

# Chapter 1

## Section 1.1

Some notation

- If  $A$  is a subset of  $B$  we write

$$A \subseteq B \quad \text{or} \quad B \supseteq A.$$

- If  $A$  is a proper subset of  $B$

$$A \subset B.$$

-  $A$  and  $B$  are equal iff

$$A \subseteq B \quad \text{and} \quad B \subseteq A.$$

$$- \mathbb{N} = \{1, 2, 3, \dots\}$$

$$- \mathbb{Z} = \{0, 1, -1, 2, -2, \dots\}$$

$$- \mathbb{Q} = \{m/n : m, n \in \mathbb{Z} \text{ and } n \neq 0\}$$

$$- \mathbb{R} = \text{real numbers} \dots$$

- For  $A$  and  $B$  sets, union is denoted

$$\text{as } A \cup B \quad \text{and} \quad \text{intersection as } A \cap B.$$

$$- A \setminus B := \{x : x \in A \text{ and } x \notin B\}.$$

- Let  $\{A_1, A_2, \dots\}$  be an infinite collection of sets

$$\bigcup_{n=1}^{\infty} A_n := \{x : x \in A_n \text{ for some } n \in \mathbb{N}\} \quad (1)$$

$$\bigcap_{n=1}^{\infty} A_n := \{x : x \in A_n \text{ for all } n \in \mathbb{N}\}$$

### Functions:

The cartesian product of  $A$  and  $B$  nonempty sets is defined as

$$A \times B := \{(a, b) : a \in A, b \in B\}$$

Definition (function) A function  $f$  from a set  $A$  into a set  $B$  is a rule of correspondence that assigns to each element  $x \in A$  a unique element  $f(x) \in B$ .

- We distinguish between the function and function values.

Definition (function) A function  $f$  from set  $A$  into set  $B$  is a set of ordered pairs in  $A \times B$  such that for each  $a \in A$  there is a unique  $b \in B$  with  $(a, b) \in f$ .



## Consequences

- if  $(a, b) \in f$ ,  $(a, b') \in f \Rightarrow b = b'$ .

