

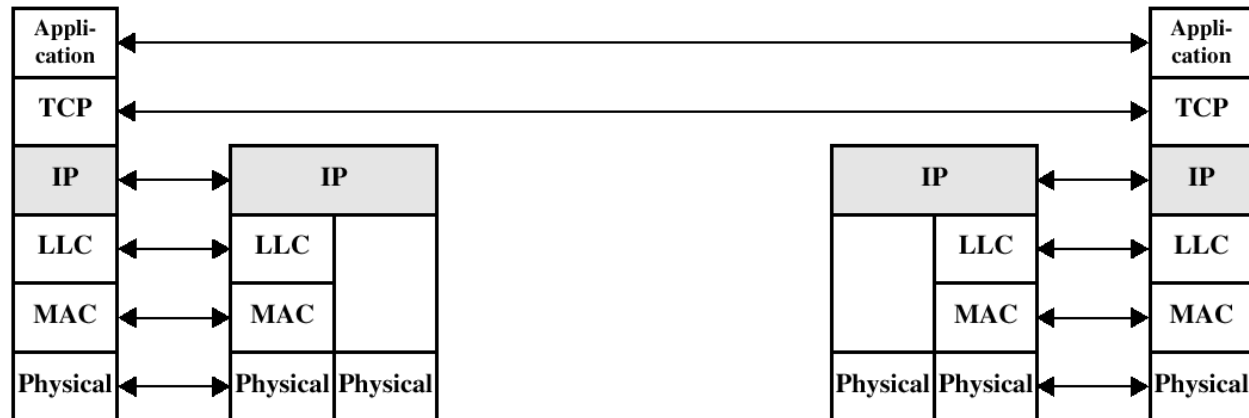
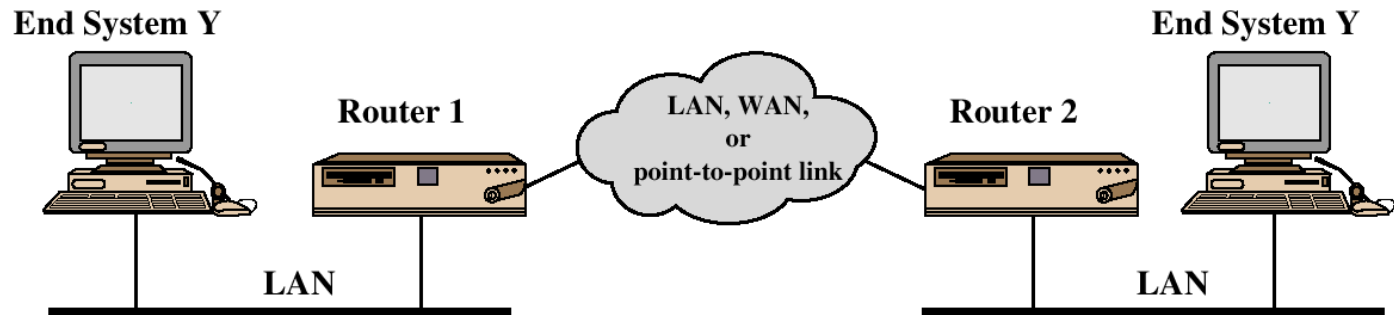
# Fundamentals of Information & Network Security

## ECE 471/571



Lecture #34,35: IP security Issues and IPSec  
Instructor: Ming Li  
Dept of Electrical and Computer Engineering  
University of Arizona

# TCP/IP Review



# Security Problems of the Internet Protocol

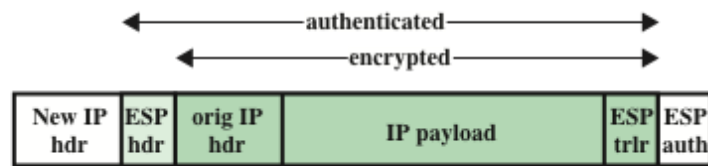
- When an entity receives an IP packet, it has no assurance of:
  - Data origin authentication / data integrity:
    - The packet has actually been send by the entity which is referenced by the source address of the packet
    - The packet contains the original content the sender placed into it, so that it has not been modified during transport
    - The receiving entity is in fact the entity to which the sender wanted to send the packet
  - Confidentiality:
    - The original data was not inspected by a third party while the packet was sent from the sender to the receiver

