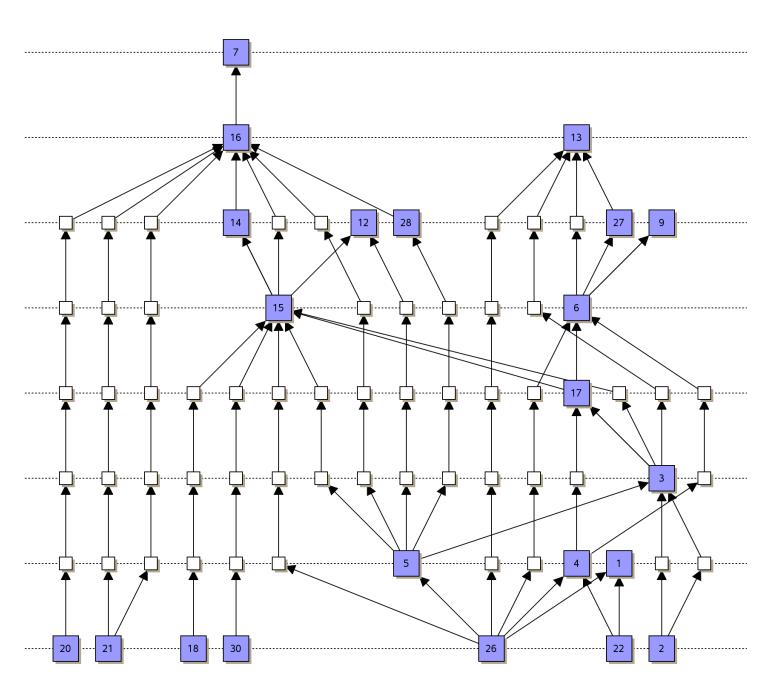
#### **Properties:**

- solving quadratic program often time expensive
- width can grow exponentially
- objective function can be modified for optimizing verticality



