



# Advanced CV methods

## Optical flow 1

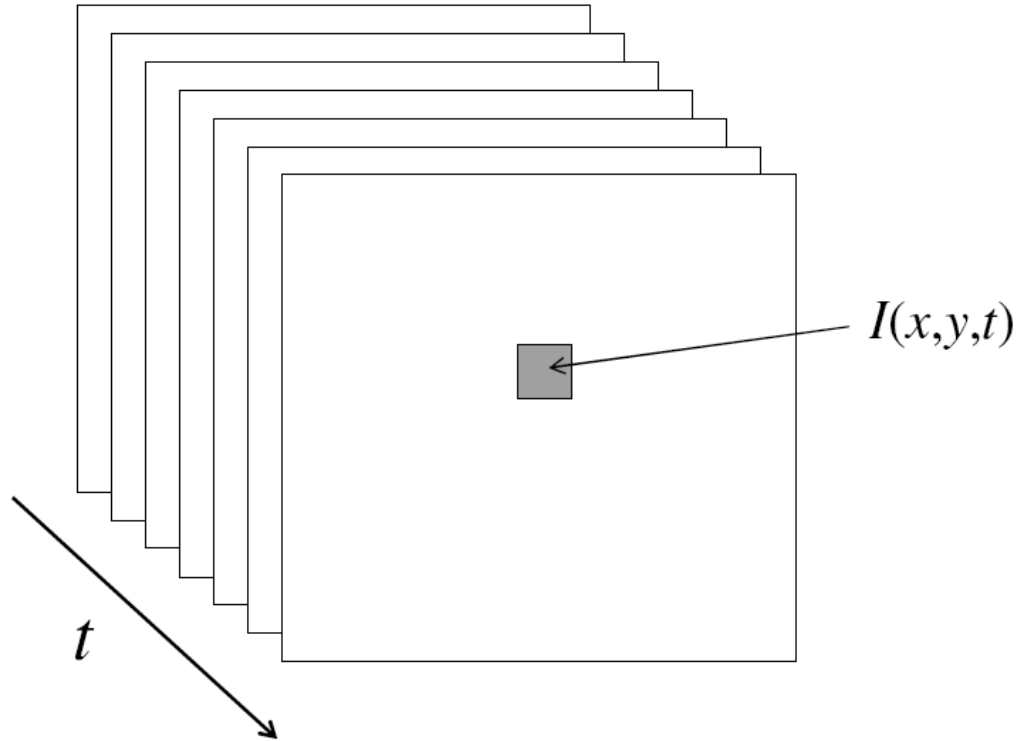
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Fakulteta za računalništvo in informatiko,  
Univerza v Ljubljani

# Video analysis

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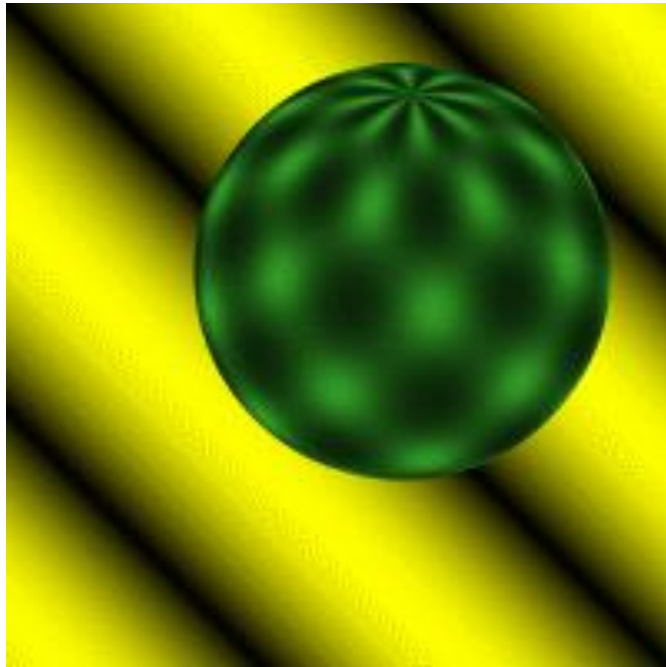
- Video is a sequence of images
- A pixel is located in space  $(x,y)$  and time  $(t)$ :  $I(x, y, t)$



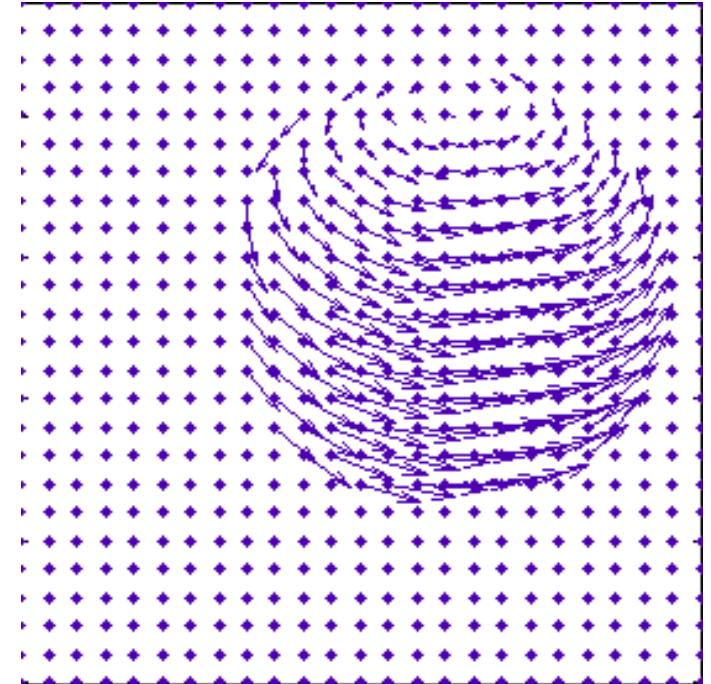
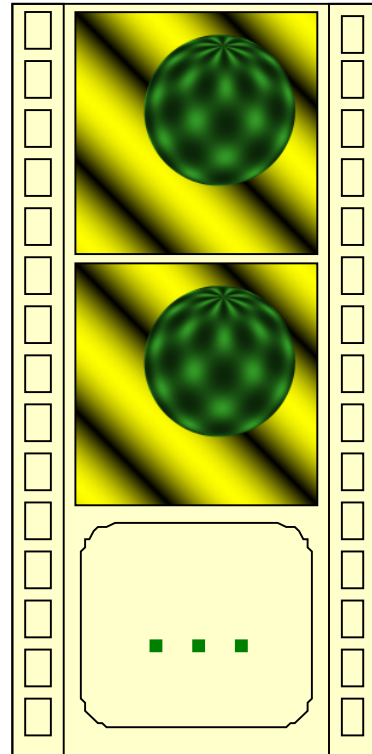
# Motion perception: Motion field

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- Minimum number of images to analyze a video is 2
- Calculate displacements over pair of frames



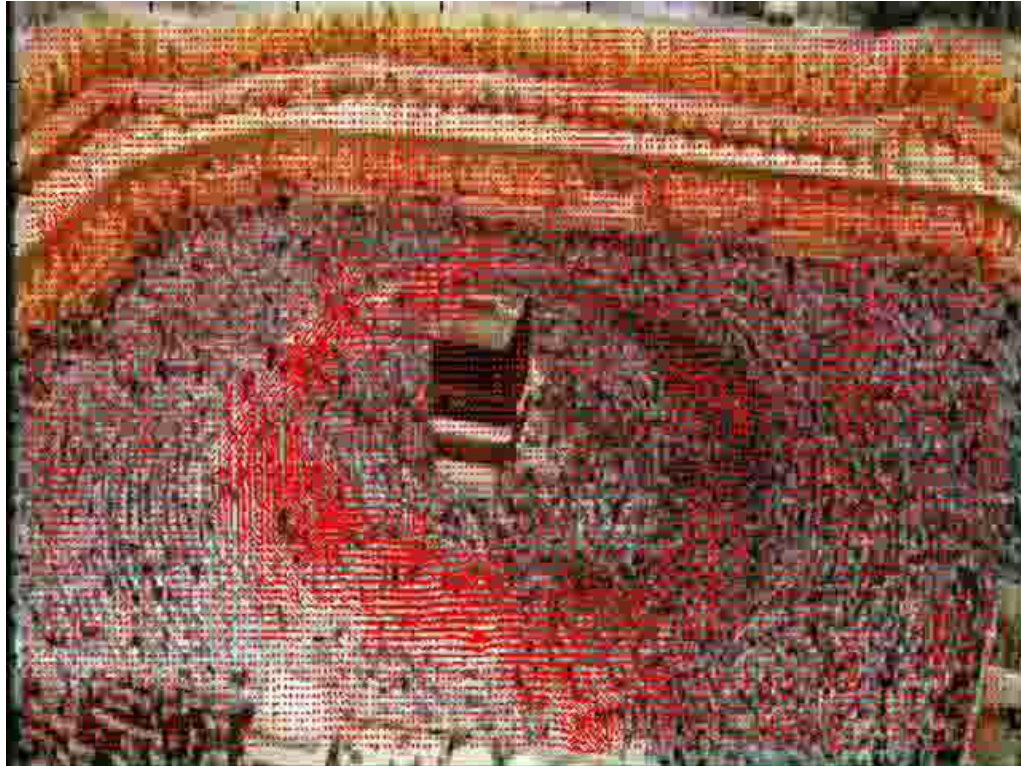
Video



# Motion field examples

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Dense motion field



<http://www.cs.cmu.edu/~saada/Projects/CrowdSegmentation/>

Sparse motion field



<http://www.youtube.com/watch?v=ckVQrwYIjAs>

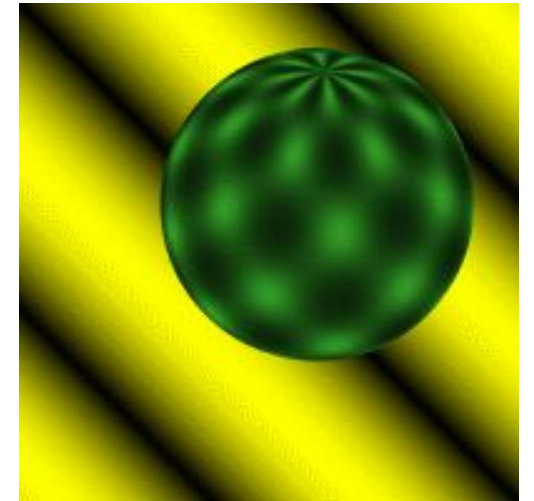
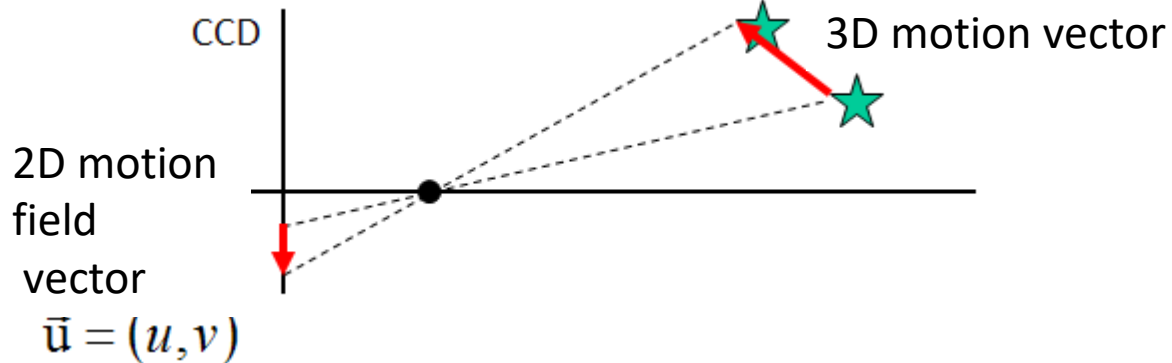
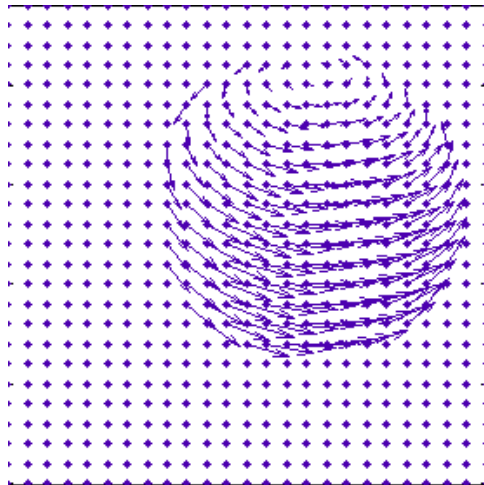


# Application: surveillance, multimedia



# Motion perception: Motion field

- The motion field is a *projection* of 3D motion to image  
[ Horn&Schunck ]

