



UNIFIED IMPROVEMENT PLAN QUALITY CRITERIA (SCHOOL LEVEL)

GENERAL DIRECTIONS

The Unified Improvement Plan (UIP) is intended to provide districts with a consistent format to capture improvement planning efforts that streamline state and federal planning requirements. To assist with that process, the Quality Criteria offer guidance for creating an improvement plan that incorporates all of the state accountability and federal requirements. Quality Criteria are provided for Section III: Narrative on Data Analysis and Root Cause Identification, and Section IV: Action Plans of the UIP template. The criteria are also a resource for state and district reviewers to use in reviewing the plans.

Meeting Specific Requirements in the Plan

All schools should respond to the general indicators. However, on some elements, the state's accountability and the Title I program have additional requirements that are unique to that program. Therefore, clarity around (1) the school's plan type assignment, (2) particular identifications under Title I, and (3) whether the school is a Colorado Graduation Pathways school are important to take full advantage of this tool. Answer the following questions to ensure that the school plan is addressing all of the appropriate elements. The pre-populated report (section I of the UIP that CDE populates for each district) is another resource that should help to answer these questions.

Description of School's Plan Type under State Accountability

What plan type has been identified for the school?

☐ Performance ☐ Improvement ☐ Priority Improvement ☐ Turnaround ☐ Other: _____

(Confirm through your district. Once finalized, plan types will be listed at: www.schoolview.org)

Does your school serve students K-3 and is implementing the READ Act?

☐ Yes ☐ No

Description of School's Title I Identifications

Is the school identified as a Focus School under Title I?

☐ Yes ☐ No

Does your school have a current award in any of the competitive Title I grants?


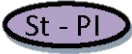






- ☐ Tiered Intervention Grant (TIG)
- ☐ Diagnostic Review and Planning Grant
- ☐ School Improvement Support Grant (SIS)

Description of School's Participation in the Colorado Graduation Pathways Program

Is the school a Systems Change or Capacity Building Colorado Graduation Pathways school?

☐ Yes ☐ No

In addition to addressing the general indicators, schools should look for the following symbols that apply to the school and address those additional criteria:

	Turnaround Plan Type Assignment under the State Accountability System ¹
	Priority Improvement Plan Type Assignment under the State Accountability System ²
	Title I Focus School
	Tiered Intervention Grantee
	Diagnostic Review and Improvement Planning Grant
	School Improvement Support Grant
	Colorado Graduation Pathways Systems Change or Capacity Building School
	Colorado Reading to Ensure Academic Development Act (Colorado READ Act)

SECTION III: NARRATIVE ON DATA ANALYSIS AND ROOT CAUSE IDENTIFICATION




Data Narrative



The purpose of the data narrative is to describe the process and results of the data analysis for school improvement. This includes: 1) A brief description of the school; 2) An explanation regarding who participated in each step of the data analysis process; 3) The school accountability status and where performance did not meet state/federal expectations, 4) How current performance compares to the targets established in the prior year's plan; 5) Notable performance trends (positive and negative), what data were considered (including local data sources), and how the team determined which trends were notable; 6) Priority performance challenges, the process that was used to prioritize the performance challenges, and what makes the identified priorities more important to address immediately than other notable trends; 7) Root cause(s) associated with each priority performance challenge; and 8) How the root causes were identified, and the additional data that were reviewed to validate the root causes. A description of the selection process for the corresponding major improvement strategies is encouraged.

¹ In addition to criteria listed here, the Commissioner shall assign the state review panel to critically evaluate a school's Turnaround Plan in accordance with 22-11-210 (4), C.R.S.

² In addition to criteria listed here, the Commissioner may assign the state review panel to critically evaluate a school's Priority Improvement Plan in accordance with 22-11-210 (4), C.R.S.

The data narrative should meet the overall quality criteria for the data narrative as well as the criteria specific to notable trends, priority performance challenges, and root causes. Two additional worksheets are provided to support development of the data narrative. Information about progress toward the prior year’s performance targets should be included in the **monitoring progress of prior year’s performance targets worksheet**. A short (bulleted list) of notable trends, priority performance challenges, and root causes should also be included in the **data analysis worksheet**. The relationship among these items should be apparent.

