Problem Set 4

Due Date ....February 15
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Contents

1 Instructions

- The solutions **must be typed**, using proper mathematical notation. We cannot accept hand-written solutions. Here's a short intro to LaTeX.

- You should submit your work through the **class Canvas page** only. Please submit one PDF file, compiled using this LaTeX template.

- You may not need a full page for your solutions; pagebreaks are there to help Gradescope automatically find where each problem is. Even if you do not attempt every problem, please submit this document with no fewer pages than the blank template (or Gradescope has issues with it).

- You are welcome and encouraged to collaborate with your classmates, as well as consult outside resources. You must **cite your sources in this document. Copying from any source is an Honor Code violation. Furthermore, all submissions must be in your own words and reflect your understanding**

of the material. If there is any confusion about this policy, it is your responsibility to clarify before the due date.

- Posting to **any** service including, but not limited to Chegg, Reddit, StackExchange, etc., for help on an assignment is a violation of the Honor Code.

- You **must** virtually sign the Honor Code (see Section 2). Failure to do so will result in your assignment not being graded.

2 Honor Code (Make Sure to Virtually Sign)

Problem 1.
- My submission is in my own words and reflects my understanding of the material.

- Any collaborations and external sources have been clearly cited in this document.

- I have not posted to external services including, but not limited to Chegg, Reddit, StackExchange, etc.

- I have neither copied nor provided others solutions they can copy.

Agreed (Alex Ojemann). I agree to the above, Alex Ojemann □

3 Standard 10- Asymptotics I (Calculus I techniques)

Problem 2. For each part, you will be given a list of functions. Your goal is to order the functions from **slowest growing** to **fastest growing**. That is, if your answer is $f_1(n), \ldots, f_k(n)$, then it should be the case that $f_i(n) \in O(f_{i+1}(n))$ for all i. If two adjacent functions have the same order of growth (that is, $f_i(n) \in \Theta(f_{i+1}(n))$), clearly specify this. **Show all work, including Calculus details.** Plugging into WolframAlpha is not sufficient.

You may find the following helpful.

- Recall that our asymptotic relations are transitive. So if $f(n) \in O(g(n))$ and $g(n) \in O(h(n))$, then $f(n) \in O(h(n))$. The same applies for Big-Theta, etc. Note that the goal is to order the growth rates, so transitivity is very helpful. We encourage you to make use of transitivity rather than comparing all possible pairs of functions, as using transitivity will make your life easier.

- You may also use the Limit Comparison Test. However, you **MUST** show all limit computations at the same level of detail as in Calculus I-II. Should you choose to use Calculus tools, whether you use them correctly will count towards your score.

- You may **NOT** use heuristic arguments, such as comparing degrees of polynomials or identifying the "high order term" in the function.

- If it is the case that $g(n) = c \cdot f(n)$ for some constant c, you may conclude that $f(n) = \Theta(g(n))$ without using Calculus tools. You must clearly identify the constant c (with any supporting work necessary to identify the constant- such as exponent or logarithm rules) and include a sentence to justify your reasoning.

You may also find it helpful to order the functions using an `itemize` block, with the work following the end of the `itemize` block.

- This function grows the slowest: $f_1(n)$

- These functions grow at the same asymptotic rate and faster than $f_1(n)$: $f_2(n), f_3(n), \ldots$

- These functions grow at the same asymptotic rate, but faster than $f_2(n)$: $f_k(n)$.

