

# Orders

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# 1 Preorders

We begin by studying the classes of preorders. Basically, we define a *class* as a class which is closed under isomorphism. We then define the sum operation on preorders. This will be used to create new classes from old ones.

**Definitions 1.1** (Preorder). *A (labeled) preorder is a set  $M$  together with a binary relation  $\leq$  on  $M$  such that  $\leq$  is reflexive and transitive, possibly endowed with monadic predicates (labels) over some first-order monadic signature.*

**Definition 1.2** (class of preorders). *A class  $\mathbf{P}$  of preorders is a collection of preorders, all defined over one signature, which is closed under isomorphism.*

**Definition 1.3.** *a class  $\mathbf{P}$  of preorders is monotone if for every preorder  $M$ ,  $M \in \mathbf{P}$  implies that every suborder of  $M$  is in  $\mathbf{P}$ .*

**Definition 1.4.** *Let  $M$  be a preorder.*

*Then  $M^*$  is the dual/reverse preorder of  $M$ .*

**Definition 1.5** (Sum of preorders). *Let  $I$  be a preorder.*

*Let  $\{M_i\}_{i \in I}$  be a family of preorders over some signature.*

*The sum  $M = \sum_{i \in I} M_i$  is defined as follows:*

*The domain is  $M = \biguplus_{i \in I} M_i$  (a disjoint union).*

*Let  $\leq_i$  be the preorder on  $M_i$ .*

*Let  $x \in M_i$  and  $y \in M_j$ .*

*Then we define  $x \leq y$  iff either  $i = j$  and  $x \leq_i y$  or  $i < j$ .*

*The labels are inherited from the  $M_i$ 's.*

*If  $I = 2$ , we define  $M_0 + M_1 := \sum_{i \in 2} M_i$ .*

**Lemma 1.6.** *Let  $I$  be a preorder.*

*Let  $\{M_i\}_{i \in I}$  be a family of preorders over some signature.*

*Then  $M = \sum_{i \in I} M_i$  is a preorder.*

*Proof.* Reflexivity is clear.

For transitivity, suppose  $x \leq y$  and  $y \leq z$ .

Suppose  $x \in M_i$ ,  $y \in M_j$ ,  $z \in M_k$ .

Then  $i \leq j$  and  $j \leq k$ , so  $i \leq k$ . If  $i = k$ , then necessarily  $i = j = k$ , and so  $x \leq_i y$  and  $y \leq_i z$ , so  $x \leq_i z$ , so  $x \leq z$ , as required.

Otherwise,  $i < k$ , and thus  $x \leq z$ , as required.  $\square$

**Definition 1.7.** *Let  $\mathbf{P}_1$  and  $\mathbf{P}_2$  be classes of preorders over some signature.*

*Then we define*

$$\mathbf{P}_1 + \mathbf{P}_2 := \{M_1 + M_2 : M_1 \in \mathbf{P}_1 \wedge M_2 \in \mathbf{P}_2\}$$

*The labels are inherited from either  $\mathbf{P}_1$  or  $\mathbf{P}_2$ .*

**Definition 1.8.** *Let  $\mathbf{P}$  be a class of preorders.*

*$\mathbf{P}$  is called an additive class if for every preorders  $M_1$  and  $M_2$ ,  $M_1 + M_2 \in \mathbf{P}$  iff  $M_1, M_2 \in \mathbf{P}$ .*

**Definition 1.9** (Kleene plus). *Let  $\mathbf{P}$  be a class of preorders.*

*We define its Kleene plus as the smallest class of preorders  $\mathbf{P}^+$  which contains  $\mathbf{P}$  and is closed under finite sums.*

*That is,  $1^+ = \{1, 2, \dots\}$ , and  $\mathbf{P}^+ = \sum_{1^+} \mathbf{P}$ .*

**Definition 1.10** (Sum of a family of classes over a preorder). *Let  $I$  be a preorder.*

*Let  $\{\mathbf{Q}_i\}_{i \in I}$  be a family of classes of preorders over some signature.*

*Then we define*

$$\sum_{i \in I} \mathbf{Q}_i := \left\{ \sum_{i \in I} M_i : \forall i \in I. M_i \in \mathbf{Q}_i \right\}$$

*The labels are inherited from  $\mathbf{Q}_i$ 's.*

**Definition 1.11** (Sum of a class over a preorder). *Let  $\mathbf{Q}$  be a class of preorders.*

*Let  $I$  be a preorder.*

*Then we define*

$$\sum_I \mathbf{Q} := \left\{ \sum_{i \in I} M_i : \forall i \in I. M_i \in \mathbf{Q} \right\}$$

**Note 1.12.** *Let  $\mathbf{Q}$  be a class of preorders.*

*Let  $I$  be a preorder.*

*By the previous two definitions,*

$$\sum_I \mathbf{Q} = \sum_{i \in I} \mathbf{Q}$$

**Definition 1.13** (Sum of a class over a class). *Let  $\mathbf{P}$  be a class of preorders.*

*Let  $\mathbf{Q}$  be a class of preorders.*

*Then we define,*

$$\sum_{\mathbf{P}} \mathbf{Q} := \left\{ \sum_I \mathbf{Q} : I \in \mathbf{P} \right\}$$

**Lemma 1.14** (Associativity of sum). *Let  $I$  be a preorder.*

*Let  $\{J_i\}_{i \in I}$  be a family of mutually disjoint preorders over some signature.*

*Let  $\{K_j\}_{j \in \bigsqcup_i J_i}$  be a family of preorders over some signature.*

*Then,*

$$\sum_{i \in I} \sum_{j \in J_i} K_j \cong \sum_{j \in \sum_{i \in I} J_i} K_j$$

*Proof.* This follows from the definition of the sum operation.  $\square$

**Corollary 1.15** (Associativity of sum for classes). *Let  $\mathbf{P}_1$ ,  $\mathbf{P}_2$  and  $\mathbf{P}_3$  be classes.*

*Then  $\sum_{\mathbf{P}_1} \sum_{\mathbf{P}_2} \mathbf{P}_3 = \sum_{\sum_{\mathbf{P}_1} \mathbf{P}_2} \mathbf{P}_3$ .*

**Lemma 1.16** (Sum and union commute). *Let  $\mathcal{P}$  be a family of classes.*

*Let  $\mathbf{Q}$  be a class.*

*Then  $\sum_{\bigcup \mathcal{P}} \mathbf{Q} = \bigcup_{\mathbf{P} \in \mathcal{P}} \sum_{\mathbf{P}} \mathbf{Q}$ .*

*Proof.* This is obvious from the definition of the sum operation. □

## 2 Linear Orders

In this chapter we focus on linear orders, also known as total orders, intervals and chains.

**Definitions 2.1** (Linear order). *A linear order is a preorder which is symmetric and total.*

**Definition 2.2** (class of linear orders). *A class  $\mathbf{P}$  of linear orders is a class of linear orders which is closed under isomorphism.*

**Definition 2.3** (Subintervals). *Let  $M$  be a linear order, and let  $x, y \in M$ , such that  $x \leq y$ .*

*Then we define the bounded subintervals  $[x, y]$ ,  $(x, y]$ ,  $[x, y)$  and  $(x, y)$  as usual.*

*We also define the semi-bounded subintervals  $(-\infty, x]$ ,  $[x, \infty)$ ,  $(-\infty, x)$  and  $(x, \infty)$  as usual.*

*We also define the unbounded subinterval  $(-\infty, \infty)$  as the whole linear order  $M$ , as usual.*

*A subinterval is either a bounded subinterval, a semi-bounded subinterval or the unbounded subinterval.*

*If  $x > y$  then we define the intervals as follows:*

$$\begin{aligned} [x, y] &:= [y, x] \\ (x, y] &:= (y, x] \\ [x, y) &:= [y, x) \\ (x, y) &:= (y, x) \end{aligned}$$

**Definition 2.4.** *Let  $M$  be a linear order.*

*A set  $A \subseteq M$  is left cofinal in  $M$  if for every  $x \in M$ , there exists  $y \in A$  such that  $y < x$ .*

*A set  $A \subseteq M$  is right cofinal in  $M$  if for every  $x \in M$ , there exists  $y \in A$  such that  $x < y$ .*

*A set  $A \subseteq M$  is bi-directionally cofinal in  $M$  if it is both left and right cofinal.*

**Lemma 2.5.** *Let  $\mathbf{P}$  be an additive class of linear orders.*

*Let  $M \in \mathbf{P}$  be a linear order.*

*Let  $x, y \in M$  be any two points in  $M$ .*

*Then,  $[x, y] \in \mathbf{P}$ .*

*Proof.* WLOG, suppose  $x \leq y$ .