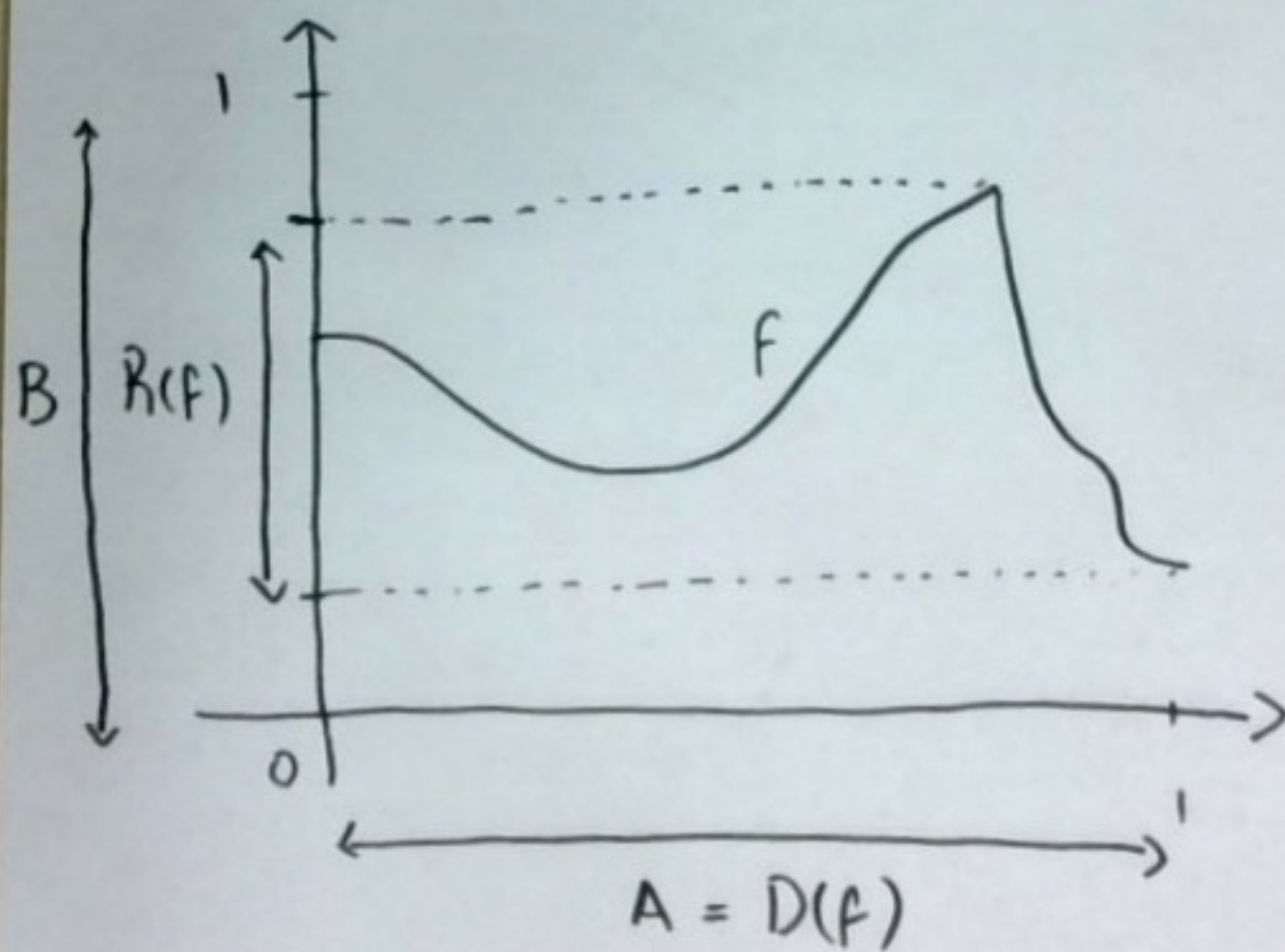
Notation: -  $f: A \rightarrow B$

$$- a \mapsto f(a)$$

- $f$  is a (map, mapping) of  $A$  into  $B$

-  $A$  is called the domain  $D(f) := A$

- The set of all second elements is the range  $R(f) \subseteq B$ .



$$f: [0, 1] \rightarrow [0, 1]$$

(2)

- Let  $f: A \rightarrow B$

- If  $E \subseteq A$  then the image or direct image of  $E$  under  $f$  is

$$f(E) := \{f(x) : x \in E\}$$

- If  $H \subseteq B$  then the pre-image or inverse image of  $H$  under  $f$  is

$$f^{-1}(H) := \{x \in A : f(x) \in H\}.$$

Example  $f: \mathbb{R} \rightarrow \mathbb{R}$ ,  $f(x) = x^2$

- If  $E = \{x : 0 \leq x \leq 2\} \Rightarrow f(E) = \{y : 0 \leq y \leq 4\}$

- If  $G = \{y : 0 \leq y \leq 4\} \Rightarrow f^{-1}(G) = \{x : -2 \leq x \leq 2\}$

$$\Rightarrow f^{-1}(f(E)) \neq E$$

$$- f(f^{-1}(G)) = G$$

- If  $H = \{y : -1 \leq y \leq 1\}$  then

$$f^{-1}(H) = \{x : -1 \leq x \leq 1\} \text{ and}$$

$$[0, 1] = f(f^{-1}(H)) \neq H.$$



## Special classes of functions

Definition Let  $f: A \rightarrow B$

(a)  $f$  is said to be injective (one-to-one)  
if  $x_1 \neq x_2$  implies  $f(x_1) \neq f(x_2)$

(b)  $f$  is said to be surjective (onto)  
if  $F(A) = B$  or  $R(f) = B$ .

(c) injection + surjection = bijection.

Example  $f(x) = x^2$

$f: \mathbb{R} \rightarrow \mathbb{R}$  not bijective

$f: [0, +\infty) \rightarrow [0, +\infty)$  bijective

## Inverse functions

If  $f: A \rightarrow B$  is a bijection

then

$$g := \{ (b, a) \in B \times A : (a, b) \in f \}$$

is called the inverse function of  $f$  and  
denoted by  $f^{-1}$

Consequences if  $f$  is a bijection.

(3)

$$D(f) = R(f^{-1})$$

$$R(f) = D(f^{-1})$$

Example

$$f(x) = \frac{2x}{x-1}$$

Let  $A = \{x \in \mathbb{R} : x \neq 1\}$  - (a)  $f$  is injective

$$\text{assume } f(x_1) = f(x_2) \Rightarrow x_1(x_2 - 1) = x_2(x_1 - 1) \\ \Rightarrow x_1 = x_2$$

(b) Identify where it is surjective  $y = \frac{2x}{x-1}$

$$\Rightarrow x = y/(y-2) \Rightarrow y \neq 2$$

$$\text{then } B = \{y \in \mathbb{R} : y \neq 2\}$$

$f: A \rightarrow B$  is bijective.

Definition If  $f: A \rightarrow B$ ,  $g: B \rightarrow C$

~~then~~ then the composite function  $g \circ f$   
is defined as

$$(g \circ f)(x) := g(f(x)) \quad x \in A$$



## Section 1.2

Math induction ✓

One of its forms is

1.2.2. Principle of Math Induction

Let  $S$  be a subset of  $\mathbb{N}$  such that

(1)  $1 \in S$

(2)  $\forall k \in \mathbb{N}, \text{ if } k \in S \Rightarrow k+1 \in S$

Therefore  $S = \mathbb{N}$ .