Also below is an example of an `align` block to help you organize your work.

$$\lim_{n \to \infty} \frac{n^2}{2^n} = \lim_{n \to \infty} \frac{2n}{\ln(2) \cdot 2^n}$$
$$= \lim_{n \to \infty} \frac{2}{(\ln(2))^2 \cdot 2^n}$$
$$= 0.$$

3.1 Problem 2(a)

(a) $n^3 - 10,$ $n^3 + 20n^2 + 1000,$ $n^4 - 50n^3,$ $10n^3\sqrt{n}.$

Answer. The order of these functions from slowest growing to fastest growing is: $(n^3 - 10$ and $n^3 + 20n^2 + 1000$ tied), $10n^3\sqrt{n}$, $n^4 - 50n^3$

$$
\begin{aligned}
\lim_{n\to\infty} \frac{n^3 - 10}{n^3 + 20n^2 + 1000} &= \lim_{n\to\infty} \frac{3n^2}{3n^2 + 40n} \\
&= \lim_{n\to\infty} \frac{6n}{6n + 40} \\
&= \lim_{n\to\infty} \frac{6}{6} \\
&= 1.
\end{aligned}
$$

Thus, $n^3 - 10$ and $n^3 + 20n^2 + 1000$ grow at the same asymptotic rate by LCT.

$$
\begin{aligned}
\lim_{n\to\infty} \frac{n^3 - 10}{10n^3\sqrt{n}} &= \lim_{n\to\infty} \frac{n^3 - 10}{10n^{3.5}} \\
&= \lim_{n\to\infty} \frac{3n^2}{35n^{2.5}} \\
&= \lim_{n\to\infty} \frac{6n}{87.5n^{1.5}} \\
&= \lim_{n\to\infty} \frac{6}{131.25n^{0.5}} \\
&= 0.
\end{aligned}
$$

Thus $n^3 - 10$ grows asymptotically slower than $10n^3\sqrt{n}$ by LCT.

$$
\begin{aligned}
\lim_{n\to\infty} \frac{10n^3\sqrt{n}}{n^4 - 50n^3} &= \lim_{n\to\infty} \frac{10n^{3.5}}{n^4 - 50n^3} \\
&= \lim_{n\to\infty} \frac{35n^{2.5}}{4n^3 - 150n^2} \\
&= \lim_{n\to\infty} \frac{87.5n^{1.5}}{12n^2 - 300n} \\
&= \lim_{n\to\infty} \frac{131.25n^{0.5}}{24n - 300} \\
&= \lim_{n\to\infty} \frac{65.625n^{-0.5}}{24} \\
&= 0.
\end{aligned}
$$

Thus $10n^3\sqrt{n}$ grows asymptotically slower than $n^4 - 50n^3$ by LCT. $\square$

3.2   Problem 2(b)

(b) $10 \log_2 n^3,$ $(\log_3 n)^3,$ $100 \log_4 n,$ $n^{1/1000}.$

Hint: Recall change of logarithmic base formula $\log_a x = \log_b x \cdot \log_a b$

Answer. The order of these functions from slowest growing to fastest growing is: $(10 \log_2 n^3$ and $100 \log_4 n$ tied$)$, $(\log_3 n)^3$, $n^{1/1000}$

$$\begin{aligned}
\lim_{n \to \infty} \frac{10 \log_2 n^3}{100 \log_4 n} &= \lim_{n \to \infty} \frac{30 \log_2 e \ln n}{100 \log_4 e \ln n} \\
&= \lim_{n \to \infty} \frac{\frac{30 \log_2 e}{n}}{\frac{100 \log_4 e}{n}} \\
&= \lim_{n \to \infty} \frac{30 \log_2 e}{100 \log_4 e} \\
&= \lim_{n \to \infty} \frac{43.280851}{72.134752} \\
&= 3/5.
\end{aligned}$$

Thus, $10 \log_2 n^3$ and $100 \log_4 n$ grow at the same asymptotic rate by LCT.

$$\begin{aligned}
\lim_{n \to \infty} \frac{10 \log_2 n^3}{(\log_3 n)^3} &= \lim_{n \to \infty} \frac{30 \log_2 e \ln n}{\log_3 e (\ln n)^3} \\
&= \lim_{n \to \infty} \frac{30 (\ln 3)^3}{\ln 2 (\ln n)^2} \\
&= \frac{30 (\ln 3)^3}{\ln 2 * \infty} \\
&= 0.
\end{aligned}$$