# IP Security Issues

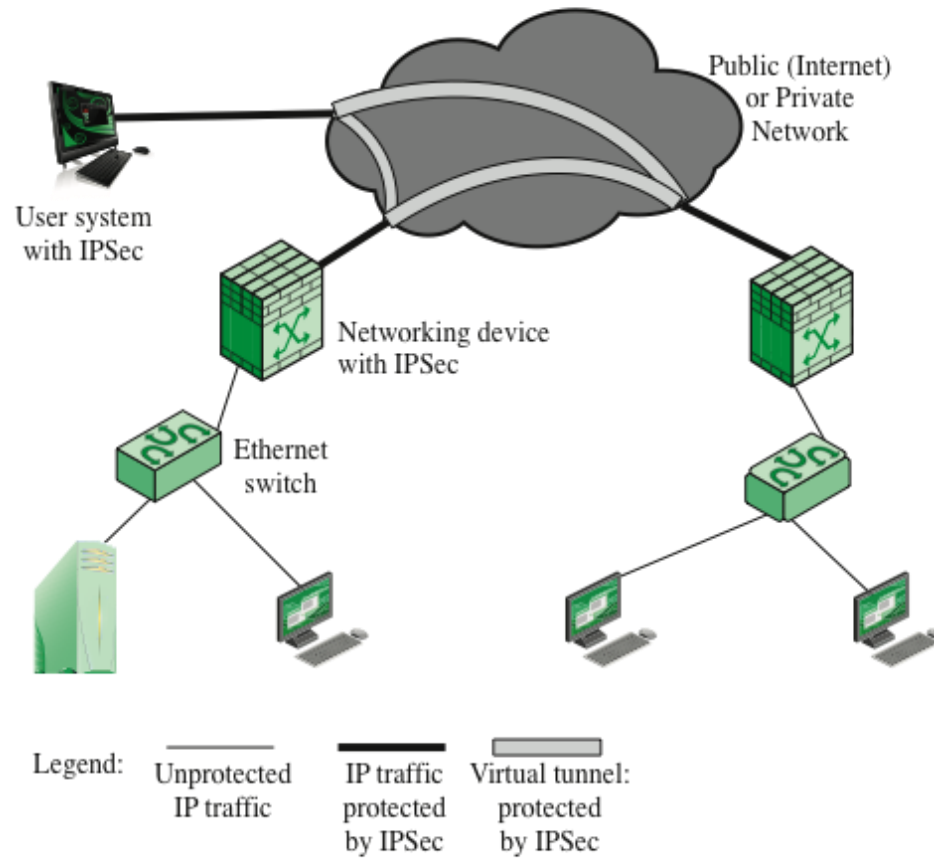
- Eavesdropping
- Modification of packets in transit
- Identity spoofing (forged source IP addresses)
- Denial of service
  
- Many solutions are application-specific
  - TLS for Web, S/MIME for email, SSH for remote login
- IPsec aims to provide a framework of open standards for secure communications over IP
  - Protect every protocol running on top of IPv4 and IPv6

# IPsec

- IETF standard for real-time communication security
- Implemented at IP layer, all traffic can be secured, no matter what application.
- Transparent to applications, no changes on upper-layer software.
- Transparent to end users, no need to train users on security mechanisms, issuing keying material on a per-user basis, or revoking keying material when users leave.



