

# FACIAL EMOTION DETECTION

Using Machine Learning



# PROJECT TEAM

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# AGENDA



**01**

FER Overview



**02**

Approach and  
model  
description



**03**

Results



**04**

Real-time  
demo



# WHAT IS FACIAL EMOTION RECOGNITION?



- Technology that analyzes facial expressions to help determine emotional state
- Uses static images and/or videos
- Goal to automate the process of determining emotions in real-time
- Analyzes various facial features (eyebrows, eyes, mouth, etc.)
- Decoding emotion expressions an interest for decades in psychology
- AI/deep learning utilized to automate and increase accuracy
- CNN ideally suited for this effort
- 55% of emotional information is conveyed visually (Mehrabian, 1967)

# POSSIBLE USES OF EMOTION RECOGNITION

- Check emotions at live performances
- Real-time customer satisfaction
- Product testing
- Market research- focus groups
- Political debate/town hall audience reaction
- Education- curriculum adaptation
- Mental health diagnosis
  - Employee safety
  - Patient care
  - Automotive safety
- Video game- adapt to player mood
- Fraud detection
- Virtual personal assistants/robots
- Employee interviews

- Data Privacy
  - Data breaches
  - Transparency and informed consent
  - Inference of special categories of personal data (health data, political opinions)
  - Accessibility of data by non-authorized entities (employers, health insurers, etc.)
- Data accuracy
  - Multiple emotions experienced simultaneously
  - Camera angles, lighting
  - FER usually treated as 100% accurate
- Fairness
  - Racial/cultural bias
  - Medical conditions causing misclassification
- Media and academic criticism
  - “Microsoft is removing emotion recognition features from its facial recognition tech”, NBCNews.com, June 27, 2022
  - “Artificial Intelligence Is Misreading Human Emotion”, The Atlantic, April 27, 2021

# CONCERNS

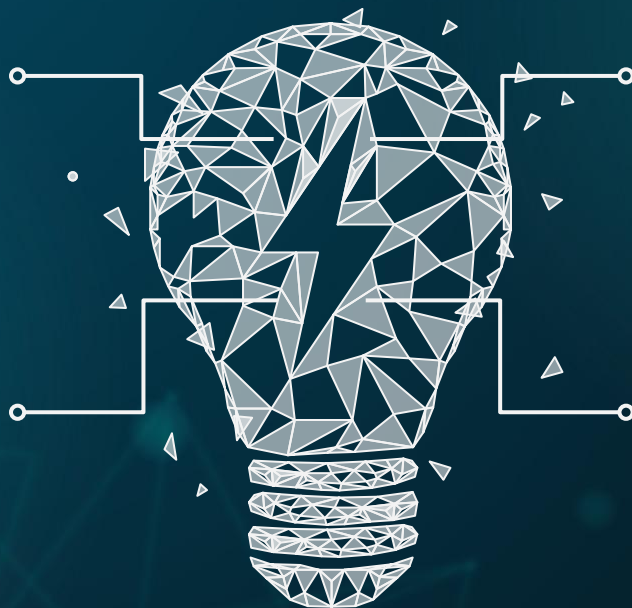
# FER2013 CONTEST

## CONTEST

Associated with ICML 2013  
Workshop on  
Representative Learning

## PRIZE MONEY

First Prize- \$350  
Second Prize- \$150  
(generously donated by Google)



## # OF ENTRIES

56 teams  
Only 4 beat “null” model  
(CNN with no learning  
except in final classification  
layer)

