

Natural Language Understanding

Lecture 14: Semantic Role Labeling

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Introduction

- Semantic Role Labeling

- Proposition Bank

- Pipeline and Features

Semantic Role Labeling with Neural Networks

- Architecture

- Features and Training

- Results

Reading: Zhou and Xu, 2015.

Background: Jurafsky and Martin, Ch. 22 (online 3rd edition).

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- semantic role labeling (figure out who did what do whom);
- semantic parsing (turn a sentence into a logical form);
- word sense disambiguation (figure out what the words in a sentence mean);
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In this lecture, we will look at *semantic role labeling* (SRL).

Frame Semantics

- due to Fillmore (1976);
- a **frame** describes a prototypical situation;
- it is evoked by a **frame evoking element** (predicate);
- it can have several **frame elements** (arguments; sem. roles).

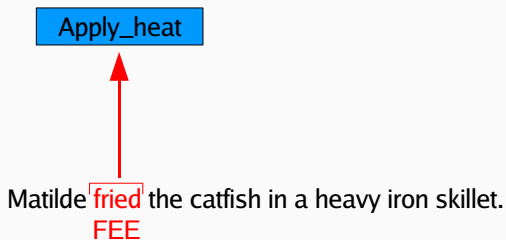
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Matilde fried the catfish in a heavy iron skillet.

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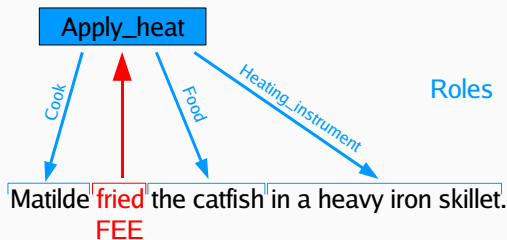
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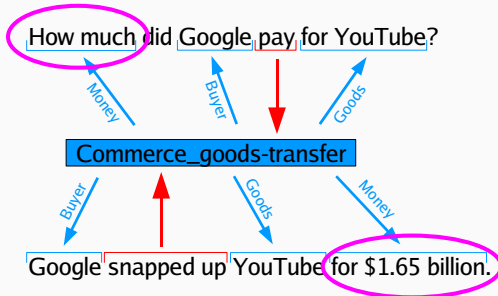


Properties of Frame Semantics

- provides a shallow semantic analysis (no modality, scope);
- granularity in between “universal” and “verb specific” roles;
- generalizes well across languages;
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Proposition Bank

PropBank is a version of the Penn Treebank annotated with semantic roles. More coarse-grained than Frame Semantics:

| Propbank | Frames |
|----------|--|
| Arg0 | proto-agent |
| Arg1 | proto-patient |
| Arg2 | benefactive, instrument, attribute, end state |
| Arg3 | start point, benefactive, instrument, or attribute |
| Arg4 | end point |
| ArgM | modifier (TMP, LOC, DIR, MNR, etc.) |

Arg2–Arg4 are often verb specific.

Example (from Jurafsky and Martin):

- (1) increase.01 “go up incrementally”
 Arg0: causer of increase
 Arg1: thing increasing
 Arg2: amount increased by, EXT, or MNR
 Arg3: start point
 Arg4: end point
- (2) [Arg0 Big Fruit Co.] increased [Arg1 the price of bananas].
- (3) [Arg1 The price of bananas] was increased again [Arg0 by Big Fruit Co.]
- (4) [Arg1 The price of bananas] increased [Arg2 5%].