(a) Tunnel-mode format

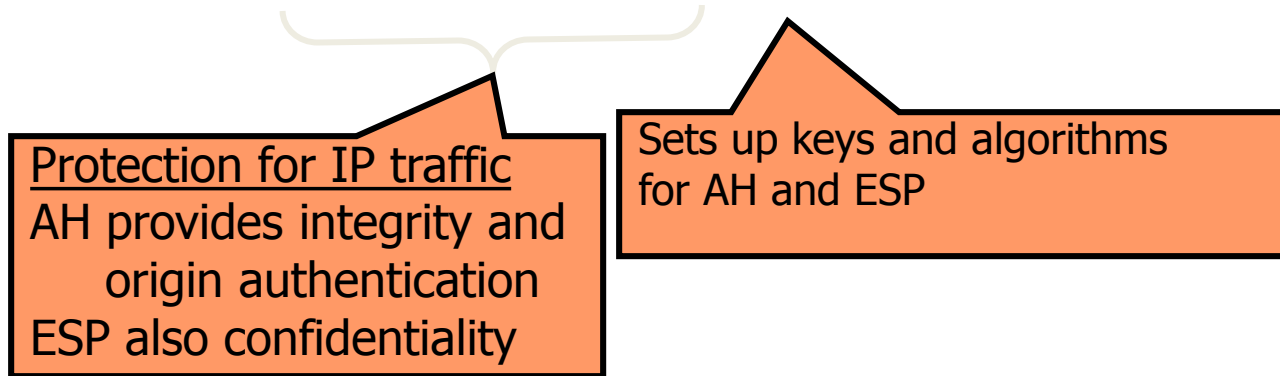


(b) Example configuration

**Figure 20.1 An IPsec VPN Scenario**

# IPsec: Network Layer Security

IPsec = AH + ESP + IKE



AH and ESP rely on an existing security association

- Idea: parties must share a set of secret keys and agree on each other's IP addresses and crypto algorithms

Internet Key Exchange (IKE)

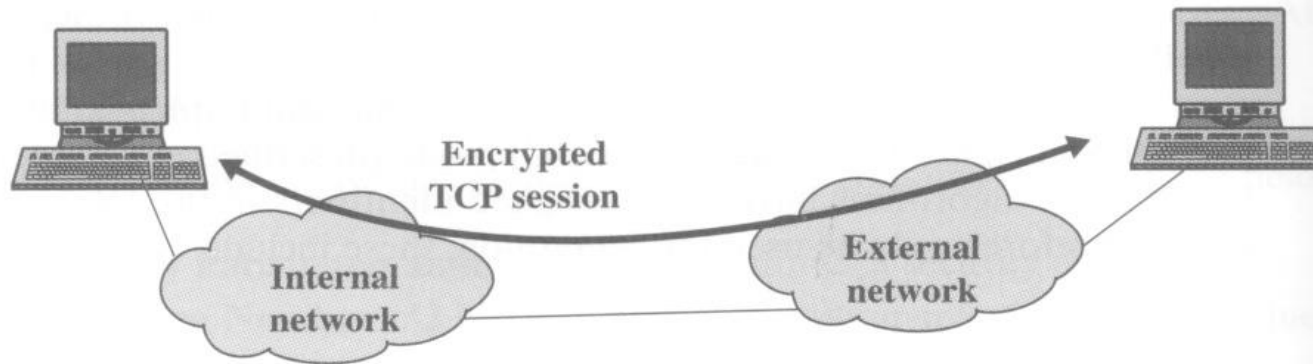
- Goal: establish security association for AH and ESP
- If IKE is broken, AH and ESP provide no protection!

# Two Modes

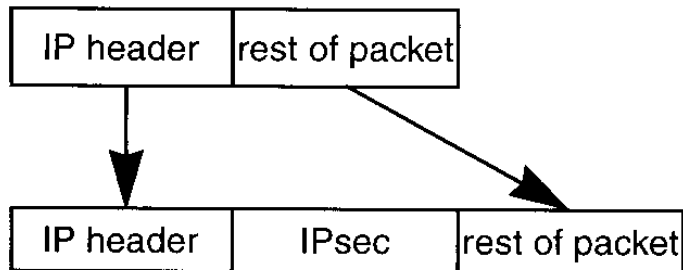
- Transport mode
  - Add the IPsec info between the IP header and the IP payload.
- Tunnel mode
  - Keep the original IP packet intact and add a new IP header and IPsec info outside.



