

# Equivalence among TDVP and IVD

Alessandro Sinibaldi

March 2022

## 0.1 Introduction

The aim of this document is to show that the time dependent variational principle (TDVP) method and the implicit variational dynamics (IVD) method are equivalent at the first non trivial order in  $dt$ , namely  $O(\delta t^2)$ . Here we will consider the case of real parameters, so the McLachlan's variational principle.

# Chapter 1

## Time dependent variational principle

The quantity to maximize is the fidelity among  $|\phi(t + \delta t)\rangle$  and  $|\psi[\boldsymbol{\theta}(t + \delta t)]\rangle$ :

$$\mathcal{F}(\boldsymbol{\theta}(t)) = \frac{|\langle \phi(t + \delta t) | \psi[\boldsymbol{\theta}(t + \delta t)] \rangle|^2}{\langle \phi(t + \delta t) | \phi(t + \delta t) \rangle \langle \psi[\boldsymbol{\theta}(t + \delta t)] | \psi[\boldsymbol{\theta}(t + \delta t)] \rangle}. \quad (1.1)$$

where:

$$|\phi(t + \delta t)\rangle = |\psi[\boldsymbol{\theta}(t)]\rangle - i\delta t \hat{H} |\psi[\boldsymbol{\theta}(t)]\rangle + O(\delta t^2) \quad (1.2a)$$

$$|\psi[\boldsymbol{\theta}(t + \delta t)]\rangle = |\psi[\boldsymbol{\theta}(t)]\rangle + \delta t \sum_k \dot{\theta}_k(t) \hat{O}_k(t) |\psi[\boldsymbol{\theta}(t)]\rangle + O(\delta t^2). \quad (1.2b)$$

$$\begin{aligned} |\langle \phi(t + \delta t) | \psi(t + \delta t) \rangle|^2 &= |\langle \phi(t) | [\hat{1} + i\delta t \hat{H}] [\hat{1} + \delta t \sum_k \hat{O}_k(t) \dot{p}_k(t)] | \psi(t) \rangle|^2 \\ &= |1 + i\delta t \langle \hat{H} \rangle + \delta t \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle + i\delta t^2 \sum_k \dot{p}_k(t) \langle \hat{H} \hat{O}_k(t) \rangle|^2 \\ &= \left( 1 + i\delta t \langle \hat{H} \rangle + \delta t \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle + i\delta t^2 \sum_k \dot{p}_k(t) \langle \hat{H} \hat{O}_k(t) \rangle \right) \\ &\quad \cdot \left( 1 - i\delta t \langle \hat{H} \rangle + \delta t \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle - i\delta t^2 \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \hat{H} \rangle \right) \\ &= 1 - i\delta t \langle \hat{H} \rangle + \delta t \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle - i\delta t^2 \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \hat{H} \rangle \\ &\quad + i\delta t \langle \hat{H} \rangle + \delta t^2 \langle \hat{H} \rangle^2 + i\delta t^2 \langle \hat{H} \rangle \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle + \delta t \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle \\ &\quad - i\delta t^2 \langle \hat{H} \rangle \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle + \delta t^2 \sum_{k,k'} \langle \hat{O}_k(t) \rangle \langle \hat{O}_{k'}^\dagger(t) \rangle \dot{p}_k(t) \dot{p}_{k'}^*(t) + i\delta t^2 \sum_k \dot{p}_k(t) \langle \hat{H} \hat{O}_k(t) \rangle \\ &= 1 + \delta t \sum_k \left( \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle + \dot{p}_k(t) \langle \hat{O}_k(t) \rangle \right) + i\delta t^2 \langle \hat{H} \rangle \sum_k \left( \langle \dot{p}_k^*(t) \hat{O}_k^\dagger(t) \rangle - \dot{p}_k(t) \langle \hat{O}_k(t) \rangle \right) \\ &\quad + i\delta t^2 \sum_k \left( \dot{p}_k(t) \langle \hat{H} \hat{O}_k(t) \rangle - \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \hat{H} \rangle \right) + \delta t^2 \sum_{k,k'} \langle \hat{O}_k(t) \rangle \langle \hat{O}_{k'}^\dagger(t) \rangle \dot{p}_k(t) \dot{p}_{k'}^*(t) + \delta t^2 \langle \hat{H} \rangle^2 \end{aligned} \quad (1.3)$$

$$\begin{aligned} \langle \psi(t + \delta t) | \psi(t + \delta t) \rangle &= \langle \psi(t) | [\hat{1} + \delta t \sum_k \hat{O}_k^\dagger(t) \dot{p}_k^*(t)] [\hat{1} + \delta t \sum_k \hat{O}_k(t) \dot{p}_k(t)] | \psi(t) \rangle = \\ &= 1 + \delta t \sum_k \left( \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle + \dot{p}_k(t) \langle \hat{O}_k(t) \rangle \right) + \delta t^2 \sum_{k,k'} \langle \hat{O}_k^\dagger(t) \hat{O}_{k'}(t) \rangle \dot{p}_k^*(t) \dot{p}_{k'}(t) \end{aligned} \quad (1.5)$$

