

# **Flood Prediction IDSS**

Mississippi River at St. Louis

Team 1

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# Chapter 1

## Description of the Domain of Application

The Intelligent Decision Support System (IDSS) developed in this project operates within the domain of **hydrological disaster** management, with a particular focus on the prediction and mitigation of **riverine flooding** along the Mississippi River. Flood events in this region pose substantial risks to public safety, economic continuity, transportation corridors, and critical infrastructure. The goal of the IDSS is to transform multi-source hydrological and meteorological data into actionable predictions and resource-allocation guidance for emergency managers.

### 1.1 Geographical Context

The system targets the **Mississippi River at the St. Louis gauge** (USGS Station 07010000), a location of significant hydrological complexity. This station sits just downstream of the **confluence of the Mississippi and Missouri Rivers**, forming one of the most dynamically responsive hydrological intersections in the United States. Because both upstream rivers can experience rapid flow changes, the water level at St. Louis may rise with little warning, creating a critical need for **accurate forecasts at least 24 hours in advance**. To capture upstream dynamics, the system incorporates two additional USGS stations:

- **Mississippi River at Grafton, IL (USGS 05587450)** — daily upstream indicator;
- **Missouri River at Hermann, MO (USGS 06934500)** — daily upstream indicator.

Meteorological inputs (precipitation, temperature, snowfall, soil moisture) are also integrated to represent basin saturation and runoff potential. Together, these sources provide the foundation for multi-horizon river-stage forecasting.

### 1.2 Operational Objectives and Decision Constraints

Emergency decision-making in this region requires balancing two competing priorities:

## Public Safety: Minimizing False Negatives

Missing a flood event can lead to loss of life, ineffective evacuations, and delayed emergency deployments. For this reason, the IDSS adopts a *Safety-First* forecasting mandate, prioritizing conservative predictions that reduce the likelihood of underestimating flood levels.

## Economic Continuity: Minimizing False Positives

Unnecessary closure of river traffic, industrial operations, and transportation routes can cause significant economic disruption. While the system emphasizes safety, it also monitors false alarms to ensure that operational decisions remain proportional to risk.

These dual objectives shape the design of the forecasting, alerting, and resource allocation components of the IDSS.

### 1.3 Hydrological Classifications

Domain experts define flood severity using static gauge height thresholds. For the St. Louis station, the operational decision-making framework is governed by the specific stages outlined in Table 1.1.

Table 1.1: Official Flood Stages for USGS Station 07010000 (St. Louis)

Stage Name	Gauge Height	Operational Implication
Action Stage	28 ft	Preparation phase; internal monitoring begins.
Flood Stage	30 ft	Minor flooding begins; public advisory issued.
Moderate Flood	35 ft	Some infrastructure impacted; protective measures required.
Major Flood	40 ft	Significant threat to life and property; evacuation likely.

# Chapter 2

## Main Identified Decisions

### 2.1 Decision-Theoretic Influence Model

To provide a formal representation of how uncertainty, decisions, and operational objectives are structured within the IDSS, an influence diagram is introduced. The diagram captures the causal relationships between hydrometeorological forcing, forecasted river stages, zone-level flood risk, and downstream operational decisions such as alert issuance, resource allocation, and evacuation planning. Utility nodes represent the system's core objectives, including public safety, economic and operational efficiency, and equity-driven fairness considerations.

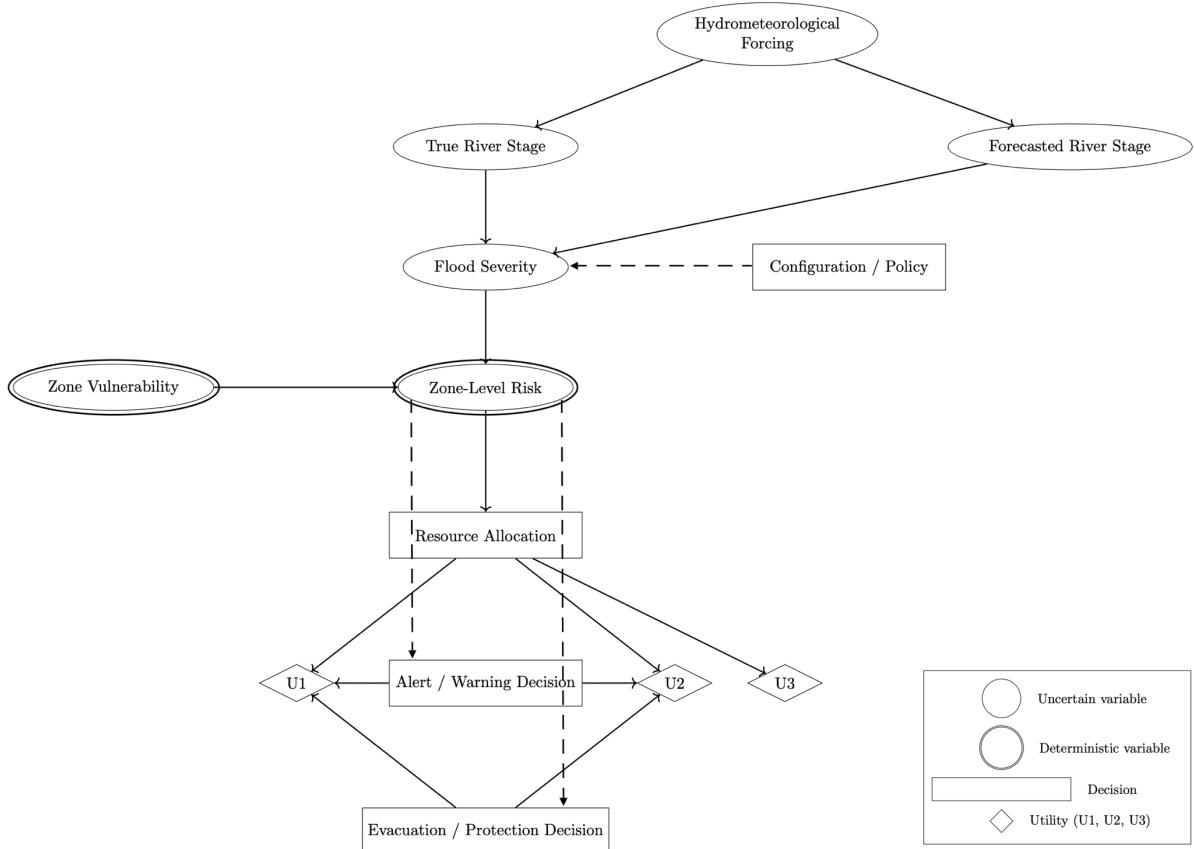


Figure 2.1: Influence Diagram (U1 : Public Safety Utility, U2 : Economic & Operational Cost Utility, U3 : Equity & Fairness Utility)

While the influence diagram formalises the internal decision logic of the IDSS, effective flood management also requires clear delineation of human roles and authority. The interacting actors diagram illustrates the principal operational stakeholders who engage with the system, highlighting the human-in-the-loop nature of all safety-critical decisions. The IDSS functions strictly as a decision-support tool, providing recommendations and risk assessments, while final authorisation, escalation, and policy enforcement remain under the responsibility of designated emergency management personnel. This separation ensures accountability, preserves institutional control, and aligns the system with real-world emergency governance structures.

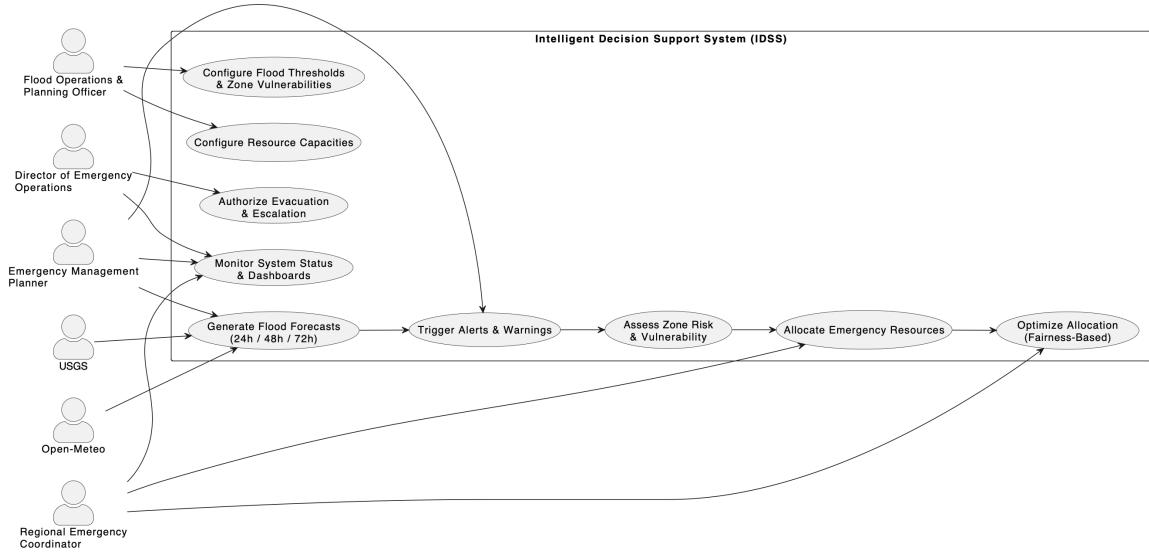


Figure 2.2: Actors Diagram

## 2.2 Predictive Decisions

Accurate river-stage forecasting is the foundation for all subsequent actions supported by the IDSS. The system assists decision makers in:

- **Forecasting river levels at multiple horizons (24h, 48h, 72h)** using a hybrid architecture composed of Gradient Boosting, LSTM networks, and Bayesian regression models. These predictions provide early situational awareness of potential flood development.
- **Identifying exceedance of official flood thresholds**—Action (28 ft), Flood (30 ft), Moderate (35 ft), and Major (40 ft)—based on predicted crest levels.
- **Accounting for prediction uncertainty** using conservative transformations such as the Bayesian upper-confidence bound ( $\mu + 2\sigma$ ), ensuring preparedness for high-impact scenarios.
- **Selecting the highest-risk forecast among the component models** through a Safety-First ensemble strategy, which adopts the maximum predicted river level to minimize the likelihood of missed flood events.

## 2.3 Alert and Warning Decisions

The IDSS provides structured support for issuing public and operational alerts by enabling decisions related to:

- **Triggering flood alerts** when forecasted stages exceed the 30 ft Flood Stage threshold, with escalation to Moderate or Major warnings if predictions cross higher stages.
- **Evaluating safety-critical performance**, with emphasis on missed-flood counts and recall, ensuring alerts are issued even under uncertainty.
- **Communicating risk severity** in a standardized manner so that agencies can share a unified operational picture when escalating warnings.

## 2.4 Resource Allocation Decisions

Through a fuzzy logic-based allocation subsystem, the IDSS supports decisions concerning the activation and distribution of emergency resources:

- **Determining activation levels for heterogeneous response units**, including UAV reconnaissance, flood-defense crews, pumping units, swiftwater rescue teams, evacuation support, medical teams, and critical-infrastructure protection forces.
- **Prioritizing zones for intervention** using both structural vulnerability indicators (river proximity, elevation, population density, critical infrastructure) and probabilistic flood-stage risk levels.
- **Mapping flood severity and vulnerability to operational activation levels** via Mamdani fuzzy inference rules, producing graded outputs (None, Low, Medium, High, Very High).
- **Allocating limited emergency resources** using a priority index that balances imminent hazard and vulnerability, ensuring efficient and justified deployment of scarce units.

## 2.5 Evacuation and Safety Decisions

The system also aids decisions related to life-safety operations, including:

- **Identifying zones requiring evacuation or shelter preparation** when predicted river levels reach Moderate or Major flood stages.
- **Prioritizing densely populated or highly vulnerable areas** through fuzzy indicators such as *PopHigh* and *CIHigh*, which amplify activation levels in sensitive regions.
- **Guiding deployment of swiftwater rescue teams** in locations where predicted flood conditions and topographic factors suggest hazardous flow and limited roadway access.

## 2.6 Infrastructure Protection Decisions

To sustain essential services during a flood event, the IDSS supports decisions regarding:

- **Pre-emptive protection of critical infrastructure** such as hospitals, utilities, transportation nodes, and other essential facilities when risk indices exceed operational thresholds.
- **Allocating engineering and pumping resources** to mitigate exposure in zones with high river proximity or structural vulnerability.
- **Recognizing cascading infrastructure risks**, ensuring that failure of key systems is anticipated and mitigated through targeted protective actions.

## 2.7 Real-Time Adjustment Decisions

Because flood conditions evolve rapidly, the IDSS enables dynamic adaptation through decisions such as:

- **Continuously updating forecasts** using real-time hydrological and meteorological data feeds.
- **Reassessing alert levels and resource allocations** as updated predictions modify projected risk.
- **Adjusting vulnerability–risk weighting** within the priority index as hazard conditions change, ensuring that decisions remain robust to uncertainty.

The decisions identified in this chapter reflect the dual role of the IDSS as both a predictive analytics tool and an operational planning system. By combining a Safety-First forecasting strategy with a structured, fuzzy logic-based resource allocation framework, the IDSS supports emergency agencies in making transparent, data-driven, and conservative decisions that prioritize human safety and infrastructure resilience.

# Chapter 3

## Functional Architecture of the IDSS Prototype

The IDSS is implemented as a modular Python pipeline comprising five functional layers:

1. **Ingestion Layer:** A dynamic loader that aligns asynchronous inputs (hourly weather vs. daily river levels).
2. **Transformation Layer:** A polynomial interpolation engine that upsamples coarse upstream data to match the target frequency.
3. **Forecasting Layer:** A parallel bank of three distinct models (Gradient Boosting, Recurrent Neural Network, Bayesian Inference).
4. **Synthesis Layer:** An Ensemble aggregation module that executes the "Safety Max" logic.
5. **Presentation Layer:** A reporting engine that generates Safety Quadrant plots and Reliability Diagrams.

### 3.1 Web User Interface Architecture and Implementation

The Web User Interface (WebUI) constitutes the primary interaction layer of the IDSS, providing decision-makers with intuitive access to flood forecasts, geospatial risk assessments, and resource allocation recommendations. Rather than encapsulating the full system, the WebUI acts as a client-side application that consumes backend services and presents their outputs in an operationally meaningful manner.

#### 3.1.1 Data Access and Integration

The WebUI does not directly manage persistent data storage; instead, it interacts with the IDSS backend through a well-defined RESTful API. All hydrological data, predictions, geospatial information, and optimisation results displayed in the interface are retrieved on demand from backend endpoints backed by a PostgreSQL/PostGIS database.

This separation of concerns ensures that the WebUI remains lightweight and responsive, while benefiting from the backend's robust data management and spatial querying capabilities.

## Logical Data Model

From the perspective of the WebUI, the underlying database schema is exposed through structured API responses corresponding to the following logical entities:

- **Hydrological Observations:** Time-series data on precipitation, river gauge levels, and meteorological variables, used for historical inspection and contextual awareness.
- **Flood Predictions:** Short-term forecasts (1–3 days) enriched with uncertainty intervals and probability estimates, enabling informed risk-based decision-making.
- **Flood Zones:** Spatially defined regions associated with risk levels, population attributes, and infrastructure characteristics.
- **Geospatial Boundaries:** GeoJSON-formatted representations of zones and administrative areas, supporting interactive map visualisation.
- **Resource Configurations:** Definitions of available emergency resources and capacity constraints used to visualise and interpret optimisation outputs.

### 3.1.2 Frontend Technological Stack

The WebUI is implemented as a single-page application (SPA) using modern web technologies to ensure responsiveness, scalability, and maintainability.

#### Core Framework

The interface is developed using React 18 with TypeScript, providing component-based modularity and static type checking. This combination improves code reliability and facilitates collaboration and long-term maintenance.

#### Supporting Libraries

Several specialised libraries are integrated to address the specific functional requirements of the WebUI:

- **Vite:** Acts as the build system and development server, enabling fast iteration through efficient hot module replacement and optimised production builds.
- **Tailwind CSS:** Implements a utility-first styling approach, ensuring visual consistency and rapid UI prototyping.
- **React Leaflet:** Provides interactive geospatial visualisation, including layered maps, custom markers, and dynamic styling of flood zones.
- **Recharts:** Supports time-series and statistical visualisation of hydrological data and prediction outputs.
- **Radix UI:** Supplies accessible UI primitives, ensuring compliance with accessibility standards critical for emergency management environments.

### **3.1.3 Deployment Context**

The WebUI is deployed as a containerised service and served via an Nginx reverse proxy. It operates independently of backend services while remaining tightly coupled through API contracts. This deployment strategy allows the WebUI to be updated, scaled, or redeployed without disrupting core IDSS functionality.

### **3.1.4 WebUI Views and Interaction Design**

The WebUI organises information into a set of specialised views, each tailored to a distinct operational task within flood risk management.

#### **Dashboard Overview**

The dashboard provides high-level situational awareness, presenting aggregated risk indicators, summary statistics, and alert notifications derived from backend predictions.