# Transport Mode



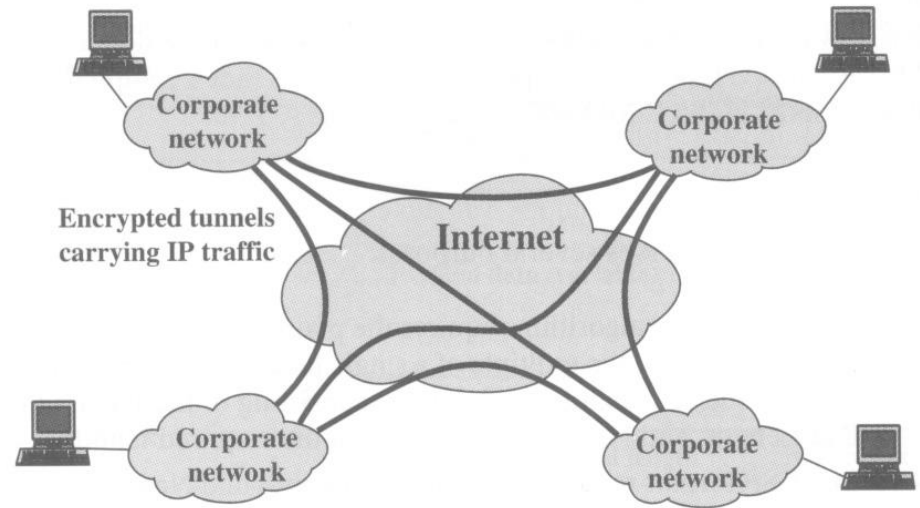
Transport Mode



- End-to-end
- Protect primarily upper-layer protocols (IP payload)
- Add IPsec between IP header and IP payload
- AH authenticates IP payload and selected portions of IP header
- ESP encrypts IP payload, authenticates IP payload, but not IP header

# Tunnel Mode

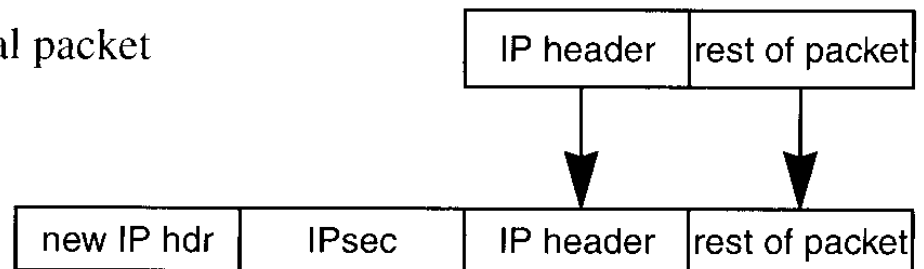
- Common use: one end is security gateway
- Protect entire IP packet
- Add New IP header
- AH authenticates entire inner IP packet plus selected portions of outer IP header
- ESP encrypts entire inner IP packet, authenticates entire inner IP packet



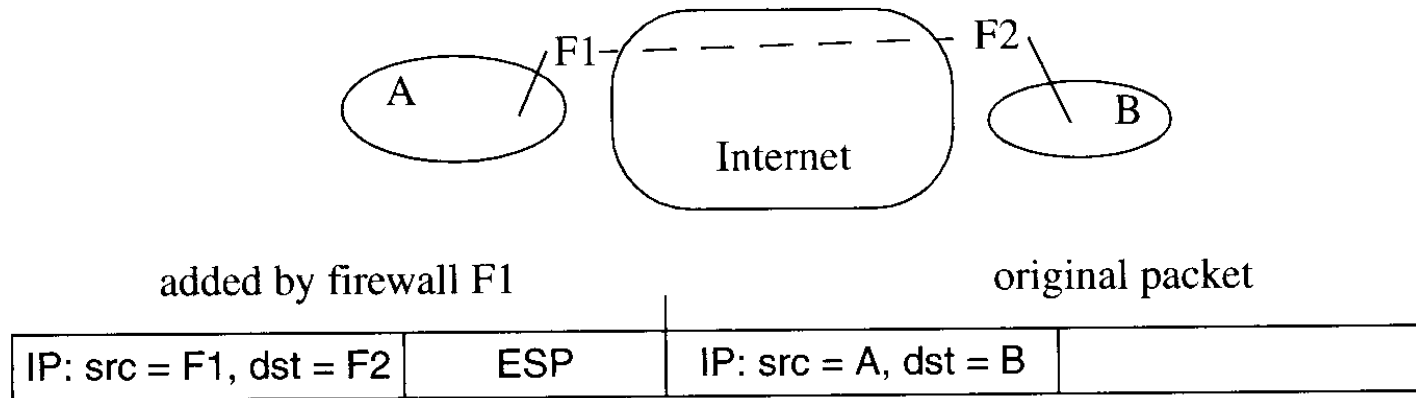
(b) A virtual private network via tunnel mode