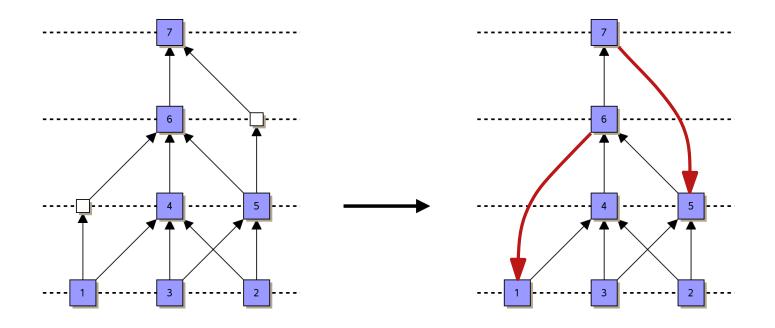






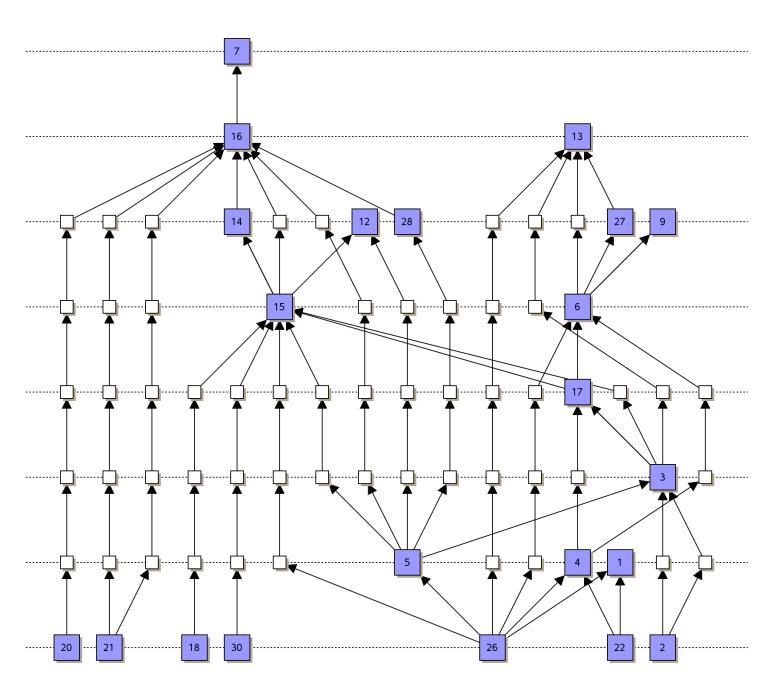
## Step 5: Drawing edges



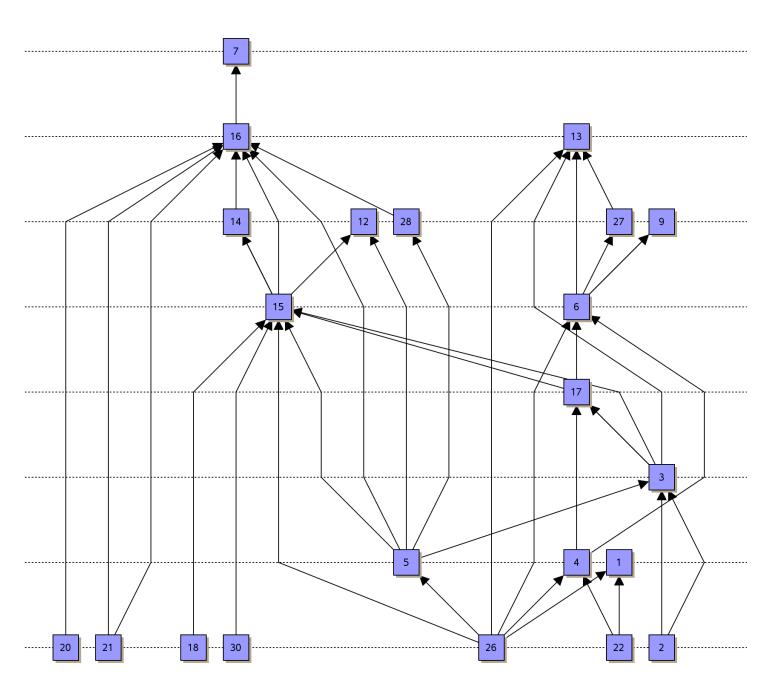


- postprocessing: optionally substitute polylines by Bézier curves
- draw all edges in original orientation

