

Our goal of this proof is to prove that for all trees t :

$$(1) \text{Party } t = \text{Party-tr-call } t$$

In order to do this we need to generalize our theorem to work with any continuation. Furthermore we will have to break this proof into parts to examine and compare the functions `party` and `party-tr`, `party-in` and `party-in-tr`, `party-out` and `party-out-tr`, and `append` and `append-tr`.

First we want to prove that for all lists l_1 and l_2

$$(2) \text{append } l_1 l_2 = \text{append-tr } l_1 l_2$$

Note here that `append` is just the expanded code for the built-in OCaml function @. Now in order to prove this we have to generalize it such that for all lists l_2 and functions `cont : 'a list \rightarrow 'b`,

$$(3) \text{cont}(\text{append } l_1 l_2) = \text{append-helper } l_1 l_2 \text{ cont}$$

Where the code for both is below:

```
31 let rec append l1 l2 =
32   match l1 with
33   | [] -> l2
34   | h::t -> h :: (append t l2)
35
36 let append_tr l1 l2 =
37   let rec append_helper l1 l2 cont =
38     match l1 with
39     | [] -> cont l2
40     | h::t -> append_helper t l2 (fun r -> cont (h::r))
41   in
42     append_helper l1 l2 (fun r -> r)
```

Base Case: $l1 = []$

LHS: $\text{cont}(\text{append} \ [] l2)$
 $\Rightarrow \text{cont } l2$

by prog append

RHS: $\text{append_helper} \ [] l2 \ \text{cont}$

$\Rightarrow \text{cont } l2$

by prog append-helper

so clearly the LHS and RHS evaluate to the same value.

Inductive Step: $l1 = h :: t$

IH: $\text{cont}'(\text{append } t \ l2) = \text{append_helper } t \ l2 \ \text{cont}'$
for all $l2$ and $\text{cont}' : \text{'a list} \rightarrow \text{'b}$

LHS: $\text{cont}(\text{append}(h :: t) \ l2)$

$\Rightarrow \text{cont}(h :: (\text{append } t \ l2))$

by prog append

RHS: $\text{append_helper}(h :: t) \ l2 \ \text{cont}$

$\Rightarrow \text{append_helper } t \ l2 \ (\text{fun } r \rightarrow \text{cont}(h :: r))$

by prog append-helper

$\Rightarrow (\text{fun } r \rightarrow \text{cont}(h :: r))(\text{append } t \ l2)$ by IH where

$\text{cont}' = \text{fun } r \rightarrow \text{cont}(h :: r)$

$\Rightarrow \text{cont}(h :: (\text{append } t \ l2))$ by evaluating the function application

so clearly the LHS and RHS evaluate to the same value thus we have proved (3)

Now we can prove (2) for all $l1$ and $l2$:

LHS: $\text{append } l1 \ l2$

RHS: $\text{append_tr } l1 \ l2$

$\Rightarrow \text{append_helper } l1 \ l2 \ (\text{fun } r \rightarrow r)$ by prog append-tr

$\Rightarrow (\text{fun } r \rightarrow r)(\text{append } l1 \ l2)$ by (3)

$\Rightarrow \text{append } l1 \ l2$ by evaluating the function application

So clearly the LHS and RHS evaluate to the same value thus we have also proven (2)

