

B+ TREES

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1 DESCRIPTION

B+ Trees

In computer science, a B+ tree (BplusTree) is a type of tree which represents sorted data in a way that allows for efficient insertion, retrieval and removal of records, each of which is identified by a key. It is a dynamic, multilevel index, with maximum and minimum bounds on the number of keys in each index segment (usually called a "block" or "node"). In a B+ tree, in contrast to a B-tree, all records are stored at the leaf level of the tree; only keys are stored in interior nodes.

The primary value of a B+ tree is in storing data for efficient retrieval in a block-oriented storage context in particular, filesystems. This is primarily because unlike binary search trees, B+ trees have very high fanout (typically on the order of 100 or more), which reduces the number of I/O operations required to find an element in the tree.

Relational database management systems such as IBM DB2, Informix, Microsoft SQL Server, Oracle 8, Sybase ASI, PostgreSQL, Firebird and MySQL support this type of tree for table indices. Key-value database management systems such as Tokyo Cabinet and Tokyo Tyrant support this type of tree for data access.

1.1 TIME AND SPACE COMPLEXITY

For a b-order B+ tree with h levels of index:

The maximum number of records stored is $n_{max} = bh$

The minimum number of keys is $n_k = (b/2)h - 1$

The space required to store the tree is $O(n)$

Inserting a record requires $O(\log bn)$ operations in the worst case

Finding a record requires $O(\log bn)$ operations in the worst case

2 ALGORITHM

Algorithm 1 ALGORITHM FOR INSERTION

```
if ( $ROOT \leftarrow null$ ) then
     $ROOT \leftarrow [key]$ 
end if
INCREMENTCOUNT and RETURN ROOT
 $LEAF \leftarrow FINDLEAF(ROOT, key)$ 
if ( $LEAFHASSPACE$ ) then
    INSERTLEAF( $LEAF, key$ ) and RETURN ROOT
    RETURNSPLITLEAF( $ROOT, LEAF, key$ )
end if
```

Algorithm 2 ALGORTIHM TO FIND LEAF

```
NYPEfindleaf(ROOT, key)
if (keyexistsinNODE) then
    ERRORMESSAGE  $\leftarrow$  Noduplicatesallowedandexit(0)
end if
if (ROOT  $\leftarrow$  leaf) then
    RETURNROOT
end if
FINDPOSITIONOFLINK
FINDLEAF(ROOT  $\leftarrow$  LINK, key)
NTYPEINSERTLEAF(LEAF, key)
FINDPOSITIONWHERE THE KEY HAS TO BE PLACED
SHIFTELEMENTS AHEAD TO MAKE ROOM FOR KEY
for (i  $\leftarrow$  LEAF  $\rightarrow$  COUNTtoPOSITION) do
    LEAF  $\rightarrow$  [i] = LEAF  $\rightarrow$  key[i - 1]
end for
LEAF  $\rightarrow$  KEY[POSITION] = key
INCREMENTCOUNT
RETURNCOUNT
```

Algorithm 3 AGORITHM TO SPLIT LEAF

```
NTYPESPLITLEAF(ROOT, LEAF, key
COPYTHECONTENTSOFLAFTOTEMP
for ( $i \leftarrow toLEAF \rightarrow COUNT$ ) do
    TEMP[i] = LEAF  $\rightarrow$  key[i]
end for
FINDPOSITIONWHEREKEYSHOULDBEPLACES
SHIFTELEMENTSTOMAKEROOMFORKEY
while ( $positionislessthanorder - 1$ ) do
    temp[k] = temp[k - 1]
    DECREMENTk
end while
TEMP[POSITION]  $\leftarrow$  key
DETERMINESPLITLENGTH[(n/2)]
PUTVALUESINTOLEAF
for ( $i = 0tolength$ ) do
    LEAF  $\rightarrow$  key[i] = TEMP[i]
end for
PUTVALUESINTONEWLEAF
for ( $i = lengthtoorder$ ) do
    NEWLEAF  $\rightarrow$  KEY[i] = TEMP[i]
    INCREMENTj
end for
NEWLEAF  $\rightarrow$  PARENT = LEAF  $\rightarrow$  PARENT
NEWLEAF  $\rightarrow$  SIBLINGPTR = LEAF  $\rightarrow$  SIBLINGPTR
VAR = NEWLEAF  $\rightarrow$  key[0]
RETURNINSERTPARENT(ROOT, LEAF, NEWLEAF, VAR)
```