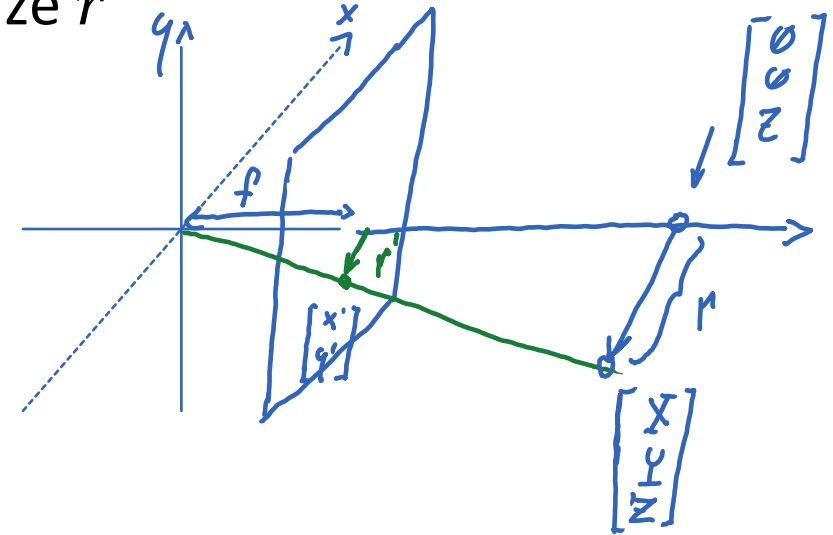


In this case, the 2D motion field vector is equal to optical flow vector

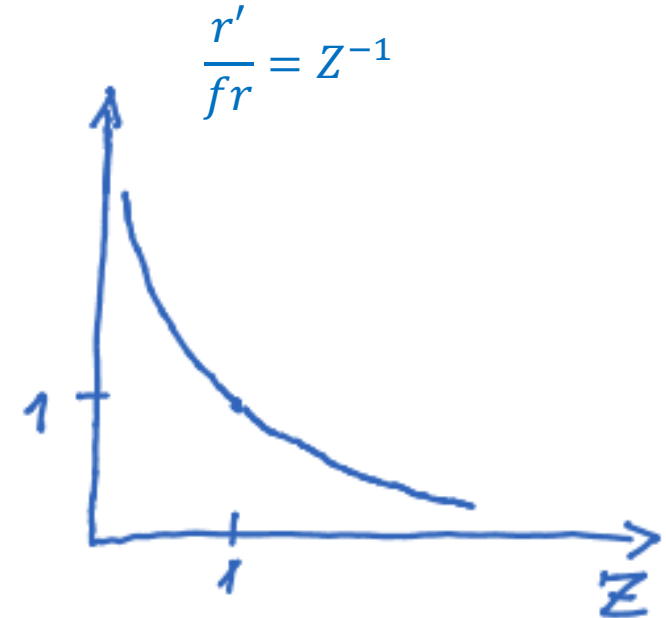
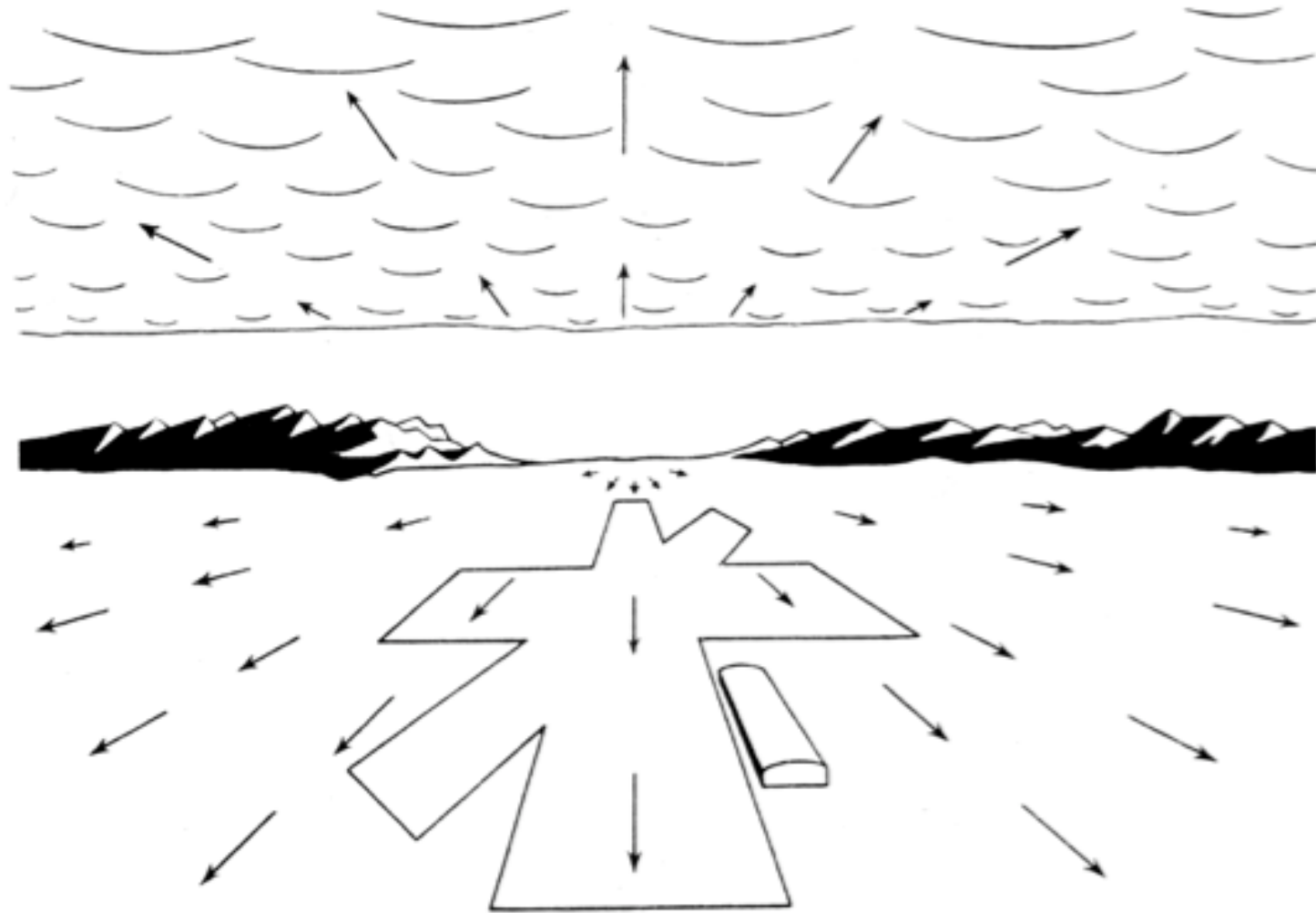
*How do constant motions appear from far away and how do they appear close by?*  
(See your notes)

# Depth and motion parallax

- Relation between 3D motion size  $r$  and its 2D projection size  $r'$
- Assume a parallel translation



# Depth and motion parallax

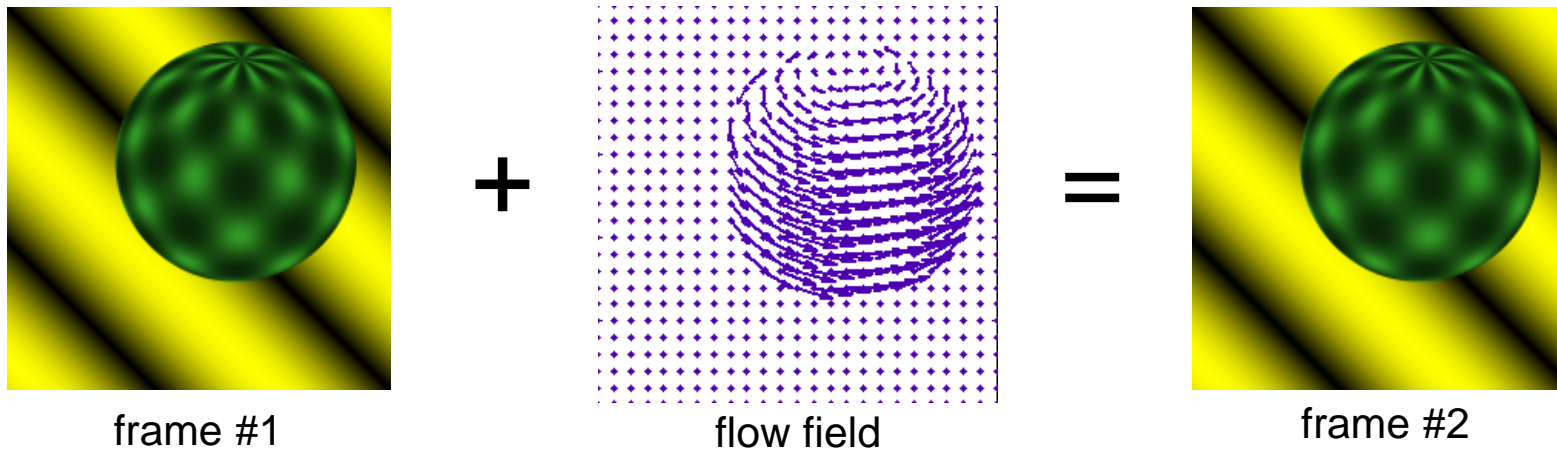


Motion vector length is **inversely proportional** to depth of 3D point.



# Optical flow

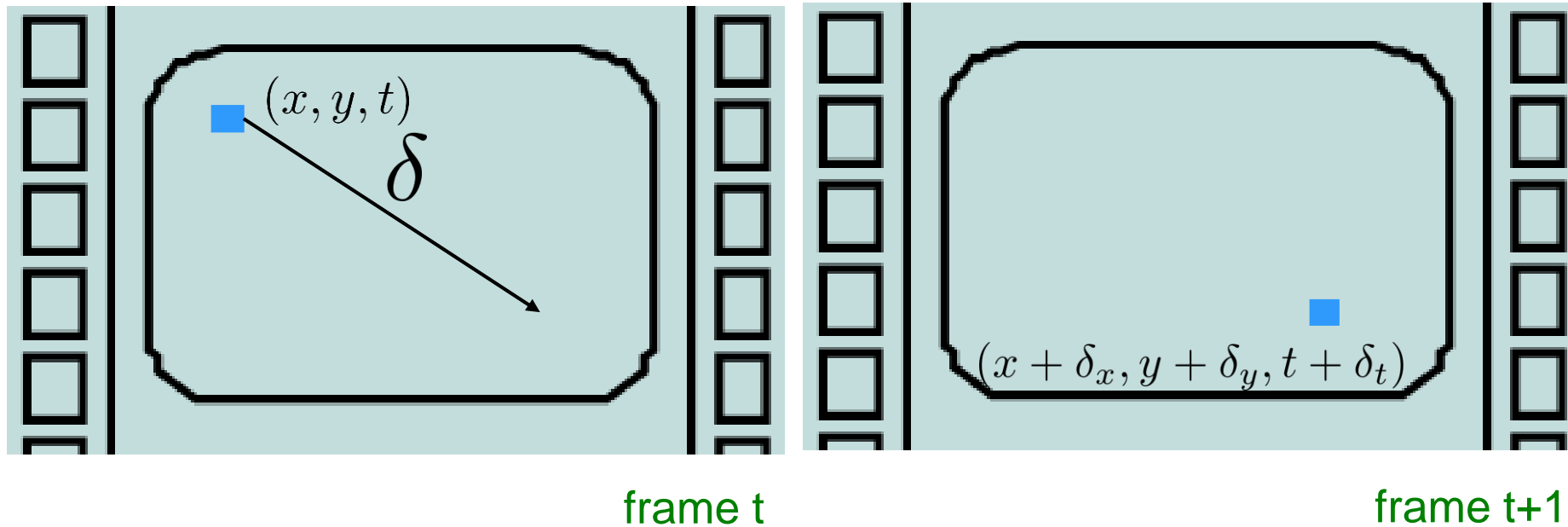
- **Definition:** *optical flow is a velocity field in the image which transforms one image into the next image in a sequence* [Horn&Schunck]



- **Ideally** optical flow **equals** motion field
- **Careful:** the *apparent motion* is not always induced by the actual motion!

# Optical flow: problem definition

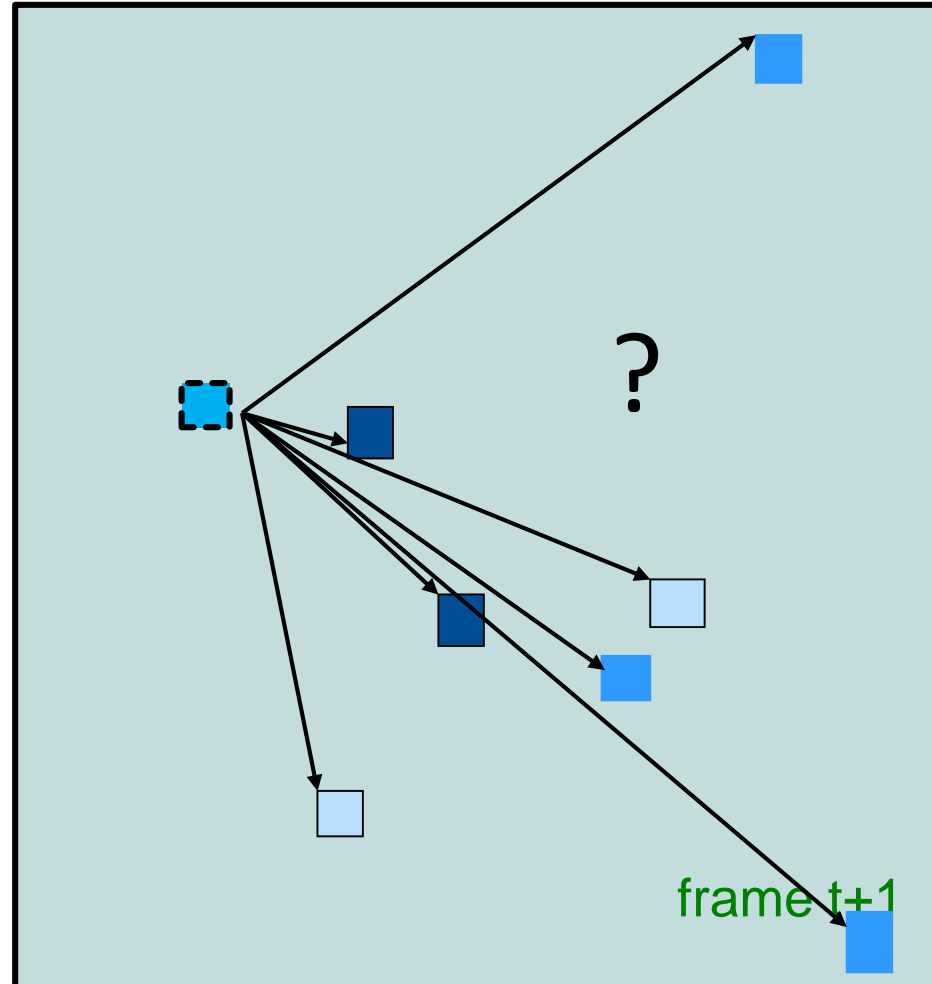
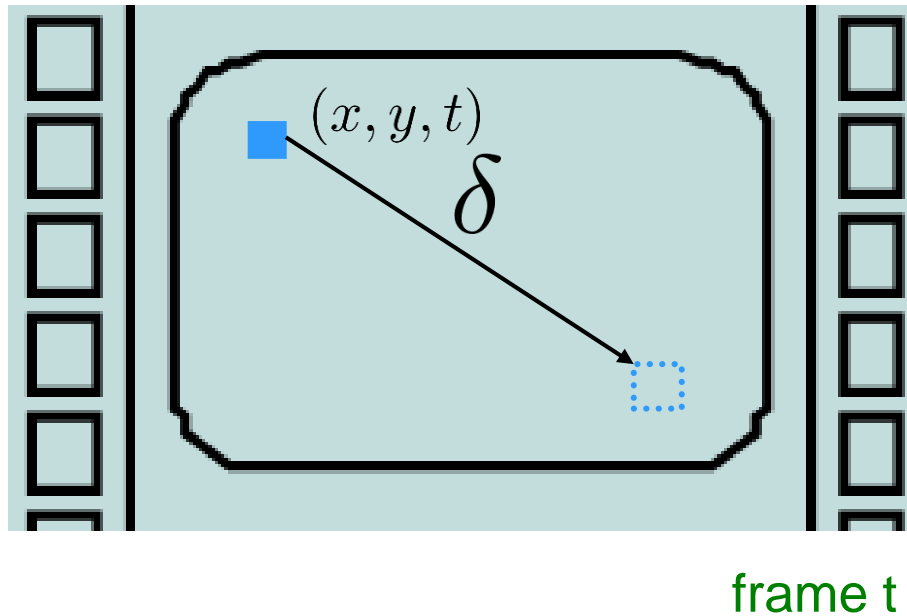
- Optical flow introduced by Horn&Schunk (1981)
- Task: Estimate the pixel motion from time  $t$  to  $t+1$  *given the intensity measurements at pixels*