## WINNING ACCURACY

71.162%



# FER2013 DATASET



## GREYSCALE IMAGES OF FACES

48 x 48 pixels



## 7 CATEGORIES OF EMOTIONS

Angry | Fear | Disgust | Happy | Sad | Surprise | Neutral



## ROBUST DATASET

35,888 faces



# RESOURCES USED



**AWS/S3**



**TensorFlow/Keras**



**Pandas**



**SKLearn**

# APPROACH

- Preprocess data
- Create model
- Evaluate model
- Make predictions

# Preprocessing

Create arrays for each image

Separate out the emotion assigned to each image

Split data into the test and training data sets

Apply Data Augmentation to the data

# Data Augmentation

- Method used to alter images in the dataset ex. rotate, zoom in, flip it, increase width , increase height.
- Benefits:
  - Increases the size of the data set .
  - Exposes model to a wider range of variation in the data which makes the model stronger and better to handle real world scenarios where images will have all different types of orientations and sizes.
  - Reduces overfitting - Since random variations are added to the data, the model is prevented from relying too heavily on specific details or relationships within the data.
  - Can address class imbalance.
  - Overall, the model will be much better at making predictions on new unseen data.

# Data Augmentation

**Rotation range** = 20 - rotates images randomly within a range of -20 to 20 degrees

**Width shift range** = 0.2 - shifts width by up to 20% of total width

**Height shift range** = 0.2- shifts height by up to 20% of total height

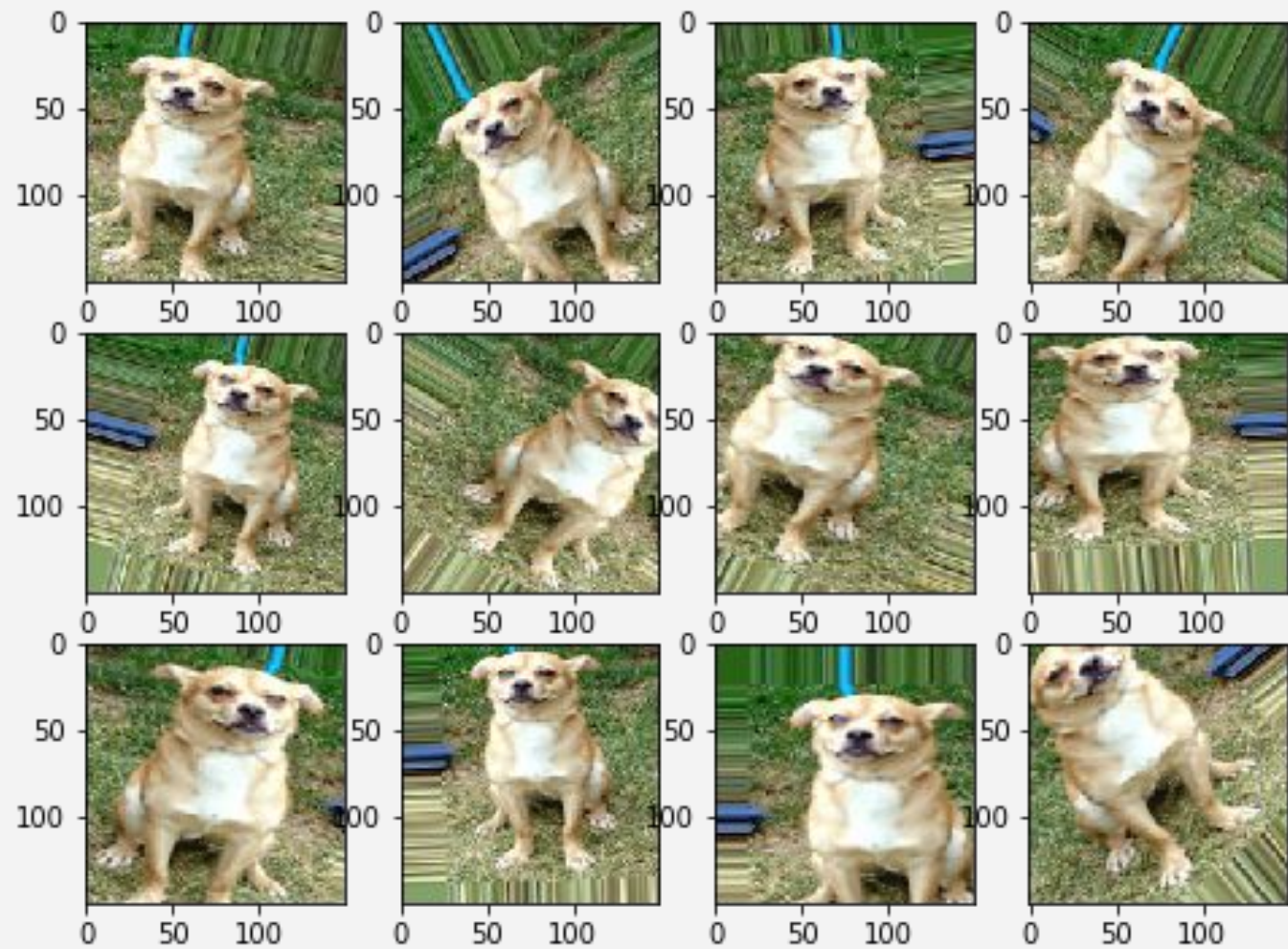
**Shear range** = 0.2 - Changes the viewpoint of the image with range of -0.2 to 0.2