Calling  $|\langle \phi(t + \delta t) | \psi(t + \delta t) \rangle|^2 \equiv N$  and  $\langle \psi(t + \delta t) | \psi(t + \delta t) \rangle \equiv D$ , we can obtain the equation for the time evolution of  $\dot{p}_j(t)$  considering:

$$\frac{\partial \mathcal{F}(\{\dot{p}_k(t), \dot{p}_k^*(t)\})}{\partial \dot{p}_j^*(t)} = 0 \implies \frac{\partial N}{\partial \dot{p}_j^*(t)} D - N \frac{\partial D}{\partial \dot{p}_j^*(t)} = 0 \quad (1.6)$$

The differentiation with respect to  $\dot{p}_j(t)$  would give the equation for the time evolution of  $\dot{p}_j^*(t)$ . Therefore:

$$\frac{\partial N}{\partial \dot{p}_j^*(t)} = \delta t \langle \hat{O}_j^\dagger(t) \rangle + i \delta t^2 \langle \hat{H} \rangle \langle \hat{O}_j^\dagger(t) \rangle - i \delta t^2 \langle \hat{O}_j(t)^\dagger \hat{H} \rangle + \delta t^2 \sum_k \langle \hat{O}_k(t) \rangle \langle \hat{O}_j^\dagger(t) \rangle \dot{p}_k(t) \quad (1.7)$$

$$\frac{\partial D}{\partial \dot{p}_j^*(t)} = \delta t \langle \hat{O}_j^\dagger(t) \rangle + \delta t^2 \sum_k \langle \hat{O}_j^\dagger(t) \hat{O}_k(t) \rangle \dot{p}_k(t) \quad (1.8)$$

Finally:

$$\begin{aligned} \frac{\partial N}{\partial \dot{p}_j^*(t)} D - N \frac{\partial D}{\partial \dot{p}_j^*(t)} &= \delta t \langle \hat{O}_j^\dagger(t) \rangle + i \delta t^2 \langle \hat{H} \rangle \langle \hat{O}_j^\dagger(t) \rangle - i \delta t^2 \langle \hat{O}_j^\dagger(t) \hat{H} \rangle + \delta t^2 \sum_k \langle \hat{O}_k(t) \rangle \langle \hat{O}_j^\dagger(t) \rangle \dot{p}_k(t) \\ &\quad + \delta t^2 \langle \hat{O}_j^\dagger(t) \rangle \sum_k \left( \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle + \dot{p}_k(t) \langle \hat{O}_k(t) \rangle \right) \\ &\quad - \left\{ \delta t \langle \hat{O}_j^\dagger(t) \rangle + \delta t^2 \sum_k \langle \hat{O}_j^\dagger(t) \hat{O}_k(t) \rangle \dot{p}_k(t) \right. \\ &\quad \left. + \delta t^2 \langle \hat{O}_j^\dagger(t) \rangle \sum_k \left( \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle + \dot{p}_k(t) \langle \hat{O}_k(t) \rangle \right) \right\} = 0 \end{aligned} \quad (1.9)$$

$$\implies \boxed{i \sum_k \left( \langle \hat{O}_j^\dagger(t) \hat{O}_k(t) \rangle - \langle \hat{O}_j^\dagger(t) \rangle \langle \hat{O}_k(t) \rangle \right) \dot{p}_k(t) = \left( \langle \hat{O}_j^\dagger(t) \hat{H} \rangle - \langle \hat{O}_j^\dagger(t) \rangle \langle \hat{H} \rangle \right)} \quad (1.10)$$

## Chapter 2

# Implicit variational dynamics

### 2.1 Introduction

The main idea of this method is the same as the previous one, namely approximating the evolution of the exact state using a variational state. However, this time for the exact state we use the implicit evolution formula:

$$\begin{aligned} |\phi(t + \delta t)\rangle &= \exp(-i\delta t \hat{H}) |\phi(t)\rangle = [\exp(i\delta t \hat{H}/2)]^{-1} \exp(-i\delta t \hat{H}/2) |\phi(t)\rangle \\ &\approx \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right)^{-1} \left( \hat{1} - \frac{i\delta t}{2} \hat{H} \right) |\phi(t)\rangle \end{aligned} \quad (2.1)$$

$$\implies \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) |\phi(t + \delta t)\rangle \approx \left( \hat{1} - \frac{i\delta t}{2} \hat{H} \right) |\phi(t)\rangle. \quad (2.2)$$