# Optical flow: problem definition

- How to find the correct displacement?

$$\delta = [\delta_x, \delta_y, \delta_t]^T$$



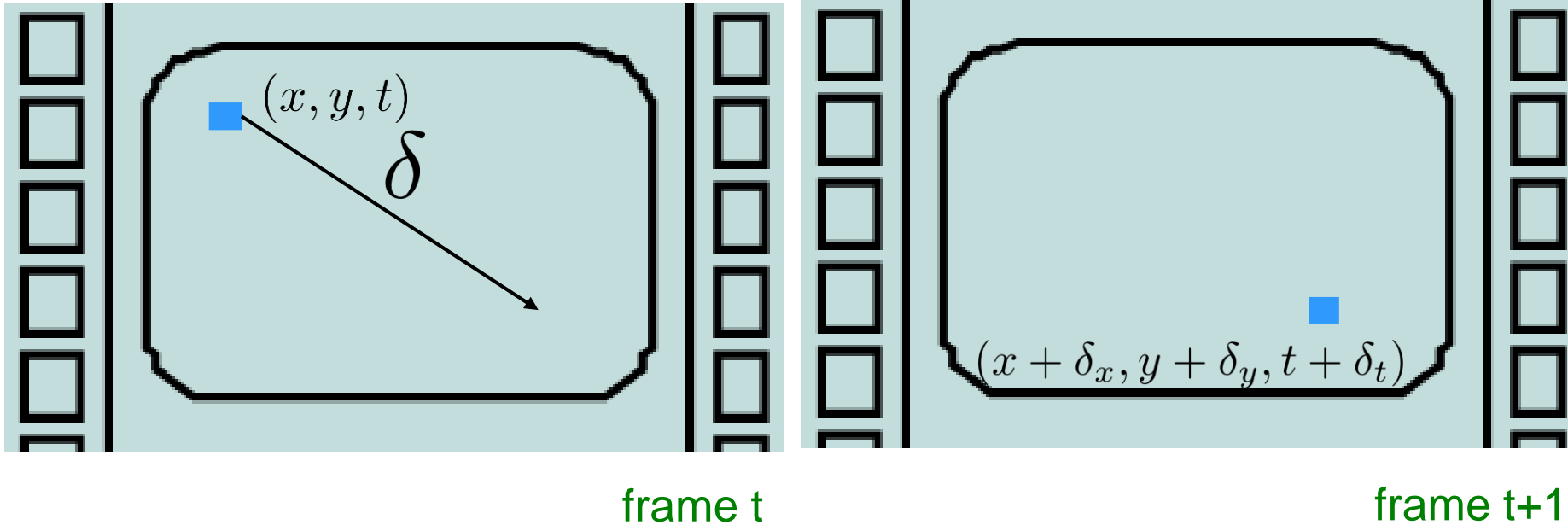
Assumptions required to constrain the space of solutions!

# Assumption 1: Brightness constancy

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- Intensity of a point **does not change** during motion

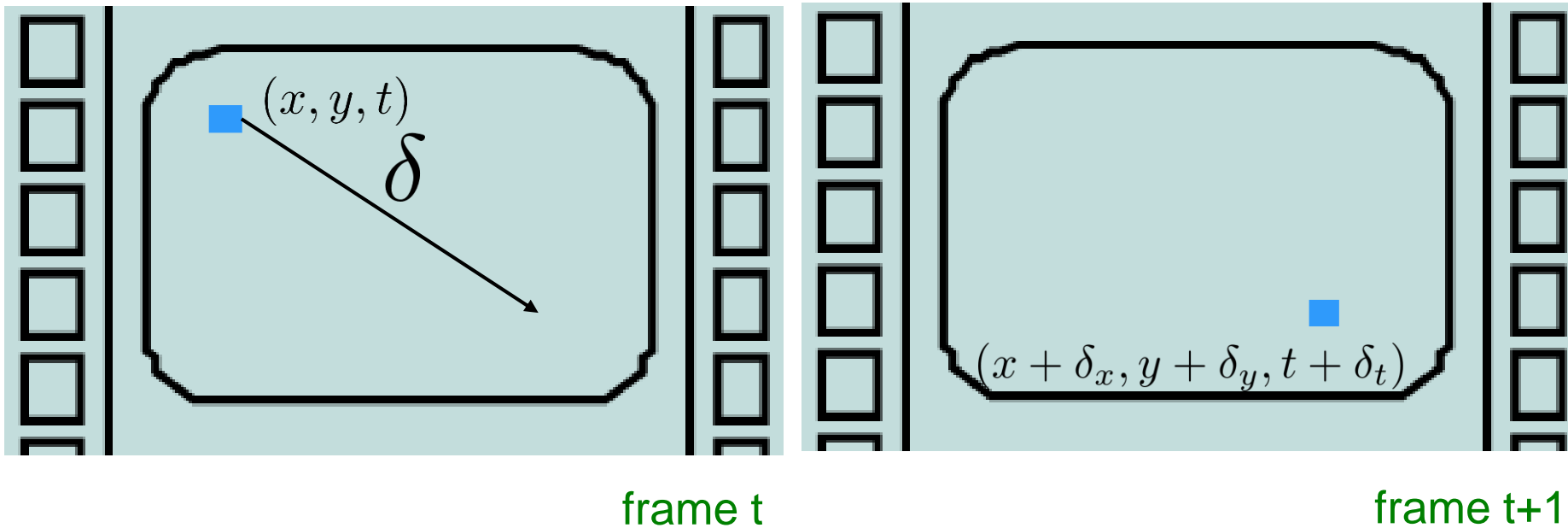
$$\delta = [\delta_x, \delta_y, \delta_t]^T$$



# Assumption 2: Small displacements

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- The displacement vector  $\delta = [\delta_x, \delta_y, \delta_t]^T$  is sufficiently small.
- Actually, assume that the length  $\|[\delta_x, \delta_y]\|$  is small.





# Derivation at single pixel

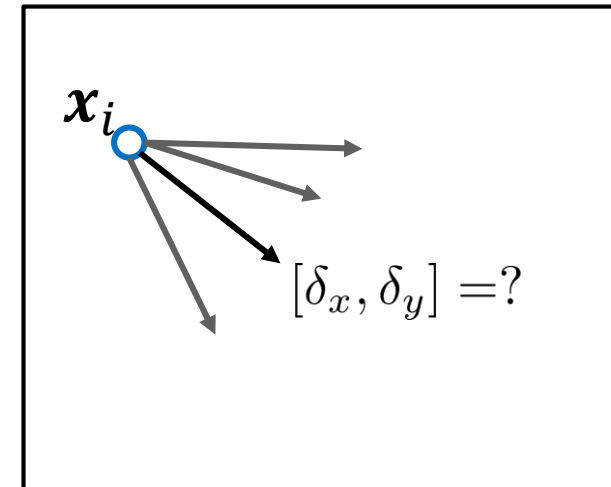
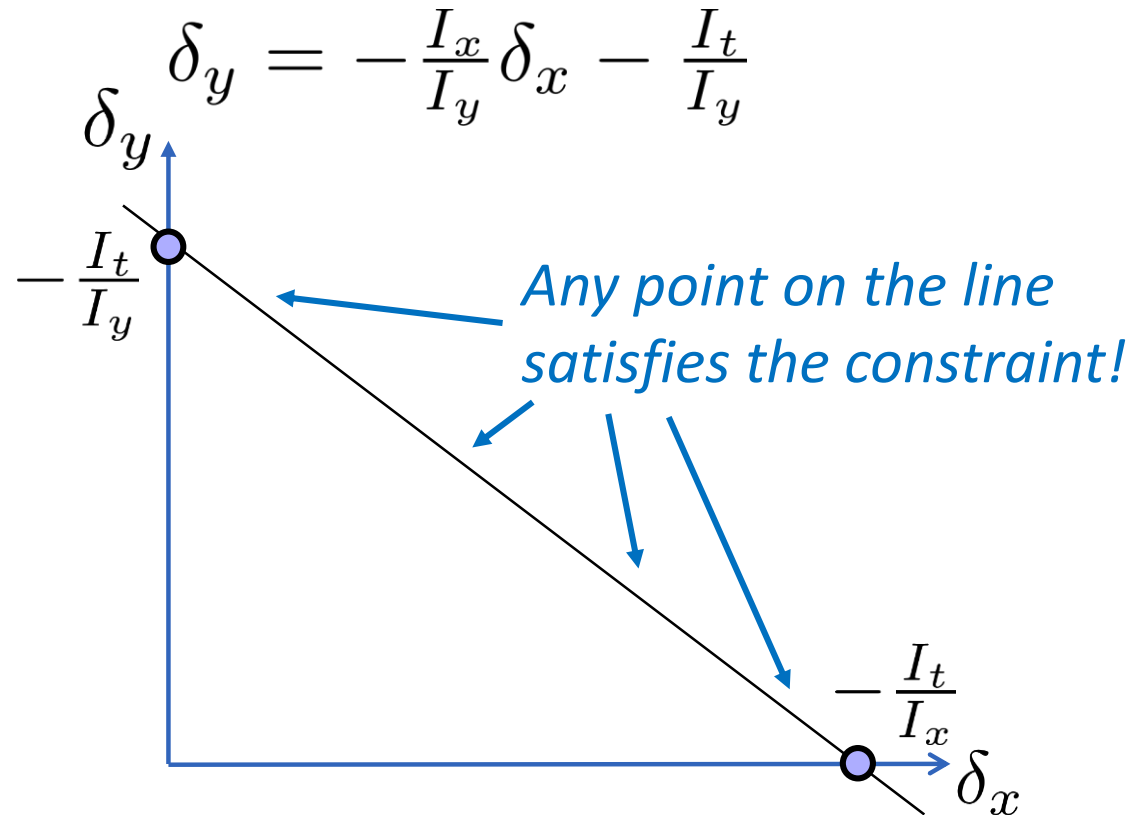
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# Optical flow constraint equation

- Optical flow constraint where we set  $\delta_t = 1$ :

$$I_x(\mathbf{x}_i)\delta_x + I_y(\mathbf{x}_i)\delta_y + I_t(\mathbf{x}_i) = 0$$

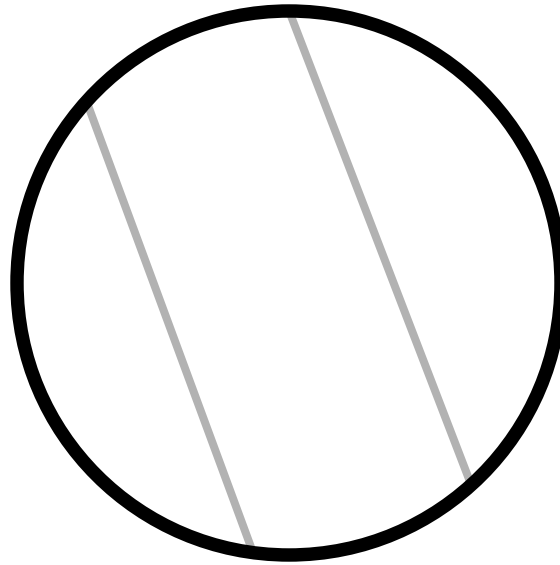
- This is a line equation with parameters  $(\delta_x, \delta_y)$ :



# This is the aperture problem

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Component parallel to the edge unknown...

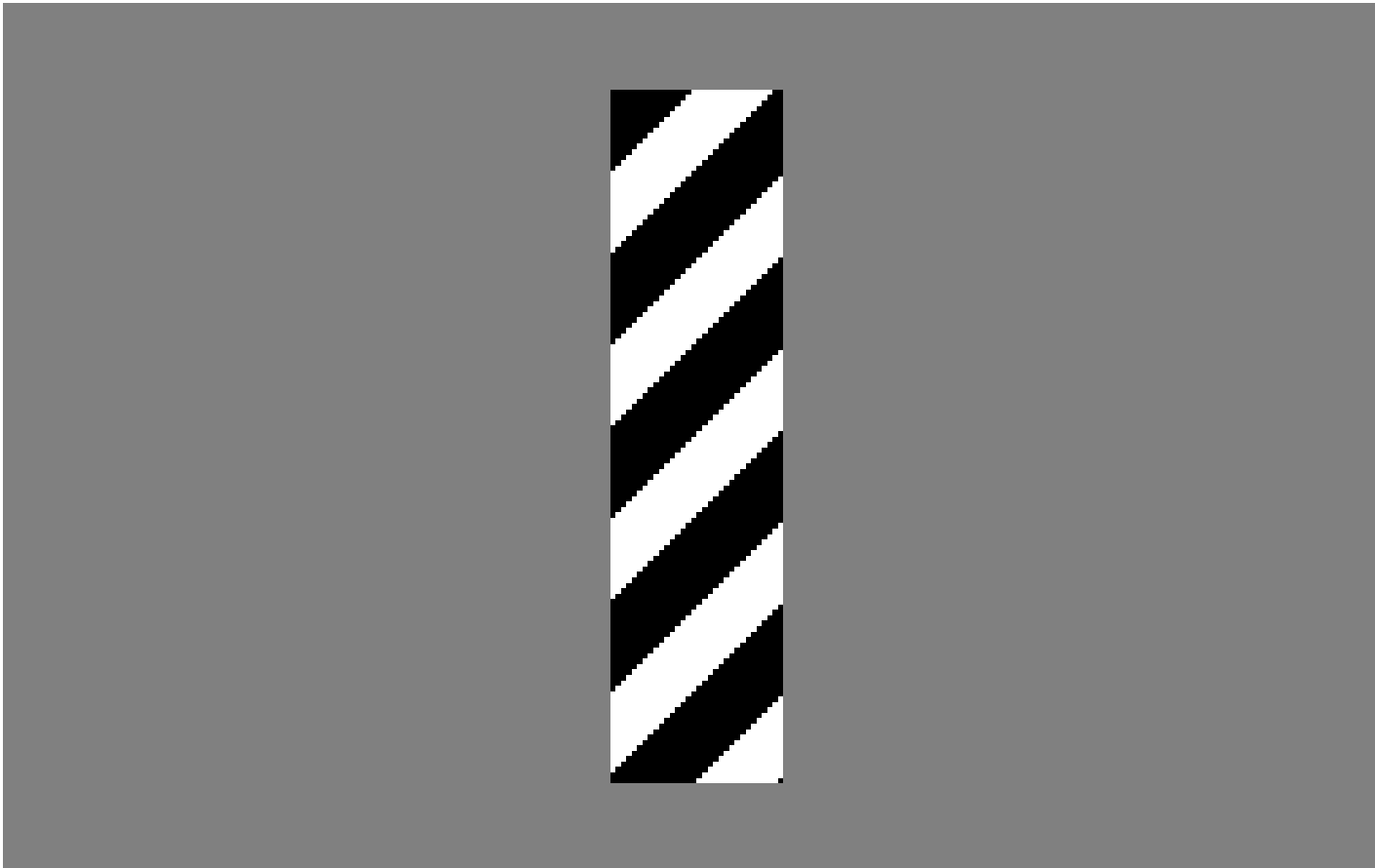


Percieved motion

# Barber poll illusion

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The aperture problem!