**Zoom\_range** = 0.2 - zooms in images by up to 20%

**Horizontal flip** = True - Mirrors image

**Fill mode** = nearest - Fills in any empty pixels with the values of the nearest pixel





# Layer Definitions

**Convolutional Layer** - A filter or window that slides over the input data, capturing local patterns or features.

**Dense layer** - a layer where every neuron is connected to every neuron in the previous layer. It performs a linear transformation followed by a nonlinear activation allowing the network to learn and represent complex patterns in the data.

**Batch normalization** - Adjusts values of the inputs within each mini-batch of training data to ensure that they have similar statistics, such as mean and variance. Helps the network learn more efficiently

**Dropout Layer** - Randomly deactivates a fraction of the neurons in a layer during training to introduce noise and prevent overfitting. It forces the model to learn more independent features

**Maxpooling layer** - Divides the input data into non-overlapping regions and selects the maximum value from each region. By selecting the maximum value from each region, it captures the strongest activation or presence of a feature in that region.



# Creating the model

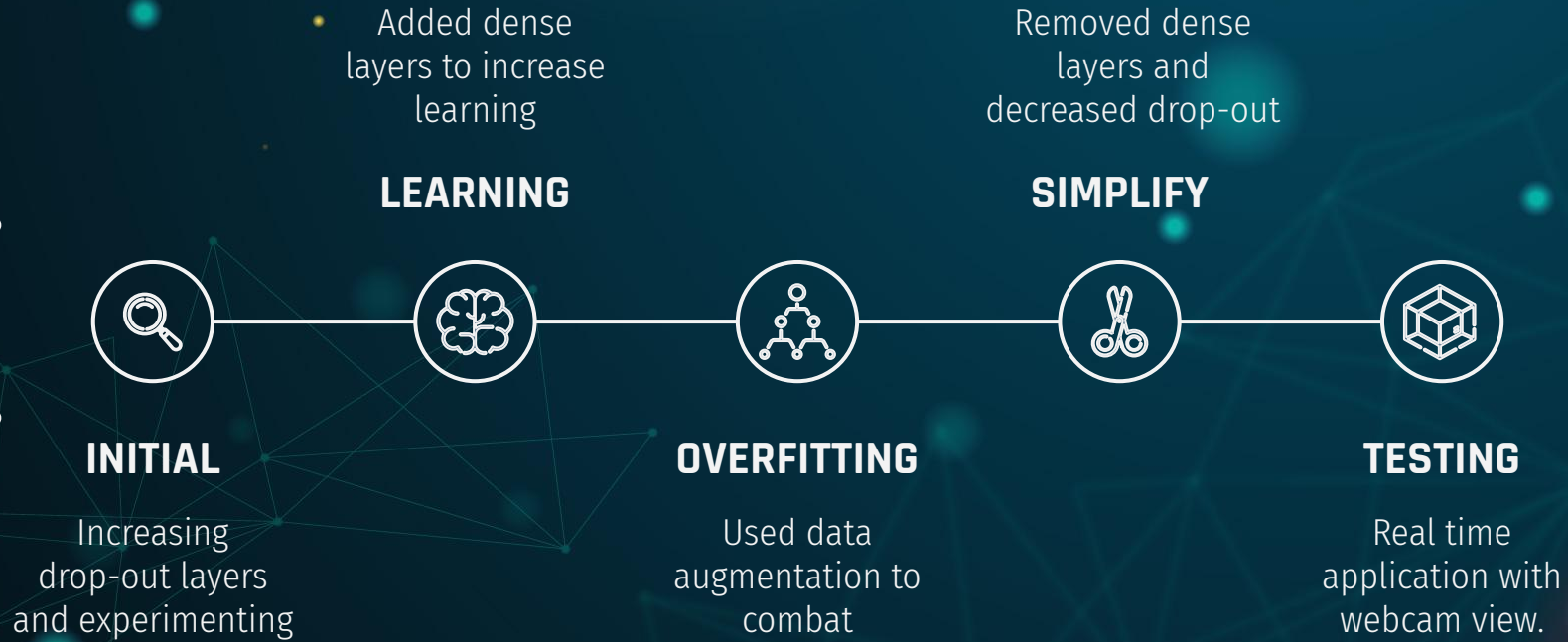
At first, the model did really well on the training, but not on testing.