Note that,

$$M = (-\infty, \infty) = (-\infty, x) + [x, y] + (y, \infty)$$

when  $(-\infty, x)$  and/or  $(y, \infty)$  may be empty.

Since  $\mathbf{P}$  is an additive class, we conclude that  $[x, y] \in \mathbf{P}$ . □

**Corollary 2.6.** *Let  $\mathbf{P}$  be a nontrivial additive class of linear orders.  
Then  $1 \in \mathbf{P}$ .*

*Proof.* Let  $M \in \mathbf{P}$  be any linear order and let  $x \in M$  be any point in  $M$ .

Apply lemma 2.5 to the linear order  $M$ , and the points  $x$  and  $x$ , to conclude that  $[x, x] \equiv 1 \in \mathbf{P}$ .  $\square$

**Note 2.7.** *Note that corollary 2.6 is false if we do not restrict ourselves to linear orders.*

*For example,  $(\mathbf{1} \uplus \mathbf{1})^+$  is a class of preorders which is additive, but does not contain  $\mathbf{1}$ .*

**Corollary 2.8.** *Let  $\mathbf{P}$  be an additive class of linear orders.*

*Let  $M$  be a linear order.*

*Let  $x, y \in M$  be any two points in a linear order  $M$ . Then the following are equivalent:*

1.  $(x, y) \in \mathbf{P}$
2.  $(x, y] \in \mathbf{P}$
3.  $[x, y) \in \mathbf{P}$
4.  $[x, y] \in \mathbf{P}$

*Proof.* This is just applying the definition of an additive class to the orders  $[x, y]$  and  $1$ .  $\square$

**Corollary 2.9.** *Let  $\mathbf{P}$  be an additive class of linear orders.*

*Let  $M$  be a linear order.*

*Let  $x, y, z \in M$  be any three points in a linear order  $M$ , such that  $[x, y] \in \mathbf{P}$  and  $[y, z] \in \mathbf{P}$ .*

*Then  $[x, z] \in \mathbf{P}$ .*

*Proof.* If  $y \in [x, z]$ , then  $[x, z] = [x, y] + (y, z]$ , and  $(y, z] \in \mathbf{P}$  by corollary 2.8.

Otherwise, either  $x \in [y, z]$  or  $z \in [x, y]$ . WLOG, suppose  $z \in [x, y]$ .

Then  $[x, y] = [x, z] + (z, y]$ , so  $[x, z] \in \mathbf{P}$  by the fact that  $\mathbf{P}$  is additive.  $\square$

**Definitions 2.10.** *Let  $\mathbf{P}$  be a class of linear orders.*

*We define the following classes of linear orders:*

- $\mathcal{B}[\mathbf{P}]$  is the class of linear orders  $M$  such that for every  $x, y \in M$ , the bounded subinterval  $[x, y]$  is in  $\mathbf{P}$ .
- $\mathcal{L}[\mathbf{P}]$  is the class of linear orders  $M$  such that for every  $x \in M$ , the left-bounded ray  $[x, \infty)$  is in  $\mathbf{P}$ .
- $\mathcal{R}[\mathbf{P}]$  is the class of linear orders  $M$  such that for every  $x \in M$ , the right-bounded ray  $(-\infty, x]$  is in  $\mathbf{P}$ .

**Definition 2.11.** a class  $\mathbf{P}$  of linear orders is a star class if for every linear orders  $M$ , and every family  $\mathcal{F} \subseteq \mathbf{P}$  of subintervals of  $M$  such that  $J_1 \cap J_2 \neq \emptyset$  for every  $J_1, J_2 \in \mathcal{F}$ , we have that  $\bigcup \mathcal{F} \in \mathbf{P}$ .

**Lemma 2.12.** Let  $\mathbf{P}$  be a star class.

Then for every linear order  $M$ , and every point  $x \in M$ , there exists a largest subinterval  $J \subseteq M$  such that  $J \in \mathbf{P}$ .

Equivalently, we can define a convex equivalence relation  $\sim_{\mathbf{P}}$  on  $M$  such that  $x \sim_{\mathbf{P}} y$  iff  $[x, y] \in \mathbf{P}$ .

That is,  $x \sim_{\mathbf{P}} y$  iff  $x$  and  $y$  are in the same largest  $\mathbf{P}$ -subinterval.

*Proof.* Let  $J \subseteq M$  be the union of all  $\mathcal{B}[\mathbf{P}]$ -subintervals containing  $x$ . All such subintervals intersect at  $x$ .

Therefore, by the star lemma,  $J$  is in  $\mathcal{B}[\mathbf{P}]$ , and by definition  $J$  is the largest  $\mathbf{P}$ -subinterval containing  $x$ .

Thus we can define the equivalence relation  $\sim_{\mathbf{P}}$  as above.  $\square$

**Lemma 2.13** (Star Lemma). Let  $\mathbf{P}$  be an additive class of linear orders.

Then the class  $\mathcal{B}[\mathbf{P}]$  is a star class.

*Proof.* Let  $M$  be a linear order, and let  $\mathcal{F} \subseteq \mathcal{B}[\mathbf{P}]$  be a family of subintervals of  $M$ .

Let  $[x, y] \subseteq \bigcup \mathcal{F}$  be any bounded subinterval. We need to prove it is in  $\mathbf{P}$ .

Suppose  $x \in J_1$  and  $y \in J_2$  for  $J_1, J_2 \in \mathcal{F}$ .

Since  $J_1 \cap J_2 \neq \emptyset$ , we can take  $z \in J_1 \cap J_2$ .

Then  $[x, z] \subseteq J_1$  and  $[z, y] \subseteq J_2$ , and thus by the definition of  $\mathcal{B}[\mathbf{P}]$ ,  $[x, z], [z, y] \in \mathbf{P}$ . Since  $\mathbf{P}$  is additive, by corollary 2.9, we have  $[x, y] \in \mathbf{P}$ .  $\square$

**Lemma 2.14.** Let  $\mathbf{P}$  be an additive class of linear orders.

Then,

1.  $\mathcal{L}[\mathbf{P}] = \{M : M + 1 \in \mathcal{B}[\mathbf{P}]\}$
2.  $\mathcal{R}[\mathbf{P}] = \{M : 1 + M \in \mathcal{B}[\mathbf{P}]\}$
3.  $\mathbf{P} = \mathcal{L}[\mathbf{P}] \cap \mathcal{R}[\mathbf{P}] = \{M : 1 + M + 1 \in \mathcal{B}[\mathbf{P}]\}$

*Proof.* Let  $M$  be a linear order.

1. Suppose  $M + \{\infty\} \in \mathcal{B}[\mathbf{P}]$ . Then for every  $x \in M$ , we have  $[x, \infty] \in \mathbf{P}$ , and thus  $[x, \infty) \in \mathbf{P}$ . Therefore,  $M \in \mathcal{L}[\mathbf{P}]$ .

Conversely, if  $M \in \mathcal{L}[\mathbf{P}]$ , let  $x, y \in M$  be any two points in  $M + 1$ .

If  $y < \infty$ , then  $[x, y] \subseteq [x, \infty)$ . Since  $[x, \infty) \in \mathbf{P}$ , we conclude that  $[x, y] \in \mathbf{P}$ . Otherwise, if  $y = \infty$ , then  $[x, y] = [x, \infty] = [x, \infty) + \{\infty\}$ , and thus  $[x, y] \in \mathbf{P}$ .

2. The second case is dual to the first case.

3. We will show a triple inclusion.

If  $M \in \mathbf{P}$ , then by additivity,  $1 + M \in \mathbf{P}$  and  $M + 1 \in \mathbf{P}$ , and thus  $M \in \mathcal{L}[\mathbf{P}] \cap \mathcal{R}[\mathbf{P}]$ .

If  $M \in \mathcal{L}[\mathbf{P}] \cap \mathcal{R}[\mathbf{P}]$ , then by lemma 2.13,  $1 + M + 1 \in \mathcal{B}[\mathbf{P}]$ .

If  $1 + M + 1 \in \mathcal{B}[\mathbf{P}]$ , then  $M$  is a bounded subinterval of  $1 + M + 1$ , so  $M \in \mathcal{B}[\mathbf{P}]$ .