## Tunnel Mode

original packet



# Tunnel Mode



**Figure 17-2.** IPsec, tunnel mode, between firewalls

**Figure 17-3.** Multiply encrypted IP packet

# IPv4 Header

- Protocol/Next header:
  - IP (4), TCP (6), UDP (17), AH (51), ESP (50)

size	
4 bits	version
4 bits	header length (in 4-octet units)
1 octet	type of service
2 octets	length of header plus data in this fragment
2 octets	packet identification
3 bits	flags (don't fragment, and last fragment)
13 bits	fragment offset
1 octet	hops remaining, known as TTL (time to live)
1 octet	protocol
2 octets	header checksum
4 octets	source address
4 octets	destination address
variable	options

50=ESP, 51=AH

# AH (Authentication Header)

- Integrity protection
  - Data integrity: modification of packet content
  - Authentication of IP packets: address spoofing, replay attack

# octets	
1	next header
1	payload length
2	unused
4	SPI (Security Parameter Index)
4	sequence number
variable	authentication data

# Authentication Data Field

- Integrity check value (ICV)
- HMAC-MD5-96  
HMAC-SHA-1-96
- The MAC is calculated over
  - Immutable or predictable IP header fields
  - AH header other than the Authentication data field
  - Entire upper-layer protocol data

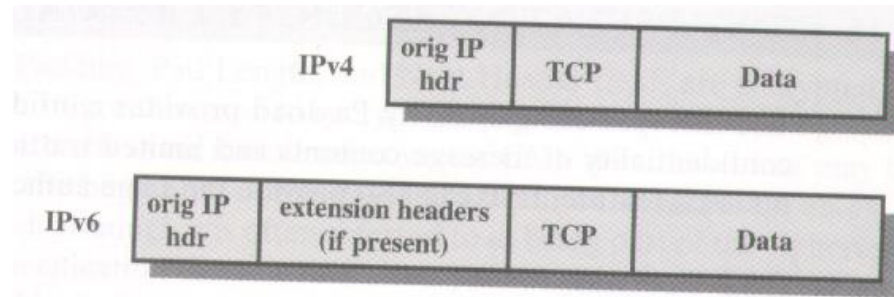
# IP Header: Mutable, Immutable

- Mutable: TTL, Type of Service, **Flags, Fragment Offset**, Header Checksum
- Immutable: Source Address
- Mutable but Predictable: Destination Address