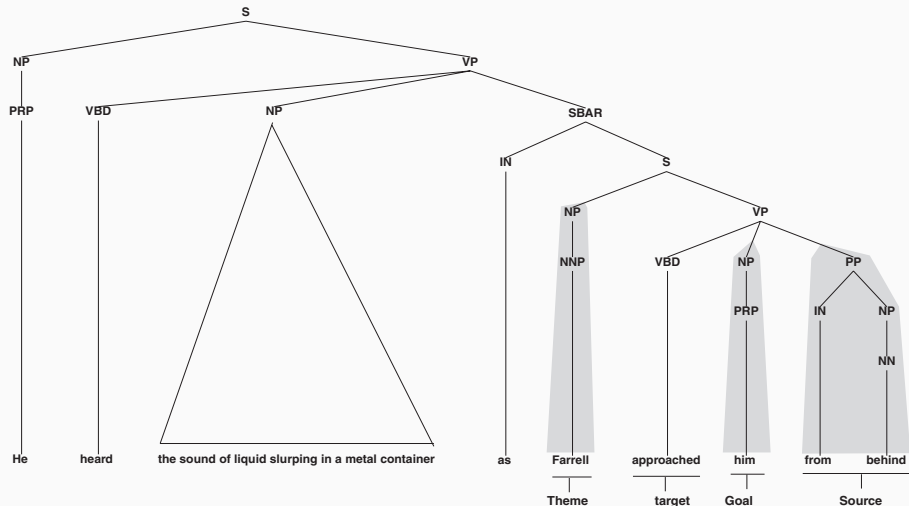
The SRL Pipeline

The SRL task is typically broken down into a sequence of sub-tasks:

1. parse the training corpus;
2. match frame elements to constituents;
3. extract features from the parse tree;
4. train a probabilistic model on the features.

More recent SRL systems use dependency parsing, but follow the same pipeline architecture.

Match Frame Elements



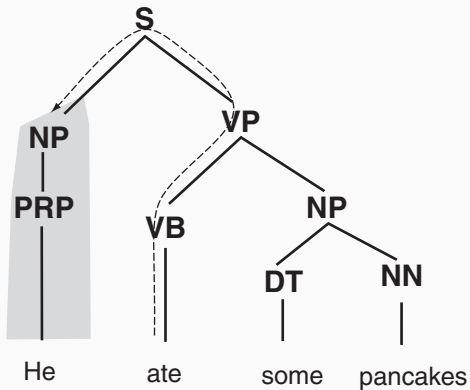
Extract Parse Features

Assume the sentences are parsed, then the following features can be extracted for role labeling:

- **Phrase Type:** syntactic type of the phrase expressing the semantic role (e.g., NP, VP, S);
- **Governing Category:** syntactic type of the phrase governing the semantic role (NP, VP), only used for NPs;
- **Parse Tree Path:** path through the parse tree from the target word to the phrase expressing the role;
- **Position:** whether the constituent occurs before or after the predicate; useful for incorrect parses;
- **Voice:** active or passive; use heuristics to identify passives;
- **Head Word:** the lexical head of the constituent.

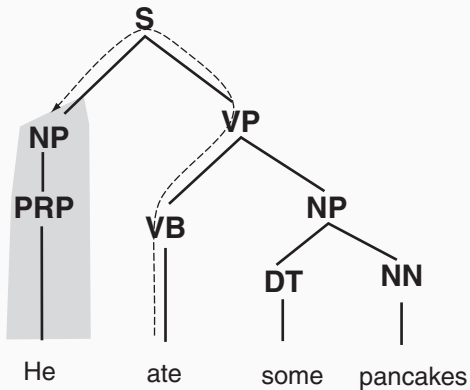
Extract Parse Features

Path from target *ate* to frame element *He*: $VB \uparrow VP \uparrow S \downarrow NP$



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How might you do this if you had a dependency parse instead of a constituent parse?

Semantic Role Labeling with Neural Networks

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Intuition. SRL is a sequence labeling task. We should therefore be able to use recurrent neural networks (RNNs or LSTMs) for it.

A record date has n't been **set** .
ARG1 AM-NEG

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A record date has n't been **set** .
ARG1 AM-NEG

| | | | | | | | |
|--------|--------|--------|-----|----------|------|------------|---|
| A | record | date | has | n't | been | set | . |
| B-ARG1 | I-ARG1 | I-ARG1 | O | B-AM-NEG | O | B-V | O |

Case study: SRL with deep bidirectional LSTMS

In this lecture, we will discuss the end-to-end SRL system of Zhou and Xu using a *deep bi-directional LSTM (DB-LSTM)*:

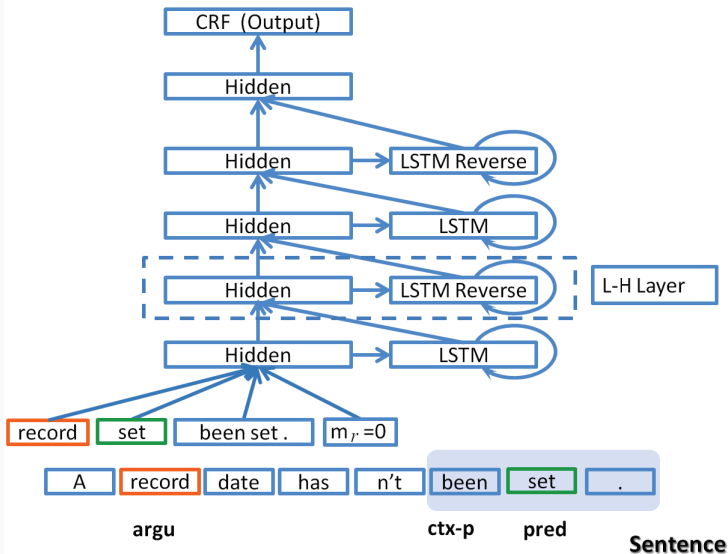
Zhou and Xu approach:

- uses no explicit syntactic information;
- requires no separate frame element matching step;
- needs no expert-designed, language-specific features;
- outperforms previous approaches using feedforward nets.

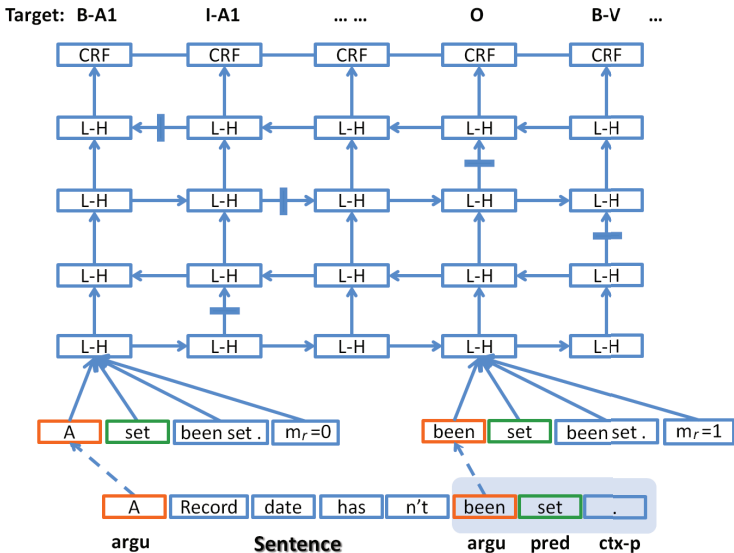
The DB-LSTM is an two-fold extension of the standard LSTM:

- a *bidirectional* LSTM normally contains two hidden layers, both connected to the same input and output layer, processing the same sequence in opposite directions;
- here, the bidirectional LSTM is used differently:
 - a standard LSTM layer processes the input in forward direction;
 - the output of this LSTM layer is the input to another LSTM layer, but in reverse direction;
- these LSTM layer pairs are stacked to obtain a deep model.

Architecture



Architecture: Unfolded



Features

The input is processed word by word. The input features are:

- argument and predicate: the argument is the word being processed, the predicate is the word it depends on;
- predicate context (ctx-p): the words around the predicate; also used to distinguish multiple instances of the same predicate;
- region mark (m_r): indicates if the argument is in the predicate context region or not;
- if a sequence has n_p predicates it is processed n_p times.

Output: semantic role label for the predicate/argument pair using IOB tags (inside, outside, beginning).

Features

An example sequence with the four input features: argument, predicate, predicate context (ctx-p), region mark (m_r):

| Time | Argument | Predicate | ctx-p | m_r | Label |
|------|----------|-----------|------------|-------|----------|
| 1 | A | set | been set . | 0 | B-A1 |
| 2 | record | set | been set . | 0 | I-A1 |
| 3 | date | set | been set . | 0 | I-A1 |
| 4 | has | set | been set . | 0 | O |
| 5 | n't | set | been set . | 0 | B-AM-NEG |
| 6 | been | set | been set . | 1 | O |
| 7 | set | set | been set . | 1 | B-V |
| 8 | . | set | been set . | 1 | O |

- Word embeddings are used as input, not raw words;
- the embeddings for arguments, predicate, and ctx-p, as well as m_r are concatenated and used as input for the DB-LSTM;
- eight bidirectional layers are used;
- the output is passed through a conditional random field (CRF); allows to model dependencies between output labels;
- the model is trained with standard backprop using stochastic gradient descent;
- fancy footwork with learning rate required to make this work;
- Viterbi decoding is used to compute the best output sequence.