□

**Lemma 2.15.** *Let  $\mathbf{P}$  be an additive class of linear orders.  
Then,*

$$\begin{aligned}\mathcal{B}[\mathbf{P}] &= \mathbf{P} \\ &\quad \uplus (\mathcal{L}[\mathbf{P}] \setminus \mathcal{R}[\mathbf{P}]) \\ &\quad \uplus (\mathcal{R}[\mathbf{P}] \setminus \mathcal{L}[\mathbf{P}]) \\ &\quad \uplus (\mathcal{B}[\mathbf{P}] \setminus (\mathcal{L}[\mathbf{P}] \cup \mathcal{R}[\mathbf{P}]))\end{aligned}$$

*Proof.* By lemma 2.14, we conclude that  $\mathcal{L}[\mathbf{P}], \mathcal{R}[\mathbf{P}] \subseteq \mathcal{B}[\mathbf{P}]$ , since  $M + 1 \in \mathbf{P}$  and  $1 + M \in \mathbf{P}$  both imply  $1 + M + 1 \in \mathbf{P}$ .

Thus,

$$\begin{aligned}\mathcal{B}[\mathbf{P}] &= (\mathcal{L}[\mathbf{P}] \cap \mathcal{R}[\mathbf{P}]) \\ &\quad \uplus (\mathcal{L}[\mathbf{P}] \setminus \mathcal{R}[\mathbf{P}]) \\ &\quad \uplus (\mathcal{R}[\mathbf{P}] \setminus \mathcal{L}[\mathbf{P}]) \\ &\quad \uplus (\mathcal{B}[\mathbf{P}] \setminus (\mathcal{L}[\mathbf{P}] \cup \mathcal{R}[\mathbf{P}]))\end{aligned}$$

Since by lemma 2.14  $\mathbf{P} = \mathcal{L}[\mathbf{P}] \cap \mathcal{R}[\mathbf{P}]$ , we conclude what we wanted to prove. □

**Lemma 2.16.** *Let  $\mathbf{P}$  be an additive class of linear orders.*

*Let  $M, M_1, M_2$  be linear orders such that  $M = M_1 + M_2$ .*

*Then,*

$$1. \quad M \in \mathcal{B}[\mathbf{P}] \iff M_1 \in \mathcal{L}[\mathbf{P}] \wedge M_2 \in \mathcal{R}[\mathbf{P}]$$

*Proof.* From lemma 2.14, we know that

1.

$$\begin{aligned}M \in \mathcal{B}[\mathbf{P}] &\iff M_1 + M_2 \in \mathcal{B}[\mathbf{P}] \\ &\iff M_1 + 1 \in \mathcal{B}[\mathbf{P}] \wedge 1 + M_2 \in \mathcal{B}[\mathbf{P}] \\ &\iff M_1 \in \mathcal{L}[\mathbf{P}] \wedge M_2 \in \mathcal{R}[\mathbf{P}]\end{aligned}$$

□



**Corollary 2.17.** *Let  $\mathbf{P}$  be an additive class of linear orders.  
Then,*

$$\mathcal{B}[\mathbf{P}] \setminus (\mathcal{L}[\mathbf{P}] \cup \mathcal{R}[\mathbf{P}]) = (\mathcal{L}[\mathbf{P}] \setminus \mathcal{R}[\mathbf{P}]) + (\mathcal{R}[\mathbf{P}] \setminus \mathcal{L}[\mathbf{P}])$$

**Definition 2.18.** *We define  $\mathbf{CNT}$  as the class of all countable linear orders.*

### 3 The Hausdorff Rank

**Definition 3.1.** Let  $\mathbf{Q}$  be a class of linear orders.

We define a class  $\mathbf{H}_{\mathbf{Q}}^{<\alpha}$  for every ordinal  $\alpha$  as follows:

- For  $\alpha = 0$ ,  $\mathbf{H}_{\mathbf{Q}}^{<0} = \emptyset$ .
- For  $\alpha = 1$ ,  $\mathbf{H}_{\mathbf{Q}}^{<1} = \{1\}$ .
- For  $\alpha = \gamma + 1$  where  $\gamma > 0$ ,

$$\mathbf{H}_{\mathbf{Q}}^{<\alpha} = \sum_{\mathbf{Q}} \mathbf{H}_{\mathbf{Q}}^{<\gamma}$$

- For  $\alpha$  a limit ordinal,

$$\mathbf{H}_{\mathbf{Q}}^{<\alpha} = \bigcup_{\beta < \alpha} \mathbf{H}_{\mathbf{Q}}^{<\beta}$$

**Example 3.2.** Let  $\mathbf{Q}$  be a class of linear orders.

Then  $\mathbf{H}_{\mathbf{Q}}^{<1} = \mathbf{Q}$ .

**Definition 3.3.** Let  $\mathbf{Q}$  be a class of linear orders.

Let  $\alpha, \beta$  be ordinals such that with  $0 < \alpha < \beta$ .

We define,

1.  $\mathbf{H}_{\mathbf{Q}}^{<\alpha} := \mathbf{H}_{\mathbf{Q}}^{<\alpha+1}$
2.  $\mathbf{H}_{\mathbf{Q}}^{=\alpha} := \mathbf{H}_{\mathbf{Q}}^{<\alpha} \setminus \mathbf{H}_{\mathbf{Q}}^{<\alpha}$
3.  $\mathbf{H}_{\mathbf{Q}}^{[\alpha, \beta)} := \mathbf{H}_{\mathbf{Q}}^{<\beta} \setminus \mathbf{H}_{\mathbf{Q}}^{<\alpha}$

**Definition 3.4.** Let  $\mathbf{Q}$  be a class of linear orders.

We define the  $\mathbf{Q}$ -Hausdorff rank as a partial mapping from linear orders to ordinals, such that

$$\mathbf{hrank}_{\mathbf{Q}}(M) = \min \left\{ \alpha : M \in \mathbf{H}_{\mathbf{Q}}^{<\alpha} \right\}$$

Equivalently,  $\mathbf{hrank}_{\mathbf{Q}}(M)$ , is the unique ordinal  $\alpha$  such that  $M \in \mathbf{H}_{\mathbf{Q}}^{=\alpha}$  (if it exists, otherwise it is undefined).

**Definition 3.5.** Let  $\gamma \geq \omega$  be a limit ordinal.

We define  $\Gamma_{\gamma} := \{\beta : \beta \subseteq \gamma^* + \gamma\}^+$ .

We define  $\omega := \Gamma_{\omega}$ .

**Example 3.6.**

$$\omega = \{1, \omega, \omega^*\}^+$$

**Observation 3.7.** Let  $\gamma \geq \omega$  be a limit ordinal.

Then  $\Gamma_{\gamma}$  is a monotone, additive class of linear orders.

**Notation 3.8.** When we omit the subscript in  $\mathbf{H}^{<\alpha}$ , we mean  $\mathbf{H}_{\omega}^{<\alpha}$ , and similarly for  $\mathbf{H}^{=\alpha}$ ,  $\mathbf{H}^{[\alpha, \beta)}$ , and  $\mathbf{hrank}(M)$ .

## 4 $\omega$ -Hausdorff rank

In this chapter, we focus on the special case of the Hausdorff rank associated with the ordinal  $\omega$ . This case is of particular interest due to its connections with countable structures and its role in the classification of infinite linear orders. We introduce new families of classes and analyze their relationships, providing tools that will be essential for the study of types and decidability.

**Definition 4.1.** *Let  $\alpha > 0$  be an ordinal.*

*We define:*

1. (Right  $\alpha$ -Major)  $\mathbf{RM}_\alpha := \mathcal{R}[\mathbf{H}^{<\alpha}] \setminus \mathcal{L}[\mathbf{H}^{<\alpha}]$
2. (Left  $\alpha$ -Major)  $\mathbf{LM}_\alpha := \mathcal{L}[\mathbf{H}^{<\alpha}] \setminus \mathcal{R}[\mathbf{H}^{<\alpha}]$
3. (Bounded  $\alpha$ -Major)  $\mathbf{BM}_\alpha := \mathcal{B}[\mathbf{H}^{<\alpha}] \setminus (\mathcal{L}[\mathbf{H}^{<\alpha}] \cup \mathcal{R}[\mathbf{H}^{<\alpha}])$

**Note 4.2.** *Obviously  $\mathbf{LM}_\alpha = \mathbf{RM}_\alpha^*$  by symmetry.*

*By corollary 2.17,  $\mathbf{BM}_\alpha = \mathbf{LM}_\alpha + \mathbf{RM}_\alpha$ .*

*Also, by the definition:*

$$\mathcal{B}[\mathbf{H}^{<\alpha}] = \mathbf{H}^{<\alpha} \uplus \mathbf{LM}_\alpha \uplus \mathbf{RM}_\alpha \uplus \mathbf{BM}_\alpha$$

**Lemma 4.3.** *Let  $\alpha > 0$  be an ordinal.*

*Then  $\mathcal{R}[\mathbf{H}^{<\alpha}] = \sum_\omega \mathbf{H}^{<\alpha}$ .*

*Proof.* ( $\supseteq$ ) Let  $M \in \sum_\omega \mathbf{H}^{<\alpha}$  be a linear order.