[http://www.sandlotscience.com/Ambiguous/Barberpole\\_Illusion.htm](http://www.sandlotscience.com/Ambiguous/Barberpole_Illusion.htm)

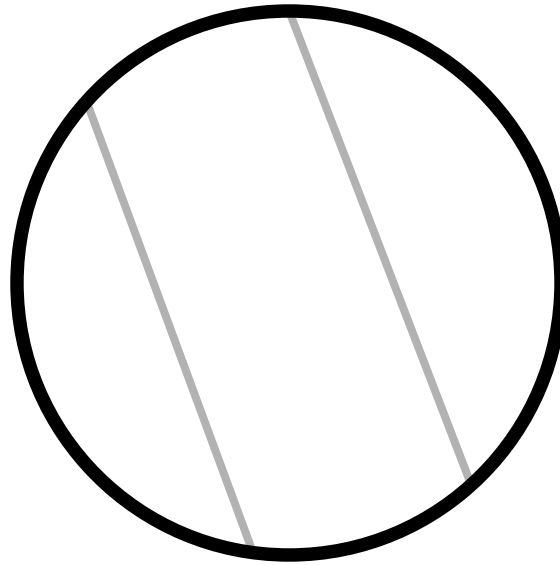


[http://en.wikipedia.org/wiki/Barber's\\_pole](http://en.wikipedia.org/wiki/Barber's_pole)

# This is the aperture problem

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The motion component parallel to the edge is unknown...



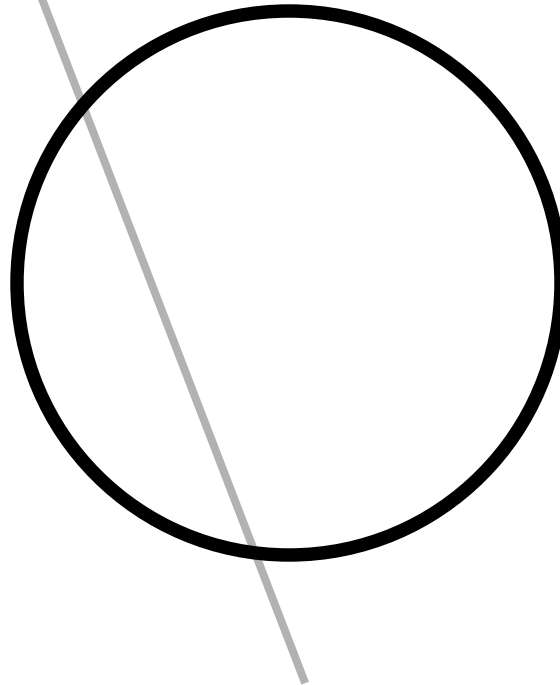
Perceived motion



# This is the aperture problem

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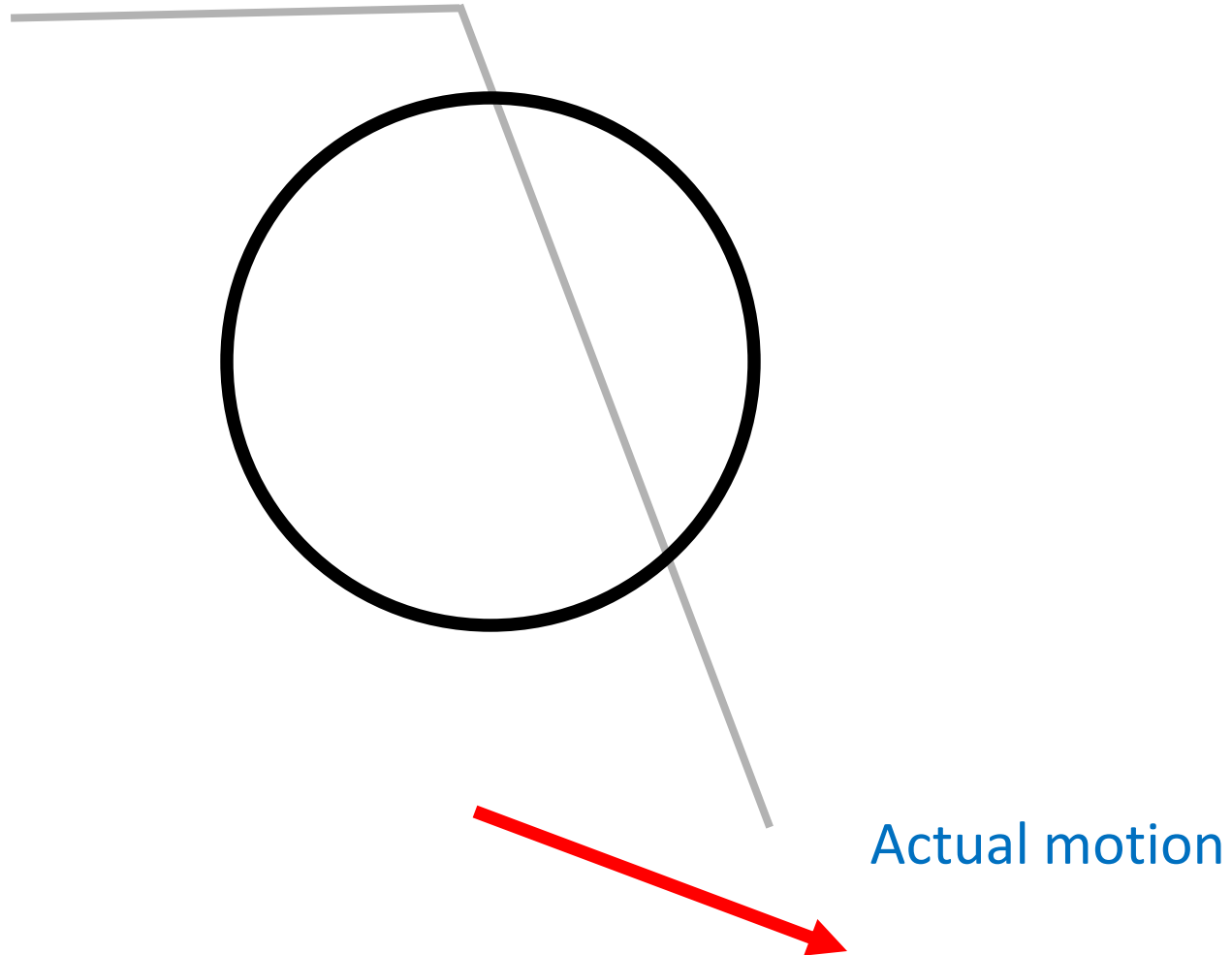
Component parallel to the edge unknown...



# This is the aperture problem

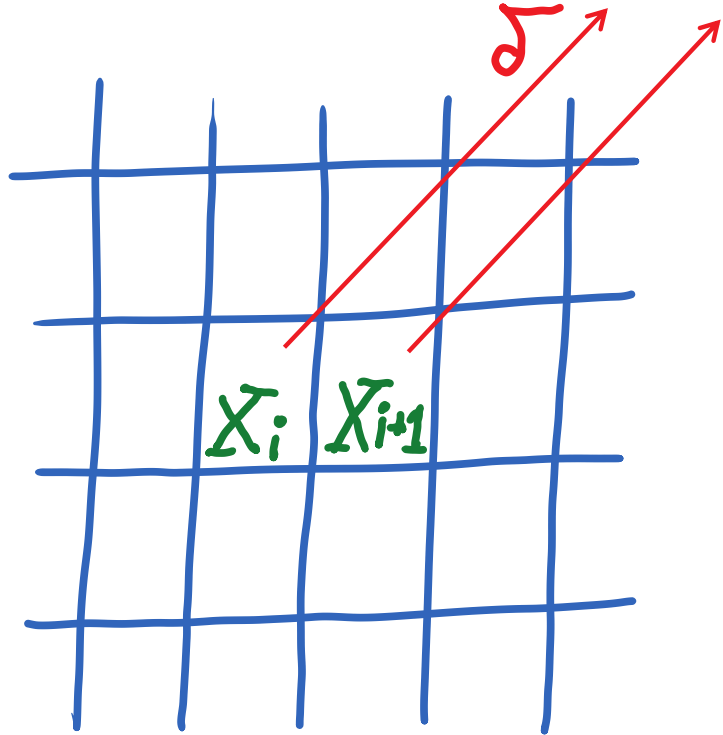
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Component parallel to the edge unknown...



# Solving the aperture problem

- More equations per pixel are required!
- **Assumption 3: Local motion coherency** constraint -- *assume that neighboring pixels have equal displacements.*



$$\delta = [\delta_x, \delta_y, \delta_t]^T$$

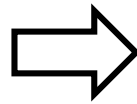
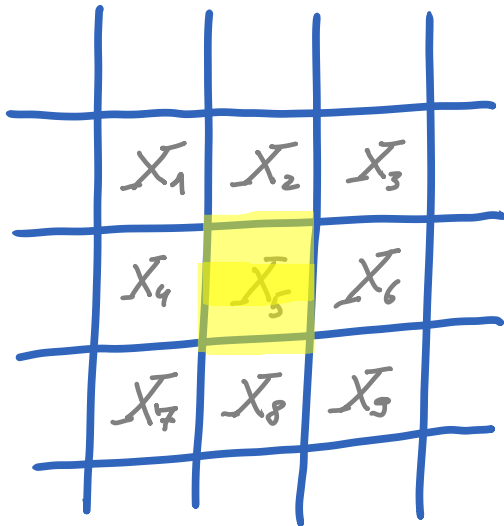
Further assume that frames are sampled discrete timesteps, i.e.,  $\delta_t = 1 \forall t$ .

# Solving the aperture problem

- $\mathbf{x}_i$  ...  $i$ -th pixel coordinates; discrete time-steps ( $\delta_t = 1$ )

$$I_x(\mathbf{x}_i)\delta_x + I_y(\mathbf{x}_i)\delta_y = -I_t(\mathbf{x}_i)1$$

- Consider a small  $3 \times 3$  window:



$$I_x(\mathbf{x}_1)\delta_x + I_y(\mathbf{x}_1)\delta_y = -I_t(\mathbf{x}_1)$$

$$I_x(\mathbf{x}_2)\delta_x + I_y(\mathbf{x}_2)\delta_y = -I_t(\mathbf{x}_2)$$

...

$$I_x(\mathbf{x}_9)\delta_x + I_y(\mathbf{x}_9)\delta_y = -I_t(\mathbf{x}_9)$$

# Solve the aperture problem

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- Rewrite into a matrix form:

$$I_x(\mathbf{x}_1)\delta_x + I_y(\mathbf{x}_1)\delta_y = -I_t(\mathbf{x}_1)$$

$$I_x(\mathbf{x}_2)\delta_x + I_y(\mathbf{x}_2)\delta_y = -I_t(\mathbf{x}_2)$$

...

$$I_x(\mathbf{x}_9)\delta_x + I_y(\mathbf{x}_9)\delta_y = -I_t(\mathbf{x}_9)$$

---

$$\begin{bmatrix} I_x(\mathbf{x}_1) & I_y(\mathbf{x}_1) \\ I_x(\mathbf{x}_2) & I_y(\mathbf{x}_2) \\ \vdots & \vdots \\ I_x(\mathbf{x}_9) & I_y(\mathbf{x}_9) \end{bmatrix}_{9 \times 2} \begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix}_{2 \times 1} = - \begin{bmatrix} I_t(\mathbf{x}_1) \\ I_t(\mathbf{x}_2) \\ \vdots \\ I_t(\mathbf{x}_9) \end{bmatrix}_{9 \times 1}$$

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$$\mathbf{A} \mathbf{d} = \mathbf{b}$$



# Solve the aperture problem

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Problem: We have more equations than unknowns

$$\mathbf{A}\mathbf{d} = \mathbf{b} \longrightarrow \tilde{\mathbf{d}} = \arg \min_{\mathbf{d}} \|\mathbf{A}\mathbf{d} - \mathbf{b}\|^2$$

Least-squares solution by pseudo inverse!

$$\underbrace{\mathbf{A}^T \mathbf{A}}_{\text{SQUARE}} \mathbf{d} = \mathbf{A}^T \mathbf{b} \quad / \quad (\mathbf{A}^T \mathbf{A})^{-1}$$

$$\mathbf{d} = (\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T \mathbf{b}$$

# Structure of the solution

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- In principle one could compute  $\mathbf{d} = (\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T \mathbf{b}$  at each pixel.
- But this can be done much **more efficiently**!
- Possible to work out the equations independently for  $\delta_x$  and  $\delta_y$  at each pixel!
- START HERE:

We can show that  $\mathbf{A}^T \mathbf{A} \mathbf{d} = \mathbf{A}^T \mathbf{b}$  equals to (show for *home exercise!*):

$$\begin{bmatrix} \sum_{i=1:9} I_x(\mathbf{x}_i)^2 & \sum_{i=1:9} I_x(\mathbf{x}_i) I_y(\mathbf{x}_i) \\ \sum_{i=1:9} I_x(\mathbf{x}_i) I_y(\mathbf{x}_i) & \sum_{i=1:9} I_y(\mathbf{x}_i)^2 \end{bmatrix} \begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix} = - \begin{bmatrix} \sum_{i=1:9} I_x(\mathbf{x}_i) I_t(\mathbf{x}_i) \\ \sum_{i=1:9} I_y(\mathbf{x}_i) I_t(\mathbf{x}_i) \end{bmatrix}$$