Thus, $10 \log_2 n^3$ grows asymptotically slower than $(\log_3 n)^3$ by LCT.

$$\begin{aligned}
\lim_{n \to \infty} \frac{(\log_3 n)^3}{n^{1/1000}} &= \lim_{n \to \infty} \frac{\log_3 e (\ln n)^3}{n^{1/1000}} \\
&= \lim_{n \to \infty} \frac{n^{-1/1000} (\ln n)^3}{\ln 3} \\
&= \frac{0}{\ln 3} \\
&= 0.
\end{aligned}$$

Thus, $(\log_3 n)^3$ grows asymptotically slower than $n^{1/1000}$ by LCT. $\square$

4   Standard 11- Asymptotics II (Calculus II techniques):

Problem 3. *For each of the following questions, put the growth rates in order, from slowest-growing to fastest. That is, if your answer is $f_1(n), f_2(n), \ldots, f_k(n)$, then $f_i(n) \in O(f_{i+1}(n))$ for all i. If two adjacent ones are asymptotically the same (that is, $f_i(n) = \Theta(f_{i+1}(n))$), you must specify this as well. Justify your answer (show your work). You may use transitivity: if $f(n) \in O(g(n))$ and $g(n) \in O(h(n))$, then $f(n) \in O(h(n))$, and similarly for Big-Theta, etc. The same instructions as for Problem 1 apply.*

4.1   Problem 3(a)

(a) 1 , $\quad n^{\log_5 n^2}$, $\quad n^{\log_2 n}$, $\quad n^{\log_n (n^3)}$, $\quad n^{\log_n 10}$.

Answer. The order of these functions from slowest growing to fastest growing is (1 and $n^{\log_n 10}$ tied), $n^{\log_2 n}$, $n^{\log_5 n^2}$, $n^{\log_n n^3}$

$$\lim_{n \to \infty} \frac{1}{n^{\log_n 10}} = \lim_{n \to \infty} \frac{1}{10}$$
$$= 1/10.$$

Thus, 1 and $n^{\log_n 10}$ have the same asymptotic growth rate by LCT.

$$\lim_{n \to \infty} \frac{1}{n^{\log_2 n}} = \frac{1}{\infty}$$
$$= 0.$$

Thus, 1 has a smaller asymptotic growth rate than $n^{\log_2 n}$ by LCT.

$n^{\log_2 n}$ has a smaller asymptotic growth rate than $n^{\log_5 n^2}$ because $\log_2 n$ is a smaller exponent than $\log_5 n^2 = 2\log_5 n$.

$n^{\log_5 n^2}$ has a smaller asymptotic growth rate than $n^{\log_n n^3}$ because $n^{\log_n n^3}$ is equal to n^3 and $\log_5 n^2 = 2\log_5 n$ is a smaller exponent than 3. $\qquad \square$

4.2 Problem 3(b)

(b) $n!$, $\quad 3^n$, $\quad 3^{n/2}$, $\quad n^n$, $\quad 3^{n-2}$, $\quad \sqrt{n^{3n+1}}$. (*Hint:* Recall Stirling's approximation, which says that $n! \sim \left(\frac{n}{e}\right)^n \sqrt{2\pi n}$, i.e. $\lim_{n\to\infty} \frac{n!}{\left(\frac{n}{e}\right)^n \sqrt{2\pi n}} = 1$. We can also say $n! = \Theta(\left(\frac{n}{e}\right)^n \sqrt{2\pi n})$).

Answer. The order of these functions from slowest growing to fastest growing is $3^{n/2}$, (3^n and 3^{n-2} tied), $n!$, n^n.

$3^{n/2}$ grows at a smaller asymptotic rate than 3^n because $3^{n/2}$ has a smaller exponent by a factor of 2.

3^n and 3^{n-2} have the same asymptotic growth rate because they have the same factor and order on n in their exponents. 3^{n-2} is just a shift to the right from 3^n.