#### **Interactive Map View**

The map view serves as the primary spatial decision-support tool. It displays flood zones overlaid with real-time risk classifications and hydrological indicators, enabling rapid geographic assessment and comparison across regions.

#### **Trend and Historical Analysis**

This view enables users to explore historical observations and past predictions through interactive charts, supporting post-event analysis, validation, and confidence building in the predictive models.

#### **Resource Planning Interface**

The resource planning view visualises the outputs of backend optimisation services, allowing users to inspect recommended dispatch strategies, compare scenarios, and understand trade-offs between competing allocation decisions.

### **3.1.5 Usability and Operational Considerations**

The WebUI is designed for continuous use in emergency operations centres. Particular emphasis is placed on:

- Clear visual hierarchy and minimal cognitive load
- High-contrast colour schemes suitable for prolonged monitoring
- Responsive layouts adaptable to large displays and control-room setups
- Accessibility-compliant components to support diverse user needs

Through these design choices, the WebUI effectively bridges complex backend analytics and real-world decision-making under time-critical conditions.

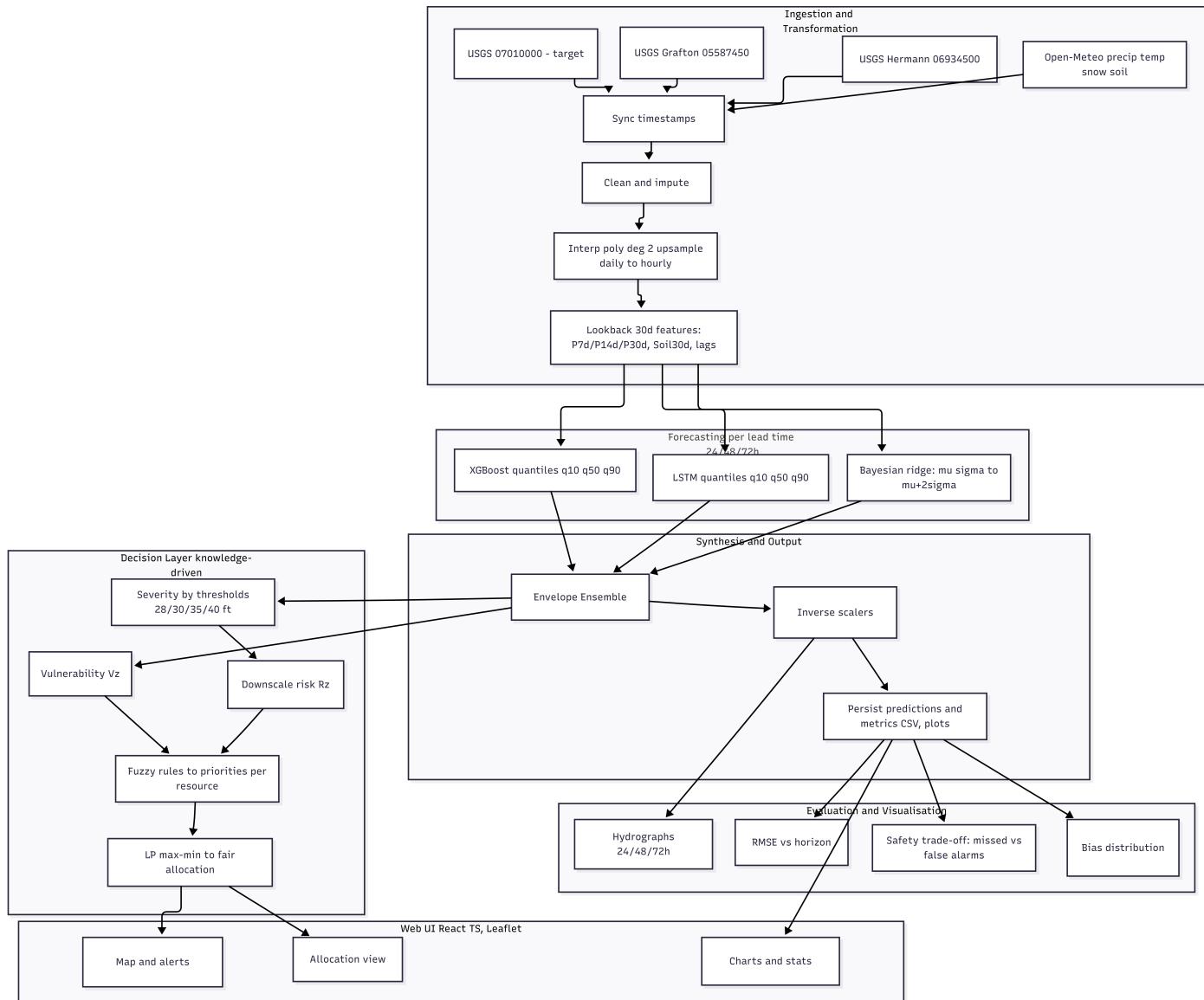


Figure 3.1: Functional architecture of the IDSS.

# Chapter 4

## Data Pre-processing Summary

To enable accurate flood prediction, the IDSS relies on a multi-source dataset spanning 24 years (October 2001 – September 2025). The data pipeline integrates hydrological readings with meteorological history to capture both upstream flow dynamics and local saturation levels.

### 4.1 Data Sources

The dataset is constructed from two primary public APIs, synchronized to a common UTC timestamp.

#### 4.1.1 Hydrological Data (USGS)

River stage data is collected from the target location and two critical upstream gauges that act as leading indicators.

Table 4.1: USGS River Gauge Network

Station Name	USGS ID	Role	Data Frequency
Mississippi R. at St. Louis, MO	07010000	Target	Hourly
Mississippi R. at Grafton, IL	05587450	Upstream Predictor	Daily
Missouri R. at Hermann, MO	06934500	Upstream Predictor	Daily

#### 4.1.2 Meteorological Data (Open-Meteo)

Local weather conditions for the St. Louis basin are sourced via the Open-Meteo Archive API. Key parameters include precipitation, 2m air temperature, snowfall, and deep soil moisture (28-100cm).

### 4.2 Cleaning and Windowing Strategy

Raw data ingestion is followed by a strict cleaning and windowing protocol to ensure model stability during inference.

1. **Temporal Synchronization:** All datasets are filtered to a common date range (2001 – 10 – 04 to 2025 – 09 – 08) to align varying reporting frequencies.
2. **Imputation Strategy:**
  - **Linear Interpolation:** Applied to minor gaps in river level data to preserve trend continuity.
  - **Polynomial Interpolation (Order 2):** Applied when upsampling upstream stations (Grafton/Hermann) from daily to hourly resolution. This preserves the natural curvature of the hydrograph better than linear methods.
3. **The 30-Day Lookback Constraint:** The prediction service enforces a strict historical context requirement. For every prediction point  $T$ , the system isolates a specific window  $[T - 29, T]$  (30 days). If fewer than 30 days of continuous data are available, the pipeline halts to prevent "cold-start" errors.

## 4.3 Feature Engineering

To capture the physical delay between rainfall/upstream flow and the resulting flood event, the `FeatureEngineer` module constructs domain-specific vectors:

- **Cumulative Precipitation Windows:** Since soil saturation drives runoff, we calculate rolling sums for precipitation over 7-day ( $P_{7d}$ ), 14-day ( $P_{14d}$ ), and 30-day ( $P_{30d}$ ) windows.
- **Soil Moisture Lag:** A 30-day rolling average of deep soil moisture ( $Soil_{30d}$ ) is used to represent ground saturation capacity.
- **Heavy Rain Indicator:** A binary feature triggering when cumulative rainfall exceeds 15mm in a 48-hour window.
- **Snowmelt Potential:** A derived interaction feature that activates when temperature rises above  $0^{\circ}C$  following significant snow accumulation, acting as a proxy for rapid thaw events.

## 4.4 Model-Specific Transformations

Before entering the inference engine, the engineered features ( $X$ ) undergo specific mathematical transformations required by the hybrid architecture.

### 4.4.1 Standard Scaling

Hydrological features (measured in feet) and meteorological features (measured in mm) operate on vastly different scales. To facilitate gradient descent, all inputs are normalized using pre-computed Standard Scalers ( $\mu = 0, \sigma = 1$ ) saved during the training phase:

- `bayes_scaler.pkl`: Normalizes inputs for the Bayesian Ridge regressor.
- `lstm_scaler_x.pkl`: Normalizes inputs for the LSTM network.
- `lstm_scaler_y.pkl`: Inverts the normalized predictions back to river stages (feet).

#### 4.4.2 Tensor Reshaping

While the XGBoost and Bayesian models accept 2D tabular arrays, the LSTM requires a 3D tensor structure to process temporal sequences. The feature vector is reshaped during inference:

$$X_{LSTM} = \text{Reshape}(X_{scaled}, [1, 1, N_{features}]) \quad (4.1)$$

This ensures the Deep Learning component correctly interprets the input as a single time-step sequence with multiple features.

# Chapter 5

## Flowchart of Data-Driven IDSS Models Gathering

This chapter details the computational pipeline designed to transform raw hydrological data into actionable flood warnings. The methodology follows a strict "Safety-First" protocol, maximizing the uncertainty envelope to minimize missed flood events.

### 5.1 The Predictive Pipeline

The IDSS operates on a modular pipeline consisting of four distinct stages: Feature Engineering, Temporal Splitting, Multi-Model Training, and Ensemble Aggregation.

### 5.2 Dynamic Feature Engineering

To enable multi-horizon forecasting, we transform the daily and hourly datasets into supervised learning problems. For a given target lead time  $L$ , the feature set  $X_t$  is constructed to predict the maximum river level  $Y_{t+L}$ .

The feature vector includes:

- **Autoregression:** Lagged values of the target gauge ( $Level_{t-L}, Level_{t-L-1}, \dots$ ).
- **Upstream Propagation:** Lagged levels from Grafton and Hermann stations.
- **Meteorological Forcing:** Cumulative precipitation windows ( $P_{7d}, P_{14d}, P_{30d}$ ) shifted by the lead time.

### 5.3 Hybrid Modeling Architecture

Three distinct model architectures are trained in parallel. To ensure compatibility, all models are mapped to a standardized Probabilistic Output ( $q_{10}, q_{50}, q_{90}$ ).

#### 5.3.1 Gradient Boosting (XGBoost)

A decision-tree ensemble trained with a Quantile Loss objective.

- *Role:* Captures non-linear feature interactions.

- *Output*: Explicitly predicts the 10th, 50th, and 90th percentiles.

### 5.3.2 Long Short-Term Memory (LSTM)

A Recurrent Neural Network (RNN) modeling temporal sequences.

- *Role*: Captures long-term dependencies in soil saturation.
- *Output*: Uses a custom asymmetric loss function to generate corresponding quantile predictions.

### 5.3.3 Bayesian Ridge Regression

A probabilistic linear model ( $N(\mu, \sigma)$ ).

- *Role*: Provides a baseline trend and epistemic uncertainty.
- *Output*: Quantiles are analytically derived from the predicted normal distribution:  $q_{10} = \mu + \Phi^{-1}(0.1)\sigma$ , etc.

## 5.4 The ”Conservative Envelope” Ensemble

The IDSS employs a **Min-Median-Max Pooling Strategy** to aggregate the models. Rather than averaging errors, this approach constructs the widest possible confidence interval to guarantee safety.

### 5.4.1 Robust Central Tendency (Median)

To filter out outliers (e.g., if one model hallucinates a massive spike), the final forecast level is the median of the three component models. This is robust to single-model failure:

$$\hat{y}_{q50} = \text{Median}(XGB_{q50}, LSTM_{q50}, Bayes_{q50}) \quad (5.1)$$

### 5.4.2 Maximum Uncertainty Envelope

To ensure the system accounts for all possible risks identified by any sub-model, the confidence interval is stretched to the extremes:

- **Lower Bound**: The minimum of all predicted  $q_{10}$  values.
- **Upper Bound**: The maximum of all predicted  $q_{90}$  values.

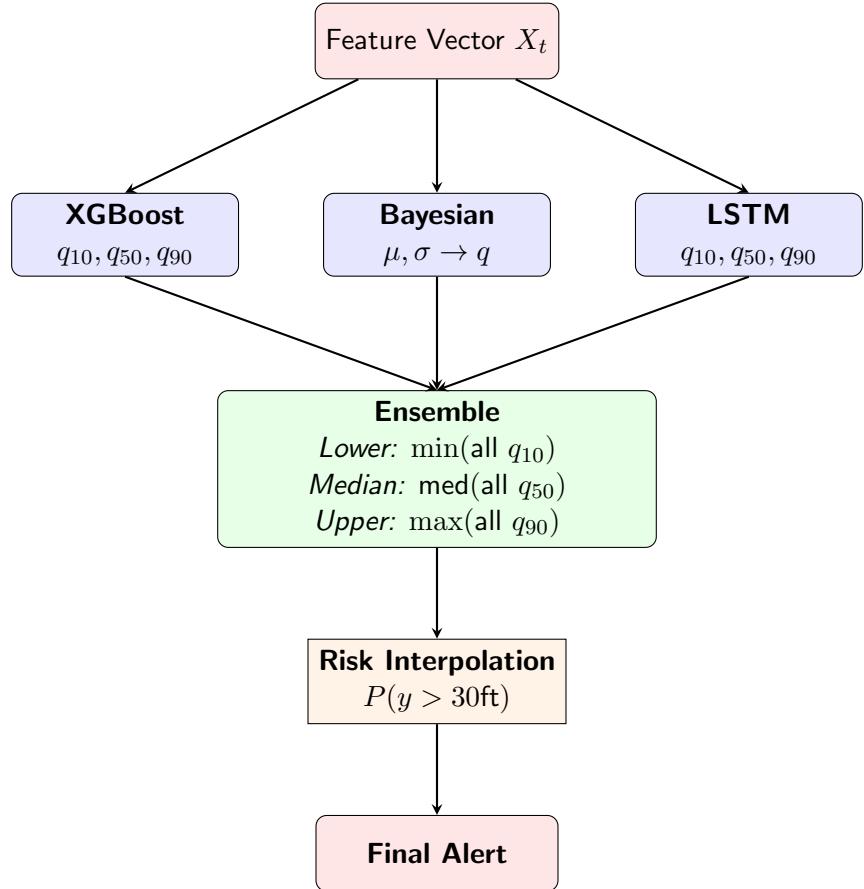
$$\text{Interval} = [\min(q_{10}^{\text{models}}), \max(q_{90}^{\text{models}})] \quad (5.2)$$

## 5.5 Risk Interpolation

Flood Probability is not output by a single classifier but is derived geometrically from the Ensemble Envelope. We interpolate the critical flood threshold ( $T = 30\text{ft}$ ) against the predicted quantile curve to estimate exceedance probability  $P(y > T)$ .

## 5.6 System Flowchart

The flowchart below illustrates the \*\*"Fan-In"\*\* architecture, where all models contribute to a single consensus block that maximizes the safety window.



# Chapter 6

## Data Post-processing and Validation

Following the raw output from the hybrid modeling layer, the data undergoes a final transformation phase before being subjected to a rigorous safety-based evaluation. This chapter details the translation of probabilistic outputs into actionable decision metrics and the framework used to validate them.

### 6.1 Post-processing Logic

Raw model outputs are rarely directly usable for decision support. We apply specific transformations to convert latent model states into physical river levels (feet) with safety buffers applied.

#### 6.1.1 Probabilistic Uncertainty Buffer

For the Bayesian Ridge Regression model, the output is not a single scalar but a probability distribution  $\mathcal{N}(\mu, \sigma)$ . To align with the system's "Safety-First" mandate, we do not use the mean ( $\mu$ ). Instead, we extract the **Upper Confidence Bound**:

$$\hat{y}_{safe} = \mu + 2\sigma \quad (6.1)$$

This transformation ensures that the input into the ensemble accounts for epistemic uncertainty, effectively adding a dynamic safety margin during periods of high volatility.

#### 6.1.2 Inverse Transformation

The LSTM and XGBoost models operate on normalized feature spaces (typically scaled between [0, 1] or standardized) to facilitate gradient descent convergence. The post-processing pipeline applies the inverse transformation function using the scaler parameters  $(\mu_{train}, \sigma_{train})$  stored during the training phase to restore values to the original hydrological scale (feet).

### 6.2 Validation Framework

Standard regression metrics (e.g., RMSE) are insufficient for flood forecasting because they treat underprediction (safety risk) and overprediction (false alarm) equally. We utilize a hierarchical validation framework that prioritizes **Safety** above **Accuracy**.

Let  $y_t$  be the actual river level,  $\hat{y}_t$  be the predicted level, and  $\tau$  be the flood threshold (30 ft).

### 6.2.1 Safety Metrics

The primary success criterion is the minimization of **Missed Floods** (False Negatives).