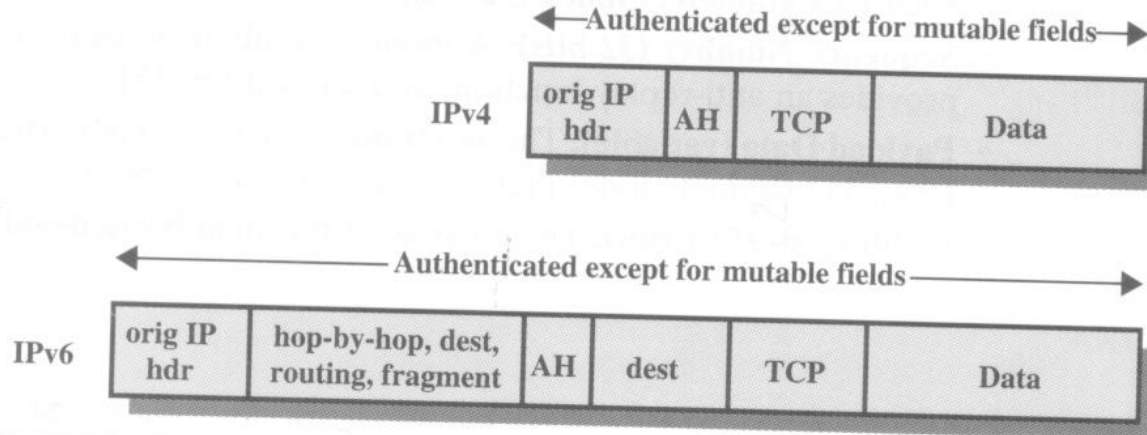
size	
4 bits	version
4 bits	header length (in 4-octet units)
1 octet	type of service
2 octets	length of header plus data in this fragment
2 octets	packet identification
3 bits	flags (don't fragment, and last fragment)
13 bits	fragment offset
1 octet	hops remaining, known as TTL (time to live)
1 octet	protocol
2 octets	header checksum
4 octets	source address
4 octets	destination address
variable	options