Required Element (definition)	Criteria
Data Narrative (overall) <i>Describes the “data story” and process of data analysis; a synthesis of the analysis and presentation of notable findings.</i>	<ul style="list-style-type: none"> Includes a brief description of the school to provide context. Reflects that a school team reviewed the performance summary provided in the School Performance Framework (SPF) report, (and Section I of the pre-populated UIP Template), and specifies where the school did not meet local, state (approaching, does not meet on SPF) and/or federal performance expectations. Reflects that the team reviewed progress toward prior year’s performance targets, and specifies the degree to which improvement efforts (major improvement strategies and action steps) were associated with intended improvements in student learning (represented in the performance targets). May also reference interim measures (local assessment results). Identifies what additional performance data (state and local student learning data) were used in the analysis of trends. Describes notable trends in data (both positive and negative) and what makes them notable. Describes priority performance challenges (based on notable negative trends). Describes the process used to prioritize the performance challenges, and why the identified challenges were prioritized. Describes root cause(s) of each priority performance challenge. Describes how root causes were identified and verified with more than one data source (e.g., classroom observations, lesson plan examination) and what data were used. Describes stakeholder involvement in the different steps of the plan development process (e.g., School Accountability Committee, staff, parents, community members).
	<ul style="list-style-type: none"> The plan must address the low achievement of applicable disaggregated groups, including notable performance trends for these groups.
	<ul style="list-style-type: none"> The plan must describe updates to the data analysis, priority performance challenges, root causes and/or major improvement strategies based on the results of the Diagnostic Review and/or improvement planning support process.
	<ul style="list-style-type: none"> The plan must describe the specific improvement strategy or strategies supported by the SIS grant. Such strategies should reflect the results of the diagnostic review as a part of the overall needs assessment. Strategies should be aimed at reducing systemic barriers to student achievement that support improvement for all students.


Required Element (definition)	Criteria
Previous Performance Targets <i>Description of previous targets and progress toward meeting target.</i>	<ul style="list-style-type: none"> Provides targets set in previous year's plan. Describes progress toward targets. Describes the degree to which previous improvement efforts were effective.
Notable Trends <i>Description of notable trends for every performance indicator, identified based on analysis of three years of data.</i>	<ul style="list-style-type: none"> Describes both positive and negative trends that are notable for all performance indicators using at least three years of data. Notable trend statements include: <ul style="list-style-type: none"> Measure Content area Metric Group(s) of students Direction of the trend (e.g., declining, flat, increasing) Comparison point (i.e. what makes the trend notable) Amount of change in the metric Time period Specifies performance indicator areas where the state expectations were not met (i.e., academic achievement, academic growth, academic growth gaps, post-secondary/workforce readiness) or local performance expectations. Includes analysis of data at a more detailed level than that presented in the SPF. For example, patterns over time: <ul style="list-style-type: none"> within a grade level (per content area, disaggregated group); within a disaggregated group of students; and/or within a sub-content area (e.g., number sense in mathematics). Includes analysis of relevant local performance data (e.g., interim measures). To the degree that data are available, includes analysis of the performance of all students in the school (e.g., pre-K-2, 11th and 12th) and includes performance in subjects not tested by the state.
	<ul style="list-style-type: none"> Analysis includes notable trends based on data submitted for the Annual Performance Report. These data include graduation rates, dropout rates, attendance rates and credit accrual rates.
	<ul style="list-style-type: none"> Includes trend data that considers K-3 literacy data school-wide.

Required Element (definition)	Criteria
<p>Priority Performance Challenges</p> <p><i>Specific statements about the school's performance challenges (not budgeting, staffing, curriculum, instruction, etc.), with at least one priority identified for each performance indicator where the school did not meet federal, state and/or local expectations.</i></p>	<ul style="list-style-type: none"> Identifies priority performance challenges based on analysis of negative performance trends that are of the appropriate magnitude given the overall performance of the school. Priority performance challenges describe the strategic focus for the school considering every sub-indicator for which the school did not meet expectations. Identifies at least one priority performance challenge for every indicator (i.e., achievement, growth, growth gaps, post-secondary/workforce readiness) for which the school did not meet state expectations (e.g., approaching, did not meet on SPF). <i>Note: Priority performance challenges do not need to be identified for every sub-indicator (e.g., math achievement, ELL student growth in reading) for which the school did not meet expectations unless it is a specific program requirement. This may include non-traditional factors related to testing (e.g., participation rate, misadministration).</i> If they are closely related, summarizes multiple trends to identify priority performance challenges. Performance challenges may also cut across performance indicators, for example describing both achievement and growth. Specifies challenges that take into account analysis of data, including analysis at a more detailed level than that presented in the SPF report. For example: <ul style="list-style-type: none"> for cohorts of students (e.g., 3rd grade in one year, 4th grade in the next year, 5th grade in the third year); within a grade level over time (e.g., consistently not meeting expectations in 4th grade mathematics for three years); within a disaggregated group of students; within a sub-content area (e.g., number sense in mathematics).
<p>Root Causes</p> <p><i>Statements describing the deepest underlying cause, or causes, of performance challenges, that, if dissolved, would result in elimination, or substantial reduction, of the performance challenge(s).</i></p>	<ul style="list-style-type: none"> Identifies at least one root cause for each priority performance challenge. The same root cause may apply to multiple priority performance challenges, and should be listed next to each priority performance challenge to which it applies. Specifies "causes" the school can control (e.g., the school does not provide additional support/interventions for schools improvement) rather than describing characteristics of students in the schools (e.g., race, poverty, or student motivation). Reflects analysis of multiple types of data (in addition to performance data and including local data sources) in the identification and verification of root causes. Root causes reflect the appropriate magnitude given the overall performance for the school. For example, a school that does not meet most or all the state performance indicators/sub-indicators should identify root causes that are broader and describe issues in the overall system.