# Solve the aperture problem

---

$$\begin{bmatrix} \sum_{i=1:9} I_x(\mathbf{x}_i)^2 & \sum_{i=1:9} I_x(\mathbf{x}_i)I_y(\mathbf{x}_i) \\ \sum_{i=1:9} I_x(\mathbf{x}_i)I_y(\mathbf{x}_i) & \sum_{i=1:9} I_y(\mathbf{x}_i)^2 \end{bmatrix} \begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix} = - \begin{bmatrix} \sum_{i=1:9} I_x(\mathbf{x}_i)I_t(\mathbf{x}_i) \\ \sum_{i=1:9} I_y(\mathbf{x}_i)I_t(\mathbf{x}_i) \end{bmatrix}$$

- We will drop  $\mathbf{x}_i$  and index  $i$  in interest of **compact notation**:

$$\begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix} \begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix} = - \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

# Solve the aperture problem

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- Compact notation:

$$\begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix} \begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix} = - \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

- Now invert:

$$\begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix} = - \begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix}^{-1} \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

# Derive the inverse yourself

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- Equation from previous slide:

$$\begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix} = - \begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix}^{-1} \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

- Recall the matrix inversion rule:

$$A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}, \quad A^{-1} = \frac{1}{|A|} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix} = \frac{1}{ad - bc} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$$

$$\begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix} = ?$$



# Now write the solution of $d$

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- Applying the inversion rule:

$$\begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix} = \frac{1}{(\sum I_x^2)(\sum I_y^2) - (\sum I_x I_y)^2} \begin{bmatrix} \sum I_y^2 & -\sum I_x I_y \\ -\sum I_x I_y & \sum I_x^2 \end{bmatrix} \begin{bmatrix} -\sum I_x I_t \\ -\sum I_y I_t \end{bmatrix}$$

- Results in the following solution:

$$\delta_x = \frac{-(\sum I_y^2) \sum I_x I_t + (\sum I_x I_y) \sum I_y I_t}{(\sum I_x^2) \sum I_y^2 - (\sum I_x I_y)^2}$$
$$\delta_y = \frac{(\sum I_x I_y) \sum I_x I_t - (\sum I_x^2) \sum I_y I_t}{(\sum I_x^2) \sum I_y^2 - (\sum I_x I_y)^2}$$

That's great!  
...Why?!  
We'll see soon.

# Implementation by example

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- The following video will be considered as an example



# Implementation by example

- How to compute  $I_x(x, y, t)$ ,  $I_y(x, y, t)$ ,  $I_t(x, y, t)$ ?
- Start with an easy one:  $I_t$

$$\delta_x = \frac{-(\sum I_y^2) \sum I_x I_t + (\sum I_x I_y) \sum I_y I_t}{(\sum I_x^2) \sum I_y^2 - (\sum I_x I_y)^2}$$
$$\delta_y = \frac{(\sum I_x I_y) \sum I_x I_t - (\sum I_x^2) \sum I_y I_t}{(\sum I_x^2) \sum I_y^2 - (\sum I_x I_y)^2}$$



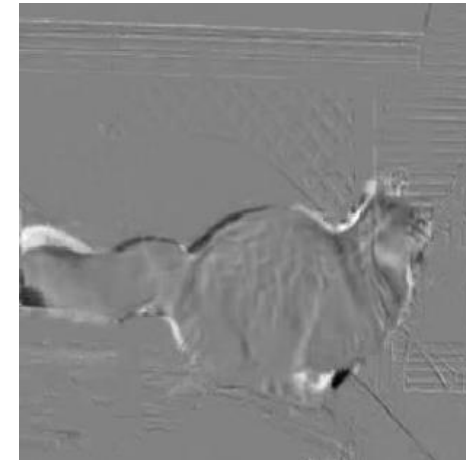
$I(x, y, t + 1)$

-



$I(x, y, t)$

=



$I_t(x, y, t)$

Temporal derivative is approximated by difference between consecutive images.

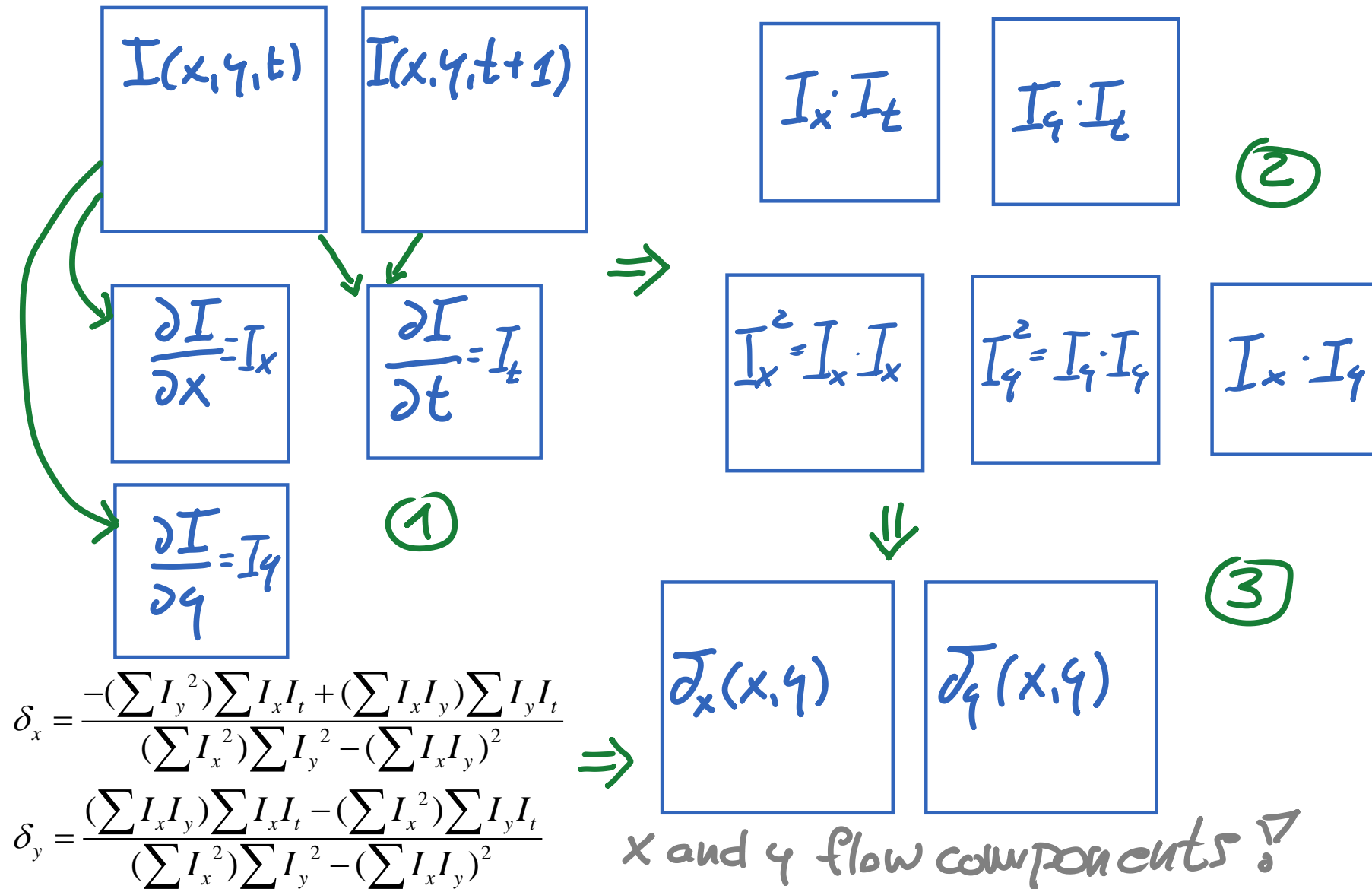
# Implementation by example

- How to compute  $I_x(x, y, t)$ ,  $I_y(x, y, t)$ ,  $I_t(x, y, t)$ ?
- Approximate spatial derivatives  $I_x$ ,  $I_y$  by convolution

$$\begin{array}{ccccc} \frac{\partial}{\partial x} & & I(x, y, t) & & \\ \text{[kernel]} & * & \text{[cat image]} & = & \text{[edge image]} & I_x(x, y, t) \\ \\ \frac{\partial}{\partial y} & & & & \\ \text{[kernel]} & * & \text{[cat image]} & = & \text{[edge image]} & I_y(x, y, t) \end{array}$$

If this is a mystery to you, check Prince's book, Sec. 13.1.3. or Szeliski, Sec. 4.2.1.

# Implementation – putting it together



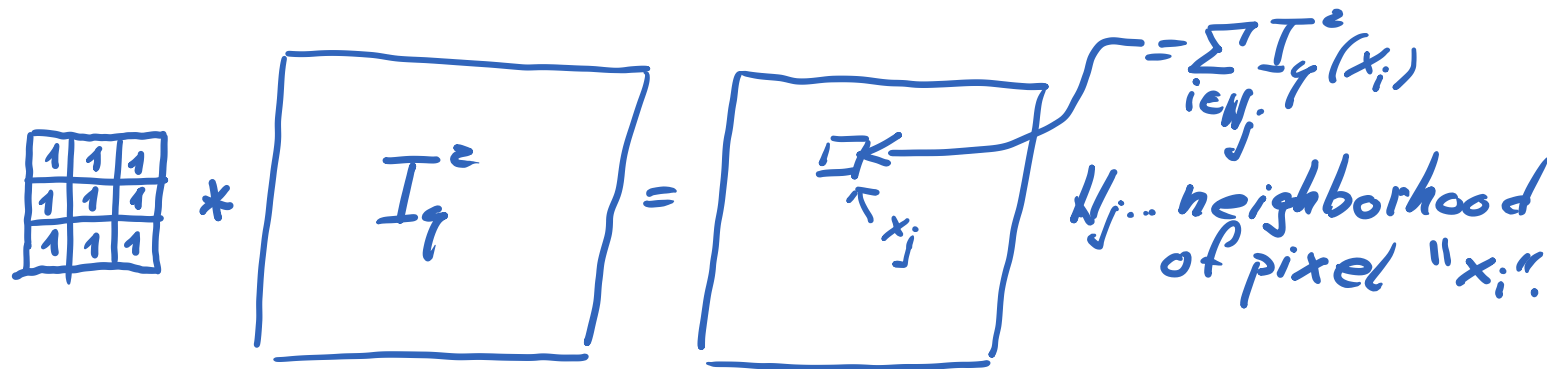
# A note on summations

- Recall that the equations require summing over neighboring pixels:

$$\delta_x = \frac{-(\sum I_y^2) \sum I_x I_t + (\sum I_x I_y) \sum I_y I_t}{(\sum I_x^2) \sum I_y^2 - (\sum I_x I_y)^2}$$

$$\delta_y = \frac{(\sum I_x I_y) \sum I_x I_t - (\sum I_x^2) \sum I_y I_t}{(\sum I_x^2) \sum I_y^2 - (\sum I_x I_y)^2}$$

- This can be trivially implemented by convolution, e.g., for  $\sum I_y^2$ :



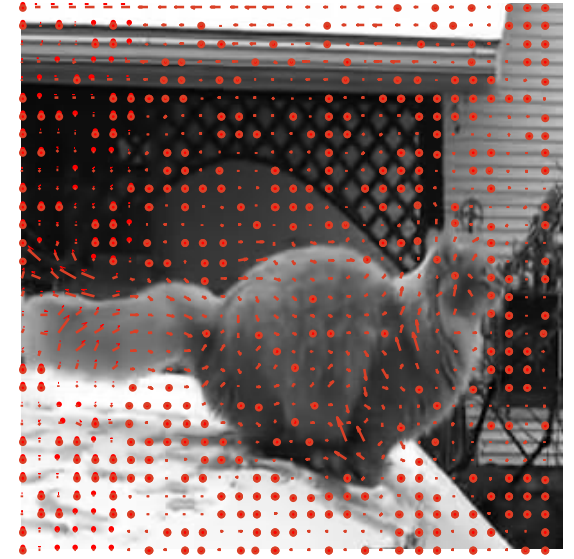
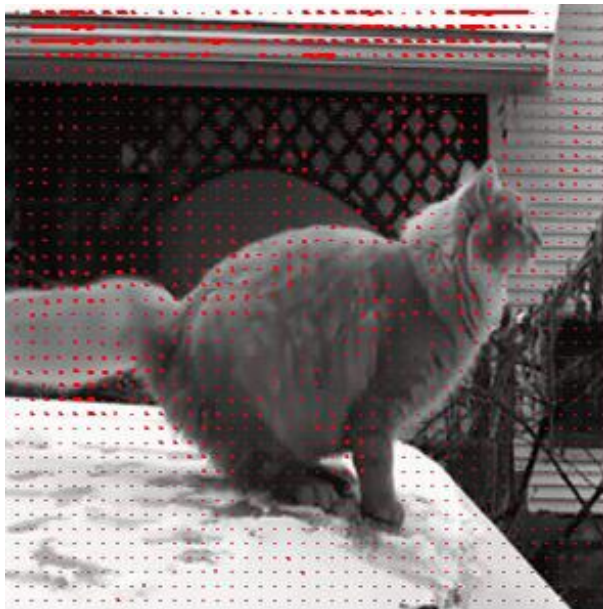
# Back to Waffle the terrible



Frame t



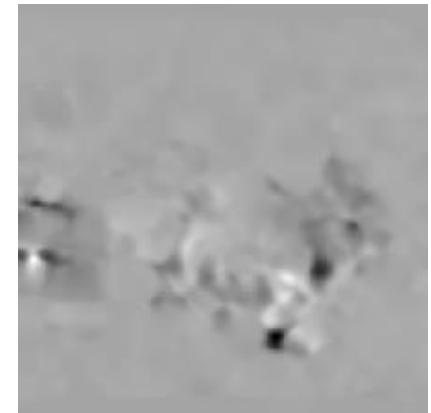
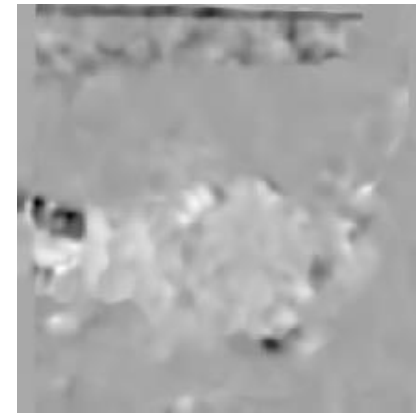
Frame t+1



Flow  $(\delta_x, \delta_y)$

$\delta_x$

$\delta_y$





# Flow computation reliability

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- Flow cannot be computed just at any point
- Recall that the following equation is implicitly solved:

$$\begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix} \begin{bmatrix} \delta_x \\ \delta_y \end{bmatrix} = - \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$
$$\mathbf{A}^T \mathbf{A} \mathbf{d} = \mathbf{A}^T \mathbf{b}$$