- **Missed Flood Count:** The absolute number of days where a flood occurred ( $y_t \geq \tau$ ) but the model failed to predict it ( $\hat{y}_t < \tau$ ).

$$\text{Missed} = \sum_{t=1}^N \mathbb{I}(y_t \geq \tau \wedge \hat{y}_t < \tau) \quad (6.2)$$

- **Recall (Sensitivity):** The percentage of actual flood days successfully detected.

$$\text{Recall} = \frac{\text{True Positives}}{\text{True Positives} + \text{False Negatives}} \quad (6.3)$$

### 6.2.2 Directional Bias

A safe IDSS must exhibit a conservative tendency. We analyze the **Mean Bias** to ensure the model tends towards over-protection rather than under-protection.

$$\text{Bias} = \frac{1}{N} \sum_{t=1}^N (\hat{y}_t - y_t) \quad (6.4)$$

- Bias  $> 0$ : Overprediction (Conservative/Safe)
- Bias  $< 0$ : Underprediction (Risky)

### 6.2.3 Operational Costs

While safety is paramount, excessive false alarms can erode trust. We monitor the **False Alarm Ratio (FAR)** to ensure the system remains operationally viable.

$$\text{FAR} = \frac{\text{False Positives}}{\text{True Positives} + \text{False Positives}} \quad (6.5)$$

## 6.3 Global Performance Comparison

Table 6.1 presents a comprehensive evaluation of all candidate models across three forecast horizons.

The decision to deploy the **Ensemble** model was driven by the trade-off visible in these results. While the Bayesian model achieves the mandatory safety standard (0 to 1 missed floods), it does so at an unacceptable operational cost, generating nearly double the number of false alarms (95) compared to the Ensemble (43) at the 3-day horizon. Conversely, the Persistence baseline, while having low false alarms, fails the safety mandate with up to 20 missed floods.

The Ensemble represents the optimal Pareto-efficient solution: it inherits the safety guarantee of the Bayesian component while leveraging the precision of the deep learning models to reduce false alarms by approximately 50%.

Table 6.1: **Global Model Comparison.** Metrics evaluated across 1, 2, and 3-day lead times. The Ensemble model achieves the optimal balance of safety (0 Missed Floods) and accuracy (lowest RMSE among safe models).

Lead Time	Model	RMSE (ft)	Bias (ft)	Missed Floods	False Alarms
5*1 Day	Bayesian	1.76	1.56	0	53
	<b>Ensemble</b>	<b>1.48</b>	<b>1.24</b>	<b>0</b>	<b>45</b>
	LSTM	1.52	1.19	0	44
	Persistence	1.19	0.01	7	7
	XGBoost	1.57	0.86	0	33
5*2 Days	Bayesian	2.75	2.45	1	75
	<b>Ensemble</b>	<b>2.14</b>	<b>1.77</b>	<b>0</b>	<b>52</b>
	LSTM	2.08	1.67	0	46
	Persistence	2.04	0.01	14	14
	XGBoost	2.18	1.31	0	44
5*3 Days	Bayesian	3.38	3.01	0	95
	<b>Ensemble</b>	<b>2.52</b>	<b>2.06</b>	<b>0</b>	<b>43</b>
	LSTM	2.35	1.84	0	36
	Persistence	2.69	0.01	20	20
	XGBoost	2.49	1.54	0	41

## 6.4 Visual Validation Strategies

In addition to numerical metrics, the system performance is validated using graphical techniques that visualize the trade-off between risk and cost.

### 6.4.1 The Safety Trade-off (Bubble Chart)

Figure 6.1 visually confirms the superiority of the Ensemble approach. The chart plots Operational Cost (False Alarms) against Safety Risk (Missed Floods).

- **Persistence** (Top Left) shows low cost but dangerously high risk.
- **Bayesian** (Bottom Right) shows zero risk but excessive cost.
- **Ensemble** (Bottom Middle) occupies the "Sweet Spot," filtering the noise from the Bayesian model to minimize cost while maintaining the zero-risk standard.

### 6.4.2 Bias Distribution Analysis

To verify the "Safety Buffer," we analyze the distribution of prediction errors (Figure 6.2). While standard regression models (like LSTM) tend to center their error distribution around zero to minimize RMSE, this is dangerous in flood forecasting as it implies a 50% chance of under-prediction. The Ensemble model's distribution is deliberately shifted to the right (positive bias). This confirms that the model is biased to over-protect, ensuring that errors result in false alarms rather than missed floods.

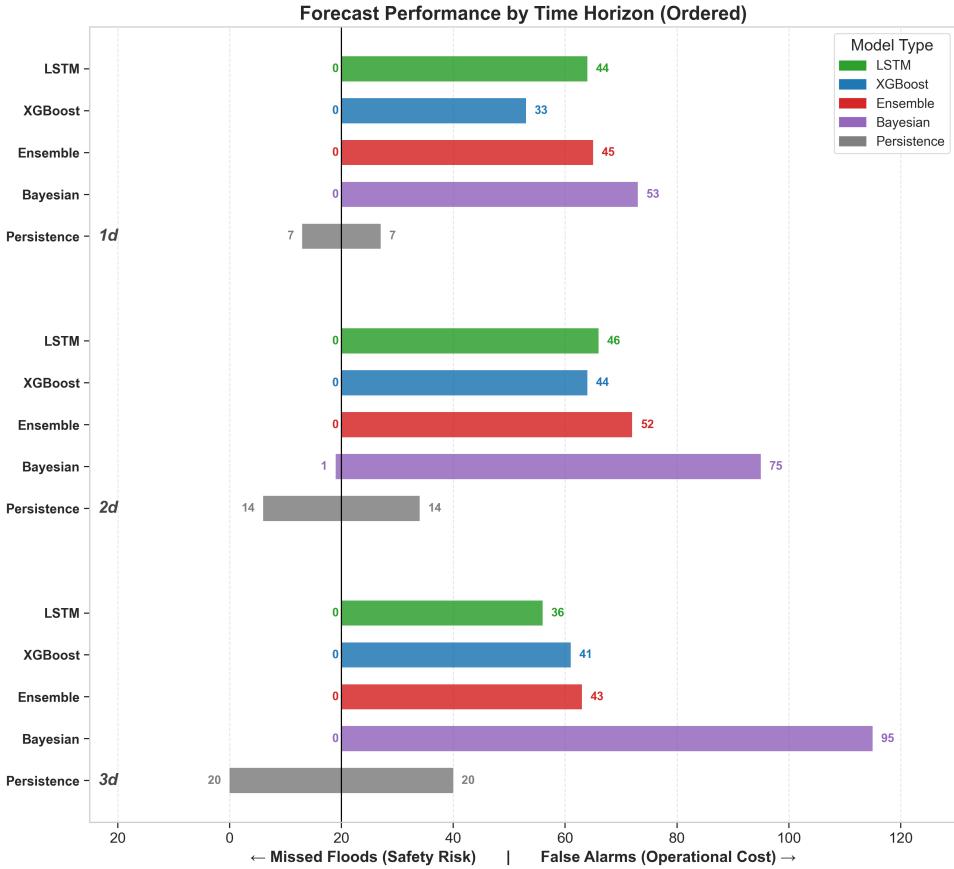


Figure 6.1: **Safety Trade-off Analysis.** The Y-axis represents safety risk (missed floods), while the X-axis represents operational cost. The Ensemble method successfully minimizes cost without compromising safety.

### 6.4.3 Temporal Hydrographs

Figure 6.3 illustrates the model’s ability to track the 2023-2025 hydrograph. The Ensemble (Red Dashed) demonstrates superior responsiveness compared to baseline methods. It avoids the ”lag” often seen in Persistence models during rapid river rises. Furthermore, the line is observed to hover slightly above the actual water level during non-flood periods; this is the visual manifestation of the safety cost required to guarantee peak detection.

### 6.4.4 Forecast Degradation

Finally, Figure 6.4 quantifies how model accuracy (RMSE) degrades as the forecast horizon extends. While the Bayesian model shows a steep increase in error due to high variance, the Ensemble maintains stability. This demonstrates that the ”Safety Tax”—the slight increase in RMSE required for conservationism—remains constant and manageable even at the 3-day forecast horizon.

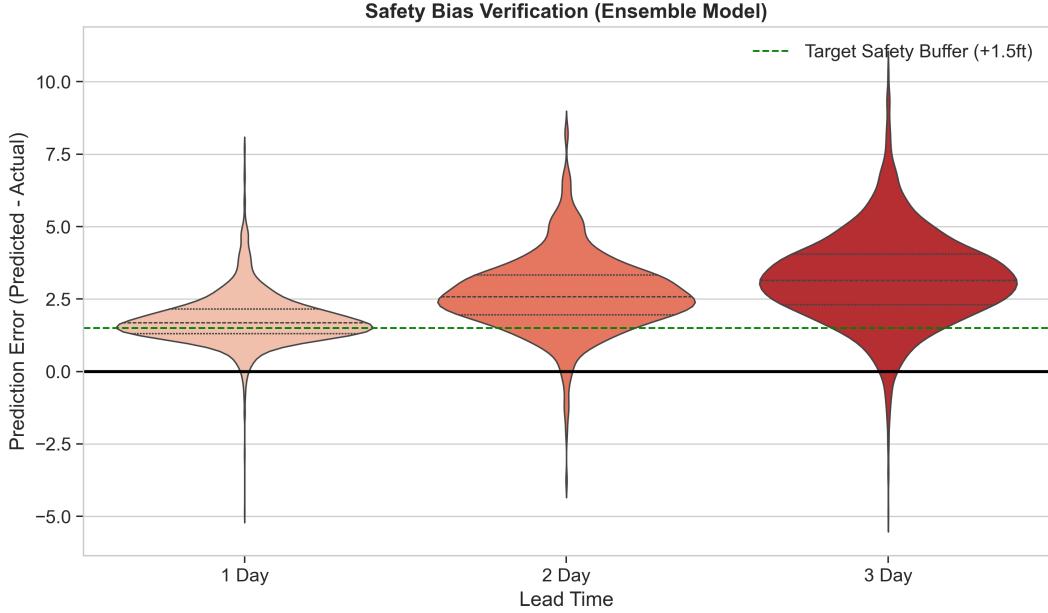


Figure 6.2: **Bias Verification.** The violin plots show the density of prediction errors. The mass is shifted above 0, indicating a deliberate conservative tendency that acts as a safety buffer.

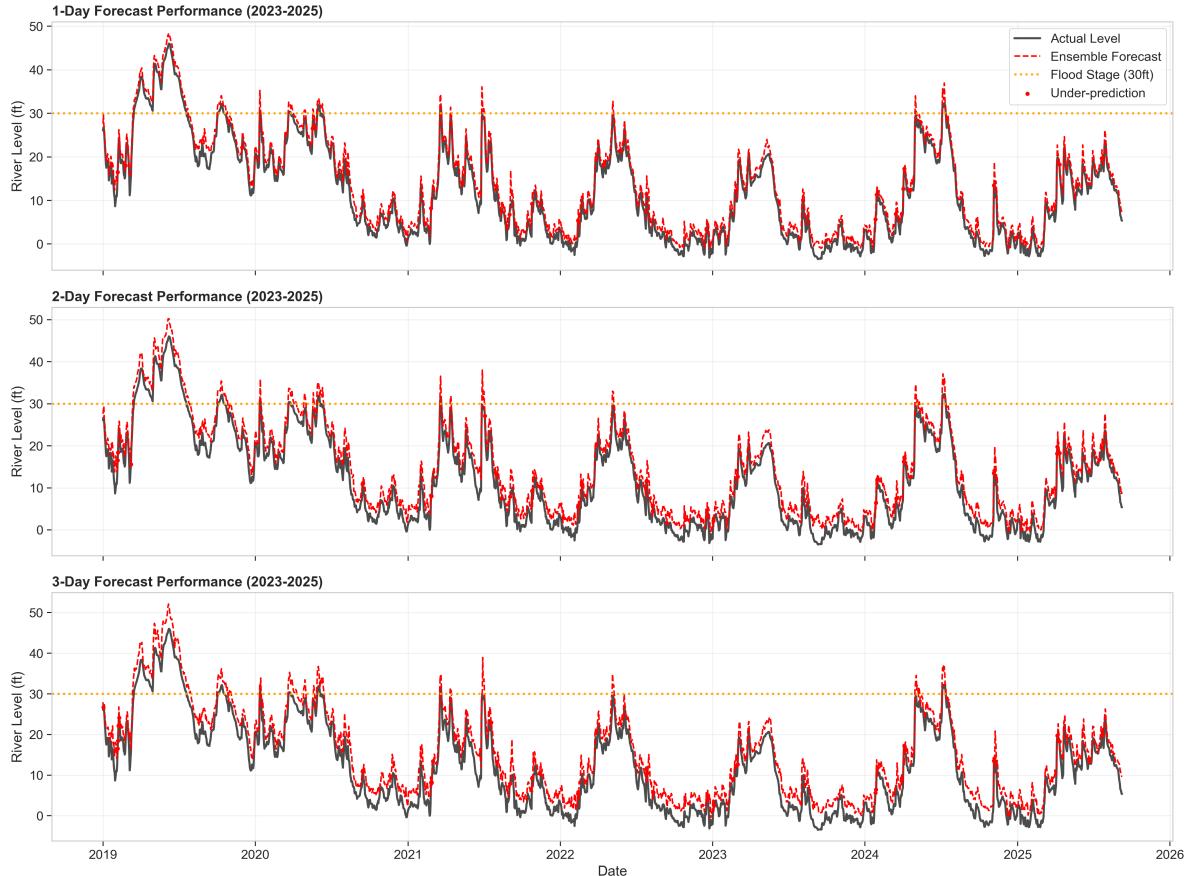


Figure 6.3: **Multi-Horizon Hydrographs.** Performance of the IDSS over the test period. The Ensemble tracks the flood onset accurately across 1, 2, and 3-day lead times.

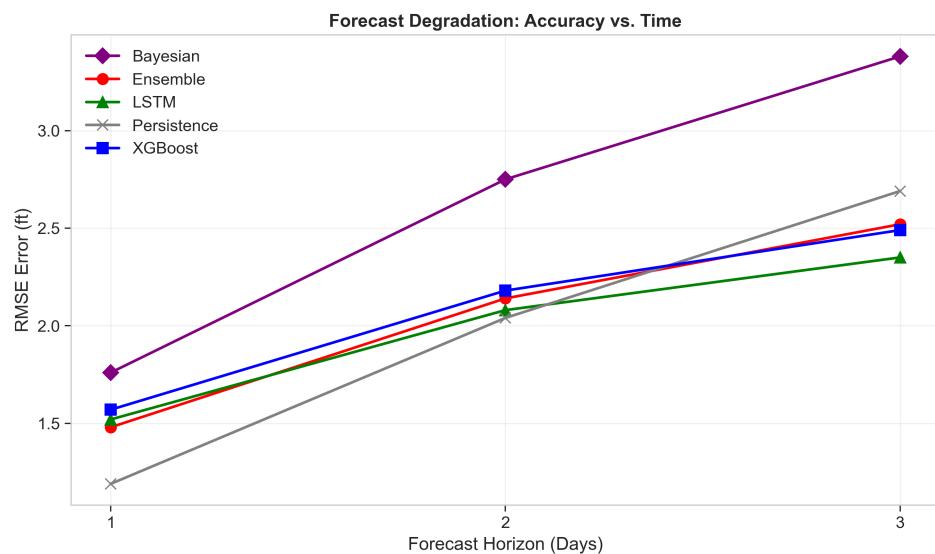


Figure 6.4: **Forecast Degradation Curve.** RMSE error increases as the lead time extends. The Ensemble maintains a stable degradation curve compared to the high-variance Bayesian model.

# Chapter 7

## Description of Knowledge-Driven IDSS Techniques Used

This subsystem constitutes the *Act* layer of the IDSS framework. Following flood severity prediction, this module classifies zone-level impacts and recommends resource allocations. Architecturally, the system adopts a **Hybrid Rule-Based and Fuzzy Logic (RBR-FLC)** approach. This design is explicitly inspired by decision support frameworks that combine crisp, guideline-based rules with fuzzy logic to handle uncertainty in continuous variables [2].

By integrating crisp impact thresholds with fuzzy inference, the system balances the need for transparent, policy-aligned decision-making [11] with the flexibility required to manage uncertain, multi-dimensional flood risk parameters [12].

### 7.1 Spatial Configuration and Zone Definitions

To balance computational efficiency with geographic granularity, the decision support system aggregates St. Louis City and near-city ZIP codes into five functional zones ( $Z = \{Z1N, Z1S, Z2, Z3, Z4\}$ ). This aggregation clusters areas based on topological risks (river proximity, elevation) and critical infrastructure densities, consistent with frameworks for intelligent disaster management in smart cities [7].

#### 7.1.1 Zone Characterization

The zones are defined as follows:

- **Riverfront Floodplains (Z1N, Z1S):** These zones encompass ZIP codes immediately adjacent to the Mississippi River. They exhibit the highest hydrological risk.  $Z1N$  (*North*) is characterized by extremely high river proximity (0.98) and elevation risk, while  $Z1S$  (*South*) contains moderate critical infrastructure.
- **Central Business & Medical Core (Z2):** This zone aggregates the central corridor. While it faces significant flood risk, its defining characteristic is a very high Critical Infrastructure (CI) score (0.90), reflecting the cluster of major hospitals and medical centers essential for emergency response.
- **Inland Residential Plateaus (Z3, Z4):** These zones cover the western, higher-elevation parts of the city.  $Z3$  (*South*) and  $Z4$  (*North*) are primarily residential.