Let  $M = \sum_{i \in \omega} M_i$  be the decomposition of  $M$ , where  $M_i \in \mathbf{H}^{<\alpha}$ .

Let  $x, y \in M$  be any two points in  $M$ . WLOG  $x \leq y$ .

Suppose  $x \in M_i$  and  $y \in M_j$  for  $i, j \in \omega$ .

Since  $i$  and  $j$  have a finite distance in  $\omega$ , we conclude  $[x, y] \subseteq M_i + \dots + M_j$ ,

and thus  $[x, y] \subseteq (\mathbf{H}^{<\alpha})^+ = \mathbf{H}^\alpha$ .

( $\subseteq$ ) Let  $M \in \mathcal{R}[\mathbf{H}^{<\alpha}]$  be a linear order.

Since  $M$  is countable, let  $\{x_i\}_{i \in \omega}$  be a right cofinal  $\omega$ -sequence in  $M$ .

Let  $M_0 = (-\infty, x_0]$  and  $M_i = (x_{i-1}, x_i]$  for  $i > 0$ .

Then  $M = \sum_{i \in \omega} M_i$ .

But  $M_i$  is a right-bounded interval and thus  $M_i \in \mathbf{H}^{<\alpha}$ , so  $M \in \sum_\omega \mathbf{H}^{<\alpha}$ .  $\square$

An immediate corollary of lemma 4.3 is that "major" is a good name.

**Corollary 4.4.** *Let  $\alpha > 0$  be an ordinal.*

*Then,*

1.  $\mathbf{H}^{\leq \alpha} = (\mathcal{B}[\mathbf{H}^{<\alpha}])^+$
2.  $\mathbf{H}^{=\alpha} = (\mathbf{LM}_\alpha \uplus \mathbf{RM}_\alpha)^+$

**Lemma 4.5.** *Let  $\alpha$  be an ordinal.  
Then, we have the following:*

$$\mathbf{RM}_{\alpha+1} = \sum_{\omega} \mathbf{H}^{=\alpha}$$

*Proof.* ( $\subseteq$ ) Let  $M \in \mathbf{RM}_{\alpha+1}$ .

By lemma 4.3 and corollary 4.4

$$\mathcal{R}[\mathbf{H}^{<\alpha+1}] = \sum_{\omega} \mathbf{H}^{<\alpha+1} = \sum_{\omega} \mathbf{H}^{\leq\alpha} = \sum_{\omega} (\mathcal{B}[\mathbf{H}^{<\alpha}])^+ = \sum_{\omega} \mathcal{B}[\mathbf{H}^{<\alpha}]$$

since by definition 4.1,  $M \in \mathcal{R}[\mathbf{H}^{<\alpha+1}]$ , we conclude that  $M = \sum_{i \in \omega} M_i$  for a sequence  $\{M_i\}_{i \in \omega} \subseteq \mathcal{B}[\mathbf{H}^{<\alpha}]$ .

If  $M_i \in \mathbf{H}^{=\alpha}$  held for only finitely many  $i \in \omega$ , we would have  $M \in \mathbf{H}^{\leq\alpha}$ , which is a contradiction to  $M \notin \mathcal{L}[\mathbf{H}^{<\alpha}]$ .

Thus,  $M_i \in \mathbf{H}^{=\alpha}$  holds for infinitely many  $i \in \omega$ , and thus (by adjoining  $M_i \in \mathbf{H}^{<\alpha}$  to the next  $\mathbf{H}^{=\alpha}$  one) we conclude  $M \in \sum_{\omega} \mathbf{H}^{=\alpha}$ .

( $\supseteq$ ) Let  $M \in \sum_{\omega} \mathbf{H}^{=\alpha}$ .

Since  $M \in \sum_{\omega} \mathbf{H}^{<\alpha+1}$ , by lemma 4.3,  $M \in \mathcal{R}[\mathbf{H}^{<\alpha+1}]$ .

By corollary 4.4,

$$M \in \sum_{\omega} \mathbf{H}^{=\alpha} = \sum_{\omega} (\mathbf{LM}_{\alpha} \uplus \mathbf{RM}_{\alpha})^+ = \sum_{\omega} (\mathbf{LM}_{\alpha} \uplus \mathbf{RM}_{\alpha})$$

Suppose  $M = \sum_{i \in \omega} M_i$  where  $M_i \in \{\mathbf{LM}_{\alpha}, \mathbf{RM}_{\alpha}\}$ . By the pigeonhole principle, there are either infinitely many  $M_i \in \mathbf{LM}_{\alpha}$  or infinitely many  $M_i \in \mathbf{RM}_{\alpha}$ . WLOG, suppose  $M_i \in \mathbf{RM}_{\alpha}$  for infinitely many  $i \in \omega$ .

Then, since  $M_i \in \mathbf{H}^{<\alpha+1}$ , we have  $M_i \in \mathbf{H}^{=\alpha}$ .

Suppose by contradiction  $M = \mathbf{H}^{\leq\alpha} = (\mathcal{B}[\mathbf{H}^{<\alpha}])^+$ . In particular, by the pigeonhole principle, there exists some  $N \in \omega$  such that  $\sum_{N \leq i < \omega} M_i \in \mathcal{B}[\mathbf{H}^{<\alpha}]$ , which is a contradiction because it follows that  $M_{N+1} \in \omega^{<\alpha}$  as it is bounded between  $M_N$  and  $M_{N+2}$ .  $\square$

**Lemma 4.6.** *Let  $\{\alpha_i\}_{i \in \omega}$  be a strictly increasing ordinal sequence, and let  $\alpha = \sup_{\alpha_i}$ .*

*Then,*

$$\mathbf{RM}_{\alpha} = \sum_{i \in \omega} \mathbf{H}^{[\alpha_i, \alpha)}$$

*Proof.* ( $\subseteq$ ) Let  $M \in \mathbf{RM}_{\alpha}$ . Let  $y_{i < \omega}$  be a right cofinal  $\omega$ -sequence in  $M$ .

Thus we can choose some  $x_0$  far enough such that  $(-\infty, x_0] \in \mathbf{H}^{[\alpha_0, \alpha)}$ , and  $x_0 > y_0$ . Now by induction we choose  $x_1$  such that  $(x_0, x_1] \in \mathbf{H}^{[\alpha_1, \alpha)}$ , and  $x_1 > y_1$ .

By iterating  $\omega$  times we get an  $\omega$ -sequence  $\{M_i\}_{i \in \omega}$  such that  $M = \sum_{i \in \omega} M_i$  and  $M_i \in \mathbf{H}^{[\alpha_i, \alpha)}$ , where  $M_i = (x_{i-1}, x_i]$  (where  $x_{-1} := -\infty$ ).

( $\supseteq$ ) Let  $M \in \sum_{i \in \omega} \mathbf{H}^{[\alpha_i, \alpha)}$ . It is obvious that  $M \in \mathcal{R}[\mathbf{H}^{<\alpha}]$  since every right-bounded ray is in  $\mathbf{H}^{\leq\alpha_i}$  for some  $i \in \omega$ .

However,  $M \notin \mathbf{H}^{<\alpha_i}$  for any  $i \in \omega$ , so  $M \notin \mathbf{H}^{<\alpha}$ . □

**Lemma 4.7.** *Let  $\{\alpha_i\}_{i \in \omega}$  be a non-decreasing ordinal sequence, and let  $\alpha = \sup_{i \in \omega} \alpha_i + 1$ .  
Then,*

$$\mathbf{RM}_\alpha = \sum_{i \in \omega} \mathbf{H}^{[\alpha_i, \alpha)}$$

*Proof.* It is just a way to write lemma 4.5 and lemma 4.6 together more succinctly. □

**Note 4.8.** *In the proof of lemma 4.7, we actually use the fact that we work over  $\omega = \Gamma_\omega$ . This proof would not have worked over  $\Gamma_\beta$  for  $\beta > \omega$ .*

## 5 Decidability of the Hausdorff Rank

**Definition 5.1.** Let  $\mathbf{P}$  be a class of preorders.

Let  $n \in \mathbb{N}$ .

We define  $\mathbf{type}_n[\mathbf{P}]$  as the set of all  $n$ -types satisfiable in  $\mathbf{P}$ .