3^n grows at a smaller asymptotic rate than $n!$ because $n! = \Theta(\left(\frac{n}{e}\right)^n \sqrt{2\pi n})$ which has a faster growing base (n/e) and the same exponent as 3^n.

$n!$ grows at a smaller asymptotic rate than n^n because $n! = \Theta(\left(\frac{n}{e}\right)^n \sqrt{2\pi n})$ which has a slower growing base (n/e) and the same exponent as n^n.

$\square$

5 Standard 12- Analyzing Code I: (Independent nested loops)

Problem 4. Analyze the *worst-case* runtime of the following algorithms. Clearly derive the runtime complexity function $T(n)$ for this algorithm, and then find a tight asymptotic bound for $T(n)$ (that is, find a function $f(n)$ such that $T(n) \in \Theta(f(n))$). Avoid heuristic arguments from 2270/2824 such as multiplying the complexities of nested loops.

```
1: procedure foo_i(integer n):
2:    for i = 1, i <= n
3:        i = 3 * i
4:        print 'outer'
5:        for j = 1, j <= n
6:            j = 2 * j
7:            print 'inner'
```

Answer. Initializing the loop in line 2 takes one unit of time.

For each iteration of the first loop, the comparison statement $i <= n$ in line 2 takes one unit of time, the computation $3*i$ in line 3 takes one unit of time, the assignment of that value to i in line 3 takes one unit of time, the print statement in line 4 takes one unit of time, and the initialization of the second loop on line 5 takes one unit of time resulting in five units of time per iteration. The loop runs $\lfloor n^{1/3} + 1 \rfloor$ times for a total of $5^{1/3} + 1 \rfloor$ units of time from this loop.

For each iteration of the second loop, the comparison statement $j <= n$ in line 5 takes one unit of time, the computation $2*j$ in line 6 takes one unit of time, the assignment of that value to j in line 6 takes one unit of time, and the print statement on line 7 takes one unit of time for a total of 4 units of time per iteration. The loop runs $\lfloor n^{1/3} + 1 \rfloor \lfloor n^{1/2} + 1 \rfloor$ times for a total of $4\lfloor n^{1/3} + 1 \rfloor \lfloor n^{1/2} + 1 \rfloor$ units of time from this loop.

So we have that $T(n) = 1 + 5\lfloor n^{1/3} + 1 \rfloor + 4\lfloor n^{1/3} + 1 \rfloor \lfloor n^{1/2} + 1 \rfloor$.

Thus, $T(n) \in \Theta(n^{5/6})$. $\qquad\square$

6   Standard 13- Analyzing Code II: (Dependent nested loops)

Problem 5. Analyze the *worst-case* runtime of the following algorithms. Clearly derive the runtime complexity function $T(n)$ for this algorithm, and then find a tight asymptotic bound for $T(n)$ (that is, find a function $f(n)$ such that $T(n) \in \Theta(f(n))$). Avoid heuristic arguments from 2270/2824 such as multiplying the complexities of nested loops.

```
1: procedure foo_d(integer n):
2:    for i = 1, i <= n
3:        i = i + 1
4:        print 'outer'
5:        for j = 1, j <= i
6:            j = j + 2
7:            print 'inner'
```

Answer. The first loop takes one step to initialize.

For each iteration of the first loop we have one step for the comparison on line 2, two steps for the calculation and assignment on line 3, one step for the print statement on line 4 and one step for the initialization of the second loop on line 5, so five steps per iteration. Since it iterates n times the total steps from the loop is 5n.

For each iteration of the second loop we have one step for the comparison on line 5, two steps for the calculation and assignment on line 6 and one step for the print statement on line 7, so four steps per iteration. Since it iterates $n * (\lfloor (i-1)/2 \rfloor + 1)$ times and the average value of i during the first loop is $(n+1)/2$ the total steps from the loop is $4n * \lceil (n+1)/4 \rceil$.

So we have that $T(n) = 1 + 5n + 4n * \lceil (n+1)/4 \rceil$

Thus, $T(n) \in \Theta(n^2)$. $\qquad\qquad\square$