# **Natural Language Understanding**

Lecture 14: Semantic Role Labeling

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# Introduction

Reading: Zhou and Xu, 2015.

Background: Jurafsky and Martin, Ch. 22 (online 3rd edition).

Introduction

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Earlier in this course we looked at *parsing* as a fundamental task in NLP. But what is parsing actually good for?

Parsing breaks up sentences into meaningful parts or finds meaningful relationships, which can then feed into *downstream* semantic tasks:

- semantic role labeling (figure out who did what do whom);
- semantic parsing (turn a sentence into a logical form);
- word sense disambiguation (figure out what the words in a sentence mean);
- compositional semantics (compute the meaning of a sentence based on the meaning of its parts).

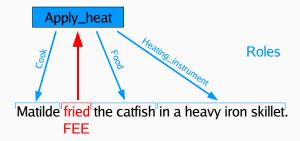
In this lecture, we will look at *semantic role labeling* (SRL).

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### Introduction

#### **Frame Semantics**

- due to Fillmore (1976);
- a frame describes a prototypical situation;
- it is evoked by a frame evoking element (predicate);
- it can have several frame elements (arguments; sem. roles).



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# **Proposition Bank**

PropBank is a version of the Penn Treebank annotated with semantic roles. More coarse-grained than Frame Semantics:

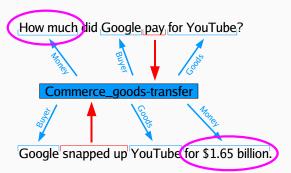
Propbank	Frames
Arg0	proto-agent
Arg1	proto-patient
Arg2	benefactive, instrument, attribute, end state
Arg3	start point, benefactive, instrument, or attribute
Arg4	end point
ArgM	modifier (TMP, LOC, DIR, MNR, etc.)

Arg2-Arg4 are often verb specific.

### Introduction

## **Properties of Frame Semantics**

- provides a shallow semantic analysis (no modality, scope);
- granularity in between "universal" and "verb specific" roles;
- generalizes well across languages;
- can benefit various NLP applications (IR, QA).



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# **PropBank Corpus**

Example (from Jurafsky and Martin):

(1) increase.01 "go up incrementally"

Arg0: causer of increase

Arg1: thing increasing

Arg2: amount increased by, EXT, or MNR

Arg3: start point Arg4: end point

- (2) [Arg0 Big Fruit Co.] increased [Arg1 the price of bananas].
- (3)  $[A_{rg1}]$  The price of bananas] was increased again  $[A_{rg0}]$  by Big Fruit Co.]
- (4)  $[A_{rg1}]$  The price of bananas increased  $[A_{rg2}]$  5%.

# The SRL Pipeline

The SRL task is typically broken down into a sequence of sub-tasks:

- 1. parse the training corpus;
- 2. match frame elements to constituents;
- 3. extract features from the parse tree;
- 4. train a probabilistic model on the features.

More recent SRL systems use dependency parsing, but follow the same pipeline architecture.

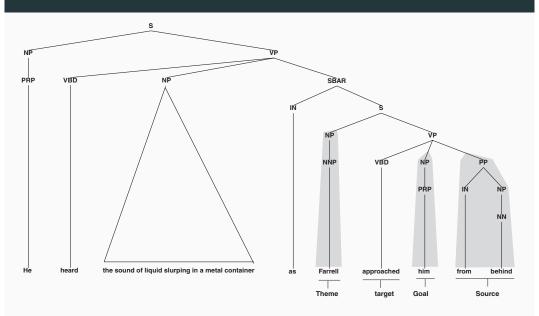
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#### **Extract Parse Features**

Assume the sentences are parsed, then the following features can be extracted for role labeling:

- **Phrase Type:** syntactic type of the phrase expressing the semantic role (e.g., NP, VP, S);
- **Governing Category:** syntactic type of the phrase governing the semantic role (NP, VP), only used for NPs;
- Parse Tree Path: path through the parse tree from the target word to the phrase expressing the role;
- **Position:** whether the constituent occurs before or after the predicate; useful for incorrect parses;
- Voice: active or passive; use heuristics to identify passives;
- **Head Word:** the lexical head of the constituent.

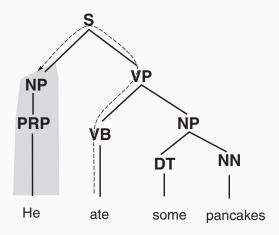
#### **Match Frame Elements**



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### **Extract Parse Features**

Path from target ate to frame element  $He: VB\uparrow VP\uparrow S\downarrow NP$ 



How might you do this if you had a dependency parse instead of a constituent parse?

# Semantic Role Labeling with Neural Networks

# Case study: SRL with deep bidirectional LSTMS

In this lecture, we will discuss the end-to-end SRL system of Zhou and Xu using a *deep bi-directional LSTM (DB-LSTM)*:

Zhou and Xu approach:

- uses no explicit syntactic information;
- requires no separate frame element matching step;
- needs no expert-designed, language-specific features;
- outperforms previous approaches using feedforward nets.