SECTION IV: ACTION PLANS

Section IV of the Unified Improvement Plan includes the *Target Setting Form* and the *Action Planning Form*. The School Target Setting Form includes columns for: priority performance challenges, annual targets for two years, interim measures for the current year and major improvement strategies. For each major improvement strategy, action planning worksheets include: the root cause(s) addressed by the major improvement strategy, action steps, resources, people responsible, timeline and status. Quality criteria for each of the components of both worksheets are described below. There should be a logical connection among the elements listed in the columns.

School Target Setting Form

Required Element (definition)	Criteria
Performance Targets (2 years) <i>A specific, quantifiable performance outcome that defines what would constitute success in a performance indicator area within the designated period of time.</i>	<ul style="list-style-type: none"> Specifies ambitious but attainable annual targets for every performance indicator (achievement, growth, growth gaps, and post-secondary/workforce readiness) where the school did not at least meet state expectations. Identifies at least one target related to each priority performance challenge. Specifies a target for the group(s) of students that is consistent with the related priority performance challenge (e.g., 3rd grade is identified in the priority performance challenge; targets should be set for that group). Specifies the measure (e.g., TCAP, CoALT, Escritura, Lectura, ACT) and metric (e.g., % proficient or advanced, % partially proficient, median student growth percentile, % of students making catch-up growth, % reduction in dropout rate) for which the target is being set. Includes the required state metrics for that performance indicator (e.g. % proficient and advanced on TCAP for Achievement); targets for additional metrics may be identified also. Sets targets for increasing performance over time in a way that would, at a minimum, result in the school meeting state expectations in a reasonable timeframe (e.g., within 2 years if a school has been on Turnaround for 3 years).
	<ul style="list-style-type: none"> For schools that serve grade(s) K-3, specifies target(s) for reducing the number of students who have significant reading deficiencies. For schools that serve grade(s) K-3, specifies target(s) to ensure that each student achieves grade level expectations in reading by the end of grade 3.

Interim Measures


A measure (and associated metric) of student performance used to measure performance in a specified indicator area, at more than one point during a school year.

- Describes the measure(s) to be used to monitor progress in student performance toward reaching each target.
- Includes only measures that are administered/scored/reported more than once during the school year.
- Specifies how frequently the data from the measure(s) will be available.
- Specifies metrics associated with each interim measure (e.g., NWEA RIT Growth scores, Acuity subscale proficiency scores).









- References interim assessments that are aligned with the K-3 literacy targets.

Action Planning Form

Required Element (definition)	Criteria
Major Improvement Strategies <i>An overall approach that describes a series of related actions intended to result in improvements in performance.</i>	<ul style="list-style-type: none"> • Identifies an overall research-based approach based on a theory about how performance will improve. There must be evidence that the research-based strategies have been effective in a similar context. • Identifies the specific approach (e.g., not “improve reading instruction,” rather “implement formative assessment practices in all 3rd -10th grade classrooms during reading instruction”). • The identified major improvement strategy is designed to respond to the identified root cause(s), ultimately addressing the associated priority performance challenges and improving student performance. • Defines strategies of the appropriate magnitude given the overall performance for the school (e.g., for a school that does not meet most or all the state performance indicators/sub-indicators, should identify strategies that are broader and address issues in the overall system).
	<ul style="list-style-type: none"> • Must include at least one of the state-required Turnaround strategies: <ul style="list-style-type: none"> ▪ Turnaround Partner ▪ School Management ▪ Innovation School ▪ School Management Contract ▪ Charter Conversion ▪ Restructure Charter ▪ School Closure