Experimental Setup

- Train and test on CoNLL-2005 dataset (essentially a dependency parsed version of PropBank);
- word embeddings either randomly initialized or pretrained;
- pretrained embeddings used Bengio's Neural Language Model on English Wikipedia (995M words);
- vocabulary size 4.9M; embedding dimensionality 32;
- compare to feed-forward convolutional network;
- try different input features, different numbers of LSTM layers, and different hidden layer sizes.

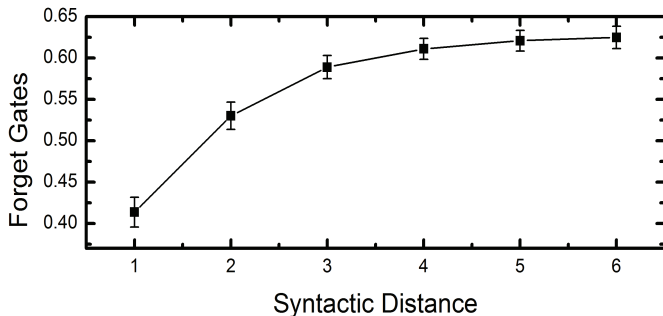
Results for CoNLL-2005 Dataset

| Embedding | d | ctx-p | m_r | h | F1(dev) | F1 |
|-----------|---|-------|-------|-----|---------|-------|
| Random | 1 | 1 | n | 32 | 47.88 | 49.44 |
| Random | 1 | 5 | n | 32 | 54.63 | 56.85 |
| Random | 1 | 5 | y | 32 | 57.13 | 58.71 |
| Wikipedia | 1 | 5 | y | 32 | 64.48 | 65.11 |
| Wikipedia | 2 | 5 | y | 32 | 72.72 | 72.56 |
| Wikipedia | 4 | 5 | y | 32 | 75.08 | 75.74 |
| Wikipedia | 6 | 5 | y | 32 | 76.94 | 78.02 |
| Wikipedia | 8 | 5 | y | 32 | 77.50 | 78.28 |
| Wikipedia | 8 | 5 | y | 64 | 77.69 | 79.46 |
| Wikipedia | 8 | 5 | y | 128 | 79.10 | 80.28 |
| Wikipedia | 8 | 5 | y | 128 | 79.55 | 81.07 |

d: number of LSTM layers; ctx-p: context length; m_r : region mark used or not; h: hidden layer size. Last row with fine tuning.

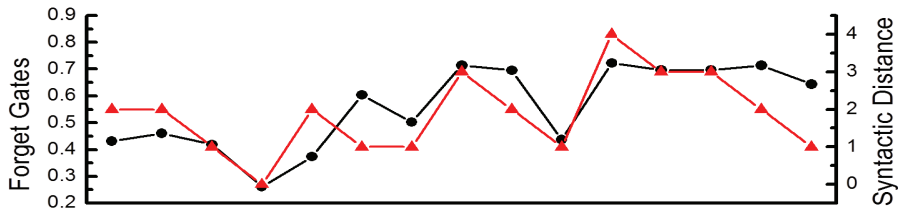
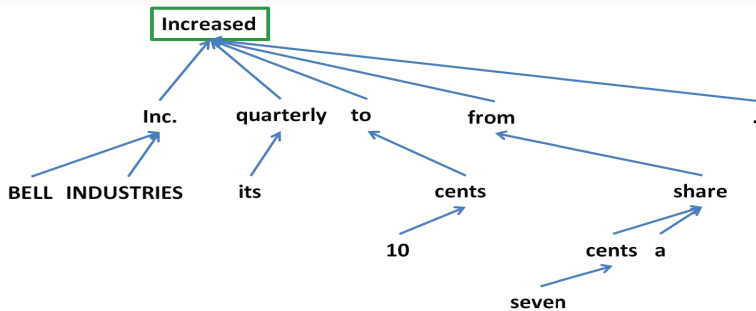
What the Model Learns (Maybe)

Model learns “syntax”: it associates argument and predicate words using the forget gate:



Syntactic distance is the number of edges between argument and predicate in the dependency tree.

What the Model Learns (Maybe)



Summary

- Semantic role labeling means identifying the arguments (frame elements) that participate in a prototypical situation (frame) and labeling them with their roles;
- this provides a shallow semantic analysis that can benefit various NLP applications;
- SRL transitionally consists of parsing, frame element matching, feature extraction, classification;
- but it can also be regarded as a sequence labeling task;
- Zhou and Xu use a deep bi-directional LSTM trained on embeddings to do SRL;
- no parsing needed, no handcrafted features;
- model may learn correlates of syntax anyway.