NOW we want to prove that for all trees t and functions cont : int * string list

$$(4) \text{cont}(\text{party } t) = \text{party_tr } t \text{ cont}$$

where the code for both is below:

```

36 (* Memoized Algorithm *)
37 type tree = Empty
38   | Node of int * string * tree * tree *
39     ((int*string list) option) ref
40
41 let rec party(t: int * string list =
42   match t with
43     Empty -> (@, [])
44   | Node(v, name, left, right, memo) ->
45     (match !memo with
46       Some result -> result
47     | None ->
48       let (infun, innames) = party_in(t) in
49       let (outfun, outnames) = party_out(t) in
50       let result =
51         if infun > outfun then (infun, innames)
52         else (outfun, outnames)
53       in
54       (memo := Some result; result)
55
56 and party_in(t) =
57   match t with
58     Empty -> (@, [])
59   | Node(v, name, l, r, _) ->
60     let (lfun, lnames) = party_out(l)
61     and (rfun, rnames) = party_out(r) in
62     (v + lfun + rfun, name :: (append lnames rnames))
63
64 and party_out(t) =
65   match t with
66     Empty -> (@, [])
67   | Node(v, name, l, r, _) ->
68     let (lfun, lnames) = party(l)
69     and (rfun, rnames) = party(r) in
70     (lfun + rfun, append lnames rnames)
71
72 (* Tail-recursive Algorithm *)
73 let rec party_tr (t: tree) (cont: int * string list -> 'a) : 'a =
74   match t with
75     | Empty -> cont (@, [])
76     | Node (v, name, _, _, memo) ->
77       match !memo with
78         | Some result -> cont result
79         | None ->
80           party_in_tr t (fun (infun, innames) ->
81             party_out_tr t (fun (outfun, outnames) ->
82               let result =
83                 if infun > outfun then (infun, innames)
84                 else (outfun, outnames)
85               in
86               memo := Some result; cont result)
87
88 and party_in_tr (t: tree) (cont: int * string list -> 'a) : 'a =
89   match t with
90     | Empty -> cont (@, [])
91     | Node (v, name, l, r, memo) ->
92       party_out_tr l (fun (lfun, lnames) ->
93         party_out_tr r (fun (rfun, rnames) ->
94           let result = (v + lfun + rfun, name :: (append_tr lnames rnames))
95           in
96           cont result)
97
98
99 and party_out_tr (t: tree) (cont: int * string list -> 'a) : 'a =
100   match t with
101     | Empty -> cont (@, [])
102     | Node (v, name, l, r, memo) ->
103       party_tr l (fun (lfun, lnames) ->
104         party_tr r (fun (rfun, rnames) ->
105           let result = (lfun + rfun, append_tr lnames rnames) in
106           cont result)
107
108
109
110
111
112 (* Driver function *)
113 let party_tr_call (t: tree) =
114   party_tr t (fun r -> r)

```

We can do so by doing a proof by structural induction on t:

Base case: $t = \text{Empty}$

LHS: $\text{cont}(\text{party } \text{Empty})$
 $\Rightarrow \text{cont}(@, [])$ by prog party

RHS: $\text{party_tr } \text{Empty } \text{cont}$

$\Rightarrow \text{CONT}([0, []])$ by prog Party-tr

So clearly LHS and RHS evaluate to the same value

Inductive Step: $t = \text{Node}(v, \text{name}, l, r, \text{memo})$

IH1: $\text{CONT_L}(\text{Party_l}) = \text{Party_tr_l} \text{CONT_L}$
for all CONT_L

IH2: $\text{CONT_R}(\text{Party_r}) = \text{Party_tr_r} \text{CONT_R}$
for all CONT_R

Now we can proceed by examining two cases
based on the result of pattern matching!MEMO

Case 1: !MEMO = Some result

LHS: $\text{CONT}(\text{Party Node}(v, \text{name}, l, r, \text{memo}))$
 $\Rightarrow \text{CONT RESULT}$ by prog Party

RHS: $\text{Party_tr Node}(v, \text{name}, l, r, \text{memo}) \text{CONT}$
 $\Rightarrow \text{CONT RESULT}$ by prog party-tr

So clearly in this case the LHS and RHS evaluate to the same value.

Case 2: !MEMO = None

Now in order to complete the rest of this proof, we will have to proceed by first proving, again by structural induction on a tree t map

(5) $\text{CONT_OUT}(\text{Party_OUT } t) = \text{Party_OUT_tr } t \text{ cont_out}$
for all CONT_OUT

Base Case: $t = \text{EMPTY}$

LHS: $\text{CONT_OUT}(\text{Party_OUT EMPTY})$

$\Rightarrow \text{CONT-OUT } (0, [])$ by prog party-out

RHS: party-out-tr Empty cont-out

$\Rightarrow \text{CONT-OUT } (0, [])$ by prog party-out-tr

Inductive Step: $t = \text{Node}(v, \text{name}, l, r, \text{memo})$

Note here we will add an additional two inductive hypotheses that we won't use directly to prove (5) but that we will need later on in the proof.