⇔

For each  $n \in \mathbb{N}$ , let  $P(n)$  be a statement about  $n$ . Suppose that

(1')  $P(1)$  is true

(2')  $\forall k \in \mathbb{N}, \text{ if } P(k) \text{ is true} \Rightarrow P(k+1) \text{ is true.}$

Therefore

$P(n)$  is true for all  $n \in \mathbb{N}$ .

## Section 1.3 Finite and Infinite sets

(4)

Example

$r \in \mathbb{R}, r \neq 1, n \in \mathbb{N}$ , then

$$1 + r + r^2 + \dots + r^n = \frac{1 - r^{n+1}}{1 - r}$$

(1')  $n=1 \quad 1+r = \frac{1-r^2}{1-r} = \frac{(1-r)(1+r)}{(1-r)}$

(2') Let  $1+r+r^2+\dots+r^k = \frac{1-r^{k+1}}{1-r}$

add  $r^{k+1}$

$$1+r+r^2+\dots+r^k+r^{k+1} = \frac{1-r^{k+1}}{1-r} + r^{k+1} = \frac{1-r^{k+1} + r^{k+1}(1-r)}{1-r} = \frac{1-r^{k+1} + r^{k+1} - r^{k+2}}{1-r} = \frac{1-r^{k+2}}{1-r}$$



## Section 1.3

How to rigorously count in math?

### 1.3.1 Definition

- (a) The empty set is said to have 0 elements
- (b) A set  $S$  is said to have  $n$  elements ( $n \in \mathbb{N}$ ) if there exists a bijection from  $\mathbb{N}_n = \{1, 2, \dots, n\}$  onto  $S$
- (c) A set  $S$  is said to be finite if it is either empty or has  $n$  elements ( $n \in \mathbb{N}$ )
- (d) A set  $S$  is said to be infinite if it is not finite.

Since inverse of a bijection is also a bijection (see homework), the bijection can be considered from  $S$  onto  $\mathbb{N}_n$

### 1.3.2 Uniqueness Theorem

If  $S$  is a finite set, the number of elements in  $S$  is a unique number

Proof: Suppose the opposite, i.e.,  $S$  has  $n$  and  $m$  elements with  $n \neq m$ ,  $n, m \in \mathbb{N}$ . Then, there exists two bijections  $f, g$

$$f: \mathbb{N}_n \rightarrow S \quad \text{and} \quad g: S \rightarrow \mathbb{N}_m$$

Then  $f \circ g: \mathbb{N}_n \rightarrow \mathbb{N}_m$  is a bijection (see homework) which is a contradiction.  $\blacksquare$

1.3.3 Theorem The set of natural numbers  $\mathbb{N}$  is an infinite set

Proof: Suppose the opposite, i.e.,  $\mathbb{N}$  has  $n$ -elements. Then  $\exists f: \mathbb{N} \rightarrow \mathbb{N}_n$  a bijection with some  $n \in \mathbb{N}$  which is a contradiction.  $\blacksquare$

We can use: If  $n \neq m \Rightarrow$  there is no bijection between  $\mathbb{N}_n$  and  $\mathbb{N}_m$ .

— There is no injection from  $\mathbb{N}$  to  $\mathbb{N}_n$   $\textcircled{5}$   
 $n \in \mathbb{N}$ .



### 1.3.4. Theorem

(a) If  $A$  is a set with  $m$  elements and  $B$  " " "  $n$  elements,

and  $A \cap B = \emptyset$ , then  $A \cup B$  has  $m+n$  elements

(b) If  $A$  is a set with  $m$  elements and  $C \subseteq A$  is a set with  $k$  elements, then  $A \setminus C$  is a set with  $m-k$  elements.

(c) If  $C$  is an infinite set and  $B$  is a finite set, then  $C \setminus B$  is an infinite set.

Proof: Let  $f: \mathbb{N}_m \rightarrow A$  and  $g: \mathbb{N}_n \rightarrow B$  be the bijections.

Define

$$h(i) = \begin{cases} f(i) & i = 1, 2, \dots, m \\ g(i-m) & i = m+1, m+2, \dots, m+n \end{cases}$$

Injectivity of  $h: \mathbb{N}_{m+n} \rightarrow A \cup B$  follows

from  $A \cap B = \emptyset$

surjectivity follows since  $f$  and  $g$  are surjective

(b) Hint: construct the bijection  $f: \mathbb{N}_{m-1} \rightarrow A \setminus C$

(c) Hint: use (a) to get a contradiction

Subsets of finite sets are finite and  
supersets of infinite sets are infinite.