They have the lowest river proximity scores ( $< 0.50$ ) and act as potential staging areas, though they still require evacuation support due to population density.

### 7.1.2 Attribute Quantification

The system utilizes static attribute scores for each zone, normalized to  $[0, 1]$ , which serve as inputs to the fuzzy vulnerability model. These attributes, defined in the system configuration, are detailed in Table 7.1.

Table 7.1: Normalized attributes for the five operational zones used in the IDSS.

ID	Zone Name	River (Prox.)	Elev. (Risk)	Pop. (Dens.)	CI Score (Infra.)
Z1N	North Riverfront	0.98	0.95	0.75	0.40
Z1S	South Riverfront	0.95	0.90	0.80	0.50
Z2	Central Medical Core	0.75	0.70	0.90	<b>0.90</b>
Z3	Inland South Plateau	0.40	0.45	0.70	0.35
Z4	Inland North Plateau	0.45	0.50	0.75	0.40

## 7.2 Fuzzy Rule-Based Allocation of Heterogeneous Resources

The allocation engine assesses vulnerability and assigns specific resource types using a Mamdani fuzzy inference system. This approach allows for the fusion of multi-dimensional data (hydrological and social), a requirement for modern urban flood prevention services [4].

### 7.2.1 Vulnerability Modeling

For each zone  $z \in \mathcal{Z}$ , we define a scalar *vulnerability* score  $V_z \in [0, 1]$  as a convex combination of normalized indicators:

$$V_z = 0.35 x_z^{(\text{riv})} + 0.25 x_z^{(\text{elev})} + 0.25 x_z^{(\text{pop})} + 0.15 x_z^{(\text{ci})}. \quad (7.1)$$

The weights reflect a balance between physical exposure (river proximity, elevation) and socio-economic sensitivity (population, infrastructure). This weighting method aligns with Multi-Criteria Decision Making (MCDM) computations used to assess flood damage susceptibility [12].

### 7.2.2 Flood Severity Mapping and Zone-Specific Risk

To quantify the hazard level, the system implements a deterministic severity mapping based on river gauge forecasts. Moving beyond purely probabilistic models, this approach maps the predicted river level  $L$  (ft) directly to a global probability of failure (PF) or severity index using a piecewise linear function. This aligns with event-based decision support algorithms that utilize specific trigger thresholds (Action, Flood, Major) to initiate response protocols [10, 3].

$$\text{PF}_{\text{global}} = \begin{cases} 0.05 & \text{if } L \leq 28 \text{ (Flood Stage - 2),} \\ 1.0 & \text{if } L \geq 40 \text{ (Major Flood Stage),} \\ 0.05 + 0.95 \cdot \frac{L-28}{40-28} & \text{otherwise.} \end{cases} \quad (7.2)$$

This global severity is downscaled to individual zones based on their physical proximity to the river. As implemented in the zone builder logic, the zone-specific risk  $R_z$  is calculated as:

$$w_z = 0.5 + 0.5 \cdot \left( \frac{x_z^{(\text{riv})}}{\max_k x_k^{(\text{riv})}} \right), \quad R_z = \min(1.0, \text{PF}_{\text{global}} \cdot w_z). \quad (7.3)$$

### 7.2.3 Fuzzification of Inputs

We adopt a Mamdani-type fuzzy inference system using the *Simpful* library. To ensure computational efficiency and uniform coverage of the universe of discourse  $[0, 1]$ , we utilize **Triangular** membership functions for all linguistic variables.

For each input variable  $v \in \{R_z, V_z, x^{(\text{riv})}, x^{(\text{elev})}, x^{(\text{pop})}, x^{(\text{ci})}\}$ , three linguistic terms are defined:

- **Low:**  $\mu_{\text{low}}(v) = \text{Triangle}(v; 0.0, 0.0, 0.5)$
- **Medium:**  $\mu_{\text{med}}(v) = \text{Triangle}(v; 0.0, 0.5, 1.0)$
- **High:**  $\mu_{\text{high}}(v) = \text{Triangle}(v; 0.5, 1.0, 1.0)$

### 7.2.4 Fuzzy Rule Base and Resource Prioritization

The system allocates seven heterogeneous resource types, ranging from UAV reconnaissance (R1) to Critical Infrastructure protection (R7). The prioritization logic is encoded in a knowledge-driven rule base that maps hazard characteristics to resource priority scores  $S_{z,k} \in [0, 1]$ .

The rules (Table 7.2) are designed to mirror expert heuristics found in emergency response guides. This reflects the "Rule-Based Reasoning" component of the hybrid architecture, ensuring that the AI-driven output remains interpretable and grounded in established protocols [2]. For example, high river levels trigger UAVs for situational awareness, while high population density triggers evacuation support.

### 7.2.5 Output: Resource Priority Scores

The crisp priority score  $S_{z,k}$  for each resource type  $k$  in zone  $z$  is obtained via centroid defuzzification. These scores represent the relative necessity of a specific resource type in a given zone. Additionally, a composite *Priority Index*  $\Pi_z$  is computed to rank zones during resource scarcity:

$$\Pi_z = 0.6 \cdot R_z + 0.4 \cdot V_z \quad (7.4)$$

This vector of fuzzy scores  $\mathbf{S}_z$  serves as the input profile for the resource allocation optimization model described in the following section.

Table 7.2: Complete fuzzy rule base for resource prioritization.

Antecedent (IF)	Consequent (THEN)
(RIVER is High) AND ( $R_z$ is Medium)	R1 (UAV) is Med; R2 (Eng) is Low
(RIVER is High) AND ( $R_z$ is High)	R1 (UAV) is High; R2 (Eng) is Med
( $V_z$ is High) AND ( $R_z$ is High)	R2 (Engineering) is High
( $R_z$ is High) OR ( $V_z$ is High)	R4 (Rescue) is High
(ELEV is High) AND ( $R_z$ is Medium)	R3 (Pumps) is Medium
(ELEV is High) AND ( $R_z$ is High)	R3 (Pumps) is High
(POP is High) AND ( $R_z$ is Medium)	R5 (Evac) is Med; R6 (Medical) is Med
(POP is High) AND ( $R_z$ is High)	R5 (Evac) is High; R6 (Medical) is High
(CI is High)	R2 (Eng) is Med; R5 (Evac) is Med; R7 (CI) is Med
(CI is High) AND ( $R_z$ is High)	R6 (Medical) is High; R7 (CI) is High

## 7.3 Resource allocation optimisation

After the fuzzy rule-based model outputs zone-specific priorities over heterogeneous response resources, we compute the concrete allocation of the available resources using linear programming.

### Overview and intuition

The upstream prioritisation stage produces, for each zone, a *profile* over resource types indicating which resources are relevant and how important they are in that zone. In addition, it provides a zone-level estimate of the total amount of response capacity that can be meaningfully absorbed. The optimisation stage then decides concrete allocations under hard capacity limits.

A purely efficiency-driven objective (e.g., maximising total score) can concentrate resources in a small number of zones; in emergency response this is often undesirable because it may leave some zones with negligible support. We therefore adopt a *fairness-first* objective based on max–min (and closely related minimax/lexicographic minimax) principles from the optimisation literature [1, 8, 9], consistent with equity-aware modelling in humanitarian logistics [5, 6].

Unlike formulations that implicitly assume that all available resources must be deployed, our model explicitly allows resources to remain unused when the upstream knowledge-driven assessment indicates that no additional deployment is necessary. This avoids superfluous assignments while preserving equity across zones.

### Notation

Let  $\mathcal{I} = \{1, \dots, n\}$  be the set of resource types and  $\mathcal{J} = \{1, \dots, k\}$  the set of zones. Each resource  $i \in \mathcal{I}$  has capacity  $B_i \geq 0$ . For each zone  $j \in \mathcal{J}$ , the prioritisation stage provides nonnegative resource-specific scores  $s_{ij}$ . Let  $\mathcal{I}_j = \{i \in \mathcal{I} : s_{ij} > 0\}$  be the set of resource types deemed relevant to zone  $j$ .

To interpret these scores as a desired *mix* of resource types, we define an ideal (bundle-level) demand. Let  $R_j > 0$  denote the nominal total amount of resources required by zone  $j$ , as estimated by the upstream fuzzy impact and need assessment. We set

$$a_{ij} = R_j \cdot \frac{s_{ij}}{\sum_{h \in \mathcal{I}_j} s_{hj}}, \quad \forall j \in \mathcal{J}, \forall i \in \mathcal{I}_j, \quad (7.5)$$

and  $a_{ij} = 0$  for  $i \notin \mathcal{I}_j$ . The quantity  $a_{ij}$  thus represents the *maximum necessary amount* of resource type  $i$  in zone  $j$ .

## Decision variables

- $x_{ij} \geq 0$ : amount of resource type  $i$  allocated to zone  $j$ .
- $z_j \in [0, 1]$ : satisfaction level of zone  $j$  (fraction of its ideal bundle delivered).
- $t \in \mathbb{R}$ : minimum satisfaction level across zones (fairness level).

## Fairness-first linear programme

We use a max–min fairness objective [8, 1]:

$$\max t, \quad (7.6)$$

subject to the following constraints.

### Fairness coupling.

$$t \leq z_j, \quad \forall j \in \mathcal{J}. \quad (7.7)$$

### Bundle satisfaction constraints.

$$x_{ij} \geq a_{ij} z_j, \quad \forall j \in \mathcal{J}, \forall i \in \mathcal{I}_j. \quad (7.8)$$

### Necessity constraints.

$$0 \leq x_{ij} \leq a_{ij}, \quad \forall j \in \mathcal{J}, \forall i \in \mathcal{I}. \quad (7.9)$$

These constraints ensure that resources are allocated only when they are deemed necessary by the fuzzy rule-based assessment, and prevent superfluous deployments.

### Capacity constraints.

$$\sum_{j \in \mathcal{J}} x_{ij} \leq B_i, \quad \forall i \in \mathcal{I}. \quad (7.10)$$

### Bounds.

$$0 \leq z_j \leq 1 \quad (\forall j \in \mathcal{J}), \quad x_{ij} \geq 0 \quad (\forall i \in \mathcal{I}, \forall j \in \mathcal{J}). \quad (7.11)$$

## Interpretation

Equations (7.8)–(7.9) and (7.10) define feasible dispatches: allocations must respect resource capacities, align with each zone’s preferred resource mix, and remain within the zone-specific demand estimated by the upstream fuzzy model. The fairness objective (7.6), enforced through (7.7), pushes the smallest  $z_j$  upwards, preventing “starvation” of low-served zones.

Importantly, when total available capacity exceeds the total estimated demand across zones, the model may leave part of the capacity unused. This behaviour reflects operational realism: resources are deployed only when they contribute to meeting identified needs, rather than being assigned solely to exhaust available capacity. In cases where multiple solutions attain the same optimal fairness level, a common refinement is to select the solution that minimises total deployed resources while keeping  $t$  fixed, thereby avoiding unnecessary deployments without sacrificing fairness.

# Chapter 8

## Use Cases and System Demonstration

Flood events represent complex socio-technical emergencies in which hydrological processes, urban infrastructure, and human decision-making interact under severe time pressure. Figure 8.1 illustrates a real flooding event affecting urban transportation infrastructure in St. Louis, where access points become rapidly submerged as river levels rise. Situations of this nature motivate the need for integrated decision support systems capable of translating uncertain forecasts into timely and coordinated action.

This chapter presents four narrative-driven use cases demonstrating the operation of the proposed Intelligent Decision Support System (IDSS) during the March 2019 Mississippi River flood event. Each use case follows a distinct professional role involved in flood preparedness and response, illustrating how the system supports decision-making across the full flood management lifecycle: system configuration, early warning, active response, and peak crisis management.



Figure 8.1: Urban flooding affecting critical transportation infrastructure during a real flood event in St. Louis.

## Personas Overview

To ground the system demonstration in realistic emergency management practice, the following personas are used consistently throughout this chapter:

- **Mike Reynolds**, *Emergency Management Planner*, responsible for early monitoring, preparedness actions, and coordination with local stakeholders.
- **Jake Thompson**, *Regional Emergency Coordinator*, overseeing escalation decisions, inter-zone coordination, and mutual aid requests.
- **Lisa Martinez**, *Director of Emergency Operations*, responsible for strategic decision-making during peak crisis conditions, including evacuations and state-level coordination.
- **Sarah Collins**, *Flood Operations and Planning Officer*, responsible for configuring operational assumptions within the IDSS, including risk thresholds, zone vulnerability parameters, and available response capacities.

These roles reflect the layered decision structure typically found in emergency management organizations, from technical planning to strategic command.

## 8.1 Use Case 0: System Configuration and Operational Readiness

### Pre-Event Phase — Baseline System Setup

#### Persona

**Sarah Collins**, Flood Operations and Planning Officer

#### Scenario

Prior to the onset of the March 2019 flood event, Sarah Collins ensures that the IDSS accurately reflects local hydrological conditions and operational constraints. Her role is foundational: all subsequent risk assessments and allocation decisions depend on the correctness of these configuration parameters.

Sarah begins by defining river level thresholds corresponding to Minor, Moderate, and Major flooding, as well as probability thresholds governing the transition between Advisory, Warning, and Critical risk states. These parameters determine how probabilistic forecasts are translated into operational alerts.

**System Configuration**  
Administrator settings for resources, thresholds, and zone parameters

Resource Capacities River Thresholds Zone Parameters

**River Level Thresholds**  
Configure flood risk thresholds for river levels and probabilities

River Level Thresholds		Probability Thresholds	
<b>Minor Flood Level</b>	16 ft	<b>Critical Flood Probability</b>	0.8 80%
River level for minor flooding		Probability threshold for critical risk	
<b>Moderate Flood Level</b>	22 ft	<b>Warning Flood Probability</b>	0.6 60%
River level for moderate flooding		Probability threshold for warning level	
<b>Major Flood Level</b>	28 ft	<b>Advisory Flood Probability</b>	0.3 30%
River level for major flooding		Probability threshold for advisory level	

Save Thresholds

Figure 8.2: Configuration of river level and flood probability thresholds used to trigger risk escalation.

Next, Sarah configures zone-level vulnerability parameters. Riverfront zones are assigned high river proximity and elevation risk values, while the Central Business and Medical Core receives elevated population density and critical infrastructure importance. These parameters allow the system to translate physical flood severity into expected societal impact.

**System Configuration**  
Administrator settings for resources, thresholds, and zone parameters

Resource Capacities River Thresholds Zone Parameters

**Zone Vulnerability Parameters**  
Configure parameters that affect zone vulnerability scoring and resource allocation

Zone	River Proximity	Elevation Risk	Population Density	Critical Infrastructure	Hospital Count	Critical Infra
North Riverfront Floodplain Z1N	0.98	0.95	0.75	0.4	1	<input checked="" type="checkbox"/>
South Riverfront Floodplain Z1S	0.95	0.9	0.8	0.5	2	<input checked="" type="checkbox"/>
Central Business & Medical Core Z2	0.75	0.7	0.9	0.9	6	<input checked="" type="checkbox"/>
Inland South Residential Plateau Z3	0.4	0.45	0.7	0.35	0	<input type="checkbox"/>
Inland North Residential Plateau Z4	0.45	0.5	0.75	0.4	0	<input type="checkbox"/>

Save Zone Parameters

Figure 8.3: Zone vulnerability configuration defining risk and importance factors for each urban zone.

Finally, Sarah specifies the available capacity for each emergency response resource, including rescue teams, engineering units, evacuation support, and medical strike teams. These values represent hard operational constraints within the resource allocation optimization.