IH 3: $\text{CONT-OUT-L}(\text{party-out } l) = \text{party-out-}tv \ l \ \text{CONT-OUT-L}$
for all CONT-OUT-L

IH 4: $\text{CONT-OUT-R}(\text{party-out } r) = \text{party-out-}tr \ r \ \text{CONT-OUT-R}$
for all CONT-OUT-R

* let Party l = (lfun, lnames) *

* let Party r = (rfun, rnames) *

LHS: $\text{CONT-OUT}(\text{party-out } \text{Node}(v, \text{name}, l, r, \text{memo}))$
 $\Rightarrow \text{CONT-OUT}(\text{lfun} + \text{rfun}, \text{append-lnames rnames})$

by prog party-out

RHS: $\text{party-out-tr } \text{Node}(v, \text{name}, l, r, \text{memo}) \ \text{CONT-OUT}$
 $\Rightarrow \text{party-tr } l \ (\text{fun } (\text{lfun, lnames}) \rightarrow$

$\text{party-tr } r \ (\text{fun } (\text{rfun, rnames}) \rightarrow$

$\text{CONT-OUT}(\text{lfun} + \text{rfun}, \text{append-tr lnames rnames}))$

by prog party-out-tr

$\Rightarrow (\text{fun } (\text{lfun lnames}) \rightarrow \text{party-tr } r \ (\text{fun } (\text{rfun, rnames}) \rightarrow$
 $\text{CONT-OUT}(\text{lfun} + \text{rfun}, \text{append-tr lnames rnames}))$

(Party l)

by IH1

$\Rightarrow \text{party-tr } r \ (\text{fun } (\text{rfun, rnames}) \rightarrow$

$\text{CONT-OUT}(\text{lfun} + \text{rfun}, \text{append-tr lnames rnames}))$

by evaluating the function application

$\Rightarrow (\text{fun } (\text{r fun}, \text{r names}) \rightarrow$
 $\text{CONT_OUT}(\text{l fun} + \text{r fun}, \text{append_tr l names names}))$
 $(\text{Party } r)$

by IH2

$\Rightarrow \text{CONT_OUT}(\text{l fun} + \text{r fun}, \text{append_tr l names names})$
by evaluating the function application

$\Rightarrow \text{CONT_OUT}(\text{l fun} + \text{r fun}, \text{append l names names})$
by (2)

This clearly the LHS and RHS evaluate to the same value so we have proven (S) for all t

Now to proceed with our proof, we still need to prove by structural induction on t that

(6) $\text{CONT_IN}(\text{Party_in } t) = \text{Party_in_tr } t \text{ CONT_IN}$
for all CONT_IN

Base case: $t = \text{EMPTY}$

LHS: $\text{CONT_IN}(\text{Party_in } \text{EMPTY})$
 $\Rightarrow \text{CONT_IN}([0, []])$ by prog Party-in

RHS: $\text{Party_in_tr } \text{EMPTY } \text{CONT_IN}$
 $\Rightarrow \text{CONT_IN}([0, []])$ by prog Party-in-tr

Inductive step: $t = \text{Node}(v, \text{name}, l, r, \text{memo})$
note that we don't need to introduce any additional induction hypotheses here as we can use the formulas we have previously proved

* let Party-out l = (l fun, l names) *
* let Party-out r = (r fun, r names) *

LHS: $\text{cont_in} (\text{Party_in} \text{ Node}(v, \text{name}, l, r, \text{memo}))$
 $\Rightarrow \text{cont_in} (v + l\text{fun} + r\text{fun}, \text{name} :: (\text{append_lnames rnames}))$
 by prog Party_in

RHS: $\text{Party_in_tr} \text{ Node}(v, \text{name}, l, r, \text{memo}) \text{ cont_in}$
 $\Rightarrow \text{Party_out_tr} \text{ l } (\text{fun} (l\text{fun}, \text{lnames}) \rightarrow$
 $\text{Party_out_tr} \text{ r } (\text{fun} (r\text{fun}, \text{rnames}) \rightarrow$
 $\text{cont_in} (v + l\text{fun} + r\text{fun}, \text{name} :: (\text{append_tr lnames rnames})))$
 by prog Party_in_tr