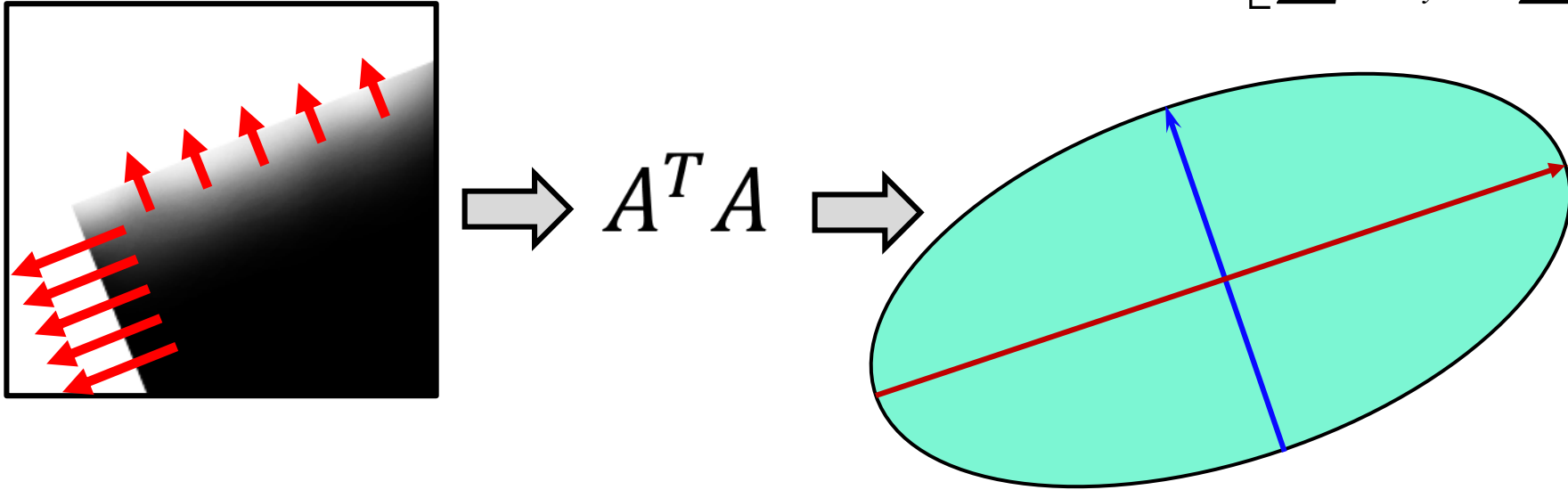
When is this system **solvable**?

- $\mathbf{A}^T \mathbf{A}$  must not be **singular**, (cannot invert it otherwise)
  - Eigenvalues  $\lambda_1$  and  $\lambda_2$  of  $\mathbf{A}^T \mathbf{A}$  must not be too small
- $\mathbf{A}^T \mathbf{A}$  has to be **well conditioned**
  - Ratio  $\lambda_1 / \lambda_2$  must not be too large  
( $\lambda_1$  = the larger eigenvalue)



# Eigenvalues of $A^T A$

- $A^T A$  is a covariance matrix of local gradients: 
$$A^T A = \begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix}$$

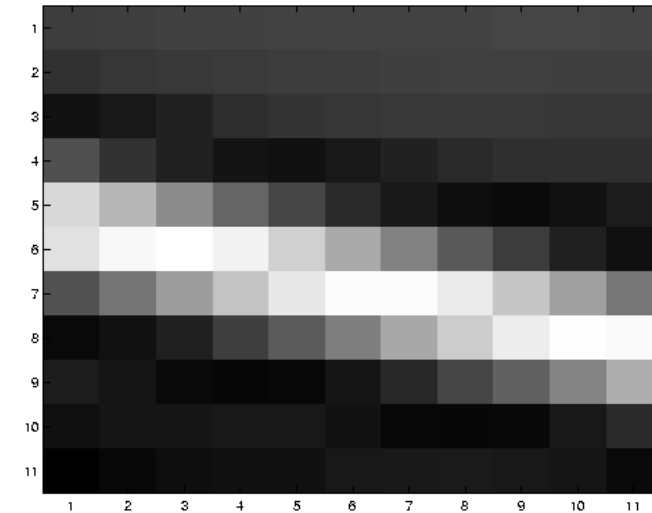


- Same as in the Harris corner detection!
- Note: If you are unfamiliar with the Harris corner detection, see Prince (Sec. 13.2.2) or Szeliski (Sec. 4.1.1)

# Eigenvalues of $A^T A$



Autocorrelation



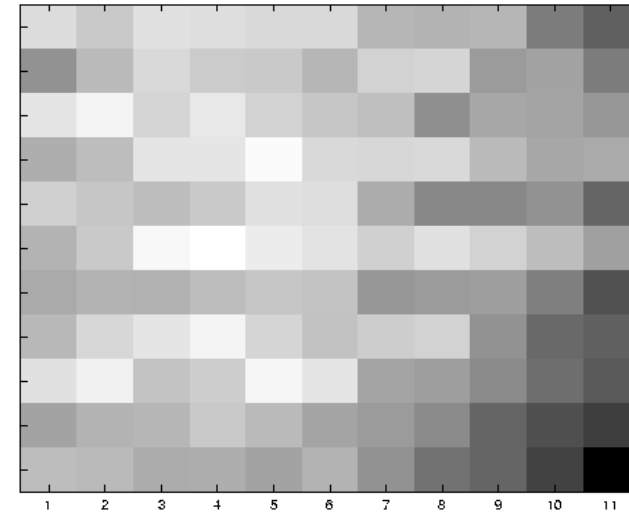
$$A^T A = \begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix}$$

- large gradient in one direction
- large  $\lambda_1$ , small  $\lambda_2$

# Eigenvalues of $A^T A$



Autocorrelation



$$A^T A = \begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix}$$

- gradients with small magnitude
- small  $\lambda_1$ , small  $\lambda_2$

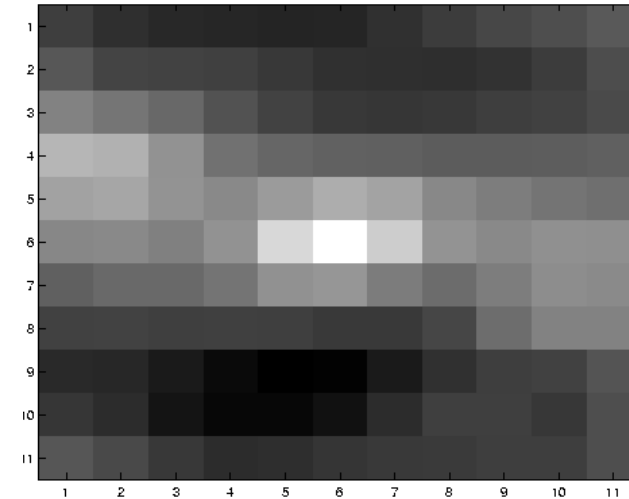
# Eigenvalues of $A^T A$



$$A^T A = \begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix}$$

- large gradient magnitudes
- large  $\lambda_1$ , large  $\lambda_2$

Autocorrelation



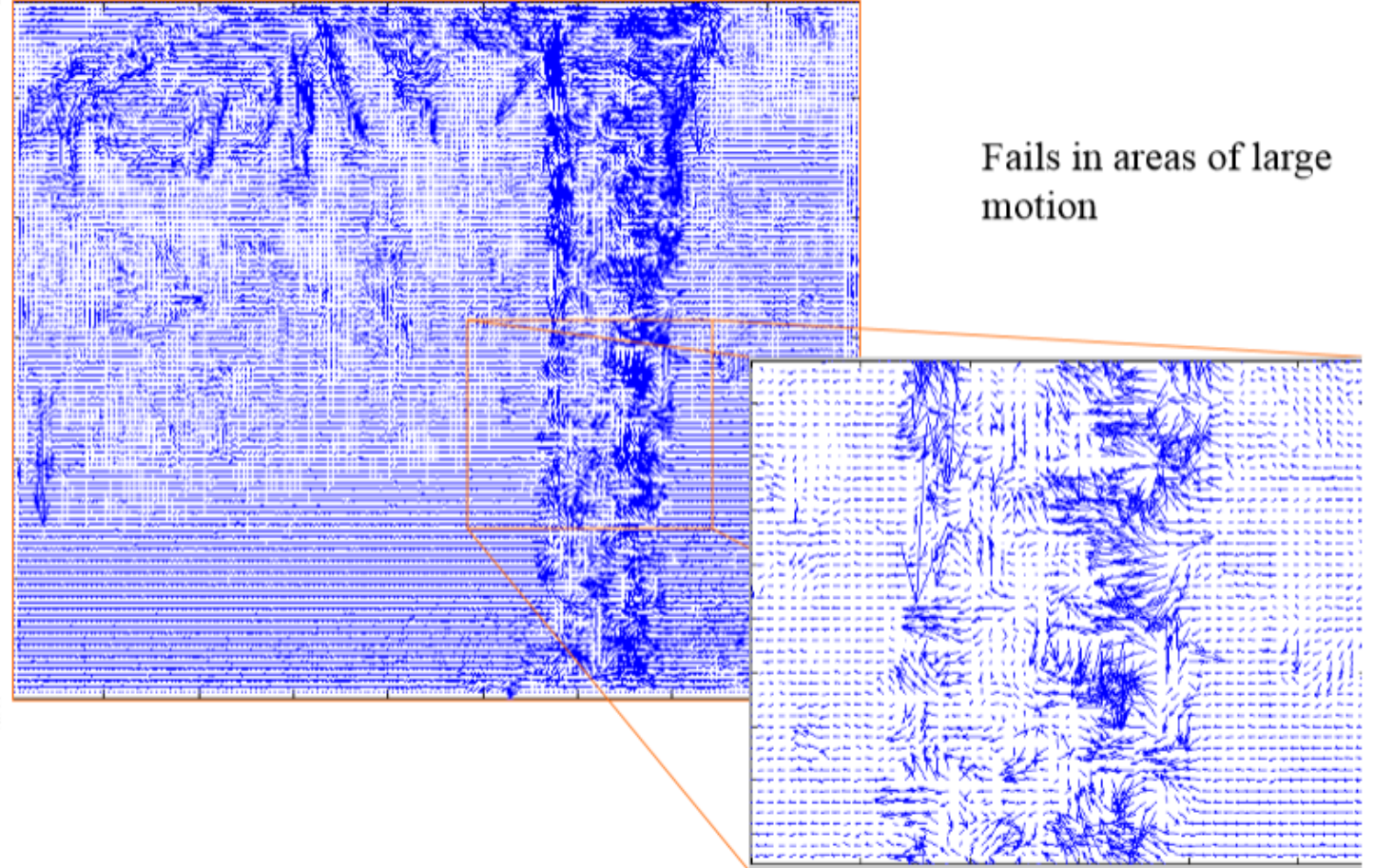
# Small motion assumption

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- Lucas-Kanade works well **only for small motions**.
- If an object **moves fast**, the small motion **assumption is violated**.
- 2x2 or 3x3 convolution kernels fail to estimate the spatio-temporal derivatives.



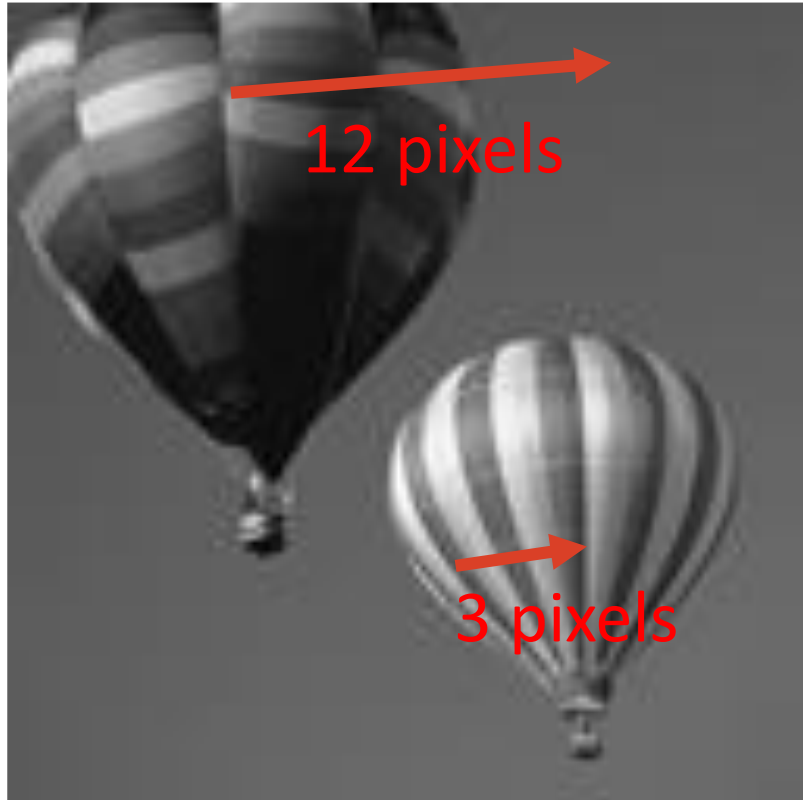
# Small motion assumption violated



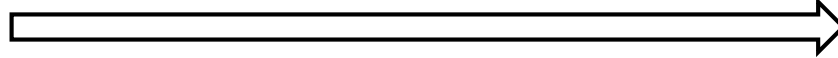
- So **how to improve** estimation of large motions?

# Accounting for large motions

- Assume that we can estimate well motions below 3 pixels in length



Reduce the size 4 times



3 pixels



0 pixels !