1.3.5 Theorem Suppose that  $T$  and  $S$   
are sets and  $T \subseteq S$

(a) If  $S$  is a finite set  $\Rightarrow T$  is a finite set

(b) If  $T$  is an infinite set  $\Rightarrow S$  is an infinite set

Proof: (a) Suppose the opposite, i.e.,  $T$  is infinite

Let  $f: S \rightarrow \mathbb{N}_n$  be the bijection

Since  $S$  has  $n$  elements.



The restriction of  $f$  to  $T$  <sup>defined as  $f_T$</sup>  is injective

$$f_T: T \rightarrow \mathbb{N}_n$$

by definition, and  $R(f_T) \subseteq \mathbb{N}_n \Rightarrow$

$$f_T: T \rightarrow R(f_T)$$

is bijective<sup>(\*)</sup>, and  $R(f_T)$  has  $\tilde{n} \leq n$

~~the~~ elements and  $\exists g: R(f_T) \rightarrow \mathbb{N}_{\tilde{n}}$

is a bijection. Then  $f_T \circ g: T \rightarrow \mathbb{N}_{\tilde{n}}$

is a bijection, a contradiction.  $\blacksquare$

Note that  $P_1 \Rightarrow P_2$

is equivalent to  $\neg P_2 \Rightarrow \neg P_1$

which shows (b).

(\*) Check homework.

## Countable sets

We want to identify and characterize certain sets that are infinite.

### 1.3.6. Definition

- (a) A set  $S$  is said to be denumerable (or countably infinite) if there is a bijection of  $\mathbb{N}$  to  $S$ .
- (b)  $S$  is countable if it's finite or denumerable.
- (c)  $S$  is uncountable if it's not countable.

Examples - Odd numbers are denumerable  
- even " " "

$f(n) = 2n$ ,  $g(n) = 2n - 1$  are the bijections

-  $\mathbb{Z}$  is denumerable.

- Important: If  $A$  and  $B$  are denumerable (and disjoint)  $\Rightarrow A \cup B$  is denumerable.







1.3.9 Theorem The following are equivalent

- (a)  $S$  is countable
- (b)  $\exists$  a surjection of  $\mathbb{N}$  onto  $S$
- (c)  $\exists$  an injection of  $S$  into  $\mathbb{N}$ .

Proof:

1.3.11 Theorem  $\mathbb{Q}$  is denumerable. (9)

Proof: - There is a bijection  $f: \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$ .

- Build  $g(n, m) = \frac{n}{m}$  so that  $g: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{Q}^+$

~~From~~  $g \circ f: \mathbb{N} \rightarrow \mathbb{Q}^+$  is surjective.

surjective. [By (b) 1.3.9 Theorem  $\mathbb{Q}^+$  is denumerable.]

- We can do the same for  $\mathbb{Q}^-$ , the negative rationals

-  $\mathbb{Q}^+ \cup \mathbb{Q}^-$  is denumerable [see notes]

-  $\mathbb{Q}^+ \cup \mathbb{Q}^- \cup \{0\} = \mathbb{Q}$  is denumerable  $\blacksquare$

1.3.12 Theorem  $A_m$  countable for  $m \in \mathbb{N}$

$\Rightarrow \bigcup_{m=1}^{\infty} A_m = A$  is countable.

Proof diagonal argument  $\blacksquare$



### 1. §3.13 Cantor's Theorem

If  $A$  is a set  $\mathcal{P}(A)$  is the set of all subsets.

Example

$$A = \{a, b\}, \quad \mathcal{P}(A) = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$$

#### Theorem

If  $A$  is any set, then there is no surjection of  $A$  onto  $\mathcal{P}(A)$

Proof: Suppose the opposite, and let  $A \rightarrow \mathcal{P}(A)$  be the surjection.

$$\text{Since } \varphi(a) \subseteq A \Rightarrow \begin{cases} a \in \varphi(a) \text{ or} \\ a \notin \varphi(a) \end{cases}$$

Let

$$D := \{a \in A : a \notin \varphi(a)\}$$

Since  $\varphi$  is a surjection and  $D \subseteq A$

$$\exists a_0 \in A : \varphi(a_0) = D.$$

Two cases: -  $a_0 \in D \Rightarrow a_0 \in \varphi(a_0) \stackrel{a_0 \notin D}{\Rightarrow} \text{contradiction}$

$$- a_0 \notin D \Rightarrow a_0 \notin \varphi(a_0) \Rightarrow a_0 \in D \Rightarrow \text{contradiction}$$

Then NO SURJECTION