Required Element (definition)	Criteria
<div data-bbox="164 380 293 422">St - PI</div> <div data-bbox="164 443 293 485">St - T</div> <div data-bbox="155 590 293 642">SIS</div>	<ul style="list-style-type: none"> Other Strategy of Comparable or Greater Effect <hr/> <ul style="list-style-type: none"> Identifies an approach to improvement that will result in enough change in performance for the school to have a plan type assignment of Improvement or above (thus moving off of the accountability clock) within a reasonable time frame. <i>Note: this is a key criterion for evaluation by the State Review Panel</i> <hr/> <ul style="list-style-type: none"> The plan must identify the grant-approved major improvement strategy or strategies.
<p>Action Steps</p> <p><i>Activities that detail how Major Improvement Strategies will be implemented and are specific enough to allow leaders to determine that Major Improvement Strategies have been accomplished.</i></p> <div data-bbox="155 1335 293 1388">CGP</div> <div data-bbox="155 1503 293 1556">St - PI</div> <div data-bbox="155 1577 293 1629">St - T</div> <div data-bbox="155 1650 293 1703">TI - F</div> <div data-bbox="155 1766 293 1818">READ</div>	<ul style="list-style-type: none"> Includes specific details needed to implement the Major Improvement Strategies (e.g. professional development and associated follow up that will be provided, how parents will be engaged in the Major Improvement Strategy). Includes the development of systems and processes for managing the Major Improvement Strategy. Includes the specific steps that any external consultants or contractors (if the school is working with external consultants/contractors) will take to implement the Major Improvement Strategy. Details when implementation benchmarks and interim measures will be analyzed and interpreted and who will be involved. <hr/> <ul style="list-style-type: none"> Action steps (and as appropriate, Major Improvement Strategies) include methods and tactics of the Dropout Prevention Framework. http://www.cde.state.co.us/dropoutprevention/cgp_framework <hr/> <ul style="list-style-type: none"> Includes activities to increase parent engagement in the school. <hr/> <ul style="list-style-type: none"> Action steps address the needs of identified low achieving disaggregated groups. <hr/> <ul style="list-style-type: none"> For schools serving grade(s) K-3, includes strategies that address the needs of K-3 students identified as having significant reading deficiencies (e.g., instructional strategies, parent involvement strategies).

Required Element (definition)	Criteria
	<ul style="list-style-type: none"> The plan must include the grant-funded action steps to improve performance for all students.
Timeline	<ul style="list-style-type: none"> Specifies the month(s) and year when each action step will take place. Identifies a logical sequence of action steps. Represents a two year timeframe.
Key Personnel	<ul style="list-style-type: none"> Describes who will be responsible for implementing the action step(s), may be a position or a role.
Resources	<ul style="list-style-type: none"> Identifies funds (including local, state, federal funds) or other resources (e.g., staff time, expertise, external contracts) necessary to implement the action steps. For example .2FTE of an instructional coach will be devoted to implementing this action step -- local funds and Title I pay for the position). Aligns resources with the proposed action step in a clear manner. Must include total funds and source budgeted for each improvement strategy, including local, state and federal funds. Specifies the amount of the resource (money, time). Identifies use of funds that is appropriate and allowable for each funding source.
	<ul style="list-style-type: none"> For the 2014-15 school year, if CGP funds will support action steps, the funds are included and aligned with the action steps and major improvement strategies.
	<ul style="list-style-type: none"> For the 2015-16 school year, the plan provides detailed information on funds and other resources that will sustain action steps (and as appropriate, major improvement strategies), including methods and tactics from the Dropout Prevention Framework.
	<ul style="list-style-type: none"> The plan must identify the specific action steps funded, including amount, with the Diagnostic Review and Improvement Planning grant in the Resources column.
Implementation Benchmarks <i>A measure (with associated metric) used to assess the degree to which action steps have been implemented. (Note: not performance measures.)</i>	<ul style="list-style-type: none"> Specifies what will be measured (with associated metrics) and when data will be collected to assess the degree to which Major Improvement Strategies and associated action steps have been implemented. <i>Note: implementation benchmarks may be quantitative or qualitative.</i> Includes an implementation benchmark for every action step. <i>Note: a single implementation benchmark may be used to measure implementation for several different action steps.</i> Identifies implementation benchmarks that have a clear relationship with the associated action step(s).

Required Element (definition)	Criteria
Status <i>Progress toward action step completion</i>	<ul style="list-style-type: none"> • Optional, unless directed by a competitive grant program. • Indicates the status of the action step. • May include specific information, such as date completed.
Additional Documentation 	<p>Required Addendum</p> <ul style="list-style-type: none"> • Required for schools assigned to a Turnaround plan type.
	<p>Required Addendum</p> <ul style="list-style-type: none"> • Required for schools awarded a Tiered Intervention Grant (TIG). <ul style="list-style-type: none"> ○ TIG Schools must complete the addendum that corresponds to the model the school has selected (Turnaround, Transformation, or Closure).