Therefore, this time we want to maximize the fidelity between the states  $\left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) |\psi(t + \delta t)\rangle$  and  $\left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) |\phi(t + \delta t)\rangle$ . Since we have  $|\psi(t)\rangle = |\phi(t)\rangle$ , this corresponds to the fidelity between the states  $\left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) |\psi(t + \delta t)\rangle$  and  $\left( \hat{1} - \frac{i\delta t}{2} \hat{H} \right) |\psi(t)\rangle$ , namely:

$$\begin{aligned} \mathcal{F} \left( \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) |\psi(t + \delta t)\rangle, \left( \hat{1} - \frac{i\delta t}{2} \hat{H} \right) |\psi(t)\rangle \right) &= \\ &= \frac{|\langle \psi(t) | \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) |\psi(t + \delta t)\rangle|^2}{\langle \psi(t) | \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) \left( \hat{1} - \frac{i\delta t}{2} \hat{H} \right) |\psi(t)\rangle \langle \psi(t + \delta t) | \left( \hat{1} - \frac{i\delta t}{2} \hat{H} \right) \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) |\psi(t + \delta t)\rangle}. \end{aligned} \quad (2.3)$$

### 2.2 Time evolution of the parameters

For  $|\psi(t + \delta t)\rangle$ , we employ the same linearization as before. The norm of  $\left( \hat{1} - \frac{i\delta t}{2} \hat{H} \right) |\psi(t)\rangle$  at the denominator of (2.3) does not contain the parameters  $\{\dot{p}_k(t)\}$  and, thus, can be excluded from the optimization. Let's compute the other two terms (up to order  $O(\delta t^2)$ ):

$$\begin{aligned} |\langle \psi(t) | \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) |\psi(t + \delta t)\rangle|^2 &= |\langle \psi(t) | \left( \hat{1} + i\delta t \hat{H} - \frac{\delta t^2}{4} \hat{H}^2 \right) |\psi(t + \delta t)\rangle|^2 = \\ &= |\langle \psi(t) | \left( \hat{1} + \delta t \sum_k \hat{O}_k(t) \dot{p}_k(t) \right) |\psi(t)\rangle + i\delta t \langle \psi(t) | \hat{H} \left( \hat{1} + \delta t \sum_k \hat{O}_k(t) \dot{p}_k(t) \right) |\psi(t)\rangle \end{aligned} \quad (2.4)$$

$$\begin{aligned}
& -\frac{\delta t^2}{4} \langle \psi(t) | \hat{H}^2 \left( \hat{1} + \delta t \sum_k \hat{O}_k(t) \dot{p}_k(t) \right) | \psi(t) \rangle|^2 = |1 + \delta t \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle + i\delta t \langle \hat{H} \rangle \\
& + i\delta t^2 \sum_k \dot{p}_k(t) \langle \hat{H} \hat{O}_k(t) \rangle - \frac{\delta t^2}{4} \langle \hat{H}^2 \rangle|^2 = \left( 1 + \delta t \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle + i\delta t \langle \hat{H} \rangle \right. \\
& i\delta t^2 \sum_k \dot{p}_k(t) \langle \hat{H} \hat{O}_k(t) \rangle - \frac{\delta t^2}{4} \langle \hat{H}^2 \rangle \left. \right) \cdot \left( 1 + \delta t \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle - i\delta t \langle \hat{H} \rangle \right. \\
& - i\delta t^2 \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger \hat{H}(t) \rangle - \frac{\delta t^2}{4} \langle \hat{H}^2 \rangle \left. \right) = 1 + \delta t \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle - i\delta t \langle \hat{H} \rangle \\
& - i\delta t^2 \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger \hat{H}(t) \rangle - \frac{\delta t^2}{4} \langle \hat{H}^2 \rangle + \delta t \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle \\
& \delta t^2 \sum_{k,k'} \langle \hat{O}_k(t) \rangle \langle \hat{O}_{k'}^\dagger(t) \rangle \dot{p}_k(t) \dot{p}_{k'}^*(t) - i\delta t^2 \langle \hat{H} \rangle \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle + i\delta t \langle \hat{H} \rangle \\
& + i\delta t^2 \langle \hat{H} \rangle \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle + \delta t^2 \langle \hat{H} \rangle^2 + i\delta t^2 \sum_k \dot{p}_k(t) \langle \hat{H} \hat{O}_k(t) \rangle - \frac{\delta t^2}{4} \langle \hat{H}^2 \rangle \\
& = 1 + \delta t \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle - i\delta t^2 \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger \hat{H}(t) \rangle - \frac{\delta t^2}{2} \langle \hat{H}^2 \rangle \\
& + \delta t \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle + \delta t^2 \sum_{k,k'} \langle \hat{O}_k(t) \rangle \langle \hat{O}_{k'}^\dagger(t) \rangle \dot{p}_k(t) \dot{p}_{k'}^*(t) - i\delta t^2 \langle \hat{H} \rangle \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle + \\
& + i\delta t^2 \langle \hat{H} \rangle \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle + \delta t^2 \langle \hat{H} \rangle^2 + i\delta t^2 \sum_k \dot{p}_k(t) \langle \hat{H} \hat{O}_k(t) \rangle
\end{aligned} \tag{2.5}$$