**Definition 5.2.** a class  $\mathbf{P}$  of preorders is computable if  $n \mapsto \mathbf{type}_n[\mathbf{P}]$  is a computable function.

Equivalently, satisfiability of **MSO** over  $\mathbf{P}$  is decidable.

**Lemma 5.3.** Let  $\mathbf{Q}$  be a class of preorders.

There exists a computable function  $f_{\mathbf{Q}} = f : \mathbb{N} \rightarrow \mathbb{N}$  such that for every  $n \in \mathbb{N}$  and every ordinal  $\alpha \geq f(n)$ ,  $\mathbf{type}_n[\mathbf{H}_{\mathbf{Q}}^{<\alpha}] = \mathbf{type}_n[\mathbf{H}_{\mathbf{Q}}^{<f(n)}]$ .

*Proof.* Since there are only finitely many  $n$ -types, and the ordinal sequence

$$\left\{ \mathbf{type}_n[\mathbf{H}_{\mathbf{Q}}^{<\kappa}] \right\}_{\kappa}$$

is monotone, there must be some minimal  $\kappa_0 \in \omega$  where the sequence stabilizes.

This  $\kappa_0$  is computable as a function of  $n$  by successive iteration.  $\square$

**Lemma 5.4.** There exist global computable functions  $a, b : \mathbb{N} \rightarrow \mathbb{N}$  such that for all  $n, c_1, c_2 \in \mathbb{N}$  such that  $c_1, c_2 \geq a(n)$  and  $c_1 \equiv c_2 \pmod{b(n)}$ ,

$$\mathbf{type}_n[\mathbf{H}_{\mathbf{Q}}^{=c_1}] = \mathbf{type}_n[\mathbf{H}_{\mathbf{Q}}^{=c_2}]$$

*Proof.* Let  $n \in \mathbb{N}$ .

Since there are only finitely many sets of  $n$ -types, there exist (and can be computed) some  $a(n) \geq f(n)$ ,  $a(n) + b(n)$  such that

$$\mathbf{type}_n[\mathbf{H}_{\mathbf{Q}}^{=a(n)}] = \mathbf{type}_n[\mathbf{H}_{\mathbf{Q}}^{=a(n)+b(n)}]$$

By induction it follows that for all  $c \geq a(n)$ ,

$$\mathbf{type}_n[\mathbf{H}_{\mathbf{Q}}^{=c}] = \mathbf{type}_n[\mathbf{H}_{\mathbf{Q}}^{=c+b(n)}]$$

since  $\mathbf{H}_{\mathbf{Q}}^{=c+1} = \sum_{\mathbf{Q}} \mathbf{H}_{\mathbf{Q}}^{=c}$ .  $\square$

**Corollary 5.5.** Let  $n \in \mathbb{N}$ , and let  $\alpha \geq \omega$  be an ordinal.

Then for all  $c_1, c_2 \in \mathbb{N}$  such that  $c_1, c_2 \geq a(n)$  and  $c_1 \equiv c_2 \pmod{b(n)}$ , we have

$$\mathbf{type}_n[\mathbf{RM}_{c_1}] = \mathbf{type}_n[\mathbf{RM}_{c_2}]$$

*Proof.* By lemma 4.5,  $\mathbf{RM}_c = \sum_{\omega} \mathbf{H}^{=c}$ .

Then it follows immediately from lemma 5.4.  $\square$

**Lemma 5.6.** *For every  $n \in \mathbb{N}$  and for every pair of ordinals  $\alpha \geq \omega$ ,  $\beta > \alpha$ ,*

$$\mathbf{type}_n [\mathbf{H}_Q^{[\alpha, \beta)}] = \mathbf{type}_n \left[ \bigcup_{c < b(n)} \mathbf{H}_Q^{=a(n)+c} \right]$$

*In particular,  $\mathbf{type}_n [\mathbf{H}_Q^{=\alpha}]$  can be computed, and is independent of the choice of  $\alpha \geq \omega$ .*

*Proof.* It is enough to prove that

$$\mathbf{type}_n [\mathbf{H}_Q^{=\alpha}] = \mathbf{type}_n \left[ \bigcup_{c < b(n)} \mathbf{H}_Q^{=a(n)+c} \right]$$

We thus proceed by induction on  $\alpha \geq \omega$ .

Let  $\{\alpha_i\}_{i \in \omega}$  be an increasing  $\omega$ -sequence of ordinals such that  $a(n) \leq \alpha_i$  for all  $i \in \omega$ , and  $\sup_{i \in \omega} (\alpha_i + 1) = \alpha$ .

Then  $\mathbf{H}_Q^{=\alpha} = \sum_Q \bigcup_{i \in \omega} \mathbf{H}_Q^{[\alpha_i, \alpha)}$  and thus,

$$\begin{aligned} \mathbf{type}_n [\mathbf{H}_Q^{=\alpha}] &= \mathbf{type}_n \left[ \sum_Q \bigcup_{i \in \omega} \mathbf{H}_Q^{[\alpha_i, \alpha)} \right] \\ &= \mathbf{type}_n \left[ \sum_Q \bigcup_{i \in \omega} \bigcup_{c < b(n)} \mathbf{H}_Q^{=a(n)+c} \right] \\ &= \mathbf{type}_n \left[ \sum_Q \bigcup_{c < b(n)} \mathbf{H}_Q^{=a(n)+c} \right] \\ &= \mathbf{type}_n \left[ \bigcup_{c < b(n)} \sum_Q \mathbf{H}_Q^{=a(n)+c} \right] \\ &= \mathbf{type}_n \left[ \bigcup_{c < b(n)} \mathbf{H}_Q^{=a(n)+c+1} \right] \\ &= \mathbf{type}_n \left[ \bigcup_{c < b(n)} \mathbf{H}_Q^{=a(n)+c} \right] \end{aligned}$$

where the last transition is because  $\mathbf{type}_n [\mathbf{H}_Q^{=a(n)}] = \mathbf{type}_n [\mathbf{H}_Q^{=a(n)+b(n)}]$ .  $\square$

**Lemma 5.7.** *Let  $n \in \mathbb{N}$ , and let  $\alpha \geq \omega$  be an ordinal.*

$$\mathbf{type}_n [\mathbf{RM}_\alpha] = \mathbf{type}_n \left[ \sum_\omega \bigcup_{c < b(n)} \mathbf{H}^{=a(n)+c} \right]$$

In particular,  $\mathbf{type}_n[\mathbf{RM}_\alpha]$  can be computed, and is independent of the choice of  $\alpha \geq \omega$ .

*Proof.* There exists an increasing  $\omega$ -sequence  $\{\alpha_i\}_{i \in \omega}$  such that  $a(n) \leq \alpha_i$  for all  $i \in \omega$ , and  $\sup_{i \in \omega} (\alpha_i + 1) = \alpha$ .

Then  $\mathbf{RM}_\alpha = \sum_{i \in \omega} \mathbf{H}^{\alpha_i}$ , and thus,

$$\begin{aligned} \mathbf{type}_n[\mathbf{RM}_\alpha] &= \mathbf{type}_n \left[ \sum_{i \in \omega} \mathbf{H}^{\alpha_i} \right] \\ &= \mathbf{type}_n \left[ \sum_{\omega} \bigcup_{c < b(n)} \mathbf{H}^{a(n)+c} \right] \\ &= \mathbf{type}_n \left[ \bigcup_{c < b(n)} \sum_{\omega} \mathbf{H}^{a(n)+c} \right] \\ &= \mathbf{type}_n \left[ \bigcup_{c < b(n)} \mathbf{RM}_{a(n)+c+1} \right] \\ &= \mathbf{type}_n \left[ \bigcup_{c < b(n)} \mathbf{RM}_{a(n)+c} \right] \end{aligned}$$

where the last transition is by corollary 5.5. □

**Corollary 5.8.** Let  $n \in \mathbb{N}$ , and let  $\alpha \geq \omega$  be an ordinal.

We also have,

$$\mathbf{type}_n[\mathbf{LM}_\alpha] = \mathbf{type}_n \left[ \sum_{\omega^*} \bigcup_{c < b(n)} \mathbf{H}^{a(n)+c} \right]$$

and

$$\mathbf{type}_n[\mathbf{BM}_\alpha] = \mathbf{type}_n \left[ \sum_{\omega^* + \omega} \bigcup_{c < b(n)} \mathbf{H}^{a(n)+c+1} \right]$$

In particular  $\mathbf{type}_n[\mathbf{LM}_\alpha]$  and  $\mathbf{type}_n[\mathbf{BM}_\alpha]$  can be computed, and are independent of the choice of  $\alpha \geq \omega$ .