50=ESP, 51=AH

# Transport Mode AH



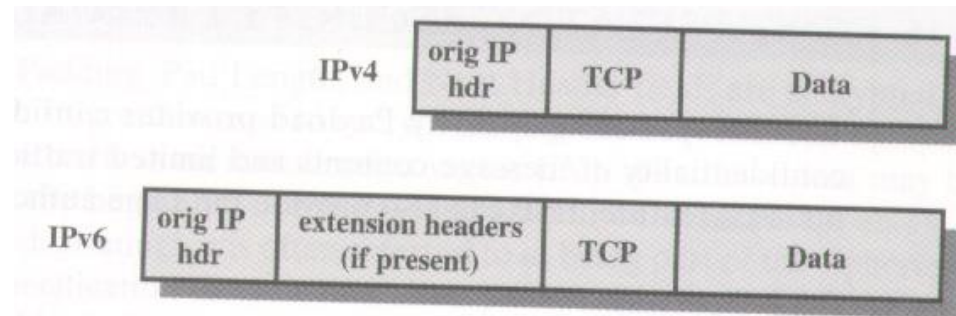
(a) Before applying AH



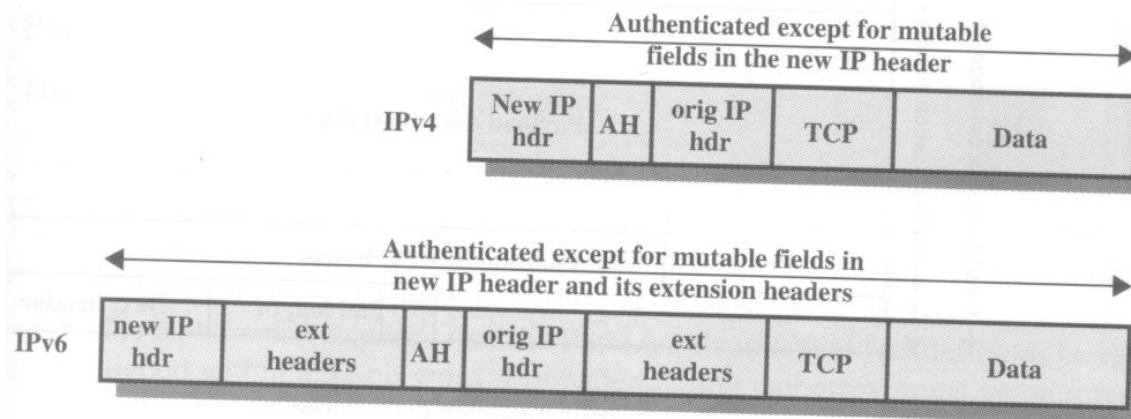
(b) Transport mode



# Tunnel Mode AH



(a) Before applying AH



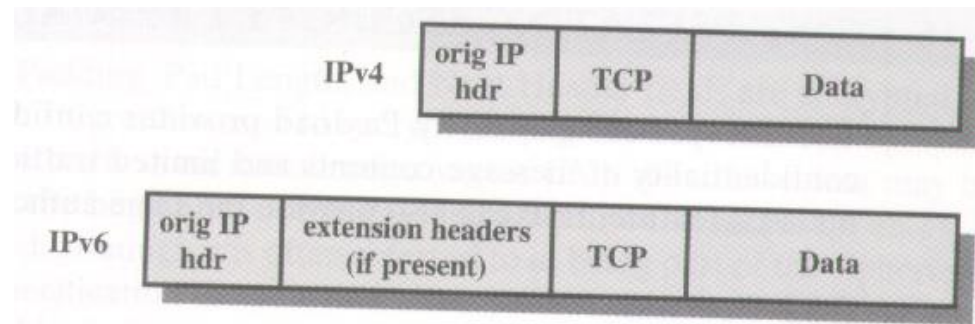
(c) Tunnel mode

# ESP (Encapsulating Security Payload)

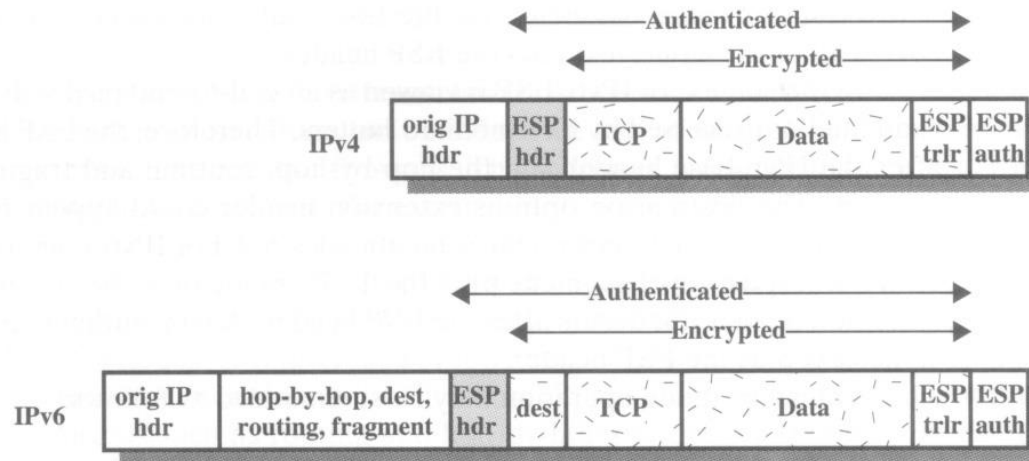
- Encryption and/or Integrity protection

# octets	
4	SPI (Security Parameters Index)
4	sequence number
variable	IV (initialization vector)
variable	data
variable	padding
1	padding length (in units of octets)
1	next header/protocol type
variable	authentication data