$$\begin{aligned}
& \langle \psi(t + \delta t) | \left( \hat{1} - \frac{i\delta t}{2} \hat{H} \right) \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) | \psi(t + \delta t) \rangle = \langle \psi(t) | \left( \hat{1} + \delta t \sum_k \hat{O}_k^\dagger \dot{p}_k^* \right) \\
& \left( \hat{1} + \frac{\delta t^2}{4} \hat{H}^2 \right) \left( \hat{1} + \delta t \sum_k \hat{O}_k \dot{p}_k \right) | \psi(t) \rangle = \left( 1 + \delta t \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle + \frac{\delta t^2}{2} \langle \hat{H}^2 \rangle \right. \\
& \left. + \delta t \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger \rangle + \delta t^2 \sum_{k,k'} \langle \hat{O}_k^\dagger(t) \hat{O}_{k'}(t) \rangle \dot{p}_k^*(t) \dot{p}_{k'}(t) \right)
\end{aligned} \tag{2.6}$$

Calling  $|\langle \psi(t) | \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) | \psi(t + \delta t) \rangle|^2 \equiv N$  and  $\langle \psi(t + \delta t) | \left( \hat{1} - \frac{i\delta t}{2} \hat{H} \right) \left( \hat{1} + \frac{i\delta t}{2} \hat{H} \right) | \psi(t + \delta t) \rangle \equiv D$ , as before, we compute:

$$\frac{\partial N}{\partial \dot{p}_j^*} = \delta t \langle \hat{O}_j^\dagger(t) \rangle - i\delta t^2 \langle \hat{O}_j^\dagger(t) \hat{H} \rangle + \delta t^2 \sum_k \langle \hat{O}_k(t) \rangle \langle \hat{O}_j^\dagger(t) \rangle \dot{p}_k(t) + i\delta t^2 \langle \hat{H} \rangle \langle \hat{O}_j^\dagger(t) \rangle \tag{2.7}$$

$$\frac{\partial D}{\partial \dot{p}_j^*} = \delta t \langle \hat{O}_j^\dagger(t) \rangle + \delta t^2 \sum_k \langle \hat{O}_j^\dagger(t) \hat{O}_k(t) \rangle \dot{p}_k(t) \tag{2.8}$$

Finally:

$$\begin{aligned}
& \frac{\partial N}{\partial \dot{p}_j^*} D - \frac{\partial D}{\partial \dot{p}_j^*} N = \delta t \langle \hat{O}_j^\dagger(t) \rangle - i\delta t^2 \langle \hat{O}_j^\dagger(t) \hat{H} \rangle + \delta t^2 \sum_k \langle \hat{O}_k(t) \rangle \langle \hat{O}_j^\dagger(t) \rangle \dot{p}_k(t) + i\delta t^2 \langle \hat{H} \rangle \langle \hat{O}_j^\dagger(t) \rangle \\
& + \delta t^2 \langle \hat{O}_j^\dagger(t) \rangle \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle - \left\{ \delta t \langle \hat{O}_j^\dagger(t) \rangle + \delta t^2 \sum_k \langle \hat{O}_j^\dagger(t) \hat{O}_k(t) \rangle \dot{p}_k(t) \right\}
\end{aligned} \tag{2.9}$$

$$+ \delta t^2 \langle \hat{O}_j^\dagger(t) \rangle \sum_k \dot{p}_k^*(t) \langle \hat{O}_k^\dagger(t) \rangle + \delta t^2 \langle \hat{O}_j^\dagger(t) \rangle \sum_k \dot{p}_k(t) \langle \hat{O}_k(t) \rangle \Big\} = 0 \quad (2.10)$$

$$\Rightarrow \boxed{i \sum_k \left( \langle \hat{O}_j^\dagger(t) \hat{O}_k(t) \rangle - \langle \hat{O}_j^\dagger(t) \rangle \langle \hat{O}_k(t) \rangle \right) \dot{p}_k(t) = \left( \langle \hat{O}_j^\dagger(t) \hat{H} \rangle - \langle \hat{O}_j^\dagger(t) \rangle \langle \hat{H} \rangle \right)} \quad (2.11)$$

We obtained the same equation for the time evolution of  $\{\dot{p}_k(t)\}$  as in chapter [1](#).