## **Overfitting**

Approaches to overfitting:

- Drop out layers
- Data Augmentation
- Adding more learning layers (dense layers)
- Slower learn rate

# Creating the model



# Final Model

- 10 Convolutional layers
  - Between 64 and 512 neurons for each layer
- 8 batch normalization layers
- 4 max pooling layers
- 3 dropout layers
  - Each deactivation rate is set at 10%
- 1 dense layer
  - Output layer
- Training Accuracy: 69.7%
- Training loss: 0.82
- Testing Accuracy: 66.5%
- Testing Loss: 0.93

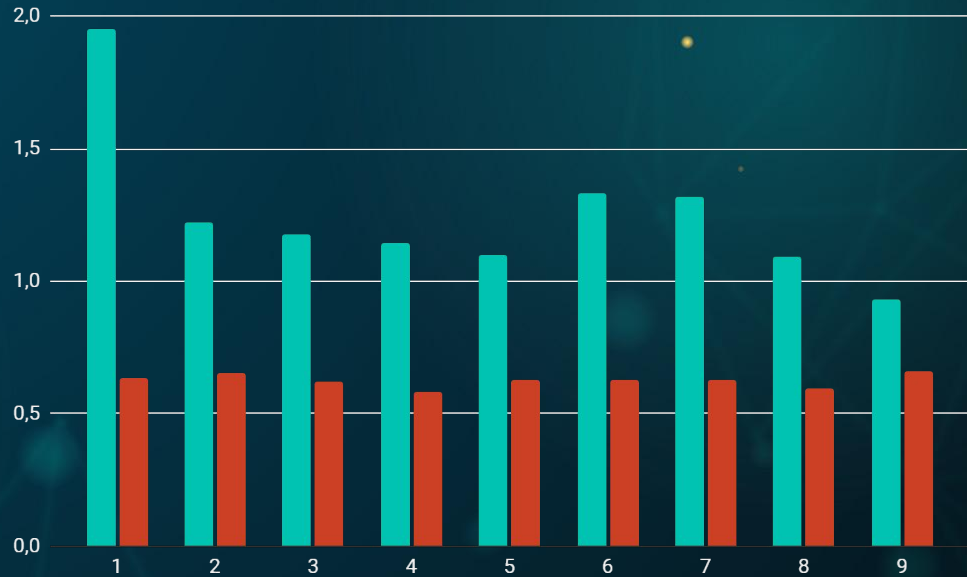
# RESULTS



LOSS



ACCURACY



**DEMO**

**Real-time FER**