# Transport Mode ESP

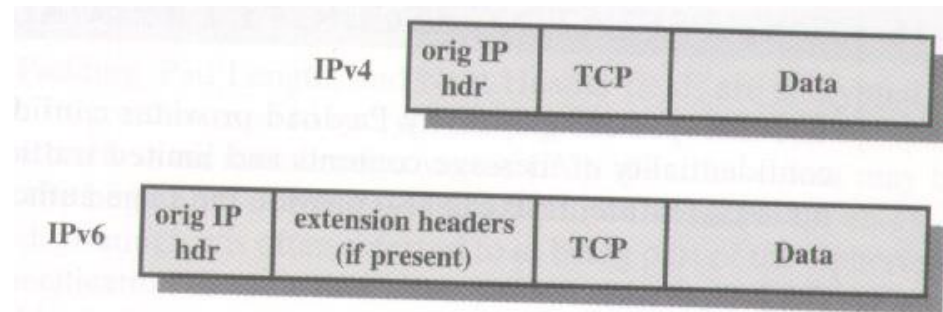


(a) Before applying AH

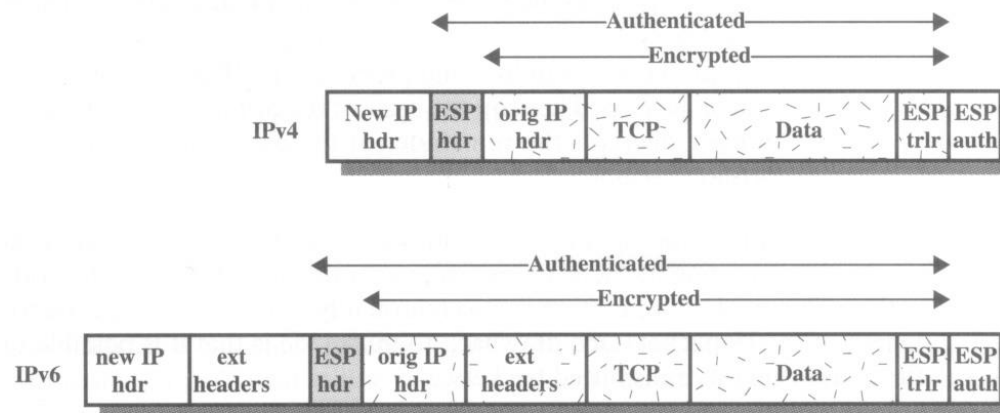


(a) Transport mode

# Tunnel Mode ESP



(a) Before applying AH



(b) Tunnel mode

# AH and ESP

- AH does only integrity protection.
- ESP allows
  - encryption only
  - integrity only
  - encryption+integrity
- ESP can replace AH except that
  - AH also protect the immutable fields in the IP header (source and destination IP addresses), while ESP works only on the payload.

# SA: Security Association

- A cryptographically protected connection
- Unidirectional: a bidirectional conversation consists of two SAs, one in each direction
- Information associated with each end of a SA:
  - identity of the other end
  - sequence number currently being used
  - cryptographic services being used: integrity or encryption+integrity, algorithms, keys, IVs, life time, etc...
  - IPsec protocol mode: tunnel, transport,
  - ...

# SA identifier

- A system need to know which SA a packet belongs to. The SA of any packet is uniquely determined by
  - Security Parameter Index (SPI): a field in AH or ESP headers, assigned during IKE negotiation.
  - Destination IP address
  - Security Protocol Identifier: AH or ESP

*Q: Why destination address is needed?*

SA defined by: <SPI, destination address, flag for whether it's AH or ESP>

# Security Association Database

- Given a packet, the sender looks up in the database for an appropriate SA, which tells it how to process the packet.
- The receiver looks up in the database for a corresponding SA, which tells it how to reverse the processing and recover the packet.



# Security Policy Database

- An IPsec-enabled system has a security policy database (SPD), describing how to treat the outbound packets. Each policy matches certain traffic streams and specifies the action: drop, forward, IPsec, etc. If the action is IPsec, it also provides all needed parameters.
- When a packet matches an IPsec policy, the computer first looks up if there is an existing SA. If so, process the packet. Otherwise, invoke IKE to negotiate one first.

# Host SPD Example

Protocol	Local IP	Port	Remote IP	Port	Action	Comment
UDP	1.2.3.101	500	*	500	BYPASS	IKE
ICMP	1.2.3.101	*	*	*	BYPASS	Error messages
*	1.2.3.101	*	1.2.3.0/24	*	PROTECT: ESP intransport-mode	Encrypt intranet traffic
TCP	1.2.3.101	*	1.2.4.10	80	PROTECT: ESP intransport-mode	Encrypt to server
TCP	1.2.3.101	*	1.2.4.10	443	BYPASS	TLS: avoid double encryption
*	1.2.3.101	*	1.2.4.0/24	*	DISCARD	Others in DMZ
*	1.2.3.101	*	*	*	BYPASS	Internet

# IPsec doesn't work well with

- NAT (Network Address Translation)
  - Source address is encrypted in ESP tunnel mode
  - Source address is in the checksum in ESP transport mode
  - Source address affects the crypto-checksum in AH
- Firewalls
  - IPsec encrypts information (TCP ports etc.) that firewall wants to inspect.