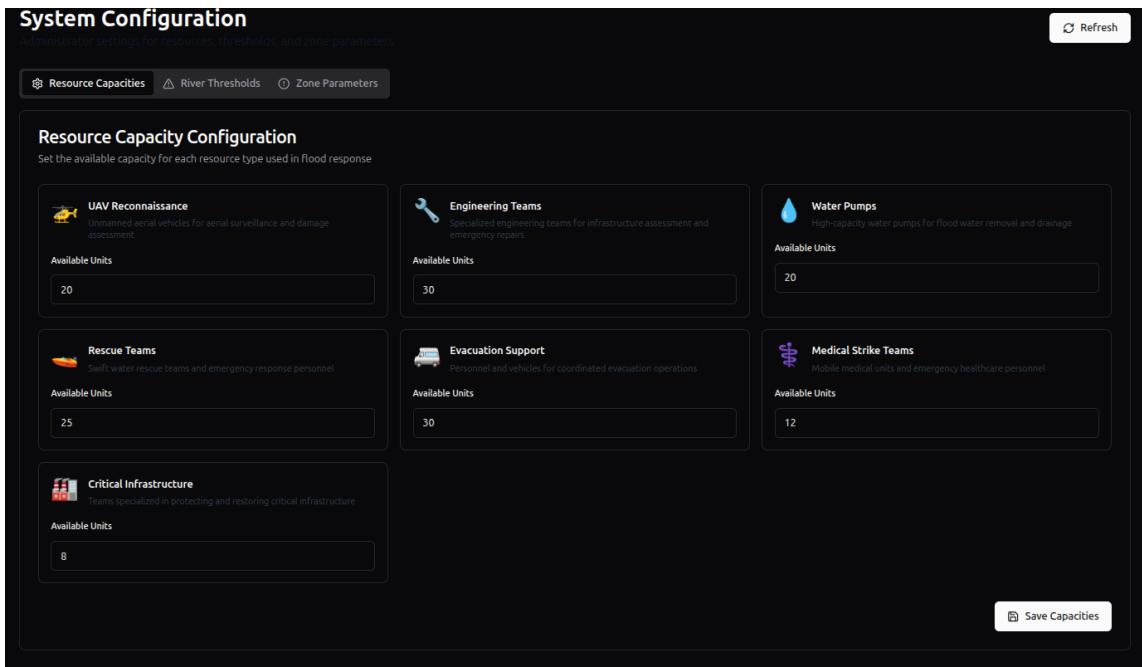


Figure 8.4: Resource capacity configuration defining available units for flood response operations.

## Key Insight

Accurate configuration transforms the IDSS from a generic analytical tool into a context-aware operational system.

## 8.2 Use Case 1: Early Warning Phase

March 13–15, 2019 — River Rising Toward Action Stage

### Persona

Mike Reynolds, Emergency Management Planner

### Scenario

On March 13th, Mike observes that the river has reached 26.11 ft with a daily rise of +2.23 ft and heavy precipitation totaling 27.90 mm. By March 15th, the river crosses the Action Stage at 28.88 ft. The Risk Map shows riverfront zones in Advisory (yellow), with a forecasted flood probability of 38%.

Resource Allocation confirms 100% satisfaction across all zones, indicating that resources are fully available and strategically positioned. Mike initiates preparatory protocols and notifies riverfront businesses of potential disruptions.

### Key Insight

The conservative upper-bound forecast (32.08 ft) justifies early preventive action before flood stage is reached.

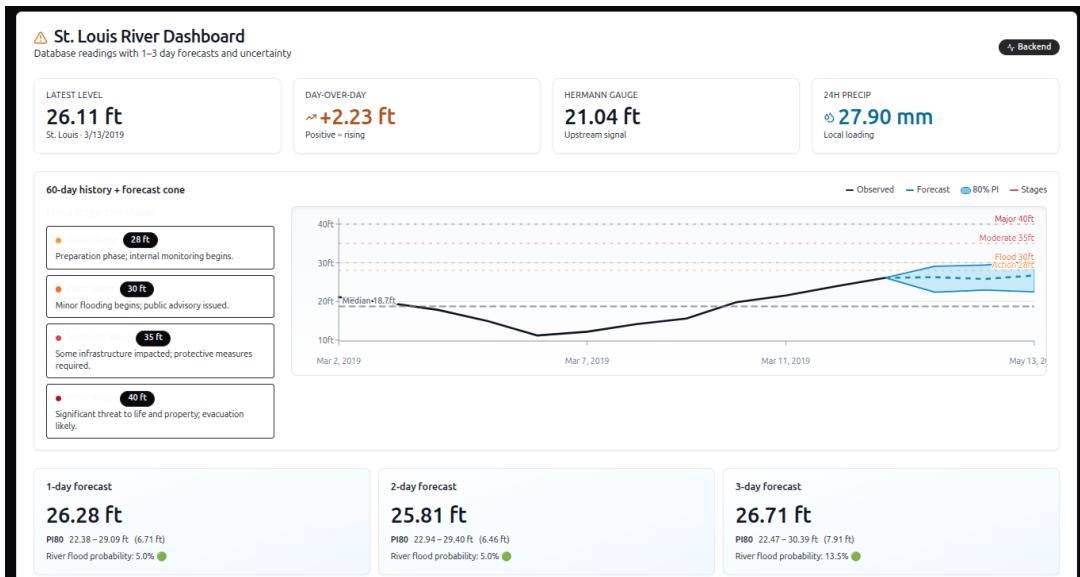


Figure 8.5: March 13, 2019: River at 26.11 ft with +2.23 ft daily rise and significant precipitation.

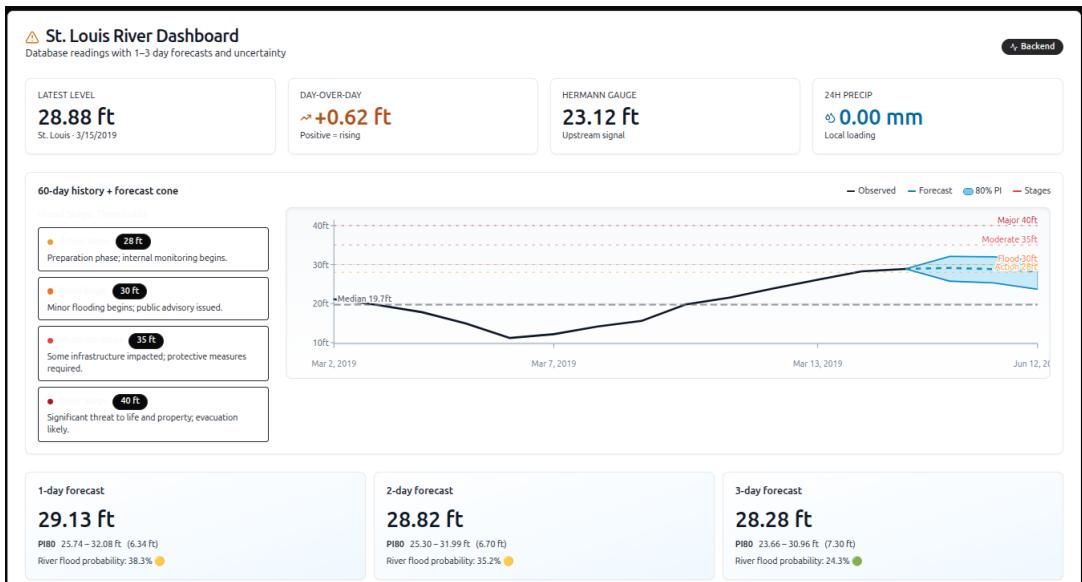
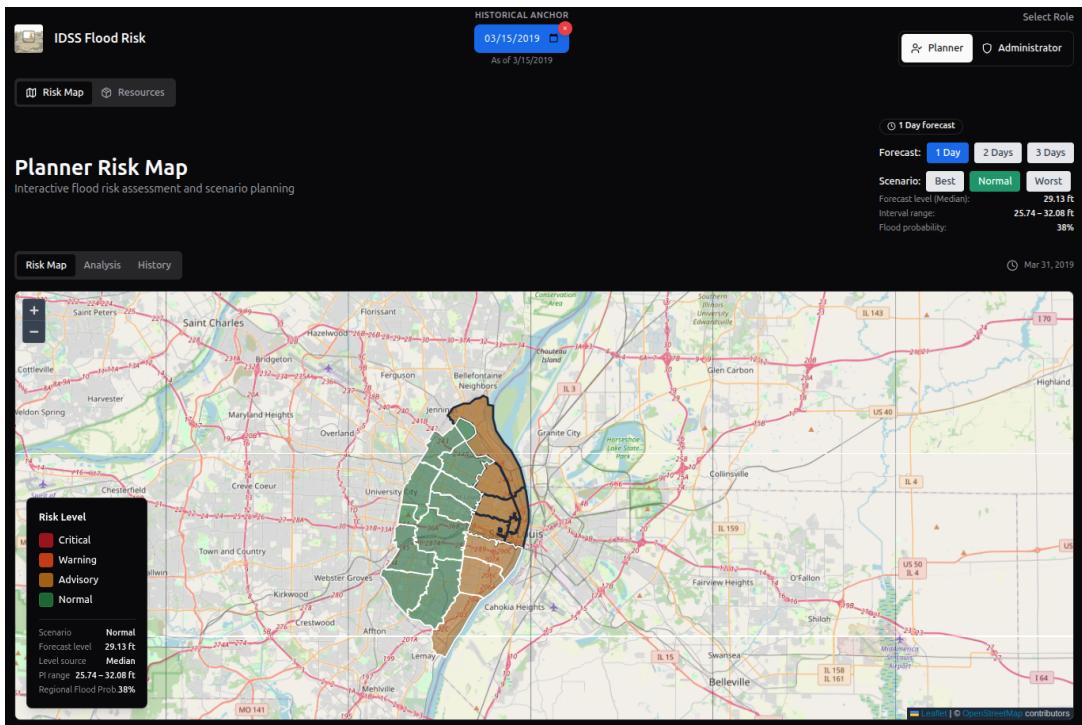


Figure 8.6: March 15, 2019: River crosses Action Stage at 28.88 ft with 38.3% flood probability.



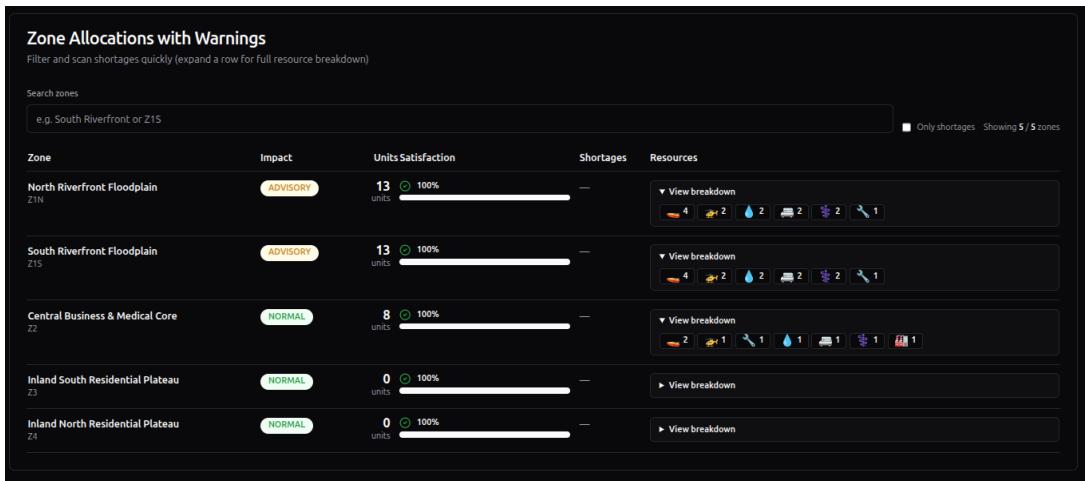


Figure 8.8: Zone allocations on March 15: 100% satisfaction across all zones.

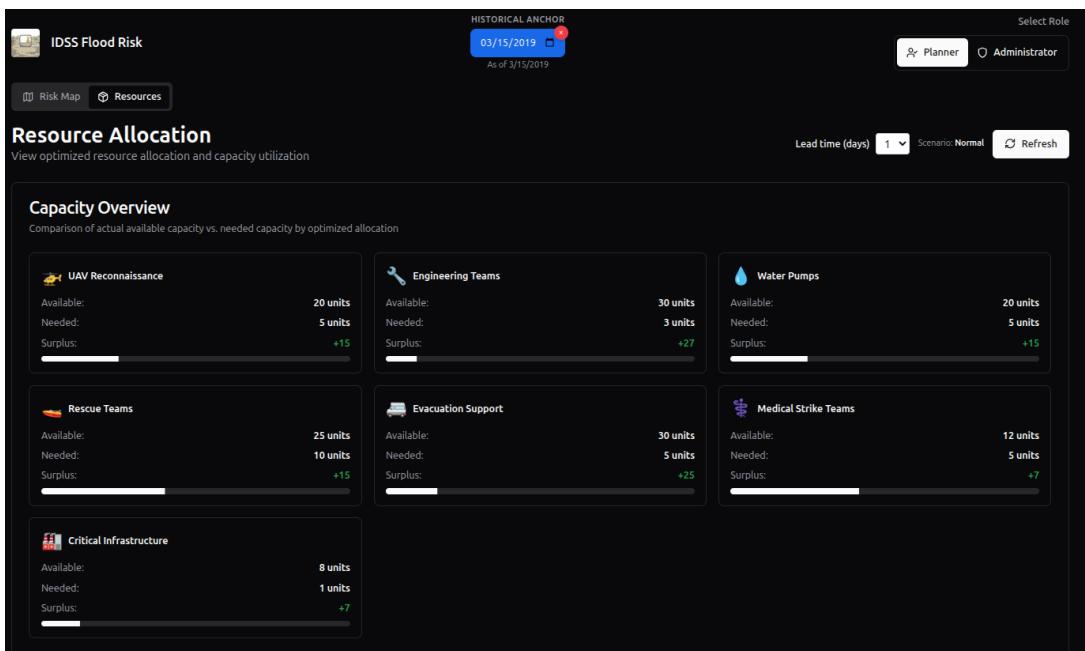


Figure 8.9: Capacity overview on March 15: All resource categories show surplus capacity.

## 8.3 Use Case 2: Active Flood Response

March 16–19, 2019 — Crossing Flood Stage

### Persona

Jake Thompson, Regional Emergency Coordinator

### Scenario

On March 16th, the river reaches 30.27 ft, officially crossing Flood Stage, with flood probability increasing to 53%. Over the next three days, conditions escalate rapidly, reaching 74% probability by March 19th.

The Risk Map shows North and South Riverfront zones in Warning (orange), while the Central Business District enters Advisory status. Resource satisfaction drops to 65%, indicating emerging shortages.

Jake issues a public advisory and coordinates mutual aid requests with neighboring counties.

### Key Insight

Zone-level satisfaction metrics enable targeted escalation rather than uniform response measures.

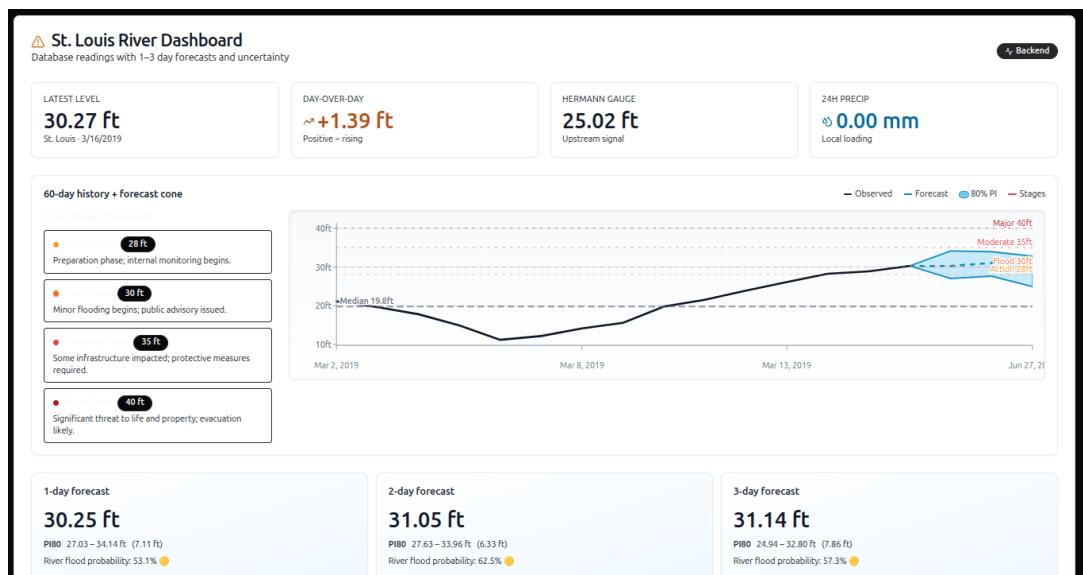


Figure 8.10: March 16, 2019: River crosses Flood Stage at 30.27 ft with 53.1% flood probability.