$\Rightarrow (\text{fun} (l\text{fun}, \text{lnames}) \rightarrow \text{Party_out_tr} \text{ r } (\text{fun} (r\text{fun}, \text{rnames}) \rightarrow$
 $\text{cont_in} (v + l\text{fun} + r\text{fun}, \text{name} :: (\text{append_tr lnames rnames})))$
 by (5)

$\Rightarrow \text{Party_out_tr} \text{ r } (\text{fun} (r\text{fun}, \text{rnames}) \rightarrow$
 $\text{cont_in} (v + l\text{fun} + r\text{fun}, \text{name} :: (\text{append_tr lnames rnames})))$
 by evaluating the function application

$\Rightarrow (\text{fun} (r\text{fun}, \text{rnames}) \rightarrow$
 $\text{cont_in} (v + l\text{fun} + r\text{fun}, \text{name} :: (\text{append_tr lnames rnames})))$
 by (5)

$\Rightarrow \text{cont_in} (v + l\text{fun} + r\text{fun}, \text{name} :: (\text{append_tr lnames rnames}))$
 by evaluating the function application

$\Rightarrow \text{cont_in} (v + l\text{fun} + r\text{fun}, \text{name} :: (\text{append lnames rnames}))$
 by (2)

thus clearly the LHS and RHS evaluate to the same value so we have proven (6) for all t.

now we want to come back to our original inductive step which recall we were proving

$$(4) \text{ cont}(\text{Party } t) = \text{Party_tr } t \text{ cont}$$

for $t = (v, \text{name}, l, r, \text{memo})$

and we were in Case 2 where $\text{!memo} = \text{None}$

Now again we have two subcases depending on the tuples returned by Party-in and Party-out

* let $(\text{infun}, \text{innames}) = \text{Party-in } \text{Node}(v, \text{name}, l, r, \text{memo})$ *

* let $(\text{outfun}, \text{outnames}) = \text{party-out } \text{Node}(v, \text{name}, l, r, \text{memo})$ *

Case 2.1 $\text{infun} > \text{outfun}$

LHS: $\text{Cont}(\text{Party Node}(v, \text{name}, l, r, \text{memo}))$
 $\Rightarrow \text{Cont}(\text{infun}, \text{innames})$ by prog party

RHS: $\text{Party-tr } \text{Node}(v, \text{name}, l, r, \text{memo}) \text{ Cont}$
 $\Rightarrow \text{Party-in-tr } \text{Node}(v, \text{name}, l, r, \text{memo}) (\text{fun } (\text{infun}, \text{innames}) \rightarrow$
 $\text{Party-out-tr } \text{Node}(v, \text{name}, l, r, \text{memo}) (\text{fun } (\text{outfun}, \text{outnames}) \rightarrow$
 $\text{Cont}(\text{if } \text{infun} > \text{outfun} \text{ then } (\text{infun}, \text{innames})$
 $\text{else } (\text{outfun}, \text{outnames})))$ by prog party-tr

* note we include the if statement still as we have not passed values to the arguments infun and outfun yet so we don't know which branch will execute *

$\Rightarrow (\text{fun } (\text{infun}, \text{outfun}) \rightarrow$
 $\text{Party-out-tr } \text{Node}(v, \text{name}, l, r, \text{memo}) (\text{fun } (\text{outfun}, \text{outnames}) \rightarrow$
 $\text{Cont}(\text{if } \text{infun} > \text{outfun} \text{ then } (\text{infun}, \text{innames})$
 $\text{else } (\text{outfun}, \text{outnames})))$
 $(\text{Party-in } \text{Node}(v, \text{name}, l, r, \text{memo}))$ by (6)