*Proof.* For  $\mathbf{LM}_\alpha$ , it follows by duality.

For  $\mathbf{BM}_\alpha$ , it follows since  $\mathbf{BM}_\alpha = \mathbf{LM}_\alpha + \mathbf{RM}_\alpha$ . □



**Definition 5.9.** Let  $\mathbf{Q}$  be a class of linear orders.

Let  $M$  be a linear order, and let  $J \subseteq M$  be a subset of  $M$ .

We define the predicate  $\text{Int}_{\mathbf{Q}}(J)$  as true in  $M$  iff  $J$  is a  $\mathbf{Q}$ -subinterval of  $M$ .

**Lemma 5.10.** Let  $\alpha > 0$  be an ordinal.

Then predicates  $\text{Int}_{\mathbf{H}^{\leq \alpha}}$ ,  $\text{Int}_{\mathbf{H}^{\alpha}}$  are expressible in  $\mathbf{MSO}[\text{Int}_{\mathbf{H}^{< \alpha}}]$ .

*Proof.* Obviously,

$$\text{Int}_{\mathbf{H}^{\alpha}} \iff \text{Int}_{\mathbf{H}^{\leq \alpha}} \wedge \neg \text{Int}_{\mathbf{H}^{< \alpha}}$$

So it is enough to express  $\text{Int}_{\mathbf{H}^{\leq \alpha}}$ .

Now,  $J$  is a  $\mathbf{H}^{\leq \alpha}$ -subinterval of  $M$  iff  $J$  is a subinterval of  $M$  and  $J \in \sum_{\omega} \mathbf{H}^{< \alpha}$ .

But this can be expressed in  $\mathbf{MSO}$  since it is expressible to check whether an arbitrary subset is in  $\omega$ .  $\square$

**Definition 5.11.** Let  $\alpha > 0$  be an ordinal.

Let  $M$  be a linear order and  $x \in M$ .

We define the convex equivalence relation:

$$\sim_{\alpha} := \sim_{\mathcal{B}[\mathbf{H}^{< \alpha}]}$$

and  $[x]_{\alpha} := [x]_{\mathcal{B}[\mathbf{H}^{< \alpha}]}$ .

That is,  $[x]_{\alpha}$  is the largest  $\mathcal{B}[\mathbf{H}^{< \alpha}]$ -subinterval containing  $x$  in  $M$ .

We define  $\mathbf{L}_{\alpha}(x) = \mathbf{1}_{\mathcal{L}[\mathbf{H}^{< \alpha}]}([x]_{\alpha})$  and  $\mathbf{R}_{\alpha}(x) = \mathbf{1}_{\mathcal{R}[\mathbf{H}^{< \alpha}]}([x]_{\alpha})$

(where  $\mathbf{1}_A$  is the indicator function of a set  $A$ ).

We define the  $\alpha$ -shape,  $\sigma_{\alpha}(x)$  as follows:

$$\sigma_{\alpha}(x) := \begin{cases} \mathbf{H}^{< \alpha} & \text{if } \mathbf{L}_{\alpha}(x) = \mathbf{R}_{\alpha}(x) = 0 \\ \mathbf{RM}_{\alpha} & \text{if } \mathbf{L}_{\alpha}(x) = 0, \mathbf{R}_{\alpha}(x) = 1 \\ \mathbf{LM}_{\alpha} & \text{if } \mathbf{L}_{\alpha}(x) = 1, \mathbf{R}_{\alpha}(x) = 0 \\ \mathbf{BM}_{\alpha} & \text{if } \mathbf{L}_{\alpha}(x) = \mathbf{R}_{\alpha}(x) = 1 \end{cases}$$

**Lemma 5.12.** Let  $M$  be a linear order and  $\alpha > 0$  an ordinal.

Let  $J \subseteq M$  be a subinterval.

Then  $J \in \mathbf{H}^{< \alpha}$  iff it is contained in a single  $\sim_{\alpha}$ -equivalence class  $K$ , such that:

- Either  $K \in \mathcal{L}[\mathbf{H}^{< \alpha}]$  or there exists some  $x \in K$  such that  $x < J$ .
- Either  $K \in \mathcal{R}[\mathbf{H}^{< \alpha}]$  or there exists some  $x \in K$  such that  $x > J$ .

*Proof.* Suppose  $J \in \mathbf{H}^{< \alpha}$ . Then obviously  $J$  is contained in a single  $\sim_{\alpha}$ -equivalence class  $K$ .

We will show the first condition, the second is symmetric.

Suppose that for all  $x \in K$ ,  $J \leq x$ . Then we can write  $K = J + J'$ . Since  $J \in \mathbf{H}^{< \alpha}$ , it follows that  $K \in \mathcal{L}[\mathbf{H}^{< \alpha}]$ .  $\square$

**Corollary 5.13.** *Let  $\alpha > 0$  be an ordinal.*

*Let  $P_\alpha$  be any predicate representing  $\sim_\alpha$ , let  $L_\alpha = \mathbf{L}_\alpha$  and  $R_\alpha = \mathbf{R}_\alpha$ .*

*Then  $\text{Int}_{\mathbf{H}^{<\alpha}}$  is **MSO**-expressible over  $\mathbf{MSO}[P_\alpha, L_\alpha, R_\alpha]$ .*

**Theorem 5.14.** *Let  $\mathbf{P}$  be a computable class of linear orders of some finite signature, including  $C_1, \dots, C_k$ .*

*Let  $\mathcal{Q}$  be a finite set of computable classes of linear orders over some finite signature which is disjoint from the signature of  $\mathbf{P}$ .*

*Let  $F : 2^k \rightarrow \mathcal{Q}$  be any function.*

*Then  $\bigcup_{I \in \mathbf{P}} \sum_{i \in I} F(C_1(i), \dots, C_k(i))$  is a computable class of linear orders.*

*Proof.* We will use the decomposition theorem. Let  $\varphi$  be a formula of quantifier depth  $n$ . WLOG,  $\varphi$  is a sentence.

Then we can compute a formula  $\psi(\xi)$  (where  $\xi$  has the type of a coloring whose range is the set of  $n$ -types) such that for any linear order  $M = \sum_{i \in I} M_i$ ,

$$M \models \varphi \iff I \models \psi(\Xi)$$

where  $\Xi$  is the coloring assigning  $i \in I$  the  $n$ -type of  $M_i$ .

Thus, there is some  $M \in \bigcup_{I \in \mathbf{P}} \sum_{i \in I} \mathbf{Q}_i$ , such that  $M \models \varphi$  iff there exists some  $I \in \mathbf{P}$ , and assignment  $\Xi$  of  $n$ -types, such that  $\Xi(i)$  is satisfiable in  $\mathbf{Q}_i$  for all  $i \in I$ , and  $I \models \psi(\Xi)$ .

Equivalently,  $\varphi$  is satisfiable over  $\bigcup_{I \in \mathbf{P}} \sum_{i \in I} \mathbf{Q}_i$  iff

$$\begin{aligned} & \exists \xi. \psi(\xi) \wedge \xi \text{ is a coloring with } n\text{-types} \\ & \wedge \forall i. \xi(i) \in \mathbf{type}_n[F(C_1(i), \dots, C_k(i))] \end{aligned}$$

is satisfiable over  $\mathbf{P}$ .

Since  $\mathcal{Q}$  has only computable classes, We can pre-compute  $\mathbf{type}_n[F(\vec{c})]$  for any value  $\vec{c} \in 2^k$  so we can actually write the formula above in **MSO**. Furthermore, since  $\mathbf{P}$  is computable, we can check whether it is satisfiable over  $\mathbf{P}$ . So we are done.  $\square$

**Definition 5.15.** *Let  $\alpha$  be an ordinal.*

*We define the  $\mathbf{MSO}[P_\alpha, L_\alpha, R_\alpha]$  formula  $\text{good}_\alpha$  as follows:  $\text{good}_\alpha$  is true in a linear order  $I$  iff for every pair  $i, i' \in M$  such that  $i'$  is the successor of  $i$ , the following conditions hold:*

- $P_\alpha(i) \neq P_\alpha(i')$
- $R_\alpha(i) = 0$  or  $L_\alpha(i') = 0$

*We further define the class*

$$\mathbf{Good}_\alpha := \{I \in \mathbf{CNT}[P_\alpha, L_\alpha, R_\alpha] : I \models \text{good}_\alpha\}$$

*as the class of all  $\text{good}_\alpha$  linear orders.*

**Definition 5.16.** Let  $\alpha$  be an ordinal.

We define the class of linear orders  $\mathbf{CNT}[\alpha]$  as the class of all linear orders labeled with  $P_\alpha$ ,  $L_\alpha$  and  $R_\alpha$  such that  $P_\alpha$  represents the equivalence relation  $\sim_\alpha$ ,  $L_\alpha = \mathbf{L}_\alpha$ , and  $R_\alpha = \mathbf{R}_\alpha$ .