Detection successful

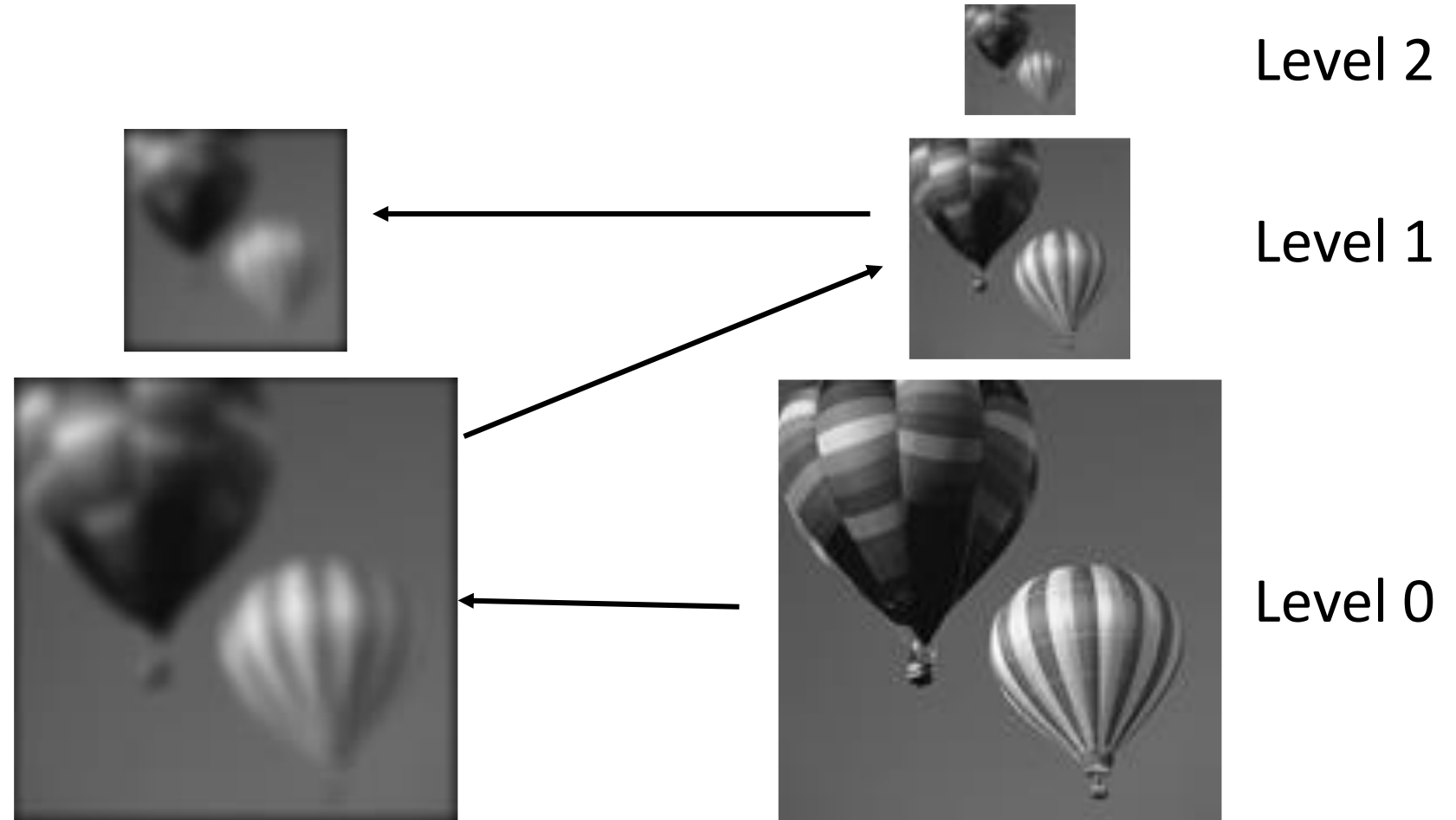
No free lunch?

Can't detect this motion

- But, we will not be able to detect small motions....

# Create an image pyramid

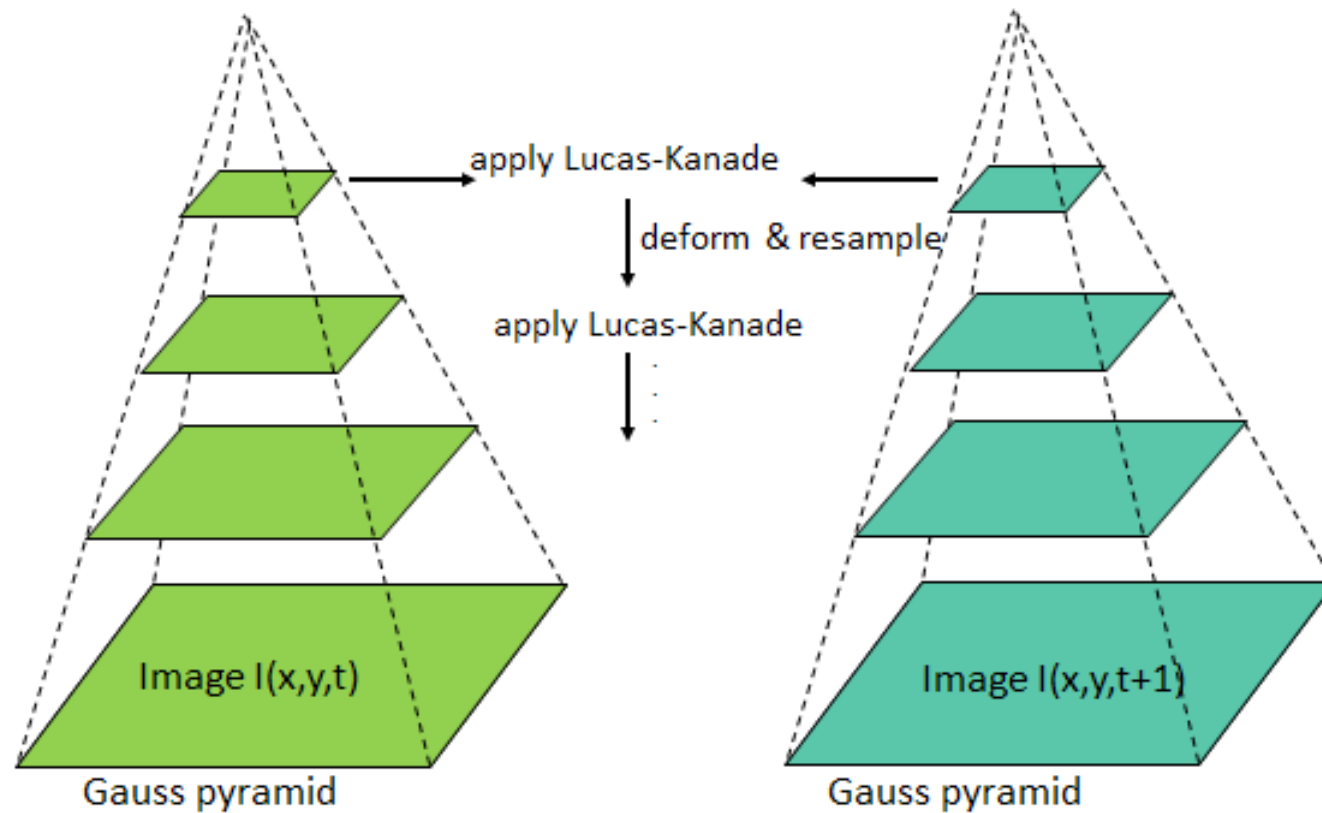
- From one level to the next: smooth image by Gaussian filter and reduce by half



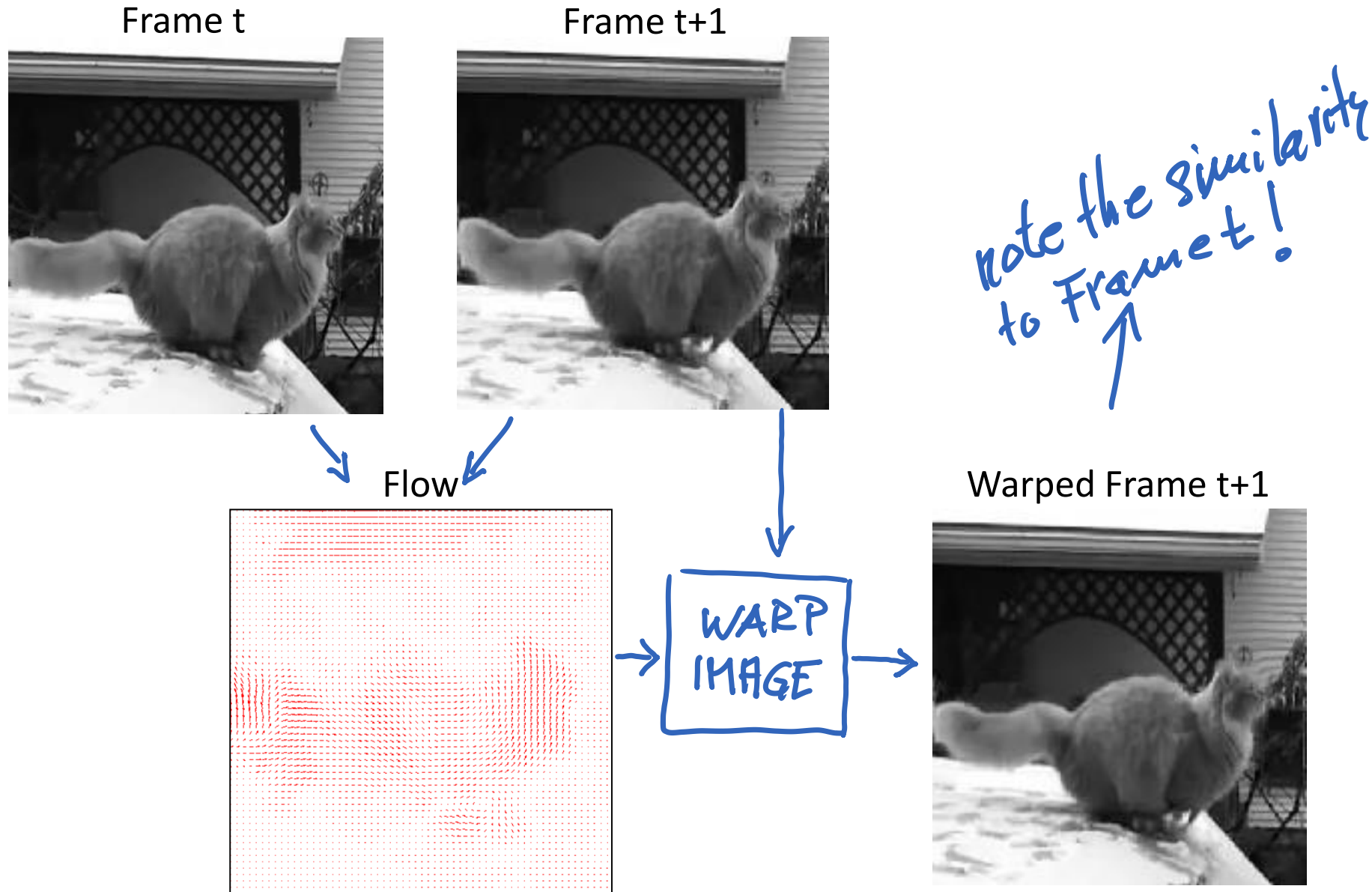


# Improve flow by iterations

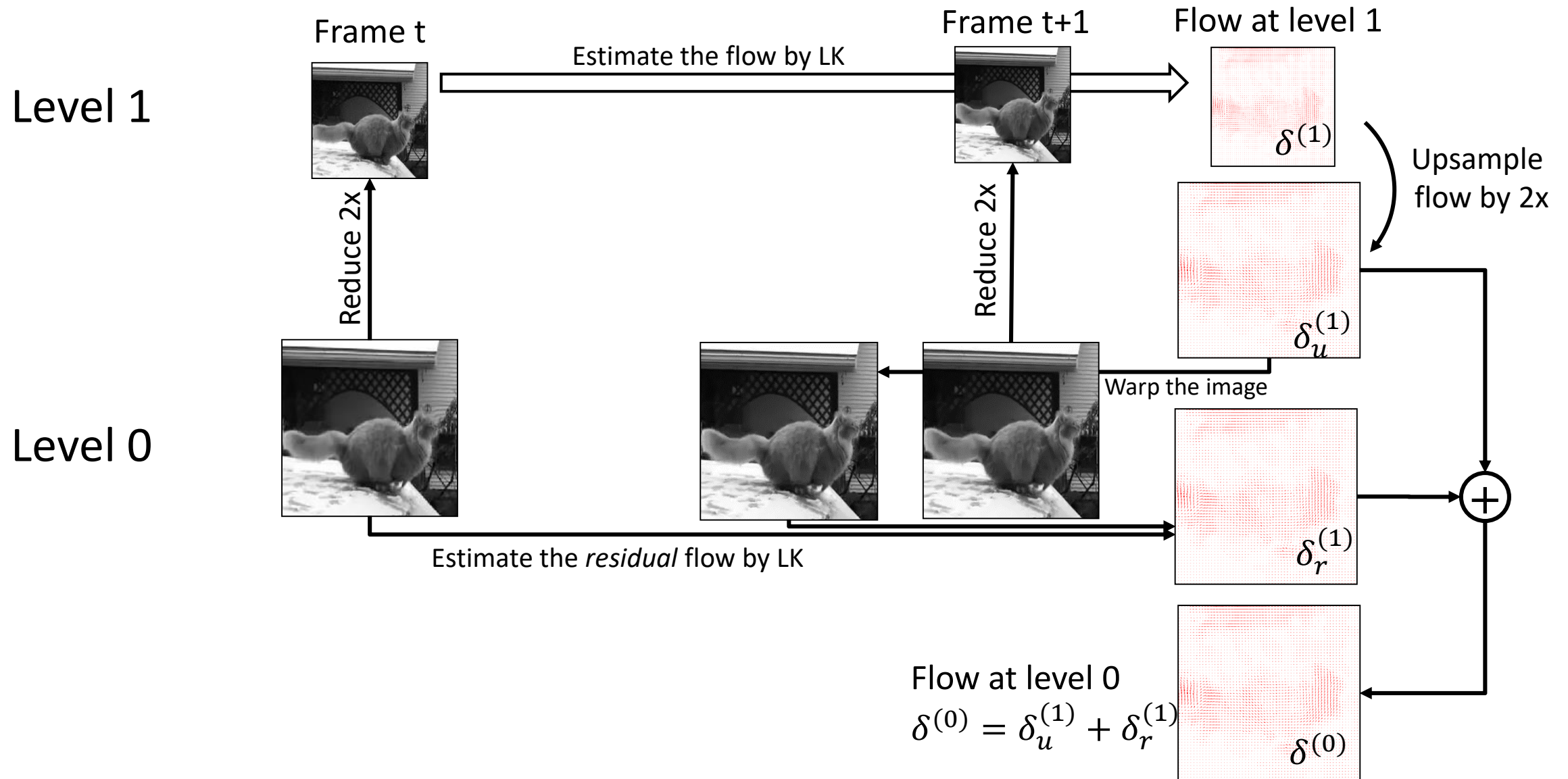
- Iteratively solve Lucas Kanade:
  - Calculate rough estimate at low resolution
  - Increase resolution and gradually improve flow estimates



# Example of warp/deformation application



# Example of using a pyramid with 2 levels



# May try to improve the derivative estimates

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- Smooth temporal derivative by a small Gaussian:

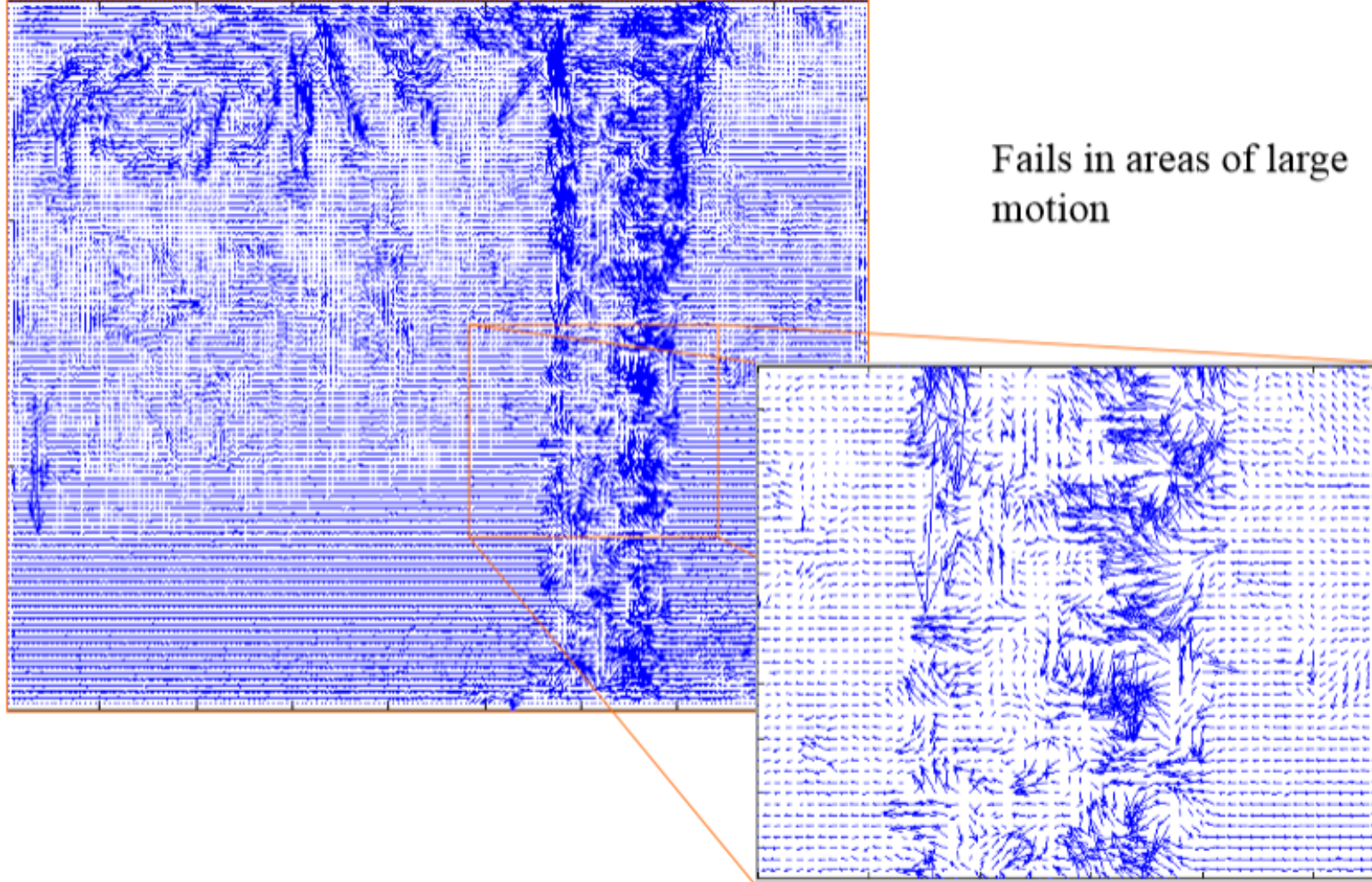
$$\hat{I}_t = g(x, y) * I_t$$

- Average spatial derivative in frame t and t+1:  
(mathematically incorrect, but could help in some situations)

$$\begin{aligned}\hat{I}_x &= \frac{1}{2} (I_x(x, y, t) + I_x(x, y, t + 1)) \\ \hat{I}_y &= \frac{1}{2} (I_y(x, y, t) + I_y(x, y, t + 1))\end{aligned}$$

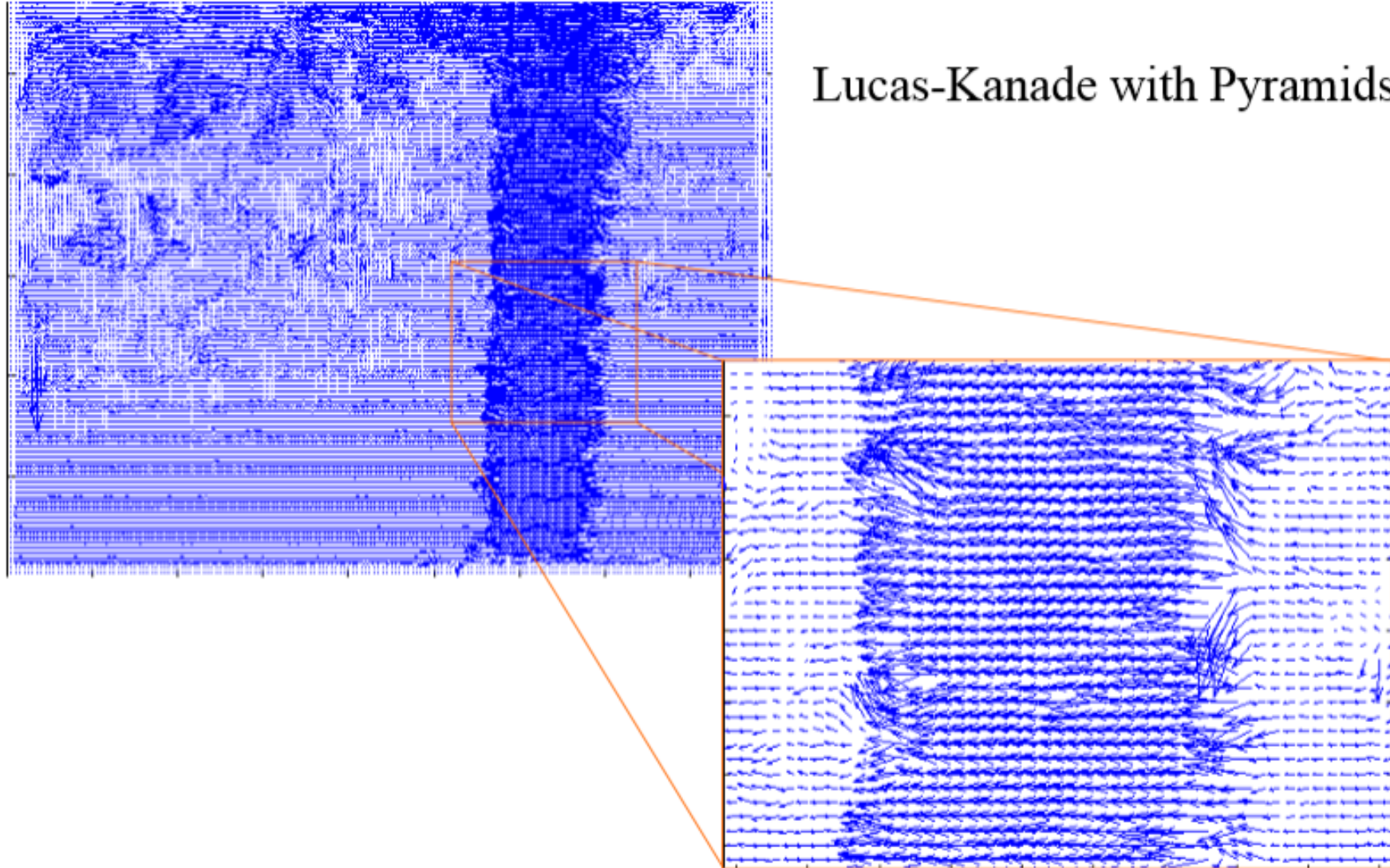
- Iterate between warping and flow estimation at a single level of the pyramid.

# Without using the pyramids





# By using the pyramids



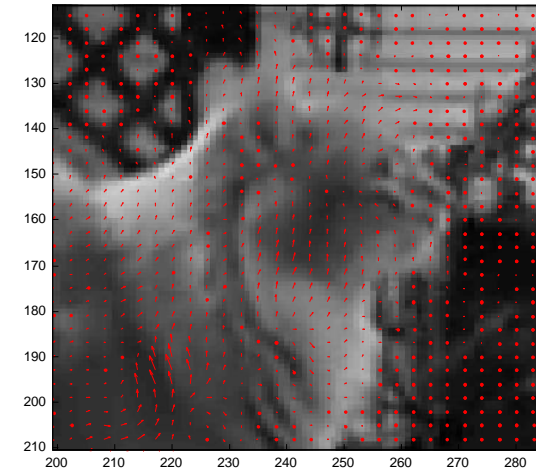
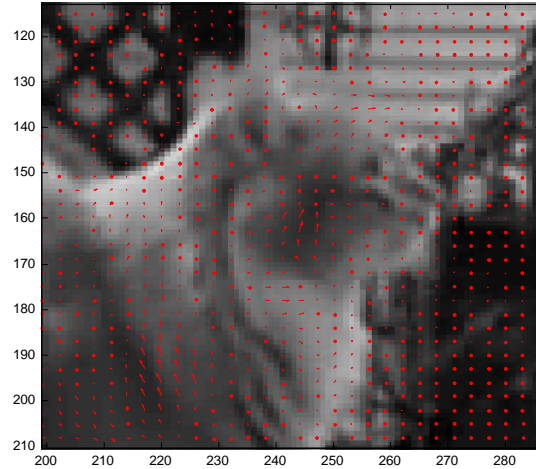
# Back to Waffle the terrible

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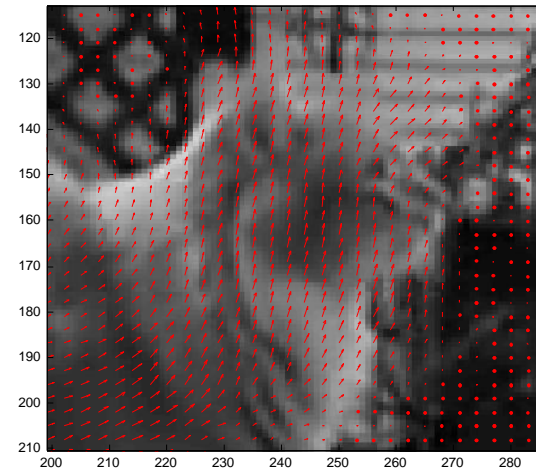
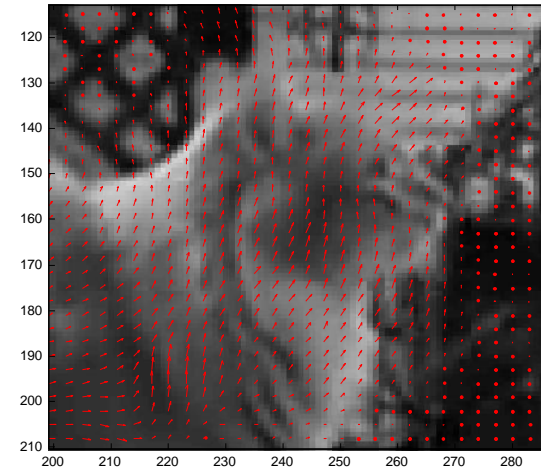
Standard derivatives

“Improved” i.e., hacked derivatives

Without pyramid



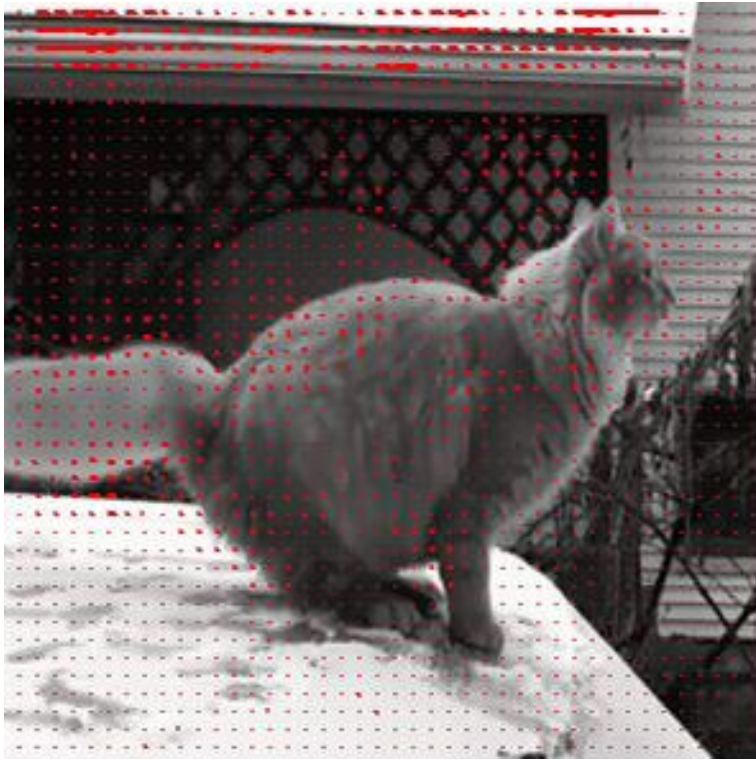
With pyramid



# Back to Waffle the terrible

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Standard derivatives,  
without pyramid



“Improved” derivatives,  
with pyramid





# Recap on the Lucas Kanade flow

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- Brightness constancy assumption:

$$I(\mathbf{x}) = I(\mathbf{x} + \delta)$$

- Small displacement assumption:

$$I(\mathbf{x} + \delta) \approx I(\mathbf{x}) + \nabla I^T \mathbf{J} \delta$$

- Optical flow equation (underdetermined system):

$$I_x(\mathbf{x}_i)\delta_x + I_y(\mathbf{x}_i)\delta_y + I_t(\mathbf{x}_i) = 0$$

- LK solution: **neighboring points move similarly**, so we can solve for the displacements via least squares.
- **Large motions** violate the small motion assumption -> Pyramids!
- Pay attention to implementation efficiency

# Further info on LK flow estimation

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- B.D. Lucas and T. Kanade “*An Iterative Image Registration Technique with an Application to Stereo Vision*” IJCAI '81  
*Pay attention to pages: pp. 674-679*