# Semantic Role Labeling with Neural Networks

*Intuition.* SRL is a sequence labeling task. We should therefore be able to use recurrent neural networks (RNNs or LSTMs) for it.

$$\underbrace{A \ \text{record date}}_{A_{RG1}} \text{ has } \underbrace{n't}_{A_{M-N_{EG}}} \text{ been set} \ .$$

A record date has n't been **set** .
B-ARG1 I-ARG1 O B-AM-NEG O B-V C

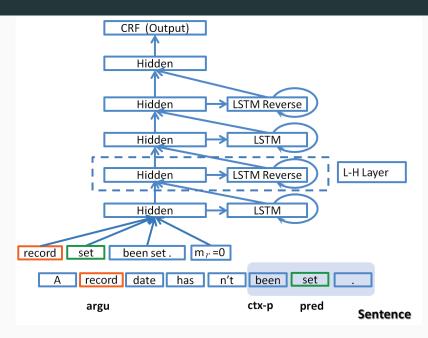
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### **Architecture**

The DB-LSTM is an two-fold extension of the standard LSTM:

- a *bidirectional* LSTM normally contains two hidden layers, both connected to the same input and output layer, processing the same sequence in opposite directions;
- here, the bidirectional LSTM is used differently:
  - a standard LSTM layer processes the input in forward direction;
  - the output of this LSTM layer is the input to another LSTM layer, but in reverse direction;
- these LSTM layer pairs are stacked to obtain a deep model.

#### **Architecture**



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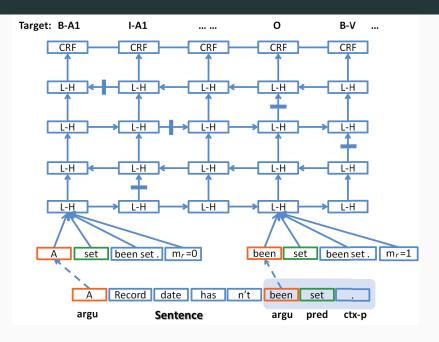
### **Features**

The input is processed word by word. The input features are:

- argument and predicate: the argument is the word being processed, the predicate is the word it depends on;
- predicate context (ctx-p): the words around the predicate; also used to distinguish multiple instances of the same predicate;
- region mark  $(m_r)$ : indicates if the argument is in the predicate context region or not;
- if a sequence has  $n_p$  predicates it is processed  $n_p$  times.

Output: semantic role label for the predicate/argument pair using IOB tags (inside, outside, beginning).

### **Architecture: Unfolded**



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### **Features**

An example sequence with the four input features: argument, predicate, predicate context (ctx-p), region mark  $(m_r)$ :

Time	Argument	Predicate	ctx-p	$m_r$	Label
1	A	set	been set .	0	B-A1
2	record	set	been set .	0	I-A1
3	date	set	been set .	0	I-A1
4	has	set	been set .	0	Ο
5	n't	set	been set .	0	B-AM-NEG
6	been	set	been set .	1	0
7	set	set	been set .	1	B-V
8		set	been set .	1	0

# **Experimental Setup**

- Word embeddings are used as input, not raw words;
- the embeddings for arguments, predicate, and ctx-p, as well as  $m_r$  are concatenated and used as input for the DB-LSTM;
- eight bidirectional layers are used;
- the output is passed through a conditional random field (CRF); allows to model dependencies between output labels;
- the model is trained with standard backprop using stochastic gradient descent;
- fancy footwork with learning rate required to make this work;
- Viterbi decoding is used to compute the best output sequence.

- Train and test on CoNLL-2005 dataset (essentially a dependency parsed version of PropBank);
- word embeddings either randomly initialized or pretrained;
- pretrained embeddings used Bengio's Neural Language Model on English Wikipedia (995M words);
- vocabulary size 4.9M; embedding dimensionality 32;
- compare to feed-forward convolutional network;
- try different input features, different numbers of LSTM layers, and different hidden layer sizes.

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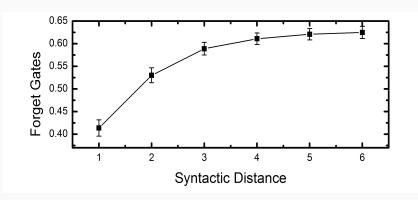
## Results for CoNLL-2005 Dataset

Embedding	d	ctx-p	$m_r$	h	F1(dev)	F1
Random	1	1	n	32	47.88	49.44
Random	1	5	n	32	54.63	56.85
Random	1	5	У	32	57.13	58.71
Wikipedia	1	5	У	32	64.48	65.11
Wikipedia	2	5	У	32	72.72	72.56
Wikipedia	4	5	У	32	75.08	75.74
Wikipedia	6	5	У	32	76.94	78.02
Wikipedia	8	5	У	32	77.50	78.28
Wikipedia	8	5	У	64	77.69	79.46
Wikipedia	8	5	У	128	79.10	80.28
Wikipedia	8	5	У	128	79.55	81.07

d: number of LSTM layers; ctx-p: context length;  $m_r$ : region mark used or not; h: hidden layer size. Last row with fine tuning.

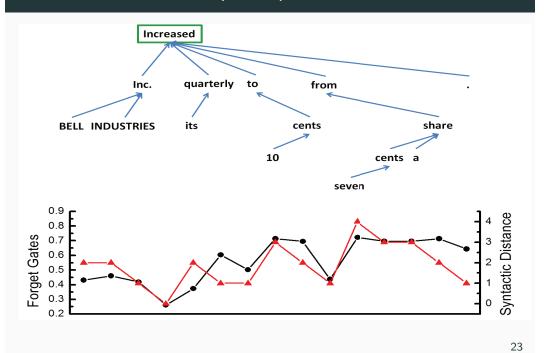
# What the Model Learns (Maybe)

Model learns "syntax": it associates argument and predicate words using the forget gate:



Syntactic distance is the number of edges between argument and predicate in the dependency tree.

# What the Model Learns (Maybe)



## **Summary**

- Semantic role labeling means identifying the arguments (frame elements) that participate in a prototypical situation (frame) and labeling them with their roles;
- this provides a shallow semantic analysis that can benefit various NLP applications;
- SRL transitionally consists of parsing, frame element matching, feature extraction, classification;
- but it can also regarded as a sequence labeling task;
- Zhou and Xu use a deep bi-directional LSTM trained on embeddings to do SRL;
- no parsing needed, no handcrafted features;
- model may learn correlates of syntax anyway.

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