**Lemma 5.17.** Let  $\alpha$  be an ordinal.

Then,

$$\mathbf{CNT}[\alpha] = \bigcup_{I \in \mathbf{Good}_\alpha} \sum_{i \in I} \sigma_\alpha(i)$$

*Proof.* ( $\subseteq$ ) Let  $M$  be a countable linear order labeled with  $P_\alpha$ ,  $L_\alpha$  and  $R_\alpha$  as above.

Let  $I = M / \sim_\alpha$  be the quotient of  $M$  by the equivalence relation  $\sim_\alpha$ .

Then  $M = \sum_{i \in I} M_i$ , where  $\{M_i\}_{i \in I}$  are the  $\sim_\alpha$ -equivalence class of  $I$ .

Then for each  $i \in I$ ,  $M_i \in \mathcal{B}[\mathbf{H}^{<\alpha}]$ , and by definition  $\sigma_\alpha(i) = M_i$ .

Let  $i'$  be the successor of  $i$  in  $I$ .

Then  $P_\alpha(i) \neq P_\alpha(i')$  since  $P_\alpha$  represents  $\sim_\alpha$ .

Furthermore, suppose  $R_\alpha(i) = L_\alpha(i') = 1$  holds. Then  $M_i \in \mathcal{R}[\mathbf{H}^{<\alpha}]$  and  $M_{i'} \in \mathcal{L}[\mathbf{H}^{<\alpha}]$ . so  $M_i$  and  $M_{i'}$  are the same  $\sim_\alpha$ -equivalence class of  $M$ , which is a contradiction.

Thus either  $R_\alpha(i) = 0$  or  $L_\alpha(i') = 0$ .

( $\supseteq$ ) Let  $M = \sum_{i \in I} M_i$  be a linear order such that  $I \in \mathbf{Good}_\alpha$  and  $M_i \in \sigma_\alpha(i)$  for each  $i \in I$ .

In particular  $M_i \in \mathcal{B}[\mathbf{H}^{<\alpha}]$  for each  $i \in I$ , so it is contained in a single  $\sim_\alpha$ -equivalence class of  $M$ .

Suppose that there exist distinct  $j, k \in I$  such that  $j < k$ , and  $M_j, M_k$  are in the same  $\sim_\alpha$ -equivalence class.

Let  $x \in M_j$  and  $y \in M_k$ . Then  $[x, y] \in \mathbf{H}^{<\alpha}$ , and thus  $[j, k] \in \mathbf{H}^{<\alpha}$ , and in particular it is sparse.

Then there exist some  $j', k' \in I$  such that  $j < j' < k' < k$ , and  $k'$  is the successor of  $j'$  in  $I$ .

Then  $M_{j'}$  and  $M_{k'}$  are in the same  $\sim_\alpha$ -equivalence class. Thus it must be the case that  $M_{j'} \in \mathcal{R}[\mathbf{H}^{<\alpha}]$  and  $M_{k'} \in \mathcal{L}[\mathbf{H}^{<\alpha}]$ , which implies  $R_\alpha(j') = L_\alpha(k') = 1$ , which is a contradiction.

Thus  $\{M_i\}_{i \in I}$  are pairwise distinct  $\sim_\alpha$ -equivalence classes, and obviously the conditions holds, so  $M \in C$  and we are done.  $\square$

**Lemma 5.18.** Let  $\alpha > 0$  be an ordinal and let  $\delta \geq \omega$  be a limit ordinal.

Then,

$$\mathbf{H}^{<\alpha+\delta}[\alpha] = \bigcup_{I \in \mathbf{Good}_\alpha \wedge \mathbf{H}^{<\delta}} \sum_{i \in I} \sigma_\alpha(i)$$

*Proof.* ( $\subseteq$ ) Let  $M \in \mathbf{H}^{<\alpha+\delta}[\alpha]$ . By definition,  $M$  is a linear order labeled with  $P_\alpha, L_\alpha, R_\alpha$  such that the underlying order is in  $\mathbf{H}^{<\alpha+\delta}$ ,  $P_\alpha$  represents  $\sim_\alpha$ , and  $L_\alpha, R_\alpha$  are as defined.

Let  $I = M / \sim_\alpha$  be the quotient of  $M$  by the equivalence relation  $\sim_\alpha$ . Then  $M = \sum_{i \in I} M_i$ , where  $M_i$  are the  $\sim_\alpha$ -equivalence classes. By the definition of  $\sim_\alpha$ , each  $M_i \in \mathcal{B}[\mathbf{H}^{<\alpha}]$ , and by the definition of  $\sigma_\alpha(i)$ ,  $M_i \in \sigma_\alpha(i)$ .

Since  $M \in \mathbf{H}^{<\alpha+\delta}$ , the quotient  $I$  is in  $\mathbf{H}^{<\delta}$ . For each pair  $i, i'$  of consecutive elements in  $I$ , the labeling ensures that  $P_\alpha(i) \neq P_\alpha(i')$  and either  $R_\alpha(i) = 0$  or  $L_\alpha(i') = 0$ , so  $I \in \mathbf{Good}_\alpha$ . Thus,  $M \in \sum_{i \in I} \sigma_\alpha(i)$  for some  $I \in \mathbf{Good}_\alpha \wedge \mathbf{H}^{<\delta}$ .

( $\supseteq$ ) Let  $M = \sum_{i \in I} M_i$  where  $I \in \mathbf{Good}_\alpha \wedge \mathbf{H}^{<\delta}$  and  $M_i \in \sigma_\alpha(i)$  for each  $i \in I$ . The labeling  $P_\alpha, L_\alpha, R_\alpha$  on  $M$  is as required by the definition of  $\mathbf{Good}_\alpha$ , and each  $M_i \in \mathcal{B}[\mathbf{H}^{<\alpha}]$ . Since  $I \in \mathbf{H}^{<\delta}$ ,  $M \in \mathbf{H}^{<\alpha+\delta}$ . Thus,  $M \in \mathbf{H}^{<\alpha+\delta}[\alpha]$ .

Therefore,

$$\mathbf{H}^{<\alpha+\delta}[\alpha] = \bigcup_{I \in \mathbf{Good}_\alpha \wedge \mathbf{H}^{<\delta}} \sum_{i \in I} \sigma_\alpha(i)$$

□

**Corollary 5.19.** *Let  $\alpha > 0$  be an ordinal and let  $\delta \geq \omega$  be a limit ordinal.*

*Then,*

$$\mathbf{RM}_{\alpha+\delta}[\alpha] = \bigcup_{I \in \mathbf{Good}_\alpha \wedge \mathbf{RM}_\delta} \sum_{i \in I} \sigma_\alpha(i)$$

*Proof.* It follows from lemma 5.18 together with lemma 4.7. □

**Lemma 5.20.** *Let  $\alpha > 0$  be an ordinal.*

*Then  $\mathbf{CNT}[\alpha]$  is a computable class of linear orders.*

*Proof.* Since  $\mathbf{Good}_\alpha$  is clearly computable, it follows from combining theorem 5.14 with lemma 5.17 and the computability of  $\mathbf{H}^{<\alpha}$ ,  $\mathbf{RM}_\alpha$ ,  $\mathbf{LM}_\alpha$  and  $\mathbf{BM}_\alpha$ . □

**Theorem 5.21.** *Let  $\alpha > 0$  be an ordinal.*

*Satisfiability of  $\mathbf{MSO}[\text{Int}_{\mathbf{H}^{<\alpha}}]$  over  $\mathbf{CNT}$  is decidable.*

*Proof.* First, by corollary 5.13, we can convert any formula  $\varphi$  in  $\mathbf{MSO}[\text{Int}_{\mathbf{H}^{<\alpha}}]$  to a formula  $\varphi'$  in  $\mathbf{MSO}[P_\alpha, L_\alpha, R_\alpha]$  such that  $\varphi$  is satisfiable over  $\mathbf{CNT}$  iff  $\varphi'$  is satisfiable over  $\mathbf{CNT}[\alpha]$ .

This is decidable by lemma 5.20. □

We can extend these results to multiple ordinals.