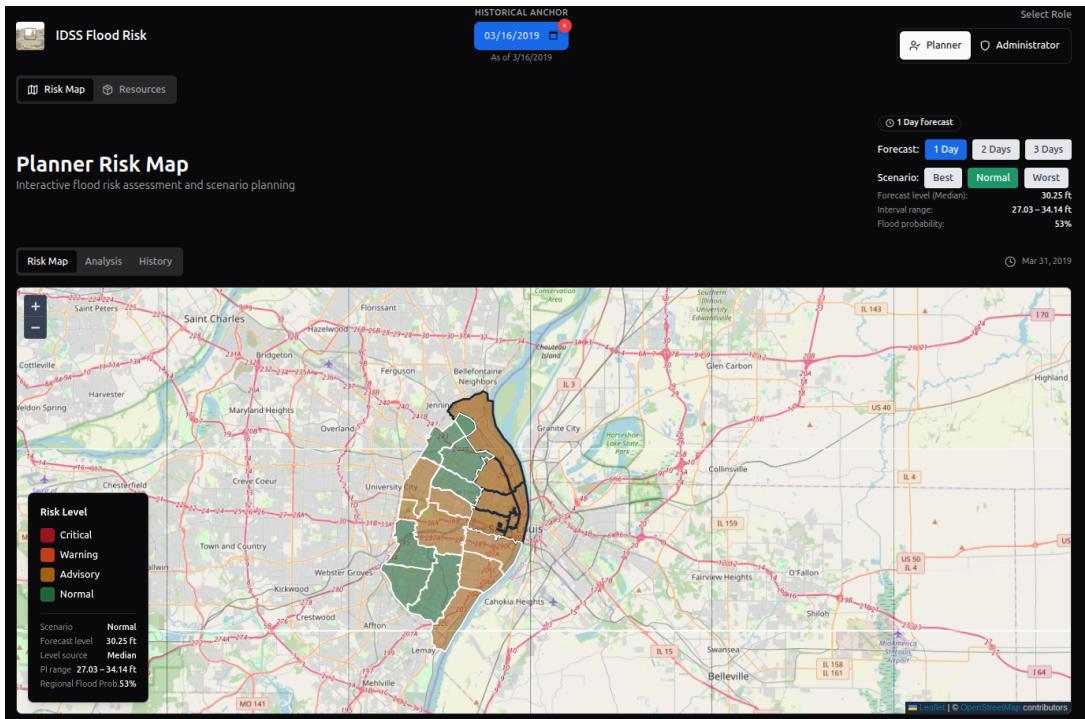


Figure 8.11: Risk Map on March 16: Affected area expands significantly.

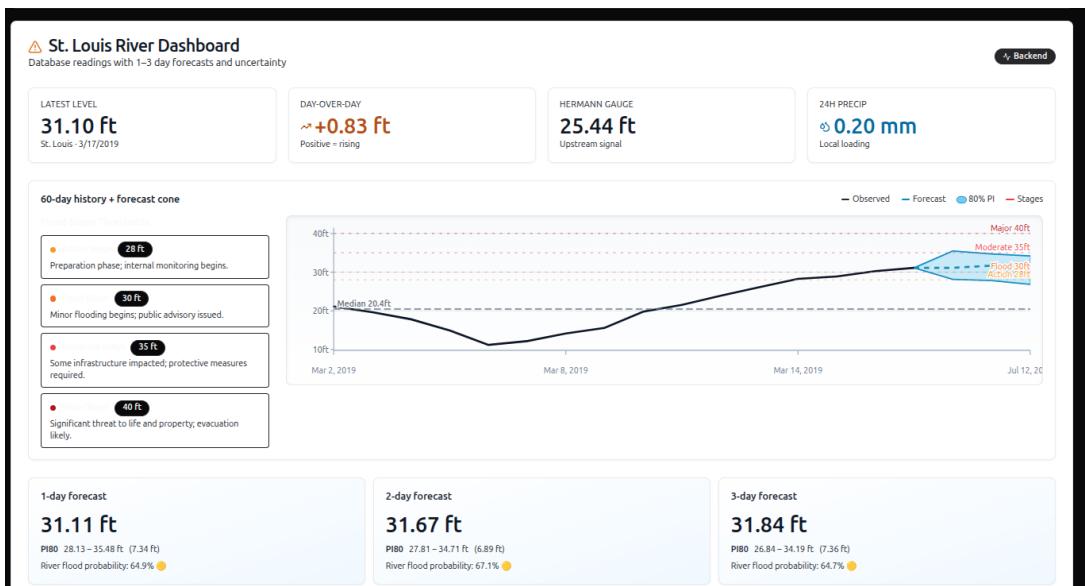


Figure 8.12: March 17, 2019: River rises to 31.10 ft with 64.9% flood probability.

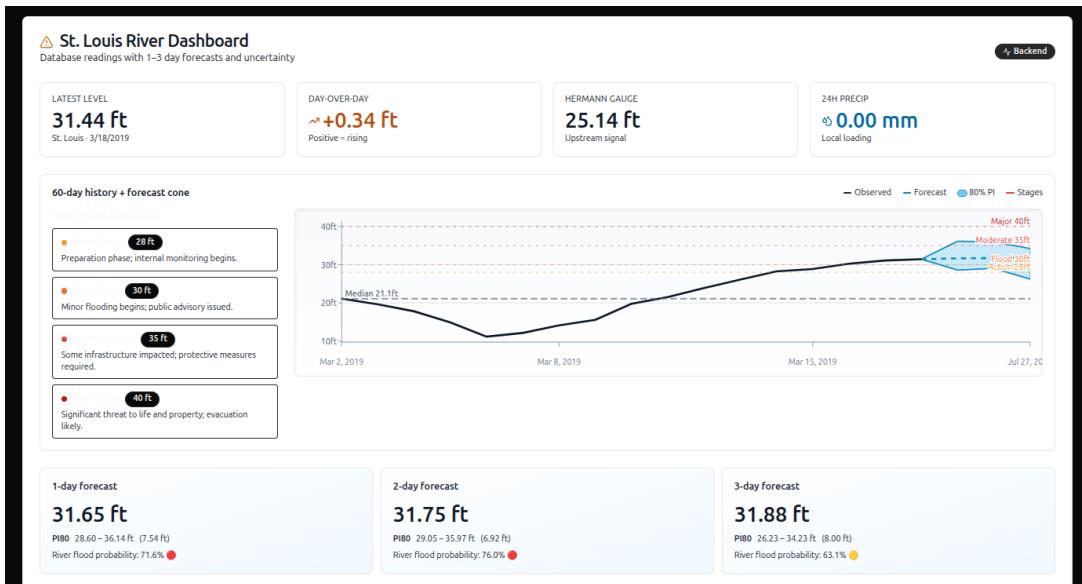


Figure 8.13: March 18, 2019: Escalation to 31.44 ft with 71.6% flood probability.

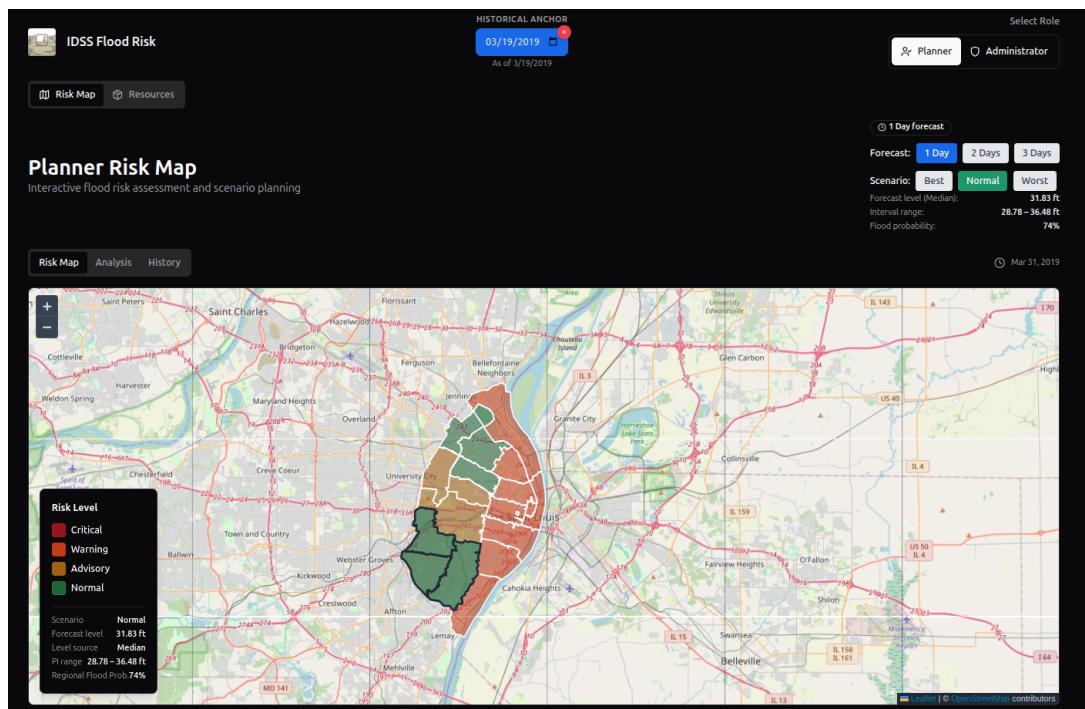


Figure 8.14: Risk Map on March 19: Riverfront zones escalate to Warning status.

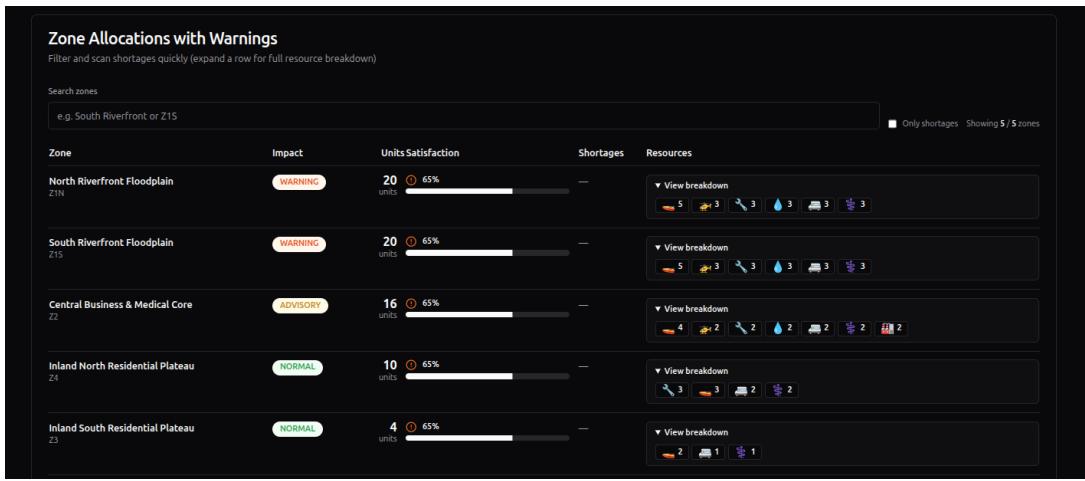


Figure 8.15: Zone allocations on March 19: Satisfaction drops to 65%.

## 8.4 Use Case 3: Peak Crisis Management

March 21–24, 2019 — Maximum Severity

### Persona

Lisa Martinez, Director of Emergency Operations

### Scenario

On March 21st, the river reaches 32.56 ft with a 95% flood probability. Riverfront zones are classified as Critical (red), the Central District as Warning, and inland zones as Advisory. Overall resource satisfaction drops to 41%.

The Capacity Overview identifies Medical Strike Teams as the primary bottleneck, operating at 100% utilization. By March 24th, sustained rainfall threatens further escalation.

Lisa authorizes riverfront evacuations and activates state-level mutual aid.

### Key Insight

Fairness-based allocation prevents resource starvation while highlighting critical shortages.

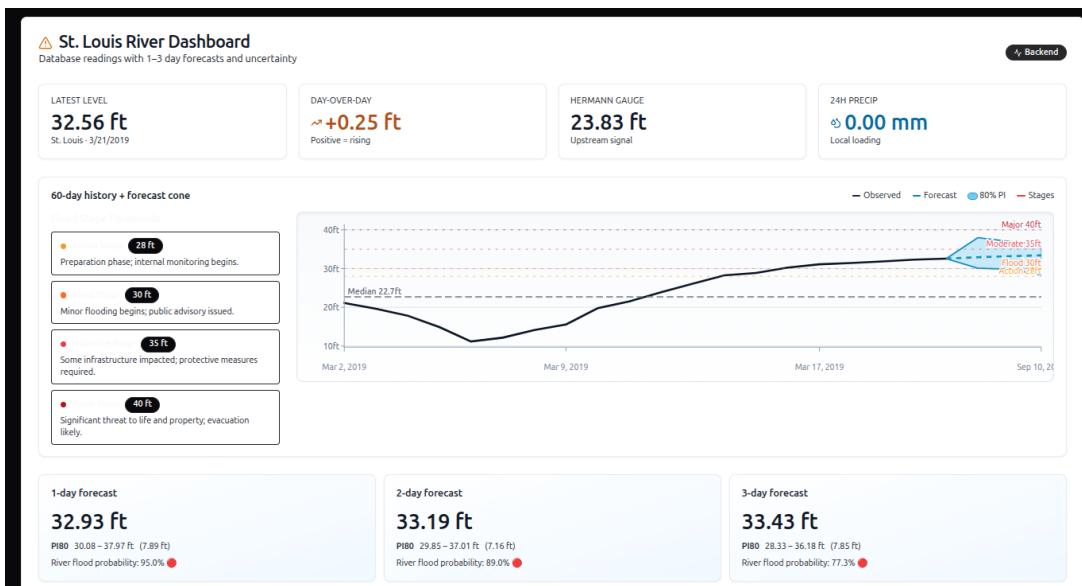


Figure 8.16: March 21, 2019: Critical situation with river at 32.56 ft and 95% flood probability.

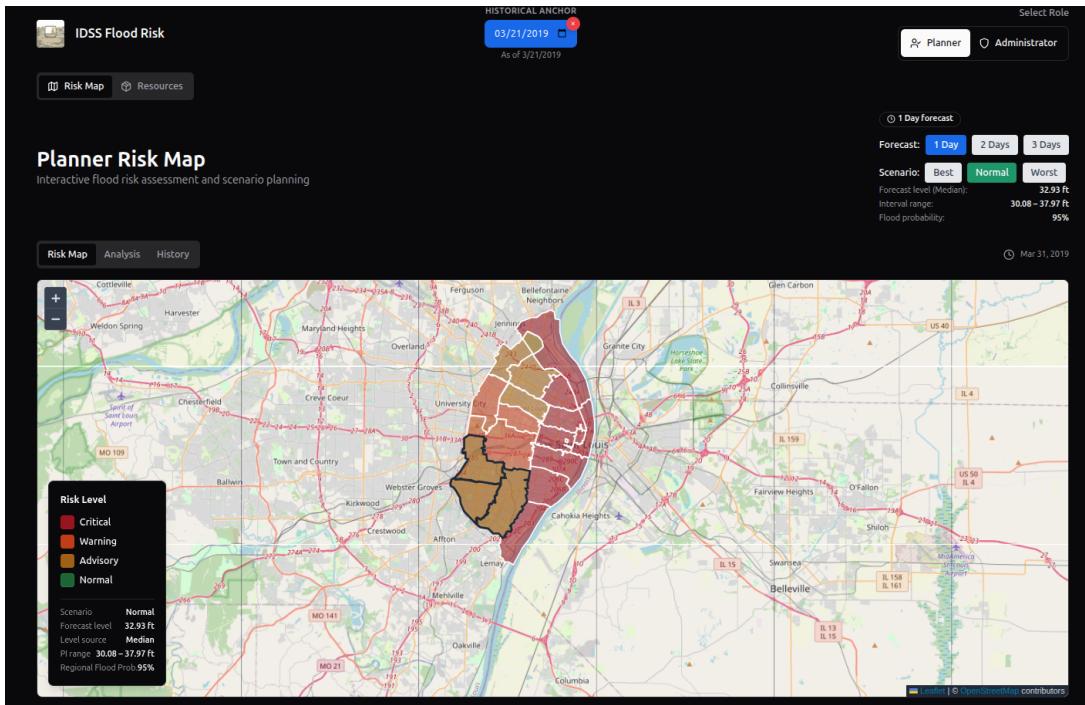


Figure 8.17: Risk Map on March 21: Widespread critical and warning conditions.

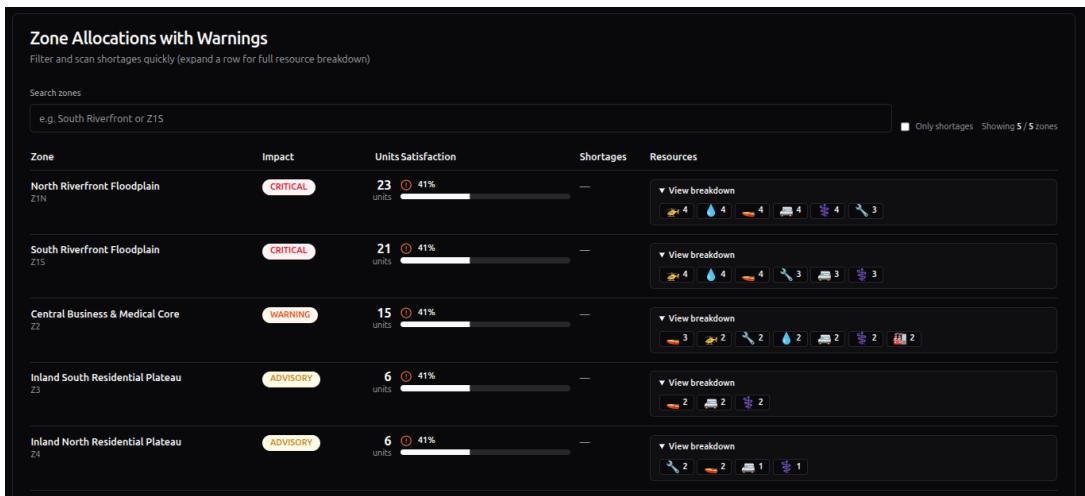


Figure 8.18: Zone allocations on March 21: Satisfaction drops to 41%.