Algorithm 4 ALGORITHM TO INSERT PARENT

```
NTYPEINSERTPARENT(ROOT, LEAF1, LEAF2, VAR)
  PARENT = LEAF1 → PARENT
  if (PARENT = NULL) then
    PARENT → key[0] = VAR
    PARENT → ptr[0] = LEAF1
    PARENT → ptr[1] = LEAF2
    LEAF1 → LEAF2 → PARENT
    RETURN PARENT
  end if
  DETERMINEPOSITIONOFLINKFROMPARENTTOLEAF1
  SHIFTPOINTERSANDKEYSAHEADTOMAKESPACE
  for (i = PARENT → counttoPOSITION) do
    PARENT → key[i] → PARENT → key[i - 1]
    PARENT → ptr[i + 1] = PARENT → ptr[i]
  end for
  PARENT → ptr[POSITION + 1] = PARENT → ptr[POSITION]
  PARENT → key[POSITION] = VAR
  PARENT → ptr[POSITION + 1] = LEAF2
  RETURN ROOT
  RETURNSPLITPARENT(ROOT, PARENT, LEAF2, POSITION, VAR)
```

Algorithm 5 ALGORITHM FOR SPLIT PARENT

```

NTYPESPLITPARENT(ROOT, PARENT, LEAF2, POSITION, VAR)
COPYPOINTERSOFKEYSOF PARENTTOTEMP
for ( $i \leftarrow 0$  to  $order - 1$ ) do
    TEMP(POINTER[i]) = PARENT  $\rightarrow$  ptr[i]
    TEMP(POINTER[i]) = PARENT  $\rightarrow$  key[i]
end for
TEMP(POINTER[order - 1]) = PARENT  $\rightarrow$  ptr[order - 1]
SHIFTPOINTERS, KEYS AHEADTOMAKESPACE
for ( $i \leftarrow order - 1$  to POSITION) do
    TEMP(POINTERS[i + 1]) = TEMP(POINTERS[i])
    TEMP(KEY[i]) = TEMP(KEY[i - 1])
    TEMP(POINTER[POSITION + 1]) = LEAF2
end for
TEMP(KEY[POSITION]) = VAR
ERASEPARENT
COPYFIRSTHALFOFPOINTER, KEYTOPARENT
for ( $i \leftarrow toPlength$ ) do
    PARENT  $\rightarrow$  ptr[i] = TEMPPOINTER[i]
end for
CPOYNEXTHALFOFTHEPOINTER, KEYTONEWNODE
for ( $i = Plength$  to  $order - 1$ ) do
    NEWNODE  $\rightarrow$  ptr[j] = TEMPPOINTER[i]
    INTERMEDIATEVALUETOPASS
    Pvar = TEMPKEY[Klength]
    ConnectchildnodeofNEWNODEtoNEWNODE
end for
for ( $i = 0$  to NEWNODE  $\rightarrow$  COUNT) do
    NEWNODE  $\rightarrow$  ptr[i]  $\rightarrow$  NEWNODE
end for
RETURNINSERTPARENT(ROOT, PARENT, NEWNODE, pvar)

```

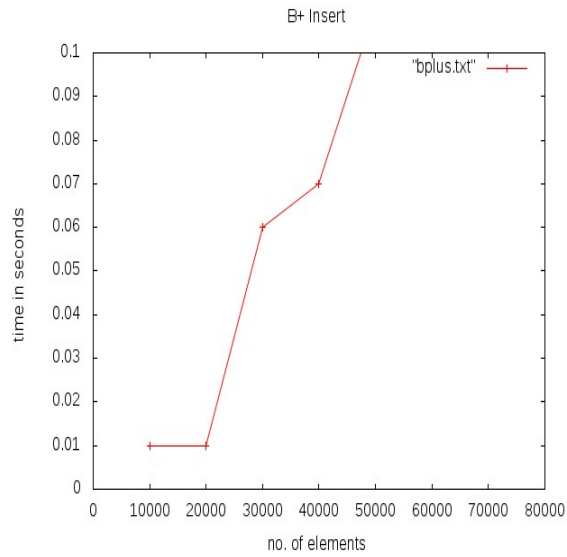


Figure 1: Graph Plot Of B+ Trees

3 PROFILING

Table 1: B+ trees

no of elements	time taken in secs
10000	0.020000
20000	0.020000
30000	0.040000
40000	0.070000
50000	0.100000

4 CONCLUSION

1. We successfully implemented B+ tree insertion which is used in various disk systems.
2. Our code has three versions viz. 1.User-interface 2.Dotty-interface 3.Graph-plot using random function code.
3. Looking at the above graph we can conclude that it takes very less time to insert/ access the data in the tree.
4. To insert the first 10,000 -20,000 elements the graph value was a constant. After 20,000 + elements the growth was linear.
5. This project helped us to re-inforce our programming skills.
6. It also helped us to know the power of Linux(Ubuntu).