**Lemma 5.22.** *Let  $\alpha$  be an ordinal.*

*Let  $I$  be a linear order and let  $\{M_i\}_{i \in I}$  be a family of linear orders, such that for each pair  $i, i' \in I$  such that  $i'$  is the successor of  $i$  in  $I$ , either  $\mathbf{R}_\alpha(M_i) = 0$  or  $\mathbf{L}_\alpha(M_{i'}) = 0$ .*

*Then,*

$$\left( \sum_{i \in I} M_i \right) [\alpha] = \sum_{i \in I} (M_i [\alpha])$$

*Proof.* It is obvious, but TBC. □

**Notation 5.23.** Let  $\alpha_1 < \dots < \alpha_k$  be ordinals.

Let  $\mathbf{P}$  be a class of linear orders.

Then,

$$\mathbf{P}[\alpha_1, \dots, \alpha_k] := \mathbf{P}[\alpha_1] \cdots [\alpha_k]$$

**Corollary 5.24.** Let  $\alpha_1 < \dots < \alpha_k < \alpha$  be ordinals.

Let  $I$  be a linear order and let  $\{M_i\}_{i \in I}$  be a family of linear orders, such that for each pair  $i, i' \in I$  such that  $i'$  is the successor of  $i$  in  $I$ , either  $\mathbf{R}_\alpha(M_i) = 0$  or  $\mathbf{L}_\alpha(M_{i'}) = 0$ .

Then,

$$\left( \sum_{i \in I} M_i \right) [\alpha_1, \dots, \alpha_k, \alpha] = \sum_{i \in I} (M_i [\alpha_1, \dots, \alpha_k, \alpha])$$

*Proof.* If  $\mathbf{R}_\alpha(i) = 0$ , then in particular  $\mathbf{R}_{\alpha_j}(i) = 0$  for all  $j \in [k]$ , and similarly for  $\mathbf{L}_\alpha(i')$ .

So the condition for  $\alpha$  implies the similar conditions for  $\alpha_1, \dots, \alpha_k$ .

Now, we can apply lemma 5.22 inductively to obtain the result.  $\square$

**Lemma 5.25.** Let  $\alpha_1 < \dots < \alpha_k < \alpha$  be ordinals. Then,

$$\mathbf{CNT}[\alpha_1, \dots, \alpha_k, \alpha] = \bigcup_{I \in \mathbf{Good}_\alpha} \sum_{i \in I} \sigma_\alpha(i) [\alpha_1, \dots, \alpha_k]$$

*Proof.* This is a consequence of lemma 5.17 and corollary 5.24.  $\square$

**Lemma 5.26.** Let  $\alpha_1 < \dots < \alpha_k < \alpha$  and  $\delta > 1$  be ordinals. Then,

$$\mathbf{H}^{<\alpha+\delta}[\alpha_1, \dots, \alpha_k, \alpha] = \bigcup_{I \in \mathbf{Good}_\alpha \wedge \mathbf{H}^{<\delta}} \sum_{i \in I} \sigma_\alpha(i) [\alpha_1, \dots, \alpha_k]$$

*Proof.* This is a consequence of lemma 5.18 and corollary 5.24.  $\square$

**Lemma 5.27.** Let  $\alpha_1 < \dots < \alpha_k < \alpha$  and  $\delta$  be ordinals. Then,

$$\mathbf{RM}_{\alpha+\delta}[\alpha_1, \dots, \alpha_k, \alpha] = \bigcup_{I \in \mathbf{Good}_\alpha \wedge \mathbf{RM}_\delta} \sum_{i \in I} \sigma_\alpha(i) [\alpha_1, \dots, \alpha_k]$$

*Proof.* This is a consequence of corollary 5.19 and corollary 5.24.  $\square$

**Lemma 5.28.** Let  $\alpha_1 < \dots < \alpha_k < \alpha$  be ordinals.

Then  $\mathbf{CNT}[\alpha_1, \dots, \alpha_k, \alpha]$  is a computable class of linear orders.

*Proof.* Since  $\mathbf{Good}_\alpha$  is computable, it follows from combining theorem 5.14 with lemma 5.25 and the computability of  $\mathbf{H}^{<\alpha}[\alpha_1, \dots, \alpha_k]$ ,  $\mathbf{RM}_\alpha[\alpha_1, \dots, \alpha_k]$ ,  $\mathbf{LM}_\alpha[\alpha_1, \dots, \alpha_k]$  and  $\mathbf{BM}_\alpha[\alpha_1, \dots, \alpha_k]$ .  $\square$

**Theorem 5.29.** Let  $\alpha_1 < \dots < \alpha_k$  be ordinals.

Satisfiability of  $\mathbf{MSO}[\text{Int}_{\mathbf{H}^{<\alpha_1}}, \dots, \text{Int}_{\mathbf{H}^{<\alpha_k}}]$  over  $\mathbf{CNT}$  is decidable.

*Proof.* First, by corollary 5.13, we can convert any formula  $\varphi$  in

$$\mathbf{MSO}[\text{Int}_{\mathbf{H}^{<\alpha_1}}, \dots, \text{Int}_{\mathbf{H}^{<\alpha_k}}]$$

to a formula  $\varphi'$  in

$$\mathbf{MSO}[P_{\alpha_1}, L_{\alpha_1}, R_{\alpha_1}, \dots, P_{\alpha_k}, L_{\alpha_k}, R_{\alpha_k}]$$

such that  $\varphi$  is satisfiable over **CNT** iff  $\varphi'$  is satisfiable over **CNT**  $[\alpha_1, \dots, \alpha_k]$ .

This is decidable by lemma 5.28 and lemma 5.25.  $\square$

## 6 Decidability of Definable Intervals

**Definition 6.1.** Let **DFN** be the class of all linear orders defined by an MSO-formula

**Theorem 6.2.** Let  $\varphi$  be an MSO-sentence. The following are equivalent:

1.  $\varphi$  has at least  $2^{\aleph_0}$  models.
2.  $\varphi$  has uncountably many models.
3.  $\varphi$  has an undefinable model.
4.  $\varphi$  has an undefinable model of finite **hrank** ().

*Proof.* (1  $\implies$  2) Trivial. (2  $\implies$  3) Since there are only countably many definable linear orders, if  $\varphi$  has uncountably many models, then there is an undefinable model.

(3  $\implies$  4) Let  $M$  be an undefinable model of  $\varphi$  and let  $n = \mathbf{qd}_\varphi$ .

$M$  is  $n$ -equivalent to some linear order of finite **hrank** (), which is obviously undefinable, since  $\mathbf{type}_n[M]$  is not a defining formula.

(4  $\implies$  1) Let  $M$  be an undefinable model of  $\varphi$  with **hrank** ( $M$ ) =  $a$  and let  $n = \mathbf{qd}_\varphi$ .

We proceed by induction on  $a$ .

If  $a = 0$ , the claim is vacuously true, since  $M = 1$ .

Otherwise, let  $M = \sum_{i \in I} M_i$ , where  $I = M / \sim_a$  and  $\{M_i\}_{i \in I}$  are the equivalence classes of  $\sim_a$ .

If some  $M_i$  is undefinable, then  $\mathbf{type}_n[M_i]$  is not a defining formula, so by the induction hypothesis  $\mathbf{type}_n[M_i]$  has at least  $2^{\aleph_0}$  models.

Thus, we can replace  $M_i$  with one of these models. The resulting models are all non-isomorphic, since they are distinguishable by the formula saying "the  $i$ -th equivalence class satisfies  $\mathbf{type}_n[M]$ ".

Otherwise, all  $M_i$  are definable. Then  $I = I_1 + \dots + I_k$ , where  $I_j \in \{1, \omega, \omega^*\}$  for all  $j \in [k]$ .

Let  $N_j = \sum_{i \in I_j} M_i$ .

Let  $j \in [k]$ . WLOG  $I_j = \omega$ . Then  $(x, y) \mapsto \mathbf{type}_n[(x, y)]$  (where  $x < y$ ) induces an additive coloring of the  $N_j$ , so by Shelah's theorem there is a cofinal homogenous set, i.e.  $\mathbf{type}_n[N_j] = \mathbf{type}_n[(x, y)] \cdot \omega$  for some  $x, y \in N_j$ . But  $(x, y]$  is a subinterval of a finite sum of definable linear orders, and thus definable itself.

Therefore,  $N_j$  is definable, since definable linear orders are closed under  $(\cdot \omega)$ .

Finally,  $M = N_1 + \dots + N_k$  is a finite sum of definable linear orders, and thus definable itself, contrary to the assumption that  $M$  is undefinable.

So we are done.  $\square$