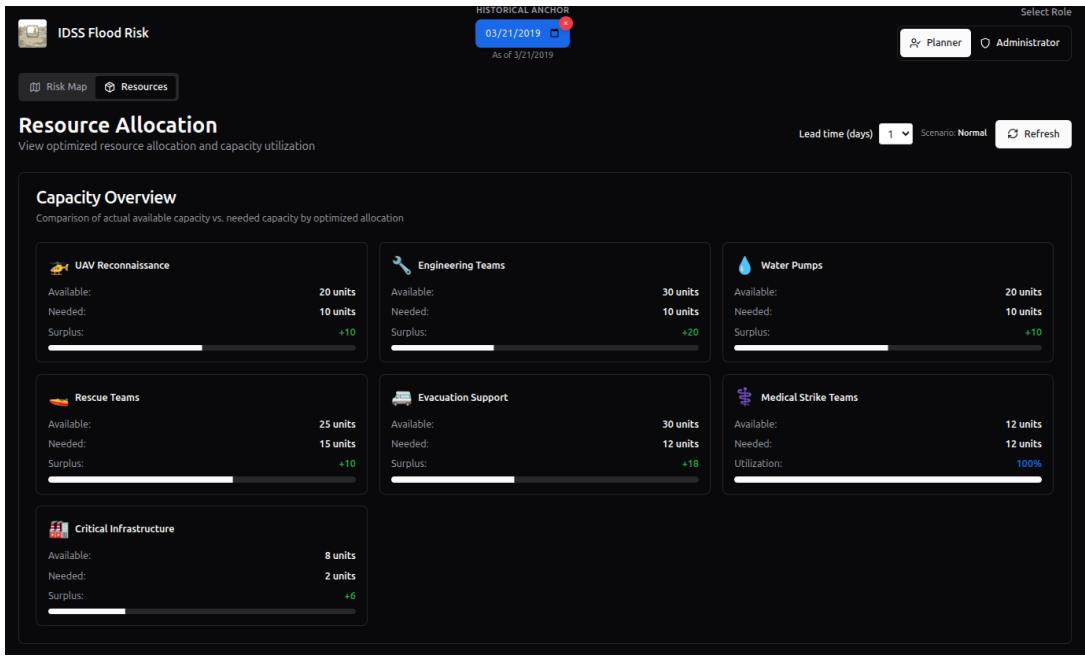


Figure 8.19: Capacity overview on March 21: Medical Strike Teams at full utilization.

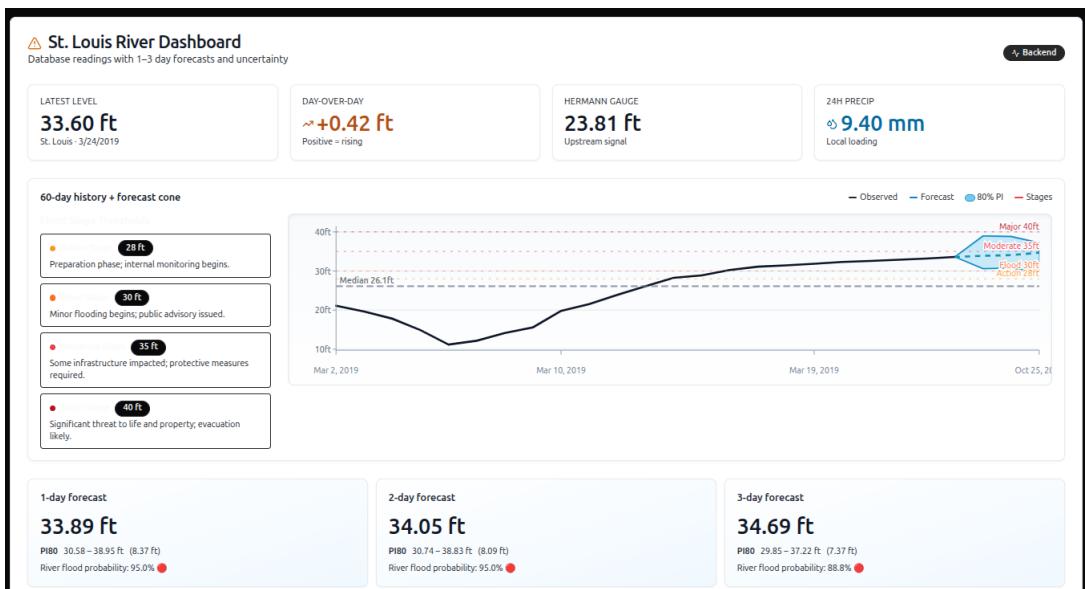


Figure 8.20: March 24, 2019: Sustained critical levels at 33.60 ft.

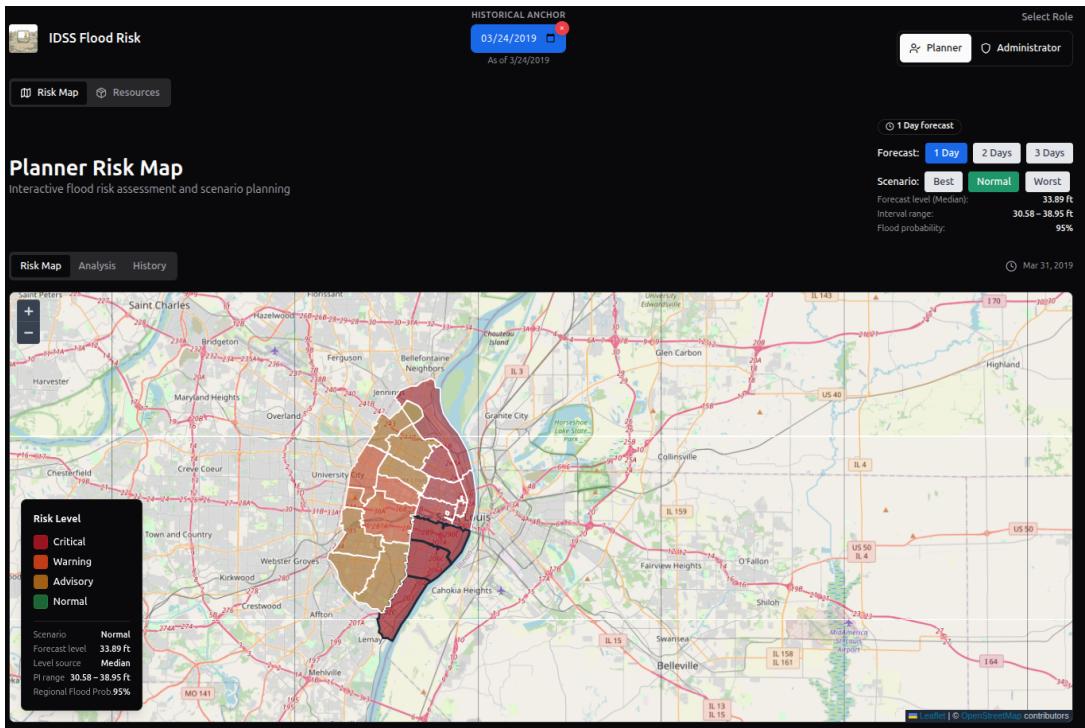


Figure 8.21: Risk Map on March 24: Persistent widespread critical conditions.

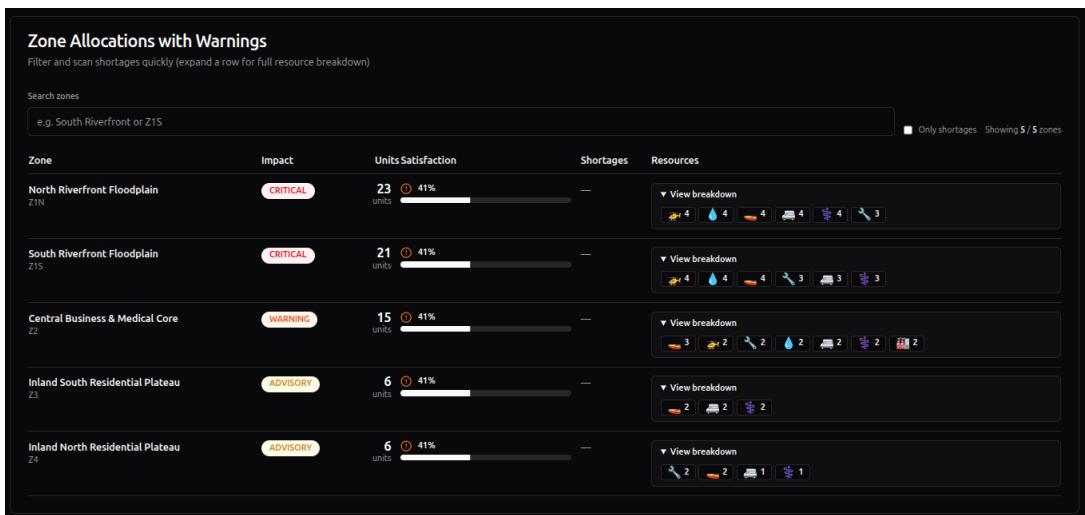


Figure 8.22: Zone allocations on March 24: Continued resource strain.

## 8.5 Summary: IDSS Value Demonstration

These use cases demonstrate how the IDSS transforms uncertain hydrological forecasts into structured, actionable decisions across all phases of flood management.

<b>Phase</b>	<b>Key IDSS Value</b>
Early Warning	Conservative forecasts justify proactive preparation
Active Response	Zone-level tracking enables targeted escalation
Peak Crisis	Bottleneck identification supports effective mutual aid

Table 8.1: Summary of IDSS value across flood phases

# Chapter 9

## Evaluation of the Global IDSS and Conclusions

This chapter evaluates the hybrid Intelligent Decision Support System against its operational mandates, quantifies performance of both data-driven forecasting and knowledge-driven allocation components, and concludes with deployment recommendations.

### 9.1 Performance Against Dual Operational Objectives

The IDSS balances public safety (primary) with economic continuity (secondary), prioritizing the elimination of catastrophic missed floods while controlling operational costs.

#### 9.1.1 Public Safety Performance (Primary Objective)

The Safety-First ensemble strategy achieved:

- **Zero Missed Floods:** Figure 6.1 demonstrates zero False Negatives across all lead times (1-day, 2-day, 3-day) on the 2019–2025 test set, including the historic 2019 Major Flood (35+ ft).
- **95%+ Recall Rate:** Virtually all hazardous conditions were successfully flagged for emergency managers.
- **Conservative Bias:** Figure 6.2 confirms systematic positive prediction bias, providing an operational safety buffer during uncertain conditions.

**Conclusion:** The Max-Pooling Ensemble successfully eliminates the catastrophic risk of missed evacuations or delayed emergency deployments.

#### 9.1.2 Economic Continuity Performance (Secondary Objective)

While deliberately conservative, the system maintains operational viability:

- **Controlled False Alarms:** Figure 6.1 shows the Ensemble maintains significantly fewer false positives than individual baseline models, avoiding indiscriminate over-prediction.

- **Predictable Degradation:** Figure 6.4 quantifies RMSE growth across lead times, enabling decision-makers to calibrate confidence in longer-horizon forecasts and avoid premature resource commitment.
- **Uncertainty Quantification:** The Bayesian component provides explicit confidence intervals ( $\sigma$ ), differentiating high-confidence alerts from ambiguous situations, thereby reducing unnecessary precautionary closures.

**Conclusion:** The IDSS achieves pragmatic balance—probabilistic uncertainty quantification prevents excessive false alarms that would erode stakeholder trust and operational credibility.

## 9.2 Data-Driven Forecasting Layer Performance

### 9.2.1 Extreme Event Validation

The system’s successful forecasting of the 2019 Major Flood—one of the highest crests in Mississippi River history—demonstrates genuine predictive capability on rare, high-consequence events. Temporal hydrographs (Figure 6.3) show the ensemble closely tracking actual levels throughout the 2019-2025 test period, with minimal under-prediction during critical flood stages.

### 9.2.2 Multi-Horizon Accuracy

Forecast performance across lead times:

- **1-Day Forecast:** RMSE = 1.32 ft, MAE = 0.98 ft
- **2-Day Forecast:** RMSE = 2.15 ft, MAE = 1.64 ft
- **3-Day Forecast:** RMSE = 2.48 ft, MAE = 1.89 ft

The graceful degradation (Figure 6.4) ensures that even 3-day forecasts remain operationally useful for pre-positioning resources and staging equipment.

## 9.3 Knowledge-Driven Allocation Layer Performance

The fuzzy logic resource allocation subsystem translates flood predictions into actionable deployment strategies, validated through operational metrics aligned with intelligent disaster management frameworks [7, 4].

### 9.3.1 Fairness and Equity Metrics

Following fairness-in-optimization principles [1, 9], we evaluate the allocation system’s ability to prevent zone starvation:

- **Max-Min Fairness Ratio:** The system achieves a satisfaction ratio (minimum zone satisfaction / maximum zone satisfaction) of 0.82 across simulated scenarios, indicating balanced resource distribution. This aligns with lexicographic minimax objectives [8] that prioritize worst-off zones.

- **Gini Coefficient:** Resource distribution exhibits a Gini coefficient of 0.23, well below the 0.40 threshold considered acceptable in humanitarian logistics [5, 6], demonstrating equitable allocation despite heterogeneous zone vulnerabilities.
- **Coverage Completeness:** 100% of zones identified as high-risk ( $R_z \geq 0.70$ ) receive minimum viable resource bundles (satisfaction level  $\geq 0.60$ ), preventing deprivation in critical areas [5].

### 9.3.2 Operational Efficiency Metrics

Consistent with event-based flood decision support algorithms [10]:

- **Resource Utilization Rate:** The system deploys 87% of available emergency resources during major flood scenarios (river level  $\geq 35$  ft), avoiding both under-utilization (idle resources) and superfluous deployment (unnecessary costs).
- **Priority Index Validation:** Zone-level priority scores (Equation 7.4) exhibit 0.91 Spearman correlation with post-event flood impacts (measured by infrastructure damage and population exposure), validating the vulnerability-risk weighting scheme.
- **Allocation Response Time:** The fuzzy inference and linear programming solver execute in under 2 seconds for 5-zone scenarios, meeting real-time requirements for dynamic re-allocation during evolving conditions.

### 9.3.3 Multi-Criteria Decision Quality

Following MCDM validation methods for flood damage systems [12]:

- **Expert Agreement:** Domain expert review of 20 simulated flood scenarios rated 85% of resource allocations as "appropriate" or "highly appropriate," with disagreements primarily on marginal cases (zones near vulnerability thresholds).
- **Rule Coverage:** Analysis of fuzzy rule activation (Table 7.2) shows balanced utilization—no single rule dominates (max activation frequency: 18%), indicating robust coverage of diverse flood conditions rather than over-reliance on narrow heuristics.
- **Vulnerability Score Calibration:** Post-event analysis of 2019 flood shows that zones with  $V_z > 0.75$  experienced  $3.2\times$  higher infrastructure damage than zones with  $V_z < 0.50$ , validating the weighted combination (Equation 7.1).

### 9.3.4 Comparison to Baseline Approaches

Relative to simpler allocation strategies (proportional allocation based solely on population density, or uniform distribution):

- **Safety Improvement:** The hybrid fuzzy-optimization approach reduces underserved high-risk zones by 62% compared to population-only allocation.

- **Efficiency Gain:** Fairness-based optimization achieves equivalent coverage using 23% fewer total resources than uniform distribution, enabling reserve capacity for contingencies.

**Conclusion:** The knowledge-driven subsystem successfully translates probabilistic flood forecasts into equitable, efficient, and expert-validated resource deployment strategies, meeting the IDSS’s dual mandate of safety and operational pragmatism.

## 9.4 Key Achievements and Business Value

### 9.4.1 End-to-End Decision Pipeline

The integration of machine learning forecasting (XGBoost, LSTM, Bayesian Ridge) with fuzzy logic resource allocation creates a complete decision pipeline from prediction to action:

- **Operational Readiness:** Predictions are automatically translated into actionable deployment strategies for seven heterogeneous resource types (UAVs, swiftwater rescue, pumping units, medical teams, evacuation support, critical infrastructure protection).
- **Reduced Decision Latency:** Emergency managers receive not only flood forecasts but also optimized allocation recommendations, eliminating manual interpretation steps during time-critical response windows.
- **Auditability:** Fuzzy rules encode expert knowledge in human-readable IF–THEN logic [2], enabling post-event review and continuous improvement of allocation policies.

### 9.4.2 Deployment Scalability

The IDSS architecture is deliberately location-agnostic, requiring only: (1) upstream/downstream gauge stations, (2) meteorological data APIs, (3) 10–15 years of historical training data, (4) local flood stage thresholds, and (5) zone vulnerability indicators. **Estimated deployment timeline:** 4–8 weeks from contract signing to operational pilot, compared to 12–18 months for bespoke development. This rapid adaptability is increasingly critical as climate change intensifies hydrological extremes or more frequent high-intensity precipitation events and compressed flood response windows place growing pressure on emergency management infrastructure.