$\Rightarrow \text{Party-out-tr } \text{Node}(v, \text{name}, l, r, \text{memo}) (\text{fun } (\text{outfun}, \text{outnames}) \rightarrow$
 $\text{Cont}(\text{if } \text{infun} > \text{outfun} \text{ then } (\text{infun}, \text{innames})$
 $\text{else } (\text{outfun}, \text{outnames})))$
by evaluating the function application

$\Rightarrow (\text{fun } (\text{outfun}, \text{outnames}) \rightarrow$
 Cont (if $\text{infun} > \text{outfun}$ then ($\text{infun}, \text{innames}$)
 else ($\text{outfun}, \text{outnames}$))
 (Party-out Node(v, name, l, r, memo)) by (5)

Note that now both infun and outfun have the same value as in the RHS so we can evaluate the if branch

$\Rightarrow \text{Cont } (\text{infun}, \text{innames})$
 by evaluating the function application

Clearly the LHS and RHS evaluate to the same value in this subcase thus (4) holds here

Case 2.2 $\text{infun} \leq \text{outfun}$

LHS: $\text{Cont } (\text{Party Node}(v, \text{name}, l, r, \text{memo}))$
 $\Rightarrow \text{Cont } (\text{outfun}, \text{outnames})$ by prog party

RHS: $\text{Party-tr Node}(v, \text{name}, l, r, \text{memo}) \text{ Cont}$
 $\Rightarrow \text{Party-in-tr Node}(v, \text{name}, l, r, \text{memo}) (\text{fun } (\text{infun}, \text{innames}) \rightarrow$
 $\text{Party-out-tr Node}(v, \text{name}, l, r, \text{memo}) (\text{fun } (\text{outfun}, \text{outnames}) \rightarrow$
 $\text{Cont } (\text{if } \text{infun} > \text{outfun} \text{ then } (\text{infun}, \text{innames})$
 $\text{else } (\text{outfun}, \text{outnames})))$ by prog party-tr

$\Rightarrow (\text{fun } (\text{infun}, \text{outfun}) \rightarrow$
 $\text{Party-out-tr Node}(v, \text{name}, l, r, \text{memo}) (\text{fun } (\text{outfun}, \text{outnames}) \rightarrow$
 $\text{Cont } (\text{if } \text{infun} > \text{outfun} \text{ then } (\text{infun}, \text{innames})$
 $\text{else } (\text{outfun}, \text{outnames})))$
 (Party-in Node(v, name, l, r, memo)) by (6)

$\Rightarrow \text{Party-out-tr Node}(v, \text{name}, l, r, \text{memo}) (\text{fun } (\text{outfun}, \text{outnames}) \rightarrow$
 $\text{Cont } (\text{if } \text{infun} > \text{outfun} \text{ then } (\text{infun}, \text{innames})$
 $\text{else } (\text{outfun}, \text{outnames})))$
 by evaluating the function application

$\Rightarrow (\text{fun } (\text{outfun}, \text{outnames}) \rightarrow$
 Cont(if $\text{infun} > \text{outfun}$ then $(\text{infun}, \text{innames})$
 else $(\text{outfun}, \text{outnames}))$
 $(\text{Party_out Node}(v, \text{name}, l, r, \text{memo}))$ by (5)

$\Rightarrow \text{Cont } (\text{outfun}, \text{outnames})$

by evaluating the function application

Clearly the LHS and RHS evaluate to the same value in this subcase thus (4) holds here also

so, since (4) holds in every case we have proven it is true for all trees t.

Now finally we can prove our original theorem

$$(1) \quad \text{Party } t = \text{Party_tr_call } t$$

LHS: $\text{Party } t$

RHS: $\text{Party_tr_call } t$

$$\begin{aligned} &\Rightarrow \text{Party_tr } t \ (\text{fun } r \rightarrow r) \quad \text{by prog party_tr_call} \\ &\Rightarrow (\text{fun } r \rightarrow r) (\text{Party } t) \quad \text{by (4) where cont} = (\text{fun } r \rightarrow r) \\ &\Rightarrow \text{party } t \quad \text{by evaluating the function application} \end{aligned}$$

thus we have proved that for all trees t, our tail-recursive version of the given party-optimization problem gives the same result.