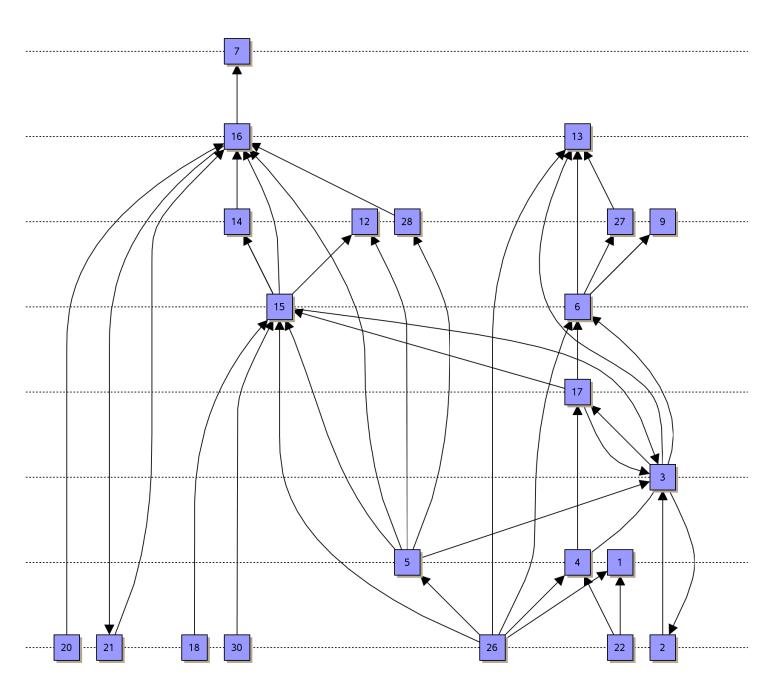






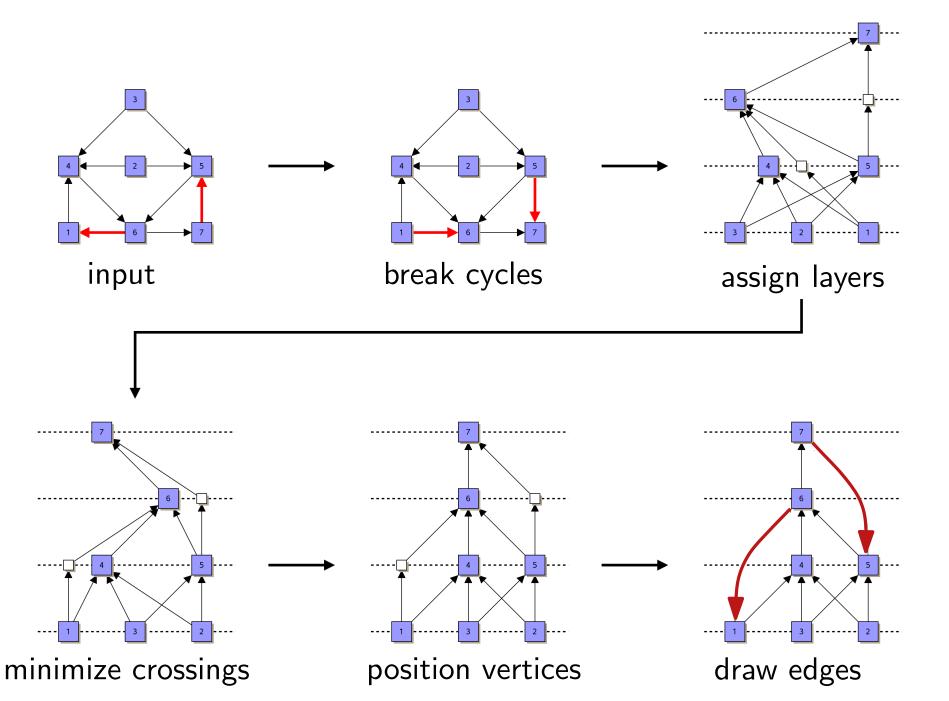






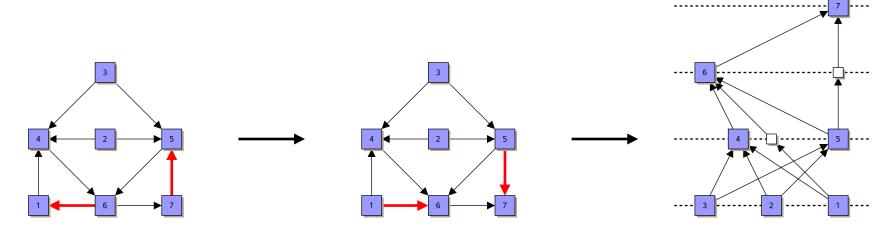
## Summary



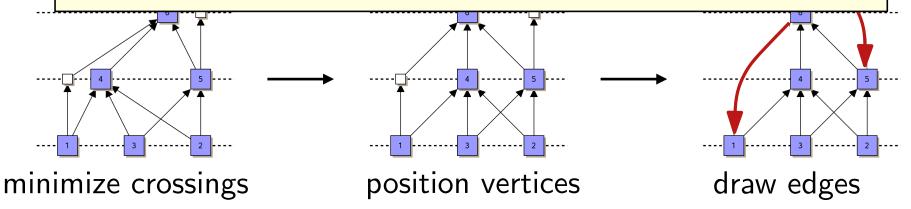


## Summary





- flexible framework for drawing directed graphs
- sequential optimization of various criteria
- decomposition into often NP-hard but still practically feasible subproblems
- implies restricted solution space



#### Announcements



- The class on June 12 is shifted to Monday, June 11 in the same time slot 9:00–11:00 in seminar room 186.
- Student presentations from exercise groups will take place on July 3, 10:00–12:00 and 13:00–15:00. Attendance required.
- Oral exam dates are July 10 and September 18. Registration in TISS will open June 1.