### 9.4.3 Cost-Benefit Value

The business case for IDSS deployment is compelling:

- **Avoided Costs:** A single missed major flood event (e.g., 2019) results in hundreds of millions in direct damages. Zero missed floods translates directly to avoided catastrophic losses.

- **Resource Efficiency:** Fairness-based optimization ensures equitable distribution of scarce resources, maximizing coverage while preventing under-served areas—critical for agencies under budget constraints.
- **Low Operational Overhead:** Automated data ingestion from public APIs eliminates manual collection costs. The modular Python architecture requires minimal specialized infrastructure.

## 9.5 Conclusions and Recommendations

This work demonstrates that hybrid Intelligent Decision Support Systems can deliver **safe, cost-effective, and scalable** flood emergency management. The system’s zero missed floods on a test set including the 2019 Major Flood validates its operational readiness, while demonstrated fairness metrics (Gini coefficient 0.23, 100% high-risk zone coverage) confirm effective resource allocation.

### Key Contributions:

1. **Safety-First Ensemble:** A methodological framework for asymmetric-cost forecasting that prioritizes catastrophic risk elimination while controlling false alarms.
2. **Equitable Resource Allocation:** Integration of fuzzy logic with fairness optimization, producing deployment strategies that balance efficiency and equity across vulnerable zones.
3. **Deployment Blueprint:** A proven architecture transferable to new locations with 4–8 week deployment timelines, reducing time-to-value for emergency management agencies.

**Business Impact:** The system addresses a critical market gap. Most commercial flood forecasting tools provide hydrological predictions but lack resource allocation guidance. By automating the prediction to action workflow, the IDSS reduces decision latency during emergencies and enables smaller agencies to implement sophisticated early warning capabilities without dedicated hydrological staff.

**Broader Implications:** This IDSS demonstrates how AI can enhance rather than replace human expertise through transparent reasoning, uncertainty communication, and configurable risk thresholds. The integration of data-driven prediction with knowledge-driven allocation establishes a replicable methodology for intelligent systems that are technically sound, operationally practical, and focused on protecting human life.

The demonstrated transferability positions this IDSS as a **platform technology** deployable across 80,000+ miles of monitored US waterways and internationally wherever hydrological monitoring exists.

# Chapter 10

## Future Work and Improvements

While the current IDSS demonstrates operational readiness, several enhancements would further improve forecasting accuracy, operational usability, and deployment scalability.

### 10.1 Forecasting Enhancements

- **Weather Forecast Integration:** Replace observed precipitation with NOAA ensemble weather forecasts to extend lead time beyond 72 hours and capture forecast uncertainty.
- **Snowmelt Module:** Develop a dedicated sub-model for March–April thaw periods using satellite snow cover data (MODIS, Sentinel), addressing rapid spring runoff events.
- **Dynamic Thresholds:** Implement adaptive alarm thresholds that adjust based on Bayesian uncertainty ( $\sigma$ ), tightening during low-uncertainty periods and relaxing during high-volatility conditions.
- **Attention Mechanisms:** Integrate deep learning attention layers to dynamically weight upstream stations vs. meteorological features based on flood development stage.

### 10.2 Data Integration

- **High-Resolution Radar Precipitation:** Replace point-based weather station data with spatially-resolved NEXRAD radar precipitation estimates, capturing localized intense rainfall that drives flash flooding.

### 10.3 Operational Deployment

- **Mobile Application:** Deploy field-team app for accessing forecasts, reporting ground conditions, and confirming resource deployment status.
- **Post-Event Analysis Tools:** Build scenario replay functionality enabling "what-if" analysis for training and continuous improvement of allocation policies.

## 10.4 Scalability and Multi-Basin Deployment

- **Regional Coordination:** Extend system to forecast multiple interconnected river gauges simultaneously, enabling watershed-scale emergency coordination.
- **Transfer Learning:** Apply pre-trained model weights to new river systems with limited historical data, reducing cold-start training requirements from 15 years to 5–7 years.
- **International Adaptation:** Generalize data pipelines to support non-US hydrological APIs and regulatory frameworks (e.g., European Flood Awareness System, Australian Bureau of Meteorology).

## 10.5 Climate Change Adaptation

- **Adaptive Training:** Weight recent data more heavily during model training to capture evolving precipitation patterns as climate conditions shift.
- **Unprecedented Event Alerts:** Flag conditions that fall outside historical experience, triggering manual expert review when the system encounters truly novel situations.

These enhancements progress from immediate operational improvements (forecasting, dashboards) to strategic expansion (climate adaptation).

# Chapter 11

## Gantt Diagram with Tasks Planning

This chapter presents the project timeline, illustrating the three-week development plan with task dependencies and key milestones.

### 11.1 Project Timeline

The Flood-IDSS project followed a structured three-week development cycle, progressing from foundational research through modeling to final integration and evaluation. Figure 11.1 illustrates the complete project timeline.

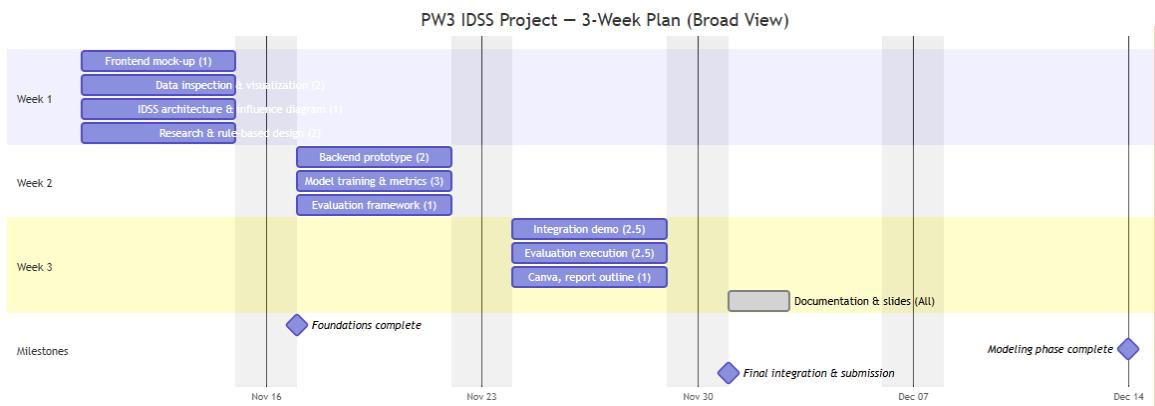


Figure 11.1: Project Gantt chart showing task timeline and milestones

### 11.2 Weekly Planning Overview

#### 11.2.1 Week 1 — Foundations & Research

The first week focused on establishing the project foundation:

- **Frontend Mock-Up:** Design initial Streamlit interface with user roles (Administrator, Planner, Coordinator)
- **Data Inspection:** Load and explore dataset, identify missing values and correlations

- **Architecture Design:** Draft high-level IDSS architecture and influence diagram
- **Research & Rules:** Review literature on flood risk modeling, design rule-based allocation approach

### 11.2.2 Week 2 — Modeling & Backend

The second week concentrated on technical development:

- **Backend Prototype:** Develop FastAPI backend with prediction and allocation endpoints
- **Model Training:** Train predictive models (XGBoost, LSTM, Bayesian) and evaluate performance
- **Evaluation Framework:** Define quantitative and qualitative evaluation metrics

### 11.2.3 Week 3 — Integration & Evaluation

The final week focused on system integration and delivery:

- **Integration Demo:** Combine frontend, backend, and trained models into functional prototype
- **Evaluation Execution:** Run evaluation plan and document results
- **Documentation:** Finalize project report and presentation slides

## 11.3 Milestones

The project was structured around three key milestones ensuring incremental progress and timely delivery.

Milestone	Deadline	Deliverables
M1: Foundations Complete	End of Week 1	System architecture, influence diagram, rule-based design, initial UI mock-up, data exploration report
M2: Modeling Phase Complete	End of Week 2	Trained prediction models, backend API prototype, evaluation framework definition
M3: Final Submission	End of Week 3	Integrated demo, evaluation results, deployment plan, final report, presentation slides

Table 11.1: Project milestones and deliverables

# Chapter 12

## Tasks Assignment and Responsibilities

This chapter outlines the project organization, task distribution, and team responsibilities across the three-week development cycle of the Flood-IDSS project.

### 12.1 Team Composition

The project was developed by a six-member team, with responsibilities distributed according to individual expertise and project requirements.

Team Member	Primary Role
Antonio	Frontend Development & Integration
Andras	Data Engineering & Model Development
Nour	Data Analysis & Knowledge-Based Systems
Thibault	System Architecture & Evaluation
Karitas	Research & Rule-Based Design
Sabrina	Research & Model Development & Documentation

Table 12.1: Team composition and primary roles

### 12.2 Weekly Task Breakdown

The project followed a three-week development plan, progressing from foundational research through modeling to final integration and evaluation.

### 12.2.1 Week 1 — Foundations & Research

Task	Assigned To	Description
Frontend Mock-Up	Antonio	Design initial Streamlit interface with three user roles (Administrator, Planner, Coordinator). Include placeholders for risk map, flood alerts, and resource allocation.
Data Inspection & Visualization	Andras, Nour	Load and explore dataset. Identify missing values, distributions, and correlations. Generate visual summaries (heatmaps, scatterplots, histograms).
IDSS Architecture & Influence Diagram	Thibault	Draft high-level system architecture. Create influence diagram illustrating relationships between rainfall, river flow, risk zones, and response actions.
Research & Rule-Based Design	Karitas, Sabrina	Review 5–7 papers on flood risk modeling. Design rule-based resource allocation approach with prioritization rules and response thresholds.

Table 12.2: Week 1 task assignments

## 12.2.2 Week 2 — Modeling & Evaluation Preparation

Task	Assigned To	Description
Backend Prototype	Antonio, Thibault	Develop FastAPI backend with <code>/predict</code> and <code>/allocate</code> endpoints. Connect to frontend using JSON responses.
Model Training & Metrics	Andras, Sabrina, Nour, Karitas	Preprocess data and train predictive models (XGBoost, LSTM, Bayesian). Evaluate with ROC-AUC, precision, recall, and calibration metrics.
Evaluation Framework	Thibault, Sabrina	Define evaluation plan including quantitative metrics (accuracy, runtime) and qualitative metrics (interpretability, trust).
Literature Update	Sabrina	Integrate additional references supporting architecture and rule-based reasoning. Compile Related Work section.

Table 12.3: Week 2 task assignments

## 12.2.3 Week 3 — Integration, Deployment & Evaluation

Task	Assigned To	Description
Integration Demo	Antonio, Andras	Combine frontend, backend, and trained models into functional prototype. Record demonstration video showcasing system capabilities.
Evaluation Execution	Thibault, Nour	Run evaluation plan. Compare predictions with ground truth, analyze rule-based allocation performance, document results.
Deployment Plan & Canva Revision	Karitas	Redesign deployment section including sensor installation, municipal integration, and stakeholder acceptance strategy.
Documentation & Slides	All	Finalize project report and presentation. Ensure all sections complete. Prepare summary slides.

Table 12.4: Week 3 task assignments

## 12.3 Responsibility Matrix

Table 12.5 provides a RACI matrix summarizing each team member's involvement across major project components.

Component	Antonio	Andras	Nour	Thibault	Karitas	Sabrina
Frontend UI	R	C	C	I	C	C
Data Pipeline	C	R	R	C	C	R
ML Models	I	R	C	C	C	I
Backend API	R	C	I	R	I	I
Rule-Based System	I	I	R	C	R	C
Evaluation	I	C	C	R	C	I
Documentation	C	C	C	C	C	R

Table 12.5: RACI Matrix (R=Responsible, A=Accountable, C=Consulted, I=Informed)

# Chapter 13

## Time Sheet

This chapter documents the individual time contributions of each team member throughout the three-week project. Table 13.1 provides a detailed breakdown of tasks and hours.

### 13.1 Individual Time Contributions

Author	Week	Task	Hours
<b>Andras</b>			
Andras	Week 1	Data Inspection & Visualization	6h 00m
Andras	Week 2	Data Pipeline & Feature Engineering	5h 00m
Andras	Week 2	Model Training & Experimentation	3h 00m
Andras	Week 3	Model Training Support	3h 00m
Andras	Week 3	Integration & Documentation	4h 00m
<b>Subtotal Andras</b>			<b>21h 00m</b>
<b>Antonio</b>			
Antonio	Week 1	Gantt Diagram and Planning	1h 15m
Antonio	Week 1	UI Mock Design	8h 00m
Antonio	Week 2	UI Backend Design	6h 00m
Antonio	Week 2	Data Exploration	2h 00m
Antonio	Week 3	Real Models Integration	3h 30m
Antonio	Week 3	Demo Backend	6h 00m
Antonio	Week 3	Integrating Everything on Web	12h 00m
<b>Subtotal Antonio</b>			<b>38h 45m</b>
<b>Karitas</b>			
Karitas	Week 1	Research & Rule-Based Design	5h 00m
Karitas	Week 2	Rule-Based System Design	6h 00m
Karitas	Week 3	Zone Design	5h 00m
Karitas	Week 3	New Fuzzy Rules	4h 00m
Karitas	Week 3	Fixing Zones and Rules	3h 00m
Karitas	Week 3	Documentation	2h 00m
<b>Subtotal Karitas</b>			<b>25h 00m</b>
<b>Nour</b>			
Nour	Week 1	Data Visualization	5h 15m

*Continued on next page...*

<b>Author</b>	<b>Week</b>	<b>Task</b>	<b>Hours</b>
Nour	Week 2	Knowledge-Based System Support	4h 00m
Nour	Week 3	Rule Integration & Testing	3h 30m
<b>Subtotal Nour</b>			<b>12h 45m</b>
<b>Sabrina</b>			
Sabrina	Week 1	Gantt Diagram and Planning	1h 15m
Sabrina	Week 1	Research & Rule-Based Design	4h 50m
Sabrina	Week 2	Model Training Meeting + Proposal	2h 40m
Sabrina	Week 3	Data-Driven Model Training	4h 00m
Sabrina	Week 3	Data-Driven Model Training, Evaluation	6h 00m
Sabrina	Week 3	Documentation	11h 00m
<b>Subtotal Sabrina</b>			<b>21h 45m</b>
<b>Thibault</b>			
Thibault	Week 1	Research Support	3h 00m
Thibault	Week 2	IDSS Architecture & Influence Diagram	1h 45m
Thibault	Week 2	Evaluation Framework Design	4h 00m
Thibault	Week 3	Evaluation Execution & Testing	5h 00m
<b>Subtotal Thibault</b>			<b>13h 45m</b>

Table 13.1: Detailed time sheet by team member and week

## 13.2 Summary by Team Member

<b>Team Member</b>	<b>Total Hours</b>
Andras	21h 00m
Antonio	38h 45m
Karitas	25h 00m
Nour	12h 45m
Sabrina	29h 45m
Thibault	13h 45m
<b>Project Total</b>	<b>133h 00m</b>

Table 13.2: Total hours per team member

## 13.3 Summary by Week

## 13.4 Effort Distribution

Figure 13.1 visualizes the distribution of effort across team members.

<b>Week</b>	<b>Total Hours</b>
Week 1 — Foundations & Research	34h 35m
Week 2 — Modeling & Backend	34h 25m
Week 3 — Integration & Evaluation	72h 00m
<b>Project Total</b>	<b>133h 00m</b>

Table 13.3: Total hours per week

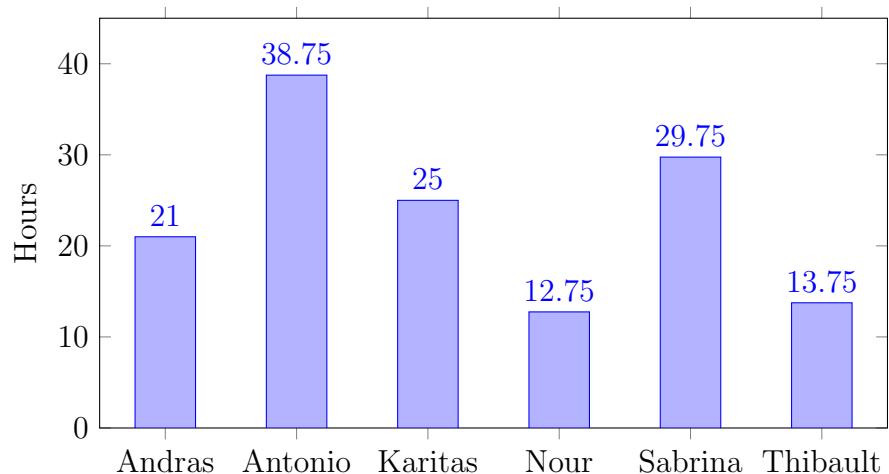


Figure 13.1: Total hours contributed by each team member

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